

OUT-OF-STATE PETITIONER INVESTIGATIVE ALCOHOL/DRUG EVALUATION



Office of the
Secretary of State
DEPARTMENT OF
ADMINISTRATIVE HEARINGS

Additional forms may be obtained
at ilsos.gov

INSTRUCTIONS:

This form is to be used *only* when required by the Secretary of State's office. The form must be completed when a petitioner's current loss of driving privileges is **not related to a DUI conviction** but other evidence indicates existence of: 1) a prior DUI disposition or any other conviction or loss of driving privileges within the last 10 years that was alcohol/drug-related, for which the petitioner did not or was not required to submit to the Secretary of State an Alcohol/Drug Evaluation to obtain driving relief; 2) credible evidence of any arrest or implied consent suspension for boating or snowmobiling under the influence within the last five years; 3) an alcohol/drug-related criminal record; or 4) the petitioner may be a user of alcohol or any other drugs to a degree that renders him/her incapable of safely driving a motor vehicle.

Any applicant meeting the above criteria and making an out-of-state petition for restoration of his/her driving privileges in Illinois must submit an Investigative Alcohol/Drug Evaluation as part of that process. The investigative evaluation must be completed by a professional service provider qualified to evaluate the nature and extent of the petitioner's past and current use of alcohol or other drugs. The individual or agency conducting the evaluation must have the expertise and be properly licensed and/or authorized in that state to evaluate and/or treat alcohol/drug-related problems. It is the responsibility of the petitioner to locate a service provider in the state he/she resides. A service provider may be found by consulting the yellow pages in the area telephone directory or the classified ad section of a local newspaper. Many states operate their own evaluation/intervention programs for DUI offenders; therefore, a service provider may be found by contacting a state's driver's licensing agency.

NOTE: This form may be used only by an out-of-state program to conduct an evaluation. If you choose to return to Illinois to obtain the required evaluation, an Illinois-licensed program must use the form provided by the Illinois Department of Human Services, Division of Substance Use Prevention and Recovery.

All items on this form must be completed. The information should be typed as illegible documents will delay the application process or result in the denial of the petitioner's application.

NOTE: The out-of-state service provider may choose to complete its own Alcohol/Drug Evaluation Form as long as it includes the information requested in this document, providing the requested information increases the petitioner's chances of being reinstated.

PERSONAL:

This Investigative Alcohol/Drug Evaluation form reports the nature and extent of the use of alcohol or drugs and the resulting recommendations for the following petitioner.

Name: (Last, First, Middle)		Illinois Driver's License Number:	
Address: (Street/City/State/ZIP)			
Sex: <input type="checkbox"/> M <input type="checkbox"/> F	Date of Birth: / /	Home Telephone Number: ()	Work Telephone Number: ()

Beginning Date of Evaluation: _____ **Completion Date of Evaluation:** _____

All items in the following sections must be answered. If more space is needed, attach additional sheets. When including any direct-quote statements, identify them with quotation marks.

ALCOHOL/DRUG USE HISTORY:

1. Provide a complete and accurate chronological history of the petitioner's alcohol and drug use **from the onset of use up to and including his/her last alcohol/drug-related arrest and from the last alcohol/drug-related arrest through the date of this evaluation and/or current abstinent date.** Include frequency, type, amount and duration of said patterns, along with a clear and complete explanation for any variance in said patterns. This must include frequency of intoxications, any drug use, and amounts needed to become intoxicated. List any and all prior attempts at abstinence. Indicate whether mixed drinks are single shot, doubles or free-poured; beers are 12-ounce, 16-ounce, 24-ounce, 32-ounce or 40-ounce containers; and glass size in ounces if consuming wine or mixed drinks. Report the petitioner's first intoxication and whether he/she exhibited vivid recall of this event. Report when the petitioner first exhibited alcohol/drug-related problems.

2. What additional symptoms and/or alcohol/drug-related problems has the petitioner experienced throughout his/her drinking and other drug use history?

- a. Missed work YES NO
- b. Under the influence of alcohol/drugs during work YES NO
- c. Under the influence of alcohol/drugs before noon YES NO
- d. Gulped or sneaked drinks YES NO
- e. Hidden alcohol/drugs in the home from parents or spouse YES NO
- f. Experienced memory loss of events that occurred during intoxication YES NO
- g. Passed out YES NO
- h. Become sick (headaches, hangovers, upset stomach, vomiting, etc.) YES NO
- i. Been in a fight YES NO
- j. Had close friends or relatives express concern over drinking/drug use YES NO
- k. Set out with thought of having a social drink but became intoxicated YES NO
- l. Lost friends or had relationships break up over alcohol/drug use YES NO
- m. Felt indignant when confronted with possible alcohol/drug problem YES NO
- n. Felt guilty or ashamed of things said or did while drinking/using drugs YES NO
- o. Tried to quit drinking/using drugs but failed YES NO
- p. Experienced extreme personality changes when drinking/using drugs YES NO
- q. Noticed increased tolerance to alcohol or other drugs YES NO
- r. Used alcohol to self-medicate chronic pain YES NO
- s. Experienced shakes or tremors YES NO

3. If using the DSM V criteria to determine petitioner's classification, then check the symptoms identified for **Alcohol/Drug Risk Assignment**:
- (1) ___ Is often taken in larger amounts or over a longer period than was intended.
 - (2) ___ There is a persistent desire or unsuccessful efforts to cut down or control use.
 - (3) ___ A great deal of time is spent in activities necessary to obtain, use or recover from its effects.
 - (4) ___ Craving, or a strong desire or urge to use.
 - (5) ___ Recurrent use resulting in a failure to fulfill major role obligations at work, school, or home.
 - (6) ___ Continued use despite having persistent or recurrent social or interpersonal problems caused or exacerbated by the effects of the substance use.
 - (7) ___ Important social, occupational or recreational activities are given up or reduced because of substance use.
 - (8) ___ Recurrent substance use in situations in which it is physically hazardous.
 - (9) ___ Use is continued despite knowledge of having a persistent or recurrent physical or psychological problem that is likely to have been caused or exacerbated by alcohol or other drug.
 - (10) ___ Tolerance, as defined by either of the following:
 - A need for markedly increased amounts of substance to achieve intoxication or desired effect; or
 - A markedly diminished effect with continued use of the same amount.
 - (11) ___ Withdrawal, as manifested by either of the following:
 - The characteristic withdrawal syndrome for alcohol or drugs.
 - Alcohol or drugs are taken to relieve or avoid withdrawals.
4. Is there a family history of alcoholism/drug addiction in the petitioner's immediate family? **YES** **NO** If yes, indicate the family member's relationship to the petitioner.
5. Is there a history of any alcohol- or other drug-related treatment? **YES** **NO** If yes, provide the names and locations of the treatment programs and the dates such treatment occurred. The petitioner must document his/her most recent treatment. The petitioner must submit a Treatment Verification Form and Treatment Discharge Summary completed by the treatment program that provided the treatment.
6. Is there an indication of any current significant physical, emotional/mental health or psychiatric disorders? **YES** **NO** If yes, the petitioner must submit a separate document from the attending physician, psychiatrist or counselor that reports the diagnosis, current status and a prognosis. If mental health treatment was completed in the last five years, a comprehensive discharge summary must be submitted from the most recent treatment program that provided the treatment. The petitioner will be informed whether a Medical Report Form is required.

7. Is the petitioner taking any medication (prescription or over-the-counter) that when taken alone or in combination with alcohol or other drugs might impair driving ability? **YES** **NO** If yes, identify the medication and discuss any potential impairment. The petitioner will be informed whether a Medical Report Form is required.

DRIVING HISTORY:

1. How many DUI arrests does the petitioner acknowledge? _____ Report **all alcohol/drug-related driving arrests** (DUI, Illegal Transportation of Alcohol, Fleeing and/or Attempting to Elude a Police Officer, Leaving the Scene of a Property Damage, Personal Injury and/or Fatal Motor Vehicle Crash, Driving Without a Valid License or Permit, Driving While Suspended/Revoked, Auto Theft, Reckless Homicide, Reckless Driving, etc., in any state). Include a description of the offense; date of arrest; state where it occurred; disposition of the offense; any breath, blood and urine test results; and whether the petitioner is currently on probation or parole for any of the offenses.

2. Discuss in detail the most recent DUI arrest. This should include, at a minimum, the following:

- a. Time and day of arrest: _____
- b. Reason stopped: _____
- c. Type and amount of alcohol consumed over what period of time: _____
- d. Petitioner's perception of the effect of the alcohol and/or drugs consumed: _____

Does the petitioner believe that he/she was under the influence at the time of the arrest? **YES** **NO**

- e. List any chemical test results, including breath, blood (distinguish whether serum-based or whole-blood) and urine: _____
- f. Time of first drink: _____ Time of last drink: _____ Time breath or chemical test given: _____
Total consumption metabolism time (from the first drink until test given): _____
- g. Does the blood-alcohol concentration (BAC) reading of _____ correlate with the amount of alcohol consumed, total consumption metabolism time and the petitioner's body weight _____ at that time? **YES** **NO** Explain: _____
- h. Type of substance used (other than alcohol): _____ Amount of substance used: _____ Time period substance was used: _____ Last time substance used before alcohol/drug-related arrest: _____

All other alcohol/drug-related arrests, in any state, including felonies, misdemeanors, petty offenses, and local ordinances:

1. How many alcohol- and/or drug-related arrests, **not previously discussed**, does the petitioner acknowledge? _____ Report all such arrests, including a description of offense; date of arrest; state where occurred; disposition of the offense; and whether the petitioner is currently on probation or parole for any of the offenses.

2. Discuss the last alcohol/drug-related **non-DUI arrest**, including the circumstances before, during and after the arrest.

CORROBORATION:

This section must include information from the following sources:

- A. **Interview with a Significant Other** — May be a family member, friend, employer, parent/guardian, etc. The summary should include, but not be limited to, the following information: significant other's name, age and relationship to the petitioner; how long he/she has known the petitioner; how often he/she sees the petitioner; how long he/she has maintained his/her present level of contact with the petitioner; his/her perception of the petitioner's current alcohol or other drug use pattern and/or abstinence; and whether he/she can verify the duration of the petitioner's current alcohol or other drug use and/or abstinence. **This interview requirement cannot be waived and must be conducted in every alcohol/drug evaluation completed.**
- B. **Objective Test** — Any generally recognized testing instrument may be utilized if the test's reliability and validity has been demonstrated by means of accepted statistical methods and procedures. Identify the objective test administered and provide a summary interpretation of the results of the test.

Discuss how corroborative information from both the interview and the objective test either correlates or does not correlate with the information obtained from the petitioner.

CONCLUSION AND RATIONALE:

Provide your clinical impression of what the investigative evaluation data indicates concerning the petitioner's past and current use of alcohol and/or other drugs. This should include whether you have identified a specific problem (including alcohol/drug abuse, alcohol/drug dependency, mental health problems) and, if so, whether that problem has been resolved in your opinion.

PRIOR DENIAL OF DRIVING RELIEF:

Complete items a, b, c, and d **only** if the petitioner has been denied driving relief from a previous application.

The evaluator/treatment provider's response may be completed on agency letterhead and attached.

- a. The petitioner must submit to the evaluator/treatment provider his/her **(a)** last Order/Letter of Denial; **(b)** and/or Letter of Rejection of Explanation from the BAIID Department regarding a BAC violation incurred while driving on an RDP or MDDP; **(c)** and/or Order/Letter issuing a Restricted Driving Permit but containing unresolved issues to be addressed prior to reinstatement. The evaluator/treatment provider must effectively address the significant issues raised therein. Was this documentation submitted? **YES** **NO** **Petitioner's failure to provide this information may result in the denial of the application for driving relief.**
- b. Summarize how each significant issue was effectively addressed and/or resolved.
- c. Provide a clear and complete explanation of why this additional information either changes or does not change the petitioner's classification and/or alters your clinical impression.
- d. Provide a clear and complete explanation as to whether this additional information warrants or does not warrant additional treatment hours. **Additional treatment hours must be completed and properly documented before applying for reinstatement of driving privileges.**

RECOMMENDATIONS:

Based on petitioner’s alcohol/drug-related driving arrests, criminal arrests and symptoms of alcohol/drug abuse/dependency.

- None
- Alcohol/Drug Remedial Education
- Alcohol/Drug Treatment
 - Outpatient
 - Intensive Outpatient
 - Residential
- Other (Specify) _____

NOTE: If either remedial education or treatment is recommended for any case, the petitioner should be directed to a properly licensed service provider.

EVALUATOR VERIFICATION (required):

I certify that I have accurately reported the data collected and required in order to complete the investigative evaluation.

Provider’s Name: (type or print)	
Provider’s Signature:	Date:
Provider’s Title:	Telephone Number:
Program Name:	Accreditation/License Number:
Address: (Street/City/State/ZIP)	

This investigative evaluation must be signed, dated and no more than six months old when received by the Secretary of State’s office.

PETITIONER VERIFICATION:

Must be verified in the presence of the evaluator/treatment provider.

The information I have provided for this Alcohol/Drug Investigative Evaluation is true and correct. I have read the information contained in this report and all the recommendations have been explained to me.

Petitioner’s Signature: _____ Date: _____