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INTRODUCTION

The Illinois Register is the official state document for publishing public notice of rulemaking activity initiated by State governmental agencies. The table of contents is arranged categorically by rulemaking activity and alphabetically by agency within each category.

Rulemaking activity consists of proposed or adopted new rules; amendments to or repealers of existing rules; and rules promulgated by emergency or peremptory action. Executive Orders and Proclamations issued by the Governor; notices of public information required by State Statute; and activities (meeting agendas; Statements of Objection or Recommendation, etc.) of the Joint Committee on Administrative Rules (JCAR), a legislative oversight committee which monitors the rulemaking activities of State Agencies; is also published in the Register.

The Register is a weekly update of the Illinois Administrative Code (a compilation of the rules adopted by State agencies). The most recent edition of the Code, along with the Register, comprise the most current accounting of State agencies' rulemakings.

The Illinois Register is the property of the State of Illinois, granted by the authority of the Illinois Administrative Procedure Act [5 ILCS 100/1-1, et seq.].

ILLINOIS REGISTER PUBLICATION SCHEDULE FOR 2013

Issue#	Rules Due Date	Date of Issue
1	December 26, 2012	January 4, 2013
2	December 31, 2012	January 11, 2013
3	January 7, 2013	January 18, 2013
4	January 14, 2013	January 25, 2013
5	January 22, 2013	February 1, 2013
6	January 28, 2013	February 8, 2013
7	February 4, 2013	February 15, 2013
8	February 11, 2013	February 22, 2013
9	February 19, 2013	March 1, 2013
10	February 25, 2013	March 8, 2013
11	March 4, 2013	March 15, 2013
12	March 11, 2013	March 22, 2013
13	March 18, 2013	March 29, 2013
14	March 25, 2013	April 5, 2013
15	April 1, 2013	April 12, 2013
16	April 8, 2013	April 19, 2013
17	April 15, 2013	April 26, 2013
18	April 22, 2013	May 3, 2013
19	April 29, 2013	May 10, 2013
20	May 6, 2013	May 17, 2013

21	May 13, 2013	May 24, 2013
22	May 20, 2013	May 31, 2013
23	May 28, 2013	June 7, 2013
24	June 3, 2013	June 14, 2013
25	June 10, 2013	June 21, 2013
26	June 17, 2013	June 28, 2013
27	June 24, 2013	July 5, 2013
28	July 1, 2013	July 12, 2013
29	July 8, 2013	July 19, 2013
30	July 15, 2013	July 26, 2013
31	July 22, 2013	August 2, 2013
32	July 29, 2013	August 9, 2013
33	August 5, 2013	August 16, 2013
34	August 12, 2013	August 23, 2013
35	August 19, 2013	August 30, 2013
36	August 26, 2013	September 6, 2013
37	September 3, 2013	September 13, 2013
38	September 9, 2013	September 20, 2013
39	September 16, 2013	September 27, 2013
40	September 23, 2013	October 4, 2013
41	September 30, 2013	October 11, 2013
42	October 7, 2013	October 18, 2013
43	October 15, 2013	October 25, 2013
44	October 21, 2013	November 1, 2013
45	October 28, 2013	November 8, 2013
46	November 4, 2013	November 15, 2013
47	November 12, 2013	November 22, 2013
48	November 18, 2013	December 2, 2013
49	November 25, 2013	December 6, 2013
50	December 2, 2013	December 13, 2013
51	December 9, 2013	December 20, 2013
52	December 16, 2013	December 27, 2013

DEPARTMENT OF CHILDREN AND FAMILY SERVICES

NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Licensing Standards for Day Care Centers
- 2) Code Citation: 89 Ill. Adm. Code 407
- 3)

<u>Section Numbers:</u>	<u>Proposed Action:</u>
407.45	Amend
407.50	Amend
407.55	Amend
407.70	Amend
407.100	Amend
407.120	Amend
407.130	Amend
407.200	Amend
407.210	Amend
407.270	Amend
407.310	Amend
407.330	Amend
407.350	Amend
407.370	Amend
407.APPENDIX E	Amend
- 4) Statutory Authority: Child Care Act of 1969 [225 ILCS 10] and the Children's Product Safety Act [430 ILCS 125]
- 5) A Complete Description of the Subjects and Issues Involved:

Childhood Obesity Awareness and Prevention – This rulemaking establishes best practice standards for preventing childhood obesity by increasing physical activity, reducing passive screen time and limiting unhealthy food choices.

Day Care Center Directors – Starting July 1, 2017, all new day care center directors must have either a Gateways to Opportunity Level I Illinois Director Credential or 3 semester hours of college credit or 3 points of Department-approved training in administration, leadership or management. In addition, starting July 1, 2017, centers must have an employee on-site at all times with a minimum of an associate degree in child development or early childhood education or the equivalent.

Radon – The day care center must be tested for radon at least every 3 years pursuant to rules established by IEMA [225 ILCS 10/5.8].

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Mandated Reporter Training – All staff must complete a DCFS-approved mandated reporter course.

Lead Paint and Asbestos Removal – The day care center must follow existing State law regarding the removal of lead paint, asbestos and other hazardous materials.

SIDS - The day care center must follow the current prevailing medical consensus and PA 97-83 regarding the prevention of SIDS.

- 6) Published studies and reports, and sources of underlying data used to compose this rulemaking: None
- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any proposed rulemakings pending on this Part? No
- 11) Statement of Statewide Policy Objectives: This rulemaking does not create or expand a State mandate as defined in Section 3(b) of the State Mandates Act [30 ILCS 805/3(b)].
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking:

Comments on this proposed rulemaking may be submitted in writing for a period of 45 days following publication of this Notice. Comments should be submitted to:

Jeff E. Osowski
Department of Children and Family Services
406 East Monroe, Station # 65
Springfield, Illinois 62701-1498

Telephone: 217/524-1983
TTY: 217/524-3715
Fax: 217/557-0692
E-mail: cfpolicy@idcfs.state.il.us

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The Department will consider fully all written comments on this proposed rulemaking submitted during the 45-day comment period. Comments submitted by small businesses should be identified as such.

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not-for-profit corporations affected: The proposed rulemaking affects day care centers that are subject to licensure by the Department.
 - B) Reporting, bookkeeping or other procedures required for compliance: The ability to retain records related to compliance with radon testing
 - C) Types of professional skills necessary for compliance: No new requirements
- 14) Regulatory Agenda on which this rulemaking was summarized: The proposed rulemaking was not included on either of the 2 most recent agendas, because the need for the rulemaking was not anticipated at the time the agendas were published.

The full text of the Proposed Amendments begins on the next page:

DEPARTMENT OF CHILDREN AND FAMILY SERVICES

NOTICE OF PROPOSED AMENDMENTS

TITLE 89: SOCIAL SERVICES

CHAPTER III: DEPARTMENT OF CHILDREN AND FAMILY SERVICES

SUBCHAPTER e: REQUIREMENTS FOR LICENSURE

PART 407

LICENSING STANDARDS FOR DAY CARE CENTERS

Section

407.1	Purpose (Repealed)
407.2	Definitions (Repealed)
407.3	Effective Date of Standards (Repealed)
407.4	Application for License (Repealed)
407.5	Application for Renewal of License (Repealed)
407.6	Provisions Pertaining to the License (Repealed)
407.7	Provisions Pertaining to Permits (Repealed)
407.8	Organization and Administration (Repealed)
407.9	Finances (Repealed)
407.10	General Requirements for Personnel (Repealed)
407.11	Child Care Director (Repealed)
407.12	Child Care Workers and Group Workers (Repealed)
407.13	Child Care Assistants (Repealed)
407.14	Use of Students (Repealed)
407.15	Service Staff (Repealed)
407.16	Substitutes and Volunteers (Repealed)
407.17	Background Inquiry (Repealed)
407.18	Admission and Discharge Procedures (Repealed)
407.19	Discipline (Repealed)
407.20	Personal Care and Hygiene (Repealed)
407.21	Program (Repealed)
407.22	Equipment and Materials (Repealed)
407.23	Grouping and Staffing (Repealed)
407.24	Nutrition (Repealed)
407.25	Night Care (Repealed)
407.26	Children with Special Needs (Repealed)
407.27	Infants and Toddlers (Repealed)
407.28	School-Age Children (Repealed)
407.29	Health Requirements for Children (Repealed)
407.30	Transportation (Repealed)
407.31	Plant and Equipment (Repealed)

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- 407.32 Records and Reports (Repealed)
- 407.33 Confidentiality of Records and Information (Repealed)
- 407.34 Records Retention (Repealed)
- 407.35 Severability of This Part (Renumbered)

SUBPART A: INTRODUCTION, DEFINITIONS, AND APPLICABILITY

- Section
- 407.40 Purpose and Applicability
- 407.45 Definitions

SUBPART B: PERMITS AND LICENSES

- | Section
- 407.50 Application for License
- 407.55 Application for Renewal of License
- 407.60 Provisions Pertaining to the License
- 407.65 Provisions Pertaining to Permits

SUBPART C: ADMINISTRATION

- | Section
- 407.70 Organization and Administration
- 407.80 Confidentiality of Records and Information

SUBPART D: STAFFING

- | Section
- 407.90 Staffing Structure
- 407.100 General Requirements for Personnel
- 407.110 Background Checks for Personnel
- 407.120 Personnel Records
- 407.130 Qualifications for Child Care Director
- 407.140 Qualifications for Early Childhood Teachers and School-age Workers
- 407.150 Qualifications for Early Childhood Assistants and School-age Worker Assistants
- 407.160 Students and Youth Aides
- 407.170 Substitutes
- 407.180 Volunteers
- 407.190 Grouping and Staffing

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SUBPART E: PROGRAM REQUIREMENTS

Section

- 407.200 Program Requirements for All Ages
- 407.210 Special Requirements for Infants and Toddlers
- 407.220 Special Requirements for School-Age Children
- 407.230 Intergenerational Programs
- 407.240 Evening, Night, Weekend and Holiday Care

SUBPART F: STRUCTURE AND SAFETY

Section

- 407.250 Enrollment and Discharge Procedures
- 407.260 Daily Arrival and Departure of Children
- 407.270 Guidance and Discipline
- 407.280 Transportation
- 407.290 Swimming and Wading
- 407.300 Animals

SUBPART G: HEALTH AND HYGIENE

Section

- 407.310 Health Requirements for Children
- 407.320 Hand Washing
- 407.330 Nutrition and Meal Service
- 407.340 Diapering and Toileting Procedures
- 407.350 Napping and Sleeping
- 407.360 Medications

SUBPART H: FACILITY AND EQUIPMENT

Section

- 407.370 Physical Plant/Indoor Space
- 407.380 Equipment and Materials
- 407.390 Outdoor Play Area

SUBPART I: SEVERABILITY OF THIS PART

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Section

407.400 Severability of This Part

407.APPENDIX A	Equipment for Infants and Toddlers
407.APPENDIX B	Equipment for Preschool Children
407.APPENDIX C	Equipment for School-Age Children
407.APPENDIX D	Infant Daily Food Requirements
407.APPENDIX E	Meal Patterns and Serving Sizes for Child Care Programs
407.APPENDIX F	Resource Reference List
407.APPENDIX G	Early Childhood Teacher Credentialing Programs
407.APPENDIX H	Playground Surfacing and Critical Height

AUTHORITY: Implementing and authorized by the Child Care Act of 1969 [225 ILCS 10], the Children's Product Safety Act [430 ILCS 125], Section 7.1 of the Lead Poisoning Prevention Act [410 ILCS 45/7.1] and Section 5 of the Missing Children Records Act [325 ILCS 50/5].

SOURCE: Adopted and codified at 7 Ill. Reg. 9215, effective August 15, 1983; amended at 8 Ill. Reg. 8713, effective June 15, 1984; amended at 8 Ill. Reg. 24937, effective January 1, 1985; amended at 16 Ill. Reg. 7597, effective April 30, 1992; emergency amendment at 20 Ill. Reg. 11366, effective August 1, 1996, for a maximum of 150 days; emergency expired December 28, 1996; amended at 21 Ill. Reg. 923, effective January 15, 1997; amended at 22 Ill. Reg. 1728, effective January 1, 1998; amended at 24 Ill. Reg. 17036, effective November 1, 2000; amended at 28 Ill. Reg. 3011, effective February 15, 2004; amended at 29 Ill. Reg. 4502, effective March 15, 2005; amended at 34 Ill. Reg. 4700, effective March 22, 2010; amended at 36 Ill. Reg. 13076, effective August 15, 2012; amended at 37 Ill. Reg. _____, effective _____.

SUBPART A: INTRODUCTION, DEFINITIONS, AND APPLICABILITY

Section 407.45 Definitions

"Accredited college or university" means a college or university that has been accredited by a regional or national institutional accrediting association recognized by the U.S. Department of Education or a non-governmental recognition counterpart.

"Age-appropriate safety restraint" for a child under 4 years of age means a child restraint system (infant carrier, infant/toddler seat, or convertible safety seat) that meets the standards of the United States Department of Transportation designed to restrain, seat or position children. For a child 4 years of age or older, an age-

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appropriate safety restraint means a child restraint system or seat belt (lap belt or lap-shoulder belt combination).

"Attendance" means the total number of children present at any one time.

"Authorized representative of the Department" means a licensing representative or any person acting on behalf of the Director of the Department.

"Background check" means:

- a criminal history check via fingerprints of persons age 17 and over that are submitted to the Illinois State Police and the Federal Bureau of Investigation (FBI) for comparison to their criminal history records, as appropriate; and
- a check of the Statewide Automated Child Welfare Information System (SACWIS) and other states' child protection systems, as appropriate, to determine whether an individual has been alleged or indicated as a perpetrator of child abuse or neglect; and
- a check of the Illinois Sex Offender Registry.

"CANTS" means the Child Abuse and Neglect Tracking System operated and maintained by the Department. This system is being replaced by the Statewide Automated Child Welfare Information System (SACWIS).

"Child" means any person under 18 years of age. (Section 2.01 of the Child Care Act of 1969 [225 ILCS 10/2.01])

"Child care facility" means any person, group of persons, agency, association or organization, whether established for gain or otherwise, who or which receives or arranges for care or placement of one or more children, unrelated to the operator of the facility, apart from the parents, with or without the transfer of the right of custody in any facility as defined in the Child Care Act of 1969, established and maintained for the care of children. (Section 2.05 of the Child Care Act of 1969 [225 ILCS 10/2.05])

"Child care staff" means all staff members providing direct care to children.

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"Consultant" means a person providing technical assistance or advice regarding any aspect of the program operation.

"Corporal punishment" means hitting, spanking, swatting, beating, shaking, pinching, excessive exercise, exposure to extreme temperatures, and other measures that produce physical pain.

"Cot" means a comfortable, safe and child-sized alternative bed made of resilient, sanitizable fabric, that is on legs or otherwise above the floor and can be stored to allow for air flow.

"Day care center" means any child care facility which regularly provides day care for less than 24 hours per day for more than 8 children in a family home or more than 3 children in a facility other than a family home, including senior citizen buildings. The term does not include:

- *programs operated by public or private elementary school systems or secondary level school units or institutions of higher learning that serve children who shall have attained the age of 3 years; or*
- *private entities on the grounds of public or private elementary or secondary schools that serve children who have attained the age of 3 years, except that this exception applies only to the facility and not to the private entities' personnel operating the program;*
- *programs or that portion of the program which serves children who shall have attained the age of 3 years and which are recognized by the State Board of Education;*
- *educational program or programs serving children who shall have attained the age of 3 years and which are operated by a school which is registered with the State Board of Education and which is recognized or accredited by a recognized national or multi-state educational organization or association which regularly recognizes or accredits schools;*
- *programs which exclusively serve or that portion of the program which serves handicapped children who shall have attained the age of 3 years but are less than 21 years of age and which are registered and approved*

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as meeting standards of the State Board of Education and applicable fire marshal standards;

- *facilities operated in connection with a shopping center or service, religious services or other similar facility where transient children are cared for temporarily while parents or custodians of the children are occupied on the premises and readily available;*
- *any type of day care center that is conducted on federal government premises;*
- *special activities programs, including athletics, crafts instruction and similar activities conducted on an organized and periodic basis by civic, charitable and governmental organizations;*
- *part day child care facilities, as defined in Section 2.10 of the Child Care Act of 1969; or*
- *programs or that portion of the program which:*
 - *serves children who shall have attained the age of 3 years,*
 - *is operated by churches or religious institutions as described in section 501(c)(3) of the federal Internal Revenue Code,*
 - *receives no governmental aid,*
 - *is operated as a component of religious, nonprofit elementary school,*
 - *operates primarily to provide religious education, and*
 - *meets appropriate State or local health and fire safety standards.*

For purposes of this Section, "children who shall have attained the age of 3 years" shall mean children who are 3 years of age, but less than 4 years of age, at the time of enrollment in the program. (Section 2.09 of the Child Care Act of 1969 [225 ILCS 10/2.09])

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"Department" means the Illinois Department of Children and Family Services.
(Section 2.02 of the Child Care Act of 1969 [225 ILCS 10/2.02])

"Disinfect" means to eliminate virtually all germs from inanimate surfaces through the use of chemicals or physical agents (e.g., heat). In the child care environment, a solution of ¼ cup household liquid chlorine bleach added to one gallon of tap water and prepared fresh daily is an effective disinfectant for environmental surfaces and other objects.

"Early childhood" means the years from birth through age 6.

"Early childhood assistant" means a staff member who works under the direct supervision of an early childhood teacher and does not assume responsibility for a group of children.

"Early childhood teacher" means a staff member responsible for a group of infants, toddlers or preschool children.

"Employee", as used in this Part, means any staff person employed by a child care facility and includes any substitute or assistant. This definition includes administrative, professional and support staff who have access to children in their present or prospective employment.

"Enrollment" means the total number of children served by the facility on either a part-time or full-time basis.

"Gateways to Opportunity Registry" means a program administered by the Department of Human Services to track and maintain education and training credentials of administrators and staff that allows them to establish a profile in the registry of their educational attainment and professional development.

"Governing body", as used in this Part, means the board of directors of a corporation. Otherwise, the term means the owners or other persons, agency, association or organization legally responsible for the operation of the day care center that serves as the policy-making authority and that exercises general direction over the affairs of the facility.

"Group" means a specific number of children who remain together at least 60 percent of the time they are at the facility.

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"Guardian" means the guardian of the person of a minor. (Section 2.03 of the Child Care Act of 1969 [225 ILCS 10/2.03])

"Guidance/discipline" means the ongoing process of helping children to develop inner controls so that they can manage their own behavior in socially approved ways.

"Infant" means a child from 6 weeks through 14 months of age.

"Initial background check" means fingerprints have been obtained, as verified by a receipt from the fingerprint vendor, and the individual has cleared a check of the Statewide Automated Child Welfare Information System (SACWIS) and the Illinois Sex Offender Registry.

"Intergenerational activities" means activities that involve children and adults in shared activities that occur at least monthly on a regular basis.

"Kindergarten child" means a child currently enrolled in kindergarten who is eligible to attend first grade during the next school year.

"LEADS" means the Law Enforcement Agency Data System.

"License" means a document issued by the Department of Children and Family Services that authorizes child care facilities to operate in accordance with applicable standards and the provisions of the Child Care Act of 1969.

"License study", as used in this Part, means the review of an application for license, on-site visits, interviews, and the collection and review of supporting documents to determine compliance with the Child Care Act of 1969 and the standards prescribed by this Part.

"Licensed capacity" means the maximum number of children permitted in the facility at any one time.

"Licensee" means an individual, agency, or organization who holds a license or permit issued by the Department of Children and Family Services.

"Licensing representative", for the purposes of this Part, means Department staff

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authorized under the Child Care Act of 1969 to examine facilities for licensure.

"Parental involvement" means parental assistance with a child care program such as participation in field trips, parties, attendance on special days for special events, or parental support and cooperation in the classroom.

"Parents" or "Parent", as used in this Part, means persons assuming legal responsibility for the care and protection of the child on a 24-hour basis; includes guardian or legal custodian.

"Partially exempt program" means a child care program for children who have attained the age of 3 years and is operated by a private entity on grounds of a public or private elementary or secondary school where children have been attending school during the day. In a partially exempt program, the physical facility is exempt from Department regulations; however, the Department regulates the personnel and operating programs.

"Permit", as used in this Part, means a one-time only document issued by the Department of Children and Family Services for a 6-month period to allow the individuals, agency, or organization to operate a day care center and to become eligible for a full license.

"Physician" means a person licensed to practice medicine in the State of Illinois or a contiguous state.

"Preschooler" means a child from 3 through 5 years of age. Children enrolled in kindergarten may be considered either preschool or school-age. Children 2 years of age may be considered preschoolers or toddlers, depending on their level of development.

"Program" means all activities provided for the children during their hours of attendance in the facility.

"Related services" refers to, but is not limited to, supportive services (psychological, medical, social, or health) for children in a facility.

"Replace or supplement staff" means a paid or unpaid individual who performs essential staff duties as evidenced by being counted in the staff-child ratio or being allowed to be alone with children outside the visual or auditory supervision

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of child care staff. It also includes professional contractual staff, such as physicians, nurses, therapists, etc., if the professional provides services within the facility and is allowed access to children outside the visual or auditory supervision of staff.

"Resource personnel" means physicians, nurses, psychologists, social workers, speech therapists, physical and occupational therapists, educators and other technical and professional persons whose expertise is utilized in providing specialized services to children.

"Resources" may include related services mentioned above and community agencies such as, but not limited to, libraries, university laboratories and their professional staffs, audiovisual materials, museums, and parks.

"Risk management plan" means a document that outlines the process for identifying and analyzing loss exposures, examining alternative risk control methods, and making and carrying out decisions that will minimize the adverse effects of accidental losses.

"School-age" means a child up to 18 years of age who is enrolled in first grade or higher. Children attending kindergarten may be considered either preschool or school-age.

"School-age assistant" means a staff member who works under the direct supervision of a school-age worker.

"School-age director" means a person designated by the governing body to assume full administrative responsibility for the ongoing operation of one or more sites (not to exceed 6) and who meets the qualifications for a child care director as outlined in Section 407.130.

"School-age site coordinator" means a person responsible for implementing curriculum and ensuring that licensing standards are met at the site of a school-age program serving a maximum of 50 children and that is overseen by a school-age director responsible for multiple sites.

"School-age worker" means a staff member who has lead responsibility for a group of school-age children.

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"Site" means the physical location in which a day care center operates. A site may consist of more than one building if all of the buildings within the site are connected by property under the exclusive control of the day care center that is used as a playground, for parking, or for other day care related purposes.

"Support staff" means any staff member providing indirect care and services to children in a day care center, such as a driver, cook, janitor, or clerical staff.

"Swimming pool", for purposes of this Part, means any natural or artificial basin of water intended for public swimming or recreational bathing that exceeds 2'6" in depth as specified in the Illinois Swimming Pool and Bathing Beach Act and Code. The term includes bathing beaches and pools at private clubs, health clubs, or private residences when used for children enrolled in a child care facility.

"Toddler" means a child from 15 months to 2 years of age. The term may include a child up to 30 months of age depending upon physical or social development.

["Tummy time" means a supervised period of time when an infant is allowed to lay on his or her abdomen to help strengthen the head, neck and shoulder muscles.](#)

"Universal precautions" means an approach to infection control. According to the concept of Universal Precautions, all human blood and certain body fluids are treated as if known to be infectious for HIV, HBV, and other blood-borne pathogens.

"Wading pool", for purposes of this Part, means any natural or artificial basin of water less than 2'6" in depth that is intended for recreational bathing, water play or similar activity as specified in the Illinois Swimming Pool and Bathing Beach Act and Code. The term includes recessed areas less than 2'6" in depth in swimming pools and includes wading pools at private clubs, health clubs and private residences when used for children enrolled in a child care facility.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

SUBPART B: PERMITS AND LICENSES

Section 407.50 Application for License

- a) The application for license shall be completed by the officers of the governing

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body of the day care center, or its authorized representative, on forms prescribed and furnished by the Department.

- b) Only complete applications shall be processed. Incomplete or unsigned applications shall be returned for completion and signature. For the application to be considered complete, the following shall be attached to the application form:
- 1) Articles of incorporation and by-laws, if incorporated, indicating that the center's corporate status is in good standing with the Illinois Secretary of State;
 - 2) Statement of purposes and policies as required by Section 407.250(c);
 - 3) List of officers, board members and committees of the governing body;
 - 4) Annual operating budget showing anticipated expenses and income (required in original application only);
 - 5) Staffing plan that includes job descriptions and the qualifications of the staff;
 - 6) Written delegation of administrative authority as required by Section 407.70(b); ~~and~~
 - 7) A list of persons subject to the background check requirements of 89 Ill. Adm. Code 385 (Background Checks) and each person's complete, signed authorization to conduct the background check; ~~and~~.
 - 8) *Effective January 1, 2014, as part of an initial application, the Department shall require proof the center has been tested within the last 3 years for radon. [225 ILCS 10/5.8]*
- c) Applications submitted on or after September 1, 2012 shall include proof of membership in the Gateways to Opportunity Registry by all staff and assistants and by the director of the facility with all their educational and training requirements entered into the registry.
- d) Upon receipt of a complete, signed application for a license, the Department shall conduct a license study in order to determine that the day care center meets

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licensing standards. The licensing study shall be in writing and shall be reviewed and signed by the licensing supervisor and the licensing representative performing the study. The applicant shall receive a copy of the license study upon written request and payment of copying costs.

- e) A new application shall be filed:
 - 1) When an application for license has been withdrawn, and the center seeks to reapply;
 - 2) When there is a change of address of the day care center; and
 - 3) When there is a change of name, ownership or corporate status of the center.

- f) If the Department has revoked or refused to renew the license of a day care center and the former licensee or one third or more of the members of its governing body seek to reapply for license, it may do so if at least 12 months have passed since the effective date of the revocation or refusal to renew. If a new license is granted, the Department shall impose provisions on the new license for a minimum of two years, notwithstanding any other provisions of this Part. *The denial of a reapplication for a license pursuant to this subsection must be supported by evidence that the prior revocation renders the applicant unqualified or incapable of satisfying the standards and rules promulgated by the Department pursuant to the Child Care Act of 1969, or maintaining a facility which adheres to such standards and rules.* [225 ILCS 10/6(c)]

- g) The Department must approve that the facility is in reasonable compliance with the licensing standards before the day care center changes its operations regarding the number or ages of children served.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 407.55 Application for Renewal of License

- a) Application forms for license renewal shall be mailed to the day care center by the Department six months prior to the expiration date of the license.

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- b) The application for the renewal of a day care center license must be completed, signed by the governing body or its authorized representative, and submitted to the Department three months prior to the expiration date of the current license in order for the application to be considered timely and sufficient. In addition, revisions in items required by Section 407.50(b) ~~that~~^{which} have not been submitted previously to the Department shall accompany the application for the renewal of a license.
- c) When a licensed day care center seeks to change its name, address, corporate status or ownership, a new application reflecting the revised status must be completed, signed by the governing body or its authorized representative, and submitted to the Department 30 days prior to the effective date of the ~~changes~~^{change(s)} in order for the application to be considered timely and sufficient. In addition, a change of name, corporate status or ownership shall be documented by the filing of a copy of the amended articles of incorporation or ownership agreement with the Department within 30 days after its effective date.
- d) When a licensee has made timely and sufficient application for renewal of a license or a new license with reference to any activity of a continuing nature, the existing license shall continue in full force and effect until the final agency decision on the application has been made unless a later date is fixed by order of a reviewing court. (Section 10-65(b) of the Illinois Administrative Procedure Act [5 ILCS 100/10-65(b)])
- e) Upon receipt of the application for license renewal, the Department shall conduct a license study in order to determine that the day care center continues to meet licensing standards. The licensing study shall be in writing and shall be reviewed and signed by the licensing supervisor and the licensing representative performing the study. The licensee shall receive a copy of the license study upon written request and payment of copying costs.
- f) For renewal applications submitted on or after September 1, 2012, the licensee shall provide proof of membership in the Gateways to Opportunity Registry by all staff and assistants and by the director of the facility with all their educational and training requirements entered into the registry.
- g) Effective January 1, 2014, as part of an application for renewal of a license, the Department shall require proof the center has been tested within the last 3 years for radon. [225 ILCS 10/5.8]

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(Source: Amended at 37 Ill. Reg. _____, effective _____)

SUBPART C: ADMINISTRATION

Section 407.70 Organization and Administration

- a) The members of the governing body of the day care center shall be legally responsible to the Department for maintaining the standards set forth in this Part. The members of the governing body shall be of reputable and responsible character. The governing body may delegate responsibility for day-to-day compliance with the standards to the day care center director.
- b) The governing body shall file with the Department written policies outlining any delegation of responsibility for compliance with this Part and lines of communication among the governing body, facility staff and parents. This statement shall be signed by the governing body and the day care center director with updates as changes occur.
- c) The governing body, or others designated in writing to represent the center, shall notify the Department immediately of major changes affecting any area of operation regulated by 89 Ill. Adm. Code 407, such as governance, location, physical plant, finances, staff, equipment, or a fire or natural disaster that affects the ability of the day care center to continue to operate.
- d) The Department shall be notified in writing within 7 days after any notice of legal action against the center that may negatively affect its operation and/or ability to maintain licensing standards.
- e) As a part of new staff orientation, the child care director and all staff shall review the following documents and the date of their review shall be recorded in the personnel files:
 - 1) the Child Care Act of 1969 [225 ILCS 10];
 - 2) the Abused and Neglected Child Reporting Act [325 ILCS 5]; and
 - 3) the portions of 89 Ill. Adm. Code 407 (Licensing Standards for Day Care Centers) that affect their functions and responsibilities.

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- f) A complete and current set of licensing standards shall be available at all times in an area that is accessible to all employees.
- g) The governing body shall insure that an adequate process is in place for recruiting, hiring, and maintaining staff as required by this Part.
- h) A day care center shall have written personnel policies available to the staff at all times. These policies shall include, but are not limited to, job descriptions, compensation and benefits, pay dates, Social Security, worker's compensation, unemployment insurance, holidays, sick leave, vacations, probationary periods, grievance procedures, promotions, staff development, discipline, termination of employment and performance evaluation.
- i) Each child's record shall contain a statement signed by the child's parents or guardian indicating that he/she has received a summary of licensing standards and other materials designated by the Department for distribution.
- j) Suspected child abuse or neglect shall be reported immediately to the Child Abuse/Neglect Hotline as required by the Abused and Neglected Child Reporting Act. The telephone number for the reporting hotline is 1-800-252-2873.
- k) The center shall develop a written risk management plan that identifies potential operational risks, specifies ways to reduce or eliminate the risks and establishes procedures to be followed in an emergency or crisis. All staff shall be trained in the implementation of the plan. This risk management plan shall specifically address at least the following:
 - 1) training, including universal precautions, provided to staff to identify and minimize risks, particularly as it relates to the care and supervision of children;
 - 2) the design and maintenance of the building and any vehicles used in day care;
 - 3) maintenance and storage of food service and maintenance equipment, chemicals, and supplies, including an integrated pest management plan in accordance with Section 407.390;

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- 4) selection, maintenance, and supervision of education materials, toys, pets, and playground equipment;
 - 5) food service sanitation;
 - 6) cleanliness of the building and grounds;
 - 7) means of receiving information to alert the center of severe weather conditions or other emergency situations that may affect the safety of the children; and
 - 8) emergency and disaster preparedness plans, including fire drills and evacuation plans.
- l) The day care center shall carry public liability insurance in the single limit minimum amount of \$300,000 per occurrence and any vehicle used by the center for a purpose that requires a school bus driver permit under Section 6-104 of the Illinois Vehicle Code shall carry a minimum of liability insurance in the amount of \$1,000,000 combined single limit per accident [625 ILCS 5/12-707.01].
 - m) Any accident or injury requiring professional medical care, death or other emergency involving a child shall be entered into the child's record and orally reported immediately to the child's parent or guardian and to the appropriate local licensing office of the Department. If the center is unable to contact the parent or guardian and the Department immediately, it shall document this fact in the child's record. Oral reports to the Department shall be confirmed in writing within 2 business days after the occurrence.
 - n) The day care center shall maintain records essential for the operation of the facility. Records pertaining to children in care and to staff shall be maintained at the day care center.
 - 1) Financial records shall be maintained in Illinois and produced immediately upon request for licensing review.
 - A) The day care center shall maintain financial records including projected and current operating budget.
 - B) The day care center shall maintain financial solvency to assure

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adequate care of children and compliance with the standards prescribed in this Part. A center is considered insolvent if the sum of its debts is greater than all of its property, at a fair valuation, exclusive of property transferred, concealed or removed with intent to hinder, delay or defraud its creditors and property that may be exempted from property of the estate. (This definition is adapted from the U.S. Bankruptcy Code of 1978 (11 USC 101).)

- 2) Required general and financial records shall be maintained for 5 years. Required personnel records shall be maintained for 5 years after the date of the employee's termination of employment. Children's records shall be maintained for 5 years after the child has been discharged from care or services.
 - 3) Accurate daily attendance records, by group, shall be maintained for one year. If a child attends on a part-time or irregular basis, this shall be recorded in the attendance records.
 - 4) The provisions of this Section notwithstanding, records required by this Part shall be maintained until all audits have been completed and no litigation is pending or reasonably anticipated.
- o) Authorized representatives of the Department shall be admitted to the center during the hours of operation for the purpose of determining compliance with the Child Care Act of 1969 and standards set forth in this Part.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

SUBPART D: STAFFING

Section 407.100 General Requirements for Personnel

- a) Staff shall be able to demonstrate the skill and competence necessary to contribute to each child's physical, intellectual, personal, emotional, and social development. Factors contributing to the attainment of this standard include:
 - 1) Emotional maturity when working with children;
 - 2) Cooperation with the purposes and services of the program;

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- 3) Respect for children and adults;
 - 4) Flexibility, understanding and patience;
 - 5) Physical and mental health that do not interfere with child care responsibilities;
 - 6) Good personal hygiene;
 - 7) Frequent interaction with children;
 - 8) Listening skills, availability and responsiveness to children;
 - 9) Sensitivity to children's socioeconomic, cultural, ethnic and religious backgrounds, and individual needs and capabilities;
 - 10) Use of positive discipline and guidance techniques; and
 - 11) Ability to provide an environment in which children can feel comfortable, relaxed, happy and involved in play, recreation and other activities.
- b) Child care staff, in addition to meeting the requirements of subsection (a) ~~of this Section~~, shall generally demonstrate skill and competence necessary to assume direct responsibility for child care including:
- 1) Skills to help children meet their developmental and emotional needs; and
 - 2) Skills in planning, directing, and conducting programs that meet the children's basic needs.
- c) Child care staff shall be willing to participate in activities leading to professional growth in child development and education, and in training related to the specific needs of the children served.
- 1) The director and each child care staff member shall participate in 15 clock hours of in-service training per year. For the first year of employment, topics that must be included in the training are staff requirements to recognize and report suspected child abuse or neglect, how to make a child

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abuse or neglect report, rules governing the operation of the facility, and the legal protection afforded to persons who report violations of licensing standards. Subsequent in-service training may include, but shall not be limited to, child development, symptoms of common childhood illnesses, hygiene, guidance and discipline, and communication with parents.

- 2) A record of in-service training shall be maintained at the site.
- 3) The required in-service training hours may consist of on-site training; documented attendance at seminars, workshops, conferences and early childhood classes; and documented self-study programs that have been approved by the day care center director. Staff meetings may be counted only if a planned in-service program is presented.
- 4) Staff serving children who require special program services shall receive in-service training and/or consultation on issues related to those specific needs.
- 5) By September 1, 2012, all child care staff employed by the day care center, assistants and the director shall become members of the Gateways to Opportunity Registry, with all educational and training credentials entered into the registry verified in accordance with procedures and requirements adopted by the Department of Human Services (see 89 Ill. Adm. Code 50.Subpart G). Newly hired staff serving children shall become members of the Gateways to Opportunity Registry within 30 days after hire.
- 6) The director and each child care staff member must complete the online Mandated Reporter Training that is available on the Department's website. Current staff must complete this training within 60 days after the effective date of this Section. Newly hired staff must complete this training within 30 days after hire.
- 7) If the facility is licensed to care for newborns and infants, all newly hired day care center staff shall take and complete the Sudden Infant Death Syndrome (SIDS) and Shaken Baby Syndrome (SBS) trainings within 30 days after hire.

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- 8) [Every three years, all child care staff, including the day care center director, shall receive training on the nature of Sudden Unexpected Infant Death \(SUID\), SIDS and the safe sleep recommendations of the American Academy of Pediatrics.](#)
- d) Newly employed staff shall submit a report of a physical examination completed no more than six months prior to employment that provides evidence that they are free of communicable disease, including active tuberculosis, and physical or mental conditions that could affect their ability to perform assigned duties. This examination shall include a test for tuberculosis by the Mantoux method.
- e) Cooks, kitchen helpers and others assisting in the preparation, serving and handling of food and cooking/serving utensils shall make their positions known to the examining physician, and shall comply with the current rules and regulations of the Illinois Department of Public Health pertaining to Food Service Sanitation (77 Ill. Adm. Code 750).
- f) Staff shall have physical re-examinations every two years and whenever communicable disease or illness is suspected.
- g) A staff member experiencing fever, sore throat, vomiting or diarrhea shall not be responsible for food handling or the care of children.
- h) The center shall have on duty at all times at least one staff member who has successfully completed training and is currently certified in first aid, cardiopulmonary resuscitation (CPR) and the Heimlich maneuver, and for centers serving infants, first aid for choking infants in accordance with the approved method specified in the Department of Public Health's rules 77 Ill. Adm. Code 520 (The Treatment of Choking Victims). CPR certification must be specific for all age groups served, i.e., infant (birth to 12 months), child (one to eight years) and adult (eight years and older).
- i) Any center that serves food shall have posted in a conspicuous location visible to employees the Choke Saving Methods Poster available from the Illinois Department of Public Health.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 407.120 Personnel Records

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- a) A confidential file shall be maintained on each staff person and contain at least the following information:
- 1) A copy of a form prescribed by the Department ~~that~~which contains information on persons employed in the day care center;
 - 2) A record of current medical examination on a form prescribed by the Department;
 - 3) Three written character references, verified by the day care center;
 - 4) Proof of educational achievement as required for the individual's position. Foreign credentials require additional documentation providing a statement of the equivalency in the U.S. educational system;
 - 5) Verification of previous experience, when such experience is considered as part of the individual's qualifications for his or her position; and
 - 6) A signed statement that acknowledges the employee's status as a mandated reporter of suspected child abuse and neglect.
- b) Authorizations for and results of the background check required by 89 Ill. Adm. Code 385, Background Checks, shall be maintained in a separate and confidential file.
- c) The Department shall be notified by the next business day when there is a change of director or school-age director. Other staff changes, including a change in an employee's position status within the center, shall be reported to the Department monthly in a form prescribed by the Department. Name changes shall be documented in the personnel file.
- d) For any individual who serves as a child care facility driver, a driver application shall be submitted to the Department with a copy of the current medical report that was completed not more than 60 days prior to assuming duties as a child care driver. If an individual holds a valid school bus driver permit and is currently employed by a school district or parochial school, a copy of the school bus driver permit may be substituted for the required medical examination.

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- e) The day care center shall maintain written documentation of the following:
- 1) That a person certified in food service sanitation is on site to manage the preparation and/or service of food, including the service of catered food. This requirement does not apply if the center serves no food, or serves only prepackaged prepared snacks. Refer to the Illinois Department of Public Health, Food Service Sanitation Code (77 Ill. Adm. Code 750);
 - 2) That in-service training is being provided as required for the child care director and each member of the child care staff; ~~and~~
 - 3) That an employee who has successfully completed training and is currently certified in first-aid, cardiopulmonary resuscitation (CPR) and the Heimlich maneuver is on site at all times. CPR certification shall be specific for all age groups served (infant, child and adult);~~:-~~
 - 4) Mandated Reporter Training certificates identifying that all required staff have completed the DCFS-approved Mandated Reporter Training; and
 - 5) If the center is licensed to serve infants, current training certificates and attendance records that the day care center director, and other staff as required, have completed DCFS-approved trainings on SIDS, SUID, SBS and the safe sleep recommendations of the American Academy of Pediatrics.
- f) The day care center shall submit copies of the following to the Department for the child care director and any person designated to serve as alternate director:
- 1) Proof of educational achievement, including course descriptions if necessary; and
 - 2) Three written references.
- g) The records required by this Section shall be maintained in a locked file at the day care center.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 407.130 Qualifications for Child Care Director

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- a) Day care centers licensed for more than 50 children shall employ a full-time child care director to be on site in a non-teaching capacity. The director may be on site in a teaching capacity at the following times:
 - 1) During the first hour and last hour of a program that operates 10 or more hours per day; or
 - 2) When attendance falls below 50 children.
- b) Day care centers licensed for 50 or fewer children, or half-day programs with children attending no more than 3 consecutive hours per day regardless of capacity, may employ a child care director who also serves as a member of the child care staff.
 - 1) When the director serves in both capacities, he or she must meet the qualifications of both the director position and the teaching position.
 - 2) When the director attends to non-teaching responsibilities, his or her group must be supervised by a person qualified to be in charge of the group.
- c) The child care director shall be at least 21 years of age.
- d) The child care director shall have a high school diploma or equivalency certificate (GED).
- e) In addition to meeting the requirements of Section 407.100, the child care director of a facility serving the same number of groups of pre-school and school-age children or more groups of pre-school children than groups of school-age children shall have achieved:
 - 1) Sixty semester or 90 quarter hours of credit from an accredited college or university with 18 semester or 27 quarter hours in courses related directly to child care and/or child development from birth to age 6; or
 - 2) Two years (3120 clock hours) of child development experience in a nursery school, kindergarten, or licensed day care center, 30 semester or 45 quarter hours of college credits with ten semester or 15 quarter hours in courses related directly to child care and/or child development, and proof

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of enrollment in an accredited college or university until two years of college credit have been achieved. A total of 18 semester or 27 quarter hours in courses related directly to child care and/or child development is required to be obtained within the total two years of college credits; or

- 3) Completion of a credentialing program approved in accordance with Appendix G of this Part, completion of 12 semester or 18 quarter hours in courses related to child care and/or child development from birth to age 6 at an accredited college or university, and 2 years (3120 clock hours) child development experience in a nursery school, kindergarten or licensed day care center.
- f) In addition to meeting the requirements of Section 407.100, the child care director of a facility serving more groups of school-age children than groups of pre-school children shall have achieved:
- 1) Sixty semester or 90 quarter hours of credit from an accredited college or university with 18 semester or 27 quarter hours in courses related to child care and/or child development, elementary education, physical education, recreation, camping or other related fields, including courses related to school-age children; or
 - 2) Two years (3120 clock hours) of child development experience in a recreational program, kindergarten, or licensed day care center serving school-age children, or license exempt school-age child care program operated by a public or private school, 30 semester or 45 quarter hours of college credits with 10 semester or 15 quarter hours in courses related directly to child care and/or child development, elementary education, physical education, recreation, camping or other related fields, and proof of enrollment in an accredited college or university until two years of college credit have been achieved. A total of 18 semester or 27 quarter hours in courses related directly to child care and/or child development, elementary education, physical education, recreation, camping or other related fields, including courses related to school-age children, is required to be obtained within the total two years of college credits.
- g) Completion of a training program accredited by the American Montessori Society or Association Montessori International may be substituted for the courses directly related to child care and/or child development required by this Section.

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Persons holding a Montessori pre-primary credential may serve as director to children through age six. Persons holding a Montessori primary or elementary credential may serve as director to children six years of age or older.

- h) Persons who were deemed qualified to serve as a child care director prior to January 1, 1985, continue to be deemed qualified for their position. [Directors deemed qualified must still have current Mandated Reporter Training, SIDS, SUID, SBS and other training certificates as required in this Part.](#)
- i) When a program serves only school-age children and meets the criteria of Section 407.90(c), qualifications for the school-age director responsible for multiple sites and the site coordinators shall be as follows:
 - 1) The school-age director and each site coordinator shall be at least 21 years of age.
 - 2) The school-age director shall meet both of the following requirements for education and experience:
 - A) Sixty semester or 90 quarter hours of credit from an accredited college or university, with 18 semester or 27 quarter hours in courses related to school-age child care, child development, elementary education, physical education, recreation, camping or other related fields; and
 - B) At least 1560 clock hours of child development experience in a recreational program or a licensed day care center serving school-age children.
 - 3) The school-age site coordinators must meet one of the following qualifications:
 - A) Thirty semester or 45 quarter hours of credit from an accredited college or university with 12 semester or 18 quarter hours related to school-age child care, child development, elementary education, physical education, recreation, camping or other related fields and 750 clock hours of experience in a recreational program or a licensed day care center serving school-age children or in a license exempt school-age child care program operated by a public or

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private school; or

- B) 1560 clock hours of experience in a recreational program or licensed day care center serving school-age children or license exempt school-age child care program operated by a public or private school and either 6 semester hours or 9 quarter hours of credit from an accredited college or university related to school-age child care, child development, elementary education, physical education, recreation, camping or other related fields.
- j) A staff member who meets the qualifications for a day care center director shall be designated to assume decision-making responsibility whenever the child care director is off site. A record of employees who meet the qualifications for director and who have been designated to assume decision-making responsibility in the director's absence shall be kept at the site. All day care staff shall be informed of the designated director at each occurrence. The person designated as alternate director may be in the classroom and counted in the staff/child ratio under the following circumstances:
- 1) When the center meets the criteria of subsection (b); or
 - 2) During the first hour and last hour of a program that operates 10 or more hours per day; or
 - 3) When attendance falls below 50 children.
- k) The child care director must successfully complete a basic training course of 6 or more clock hours on providing care to children with disabilities that has been approved by the Department. The day care center shall have on file a certificate attesting to the training of the child care director.
- 1) Persons employed as a child care director shall complete this training within 36 months from date appointed as child care director.
 - 2) A child care director who has completed training prior to employment may have that training approved as meeting the provisions of this subsection (k). A certificate of training completion and a description of the course content must be submitted to the Department for approval.

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- 3) A child care director who obtains approved training and moves from one day care facility to another shall not be required to take another training course as long as the child care director can provide documentation in the form of a certificate that the training was completed.
- 4) A training program approved by the Department in providing care for children with disabilities must include the following components:
 - A) Introduction to Inclusive Child Care;
 - B) Understanding Child Development in Relation to Disabilities;
 - C) Building Relationships With Families;
 - D) Preparing for and Including Young Children in the Child Care Setting;
 - E) Community Services for Young Children With Disabilities (including Early Intervention Services).
- l) By July 1, 2017, the following education requirements for licensed day care center staff shall be met. ~~All new child care directors hired on or after July 1, 2017 shall have a minimum of an associate's degree in child development or early childhood education.~~
 - 1) All new child care directors hired on or after July 1, 2017 shall have a minimum of an associate degree in child development or early childhood education, or the equivalent (defined as an associate degree in any discipline with a minimum of 21 semester hours of college credit in child development, early childhood education or early childhood special education) and either a Gateways to Opportunity Level I Illinois Director Credential or 3 semester hours of college credit or 3 points of credential approved training in administration, leadership or management.
 - 2) Effective July 1, 2017, licensed child care centers must have an employee on site at all times with a minimum of an associate degree in child development or early childhood education or the equivalent (defined as an associate degree in any discipline with a minimum of 21 semester hours of

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[college credit in child development, early childhood education or early childhood special education\).](#)

(Source: Amended at 37 Ill. Reg. _____, effective _____)

SUBPART E: PROGRAM REQUIREMENTS

Section 407.200 Program Requirements for All Ages

- a) Each child shall be recognized as an individual whose gender, ability differences, personal privacy, choice of activities, cultural, ethnic, and religious background shall be respected.
- b) The staff of the day care center shall have a written plan for encouraging parents to visit the center to observe and participate in their children's experience. Parents shall be allowed to visit the center without an appointment any time during normal hours of operation.
- c) The program shall include opportunities for a child to have free choice of activities to play alone, if desired, or with one or several peers chosen by the child.
- d) The facility shall provide a basic program of activities geared to the age levels and developmental needs of the children served. The daily program shall be posted in the facility, and shall provide:
 - 1) Regularity of such routines as eating, napping, and toileting with sufficient flexibility to respond to the needs of individual children;
 - 2) A balance of active and quiet activity;
 - 3) Daily indoor and outdoor activities in which children make use of both large and small muscles. [The day care provider shall be required to encourage children of all ages to participate daily in at least two occasions of age-appropriate outdoor time, with active movement or play for children who are mobile, weather permitting, and in a safe environment. In inclement weather, active play shall be encouraged and supported in indoor play areas.](#) For pre-school programs in which individual children receive care for less than three hours per day, outdoor activities are

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recommended to be encouraged by the day care provider, but not required;

- 4) Children who are mobile shall not be allowed to remain sedentary or to sit passively for more than 30 continuous minutes, except during scheduled rest or nap times, or as otherwise allowed in this Part;
 - 54) Occasional trips and activities away from the facility (frequency to be determined by the day care center);
 - 65) A supervised nap or rest period for children under six years of age who remain for five or more hours as required by Section 407.350~~;~~
 - 7) Children younger than 2 years of age shall not be allowed passive screen viewing;
 - 8) Children 2 years of age and older, who are in the program for 6 or more hours in a day, shall have a passive screen viewing limit of no more than 60 minutes per day of age-appropriate and educational media.
 - A) Each uninterrupted, passive screen viewing session shall be limited to a maximum of 30 minutes.
 - B) Children attending a program for less than 6 hours in a day shall be limited to a proportionate amount of passive screen viewing;
 - 9) TV, video or DVD viewing shall not be allowed during meal or snack time;
 - 10) All screen time must be related to educational program planning developed by the center.
- e) The daily program of the facility shall provide experiences which promote the individual child's growth and well-being and the development of self-help and communication skills, social competence, and positive self-identity.
- f) Program planning shall provide the following:
- 1) A variety of activities which takes into consideration individual differences in interest, attention span, and physical and intellectual

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maturity;

- 2) Sufficient time for activities and routines, so that the children can manage them and progress at their own developmental rate;
 - 3) Sufficient materials and equipment to avoid excessive competition and long waits;
 - 4) Program planning so that the children are not always required to move from one activity to another as a total group. Staff-initiated large group activities shall not be the predominant program option;
 - 5) Smooth transition from one activity to another to avoid long waiting periods between activities and prolonged periods during which the children must stand or sit; and
 - 6) Provision for privacy through arranging a small, quiet area that is easily accessible to the child who seeks or needs time to be alone.
- g) The use of visual media, such as television, films and videotapes, shall be limited to developmentally appropriate programming, and an alternative activity shall be made available. Media may be used as a special event or to achieve a specific goal, but shall not be used as a regular daily routine.
 - h) The program shall take into account the stress and fatigue that result from constant pressures and stimulation of long hours in a group living situation.
 - i) Activity areas, equipment, and materials shall be arranged so that staff can be easily aware of the child's presence and activity at all times.
 - j) Equipment shall be arranged in orderly, clearly defined areas of interest, with sufficient space in each area for the children to see various activities available to them.
 - k) Programs involving intergenerational activities shall conduct those activities according to Section 407.230.
 - l) Materials and equipment shall respect children's racial, cultural, ethnic, religious and gender identities, as well as age and ability.

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- m) Each child shall have access to the full variety of age-appropriate equipment on a daily basis.
- n) When a specific plan is developed to meet a child's individual needs, the record shall include:
 - 1) Any assessments by center staff or resource personnel;
 - 2) Written program recommendations and goals for the child;
 - 3) A written plan for implementing those recommendations within the program;
 - 4) Periodic written evaluations of whether goals are being met;
 - 5) Adjustments to the program plan as indicated by the evaluations.
- o) Staff shall consult with parents before implementing any special procedures required to meet a child's individual needs.
- p) Children shall not be left unattended at any time.
- q) Staff assignments shall be such that children experience comfortable, ongoing relationships with adults. Every attempt shall be made to establish a primary relationship between each child and one adult.
- r) Children shall receive supervision appropriate to their developmental age at all times. All children in the facility shall be protected from exploitation, neglect, and abuse.
- s) There shall be a minimum of 35 square feet of activity area per child in facilities caring for children two years of age and older.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 407.210 Special Requirements for Infants and Toddlers

- a) A center receiving children within the infant and toddler age range shall comply

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with standards for all day care centers, except when inconsistent with the special requirements prescribed by this Section.

- b) A center serving infants and toddlers shall have a licensed physician, registered nurse, licensed practical nurse or licensed physician's assistant with training in infant care to instruct child care staff in the proper health care of infants and toddlers. The person shall visit the facility to observe the child care techniques of the staff and provide in-service training. Visits shall be at least weekly during the permit period and monthly thereafter.
- c) A center for infants and toddlers shall have sufficient indoor and outdoor space and appropriate furniture and equipment to provide for support functions necessary to the program.
 - 1) Separate space for infants and toddlers shall be available away from older children except in facilities enrolling 10 or fewer children or in programs combining infants, toddlers, and 2-year-olds.
 - 2) The amount of space required for infants and toddlers shall be based on the sleeping and play area arrangements, as required by Section 407.370(d).
 - 3) A sink or lavatory for the infant/toddler program shall be in the same room for the use of staff for hand washing and for use by the children.
 - 4) A toilet for the infant/toddler program shall be easily accessible.
 - 5) No extension cords shall be used in areas where children are permitted. All electrical cords not in use with supervision of an adult shall be unplugged and the outlets covered.
 - 6) The means for warming bottles and food shall be accessible only to adults. Microwave ovens shall not be used for the purpose of warming bottles.
 - 7) A refrigerator shall be available and easily accessible to the children's room.
- d) Indoor and outdoor play materials and equipment suitable for staff to use with infants and toddlers to stimulate learning, growth, health, and overall

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development shall be provided in accordance with the equipment requirements in Appendix A ~~of this Part~~.

- 1) Equipment and play materials shall be durable and free from characteristics that may be hazardous or injurious to infants and toddlers. Hazardous or injurious characteristics include sharp or rough edges, toxic paint, and objects small enough to be swallowed.
- 2) Toys and indoor equipment shall be cleaned and disinfected daily.
- e) Child care shall be given in a manner that meets the children's health and safety needs, as well as their nurturing requirements.
- f) Food for infants shall be handled and served according to the provisions of Section 407.330 and this Section, as applicable.
 - 1) Daily food requirements for children under one year of age shall be offered to the child as detailed in Appendix D, unless otherwise indicated in writing by a physician, in consultation with the parents.
 - 2) Food for infants not consuming table food may be provided by either the day care center or the parent, according to the center's written policy.
 - 3) Flexible feeding schedule of infants shall be established to coordinate with parents' schedules at home and to allow for nursing infants.
 - 4) Infants not consuming table food shall be fed in consultation with the parents. Feeding times and amounts consumed shall be documented in writing and available for review by the parents.
 - 5) If provided by the day care center, formula shall be diluted according to the manufacturer's instructions using water from a source approved by the local health department.
 - 6) Formula shall be milk-based, unless otherwise indicated in writing by the child's physician.
 - 7) If the child's formula is provided by the parent, it shall be labeled, dated and refrigerated upon arrival at the center.

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- 8) Bottles of breast milk and opened containers of unmixed concentrate shall be dated. When there is more than one bottle-fed infant, all bottles shall be labeled with the child's name.
- 9) All filled bottles of milk or formula shall be refrigerated until immediately before feeding. Contents remaining in a bottle after a feeding shall be discarded after 2 hours.
- 10) Formula prepared from powder or concentrate or an open container of ready-to-feed formula shall be labeled and dated. Prepared formula not used within 24 hours shall be discarded.
- 11) Breast milk may be stored up to 48 hours in the refrigerator or up to 2 weeks in the freezer before discarding.
- 12) Breast milk shall be used only for the intended child.
- 13) Frozen breast milk shall be thawed under running water or in the refrigerator. Bottles of formula or breast milk shall be warmed by placing them in a pan of hot (not boiling) water for 5 minutes or in a bottle warmer according to the manufacturer's directions, followed by shaking the bottle well and testing the milk temperature before feeding.
- 14) Bottles shall never be warmed or defrosted in a microwave oven.
- 15) Only sanitized bottles and nipples shall be used. Bottles and nipples reused by the day care center shall be sanitized by washing in a dishwasher, by boiling for 5 minutes or more just prior to refilling or by other method if approved by the Illinois Department of Public Health or local health department. Nipples are to be rinsed prior to washing.
- 16) No food other than formula, milk, breast milk, or water shall be placed in a bottle for infant feeding unless otherwise indicated by the child's physician, in consultation with the parents.
- 17) When children are exclusively bottle-fed or breast-fed, supplemental water shall be offered.

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- 18) Juice may be fed from a cup when the infant is old enough to drink from a cup (approximately 126 months). No juice is permitted for children under 12 months of age. Juices shall be 100 percent fruit juice and limited to a 4 ounce daily serving.
- 19) Children under 2 years of age shall not be fed berries, candies, raisins, corn kernels, raw carrots, whole grapes, hot dogs, nuts, seeds, popcorn, raw peas or peanut butter, as these foods may cause choking.
- 20) Cooked carrots, corn, peas and bananas may be served to infants only if mashed, grated or pureed.
- 21) Human milk or infant formula shall be served to children younger than 1 year of age. Children between 1 and 2 years of age who are not on human milk or infant formula shall be served whole~~Whole~~milk shall be served to children under 2 years of age, unless low-fat milk is recommended in writing~~requested~~ by the child's medical provider~~physician~~. Children 2 years of age and older shall not be served milk with a fat content higher than 1 percent, unless recommended in writing by the child's medical provider.
- 22) The use of honey for sweetening infant foods is not allowed.
- 23) Staff members shall wash their hands and the child's hands according to Section 407.320 before feeding each child.
- 24) ~~Infants shall either be held or be fed sitting up for bottle feeding. Infants unable to sit shall always be held for bottle feeding. When infants are able to hold their own non-glass bottles, they may feed themselves. The bottle shall be removed once the child has fallen asleep.~~ Bottle propping and carrying of bottles or no-spill cups by young children throughout the day/night shall not be permitted, unless they contain plain water. The facility shall not permit infants to have bottles in the crib.
- 25) Foods stored or prepared in jars shall be served from a separate dish and spoon for each child. Any leftovers from the serving dish shall be discarded. Leftovers in the jar shall be labeled with the infant's name, dated, refrigerated and served within 24 hours or discarded.

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- 26) In accordance with the American Academy of Pediatrics recommendations, solid foods shall be introduced generally between 4 and 6 months of age. The time of introduction shall be indicated by each child's nutritional and developmental needs after consultation with the parents.
- 27) Infants, according to their developmental ability, shall be allowed and encouraged to feed themselves. Staff shall provide supportive help for as long as each child needs such help.
- g) Routines, such as naps and feeding, shall take into consideration parents' information and wishes about the routines followed in the home.
- h) Infants and toddlers shall be provided a daily program designed to meet the developmental needs of children of this age.
 - 1) The same staff member shall feed, diaper and play with the child every day to establish interaction and establish continuity in the child's relationship with as few adults as possible.
 - 2) Children shall be free to creep, crawl, toddle and walk as they are physically able. Walkers are not permitted unless prescribed by a physician.
 - 3) Toddlers shall be encouraged to explore and manipulate art materials and shall not be expected to produce a finished art product.
 - 4) Except as allowed in Section 407.200(d)(3), children shall be taken outdoors for a portion of every day unless the weather conditions pose a danger such as lightening or extremely high or low temperatures.
 - 5) A variety of toys shall be accessible on low open shelves for the children to use, and these shall be rotated with stored toys.
 - 6) For awake infants who cannot move about the room, the staff shall hold, rock and/or carry the child at least every 30 minutes and change the place and position of the child and the selection of toys available.

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- 7) Infants shall have supervised tummy time every day when the infant is awake. Staff shall interact with an awake infant on his or her tummy for short periods of time (3-5 minutes) and increase the amount of time as the infant shows enjoyment of the activity.
- 8) Information about feeding and elimination and other important information shall be recorded in writing and made available to parents when the child is picked up at the end of the day.
- i) A written plan shall be provided prior to reassignment for children who are moved to a new group. The development of this plan shall involve the child's parents and the child care staff in both the sending and receiving rooms.
- j) The daily program for infants and toddlers shall provide experiences that promote the individual child's growth and well-being in the development of gross and fine motor skills, sensory learning, language, cognition, and positive self-concept.
- k) Self-care such as washing, dressing, toileting, brushing, and combing shall be encouraged as each child shows evidence of ability to do so.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

SUBPART F: STRUCTURE AND SAFETY

Section 407.270 Guidance and Discipline

- a) The day care center shall develop a guidance and discipline policy for staff use that is also provided to parents. Staff shall sign the guidance and discipline policy at the time of employment and parents shall sign the policy when their child is enrolled. The policy shall include:
- 1) A statement of the center's philosophy regarding guidance and discipline;
 - 2) Information on how discipline will be implemented by staff;
 - 3) Information on how parents will be involved in the guidance and discipline process;
 - 4) Information on how children will be involved in the guidance and

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discipline process; and

- 5) Written procedures for termination of a child's enrollment in the day care center because of disciplinary issues.
- b) Written rules for all children shall be established and available to children, parents and staff. These rules shall set the limits of behavior required for the protection of the group and individuals. The rules shall:
- 1) Pertain to important situations;
 - 2) Be understandable to children;
 - 3) Be stated in the positive form whenever possible; and
 - 4) Be enforceable.
- c) Child care staff shall help individual children develop self-control and assume responsibility for their own actions. [Imposing physical activity or withholding active play shall not be used on children as a form of discipline.](#)
- 1) Limits and consequences shall be clear and understandable to the child, consistently enforced and explained to the child before and as part of any disciplinary action.
 - 2) Discipline shall be developmentally appropriate and logically related to the child's act and shall not be out of proportion to the particular inappropriate behavior. The child shall be made aware of the relationship between the act and the consequences.
 - 3) Firm positive statements about behaviors or redirection of behaviors shall be the accepted techniques for use with infants and toddlers.
 - 4) Removal from the group to help a child gain control shall not exceed one minute per year of age. Removal from the group shall not be used for children less than 24 months of age.
 - 5) Children shall not be disciplined for toilet accidents.

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- 6) The following behaviors are prohibited in all child care settings:
- A) Corporal punishment, including hitting, spanking, swatting, beating, shaking, pinching and other measures intended to induce physical pain or fear;
 - B) Threatened or actual withdrawal of food, rest or use of the bathroom;
 - C) Abusive or profane language;
 - D) Any form of public or private humiliation, including threats of physical punishment; and
 - E) Any form of emotional abuse, including shaming, rejecting, terrorizing, or isolating a child.
- d) Preschool and school-age children shall have reasonable opportunity to resolve their own conflicts.
- e) Discipline shall be the responsibility of adults who have an ongoing relationship with the child.
- f) When there is a specific plan for responding to a child's pattern of unacceptable behavior, all staff who affect the child shall be aware of the plan and cooperate in its implementation.
- g) Clinical behavior management plans may be developed to meet the needs of a particular child if developed with the parent and a professional clinician. This must be documented in the child's file. All staff working with the child shall receive training on implementing the plan.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

SUBPART G: HEALTH AND HYGIENE

Section 407.310 Health Requirements for Children

- a) A medical report on forms prescribed by the Department shall be on file for each

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child.

- 1) The initial medical report shall be dated less than 6 months prior to enrollment of infants, toddlers and preschool children. For school-age children, a copy of the most recent regularly scheduled school physical may be submitted (even if more than 6 months old) or the day care center may require a more recent medical report by its own enrollment policy. If a health problem is suspected, the day care center may require additional documentation of the child's health status.
- 2) If a child transfers from one day care center to another, the medical report may be used at the new center if it is less than one year old. In such a case, the center the child is leaving shall maintain a copy of the child's medical form and return the original to the parent.
- 3) The medical examination shall be valid for 2 years, except that subsequent examinations for school-age children shall be in accordance with the requirements of the Illinois School Code [105 ILCS 5/27-8.1] and the Child Health Examination Code (77 Ill. Adm. Code 665), provided that copies of the examination are on file at the day care center.
- 4) The medical report shall indicate that the child has received the immunizations required by the Illinois Department of Public Health in its rules (77 Ill. Adm. Code 695, Immunization Code). These include poliomyelitis, measles, rubella, mumps, diphtheria, pertussis, tetanus, haemophilus influenzae B, hepatitis B, and varicella (chickenpox) or provide proof of immunity according to requirements in 77 Ill. Adm. Code 690.50 of the Department of Public Health rules (<http://www.idph.state.il.us>).
- 5) If the child is in a high-risk group, as determined by the examining physician, a tuberculin skin test by the Mantoux method and the results of that test shall be included in the initial examination for all children who have attained one year of age, or at the age of one year for children who are enrolled before their first birthday. The tuberculin skin test by the Mantoux method shall be repeated when children in the high- risk group begin elementary and secondary school.
- 6) The initial examination shall show that children from the ages of one to 6

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years have been screened for lead poisoning (for children residing in an area defined as high risk by the Illinois Department of Public Health in its Lead Poisoning Prevention Code (77 Ill. Adm. Code 845)) or that a lead risk assessment has been completed (for children residing in an area defined as low risk by the Illinois Department of Public Health).

- 7) In accordance with the Child Care Act of 1969, a parent may request that immunizations, physical examinations and/or medical treatment be waived on religious grounds. A request for waiver shall be in writing, signed by the parent or parents, and kept in the child's record.
- 8) Exceptions made for children who should not be subject to immunizations or tuberculin tests for medical reasons shall be indicated by the physician on the child's medical form.
- 9) Day care centers shall maintain an accurate list of all children enrolled in the center who are not immunized, as required by Illinois Department of Public Health rules (77 Ill. Adm. Code 695.40, List of Non-Immunized Child Care Facility Attendees or Students). The number of non-immunized children on the list shall be available to parents who request it.
- 10) Medical records shall be dated and signed by the examining physician, advance practice nurse (APN) who has a written collaborative agreement with a collaborating physician authorizing the APN to perform health examinations, or physician assistants who have been delegated the performance of health examinations by their supervising physician, and include the name, address and telephone number of the physician responsible for the child's health care.
 - b) A child suspected of having or diagnosed as having a reportable infectious, contagious, or communicable disease for which isolation is required by the Illinois Department of Public Health's General Procedures for the Control of Communicable Diseases (77 Ill. Adm. Code 690) shall be excluded from the center.
 - c) Children shall be screened upon arrival daily for any obvious signs of illness. If symptoms of illness are present, the child care staff shall determine whether they are able to care for the child safely, based on the apparent degree of illness, other children present and facilities available to care for the ill child.

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- 1) Children with diarrhea and those with a rash combined with fever (oral temperature of 101° F or higher or under the arm temperature of 100° F or higher) shall not be admitted to the day care center while those symptoms persist, and shall be removed as soon as possible should these symptoms develop while the child is in care.
- 2) Children need not be excluded for a minor illness unless any of the following exists, in which case exclusion from the day care center is required:
 - A) Illness that prevents the child from participating comfortably in program activities;
 - B) Illness that calls for greater care than the staff can provide without compromising the health and safety of other children;
 - C) Fever with behavior change or symptoms of illness;
 - D) Unusual lethargy, irritability, persistent crying, difficulty breathing or other signs of possible severe illness;
 - E) Diarrhea;
 - F) Vomiting 2 or more times in the previous 24 hours, unless the vomiting is determined to be due to a noncommunicable condition and the child is not in danger of dehydration;
 - G) Mouth sores associated with the child's inability to control his or her saliva, until the child's physician or the local health department states that the child is noninfectious;
 - H) Rash with fever or behavior change, unless a physician has determined the illness to be noncommunicable;
 - I) Purulent conjunctivitis, until 24 hours after treatment has been initiated;
 - J) Impetigo, until 24 hours after treatment has been initiated;

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- K) Strep throat (streptococcal pharyngitis), until 24 hours after treatment has been initiated and until the child has been without fever for 24 hours;
 - L) Head lice, until the morning after the first treatment;
 - M) Scabies, until the morning after the first treatment;
 - N) Chicken pox (varicella), until at least 6 days after onset of rash;
 - O) Whooping cough (pertussis), until 5 days of antibiotic treatment have been completed;
 - P) Mumps, until 9 days after onset of parotid gland swelling;
 - Q) Measles, until 4 days after disappearance of the rash; or
 - R) Symptoms that may be indicative of one of the serious, communicable diseases identified in the Illinois Department of Public Health Control of Communicable Diseases Code (77 Ill. Adm. Code 690).
- d) The center shall ensure that hearing and vision screening services are provided annually in accordance with Illinois Department of Public Health's Hearing and Vision Screening Codes (77 Ill. Adm. Code 675 and 685) and the Illinois Child Vision and Hearing Test Act [410 ILCS 205].
 - e) Space shall be provided for a child who becomes ill at the center. The space shall be ventilated and heated, within sight and hearing of an adult and equipped with a cot and materials that can be easily cleaned and sanitized.
 - f) The center shall report any known or suspected case or carrier of communicable disease to local health authorities and comply with the Illinois Department of Public Health's Control of Communicable Diseases Code (77 Ill. Adm. Code 690). The center shall maintain a file of reported illnesses that may indicate possible infectious disease.
 - g) If a child needs emergency care because of an accident or illness that occurs while

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the child is in care, the day care center shall attempt to contact the child's parents at the phone numbers provided for that purpose. If unable to locate the parents, the day care center's attempts to do so shall be documented in the child's file.

- h) Major and minor accidents or illnesses that happen to a child at the day care center shall be recorded in the file, and parents shall be notified.
- i) Reports of all incidents and injuries involving children shall be prepared by the person responsible for the child at the time of the occurrence and shall include:
 - 1) The time and place of the incident or injury and details about how it occurred;
 - 2) When medical care is necessary, a statement signed by the physician attending the child, describing the nature and the extent of injury.
- j) Employees shall wear disposable latex gloves when treating a wound. Employees shall wash their hands, as prescribed by Section 407.320, after removing the disposable gloves.
- k) When a child's medical needs require special care or accommodation, the care shall be administered as required by a physician, subject to receipt of appropriate releases from the parent or parents. Medical consultation shall be available to the staff as needed for the health and medical needs of the children served.
- l) The facility shall make potable drinking water freely available to all children by providing drinking fountains and/or disposable cups for individual use. Water shall be offered to ~~children, infants and toddlers~~ at frequent intervals and during meals and snacks.
- m) A child's wet or soiled clothing shall be changed immediately. Universal precautions shall be followed when handling soiled clothing. During outdoor play, children shall be dressed appropriately for the weather and temperature.
- n) Children shall have a shower, tub or sponge bath when necessary to ensure bodily cleanliness. Parents shall be notified when a child has received a shower or bath. Children under the age of 5 shall not be left alone when bathing.
- o) When used by children at the child care center, toilet articles such as combs,

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brushes, toothbrushes, towels and washcloths shall be individually provided by the parent or the center. They shall be plainly marked with the child's name and stored individually in a sanitary manner in areas that promote drying. Single-use and disposable articles are permitted. Toothbrushes, if used, shall be replaced when they have lost their tone.

- p) If toothpaste is used, care shall be taken to avoid cross-contamination when dispensing.
 - 1) Each child shall be given a separate tube of toothpaste labeled with his or her name; or
 - 2) If a single tube is used, the toothpaste shall be dispensed by placing a small amount on the rim of each child's rinsing cup or on a piece of waxed paper.
- q) All new linens shall be laundered prior to use.
- r) Staff and children shall wash hands as required by Section 407.320.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 407.330 Nutrition and Meal Service

- a) Food shall be cooked or prepared at the day care center in a kitchen which has been inspected and approved in accordance with the Illinois Department of Public Health's Food Service Sanitation Code (77 Ill. Adm. Code 750), unless the partially exempt program is exempt per Section 2.09(a)(ii) of the Child Care Act, or food may be purchased from a licensed catering service. Preparation of food, whether on or off site, shall comply with the Food Service Sanitation Code. A copy of these regulations shall be available to appropriate staff.
- b) Food service shall be under the management of a State-certified food service manager as required by the Food Handling Regulation Enforcement Act [410 ILCS 625].
- c) None of the operations connected with routine food preparation shall be conducted in a room used for sleeping, caregiving or laundry purposes.

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- d) Kitchen areas shall be clean and equipped for preservation, storage, preparation and serving of food.
- e) Provisions shall be made for the cleaning and sanitization of dishes.
- f) All food consumed by children under the supervision of the child care center shall be provided by the center, except as follows:
 - 1) Parents may provide food for infants not yet consuming table food or for any child requiring a special diet that cannot reasonably be provided by the center.
 - 2) Upon agreement of the staff, commercially prepared foods may be brought in occasionally by parents as part of holiday or birthday celebrations. Food brought in for this purpose must arrive unopened as packaged by the bakery or manufacturer, or it shall not be accepted.
 - 3) If food is to be catered rather than prepared at the center, a dated contract with the catering service specifying the number of food orders to be delivered shall be available for review.
- g) Menus shall be planned at least one week in advance and shall be available for review. If substitutions are made for any food item, menus shall be corrected to reflect meals as served. Substitutions shall be nutritionally equal to the food items being replaced. Corrected menus shall be on file and available for review for one year after the meals were served.
 - 1) Menus shall be posted in the kitchen, the classroom or other area accessible to parents, and made available to parents upon request.
 - 2) Menu planning shall reflect consideration for cultural and ethnic patterns, and menus shall be nutritionally equivalent to the requirements of the Meal Pattern Chart in Appendix D and Appendix E, as appropriate.
 - 3) From the months of October through May, the main meal shall be a hot meal, with occasional exceptions of no more than twice per month. During the months of June through September, a hot or a cold meal conforming to the Meal Pattern Chart (see Appendix E) shall be served.

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- 4) Lunches served during field trips shall be provided by the center or purchased from a food vendor.
- h) Adequate and appropriate food shall be served according to the amount of time the child spends at the center. The center shall provide $\frac{1}{3}$ to $\frac{2}{3}$ of the child's daily nutrient needs depending on length of stay, as outlined in the chart below. These nutrient needs are based on the current recommended dietary allowances set by the Food and Nutrition Board of the National Research Council and are outlined in Appendix D and Appendix E.

Time Present Per Day	Number of Meals and Snacks Per Day
Two to five hours	One snack
Five to ten hours	One meal and two snacks or two meals and one snack
More than ten hours	Two meals and two snacks or one meal and three snacks

- i) Children shall be offered food at intervals of not less than two hours and not more than three hours apart, unless the child is asleep.
- j) Provisions of this Section notwithstanding, a child requiring a special diet due to medical reasons, allergic reactions or religious beliefs shall be provided with meals and snacks according to the written instructions of the child's parents, clergy and/or the child's medical provider.
- 1) Information on special diets shall be obtained in writing from the parents and/or medical providers and maintained on file at the child care center.
 - 2) Records of food intake shall be maintained when indicated by the child's medical provider.
 - 3) When providing a special diet causes undue hardship or expense for the child care center, meals or portions of meals shall be provided by the parent upon written agreement of the parent and the center. The parent shall be responsible for the safety of food brought into the center.
 - 4) Potentially hazardous and perishable food shall be refrigerated

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immediately upon arrival.

- 5) Special foods provided by parents shall be clearly labeled with the child's name, date and identity of the food and shall not be shared by other children.
- k) Meals and snacks for children one year of age and older shall comply with the requirements of Appendix E. Meals shall be prepared so as to moderate fat and sodium content. Limit salty snack foods, such as pretzels or chips.
 - 1) Meal components are as follows:
 - A) Milk: Grade A, pasteurized, fortified, fluid milk. Because low-fat and skim milks may not provide adequate levels of calories and fatty acids, these milks shall not be given to children under two years of age unless recommended in writing ~~ordered~~ by the child's medical provider ~~physician~~. Only milk with a fat content of 1 percent or less may be given to children over two year of age, unless recommended in writing by the child's medical provider.
 - B) Meat or meat alternative: Edible protein such as meat, fish or chicken or other protein sources such as eggs, cheese, dried beans or peas. A casserole or mixed dish must contain the required amount of protein per serving.
 - C) Fruits and vegetables: Cooked or raw. Each child shall have a total of two servings of fruits and/or vegetables for lunch. A good source of vitamin C shall be served daily. These include citrus fruits, melons and other fruits and juices that contain at least 30 mg of vitamin C per serving.
 - D) Bread or bread alternative: An equivalent serving of cornbread, biscuits, rolls, muffins, bagels or tortillas made of enriched or whole grain meal or flour may be substituted for sliced bread. Bread alternatives include enriched rice, macaroni, noodles, pasta, stuffing, crackers, bread sticks, dumplings, pancakes, waffles and hot or cold cereal.

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- E) Butter or margarine: As a spread for bread, if desired. Choose monounsaturated and polyunsaturated fats (olive oil, safflower oil) and soft margarines; avoid trans fats, saturated fats and fried foods.
 - F) Beverages with added sweeteners, whether natural or artificial, shall not be provided to children.
 - G) Children shall be offered water to rinse their mouths after snacks and meals when tooth brushing is not possible.
- 2) If any part of the nutritional requirements is designated as dessert, it shall be served as an integral part of the meal. Ice cream or milk-based pudding may be used occasionally. Cake, pastries, cookies or other foods with high sugar and/or fat content shall not be served to children enrolled in the day care program used as lunch desserts.
 - 3) Vegetarian meals that meet protein requirements may be served. The main dish shall contain one or more of the following: cheese, eggs, legumes, or peanut butter.
 - 4) Foods that cause choking shall not be served to children under two years of age as noted in Section 407.210(f)(19). Hot dogs and raw carrots may be served to children between two and three years of age only if cut into short, thin strips. Peanut butter shall only be served to children between two and three years of age if thinly spread on bread, crackers, or other foods or if mixed with other foods.
 - 5) Children shall be permitted to have one or more additional servings to meet their individual needs.
- l) Food shall be prepared and handled safely.
 - 1) Hot foods shall be maintained at a temperature of 140° F or above and cold foods at 40° F or below, except that food may be held at a temperature of 45° F for a maximum of three days. See Section 750.140 of the Food Service Sanitation Code (77 Ill. Adm. Code 750).
 - 2) Food returned from individual plates and family style serving bowls shall be discarded. Other unusedunserved food shall be promptly covered to

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avoid contamination, labeled, dated and refrigerated or frozen immediately. Leftover fresh food shall be used within 24 hours. Frozen food shall be used within 30 days.

- 3) Milk, formula and baby food shall be handled and served to infants who are not yet eating table food according to the provisions of Section 407.210.
- m) Adequate numbers of appropriate durable dishes, glassware and eating utensils shall be provided to serve all of the children. These items shall be in good repair and free of breaks, cracks or chips. Disposable dishes and utensils may be used and shall be discarded after single use. Due to the danger of choking, disposable eating utensils shall not be used by children under two years of age.
- n) The design and size of tables, chairs, dishes, glasses and eating utensils shall be appropriate to the ages of the children served.
- o) All cooking and feeding utensils shall be washed and sanitized after each use.
- p) Meals shall be relaxed and unhurried and provide time for socialization.
 - 1) An adult shall sit at the table with the children during meal time, provide supervision and demonstrate good mealtime practices.
 - 2) Delays in food service shall be avoided so that children do not have to sit and wait.
 - 3) Children shall be encouraged to eat, but not forced or bribed.
 - 4) Small portions of bite-sized pieces shall be provided for preschool children.
 - 5) Children shall be encouraged to feed themselves. Staff shall provide supportive help for as long as the child needs such help.
 - 6) Food shall be served onto plates or other sanitary containers.
 - 7) Children shall be seated comfortably, with sufficient room to manage food and eating utensils.

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- 8) School-age children may be served separately or with younger children, if this can be accomplished without disruption to the ongoing program.
- q) Food shall never be used as a punishment or reward.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 407.350 Napping and Sleeping

- a) When a child's time in attendance at the day care center requires sleep or nap provisions, the center shall provide a separate crib, bed or cot and individual sheets and bedding.
 - 1) Children under 6 years of age who are not enrolled in kindergarten or elementary school who remain 5 or more hours shall have the opportunity to rest or nap.
 - 2) Infants and toddlers shall be allowed to rest or sleep according to each child's individual pattern, as determined in consultation with parents.
 - 3) Children 3 years of age and older (until they are enrolled in kindergarten) generally shall not nap for more than 2 hours or rest without sleeping for more than 60 minutes. Children in this age group who do not sleep may be permitted to get up and shall be helped to have a quiet time with equipment or activities that will not disturb the napping children. When children are allowed to get up, the staff to child ratio shall comply with Section 407.190(a).
 - 4) Kindergarten and school-age children shall not be required to sleep or nap. However, floor pillows, sofa, carpet, bean bag chairs, padded chairs or cots shall be provided for lounging or resting.
- b) The crib, bed or cot provided for each child shall be appropriate to the child's level of development.
 - 1) Infants shall sleep in cribs.
 - A) Safe, sturdy, well-constructed free-standing cribs or portable cribs

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used for sleeping shall be equipped with a good, firm, tight-fitting mattress.

- B) Mattresses shall be at least 2 inches thick and made of washable materials. [The center may only use the mattress supplied or recommended by the crib manufacturer.](#)
 - C) There shall be no more than ½ inch of space between the mattress and the bed frame when the mattress is pushed flush to one corner of the crib.
 - D) When using cribs with slats, cribs slats shall be spaced no more than 2⅜ inches apart.
 - E) By December 28, 2012, the day care center shall obtain certification that all cribs used by the center meet or exceed the federal safety standards in 16 CFR 1219 or 1220 (2011). This certification from the manufacturer shall be available for inspection by the licensing representative. In the absence of a manufacturer's certificate, proof that the crib was manufactured on or after June 28, 2011 will meet the required standard.
- 2) Toddlers may use either stacking cots or full-size cribs.
 - 3) A cot or bed shall be provided for each toddler and preschool child in attendance for 5 or more consecutive hours. A crib shall be provided for each licensed infant slot, regardless of the amount of time the child is present.
- c) Each cot, bed or crib shall be labeled with the name of the child.
 - d) Cribs, beds and cots shall be maintained in clean and sanitary conditions.
 - 1) Cribs, beds and cots shall be wiped clean as often as necessary. Cribs shall be cleaned twice per week and then sanitized with a germicidal solution. Cots shall be cleaned once per week with a germicidal solution.
 - 2) All cribs, beds or cots shall be thoroughly cleaned and then sanitized with a germicidal solution when a child is no longer enrolled, prior to use by

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another child.

- 3) At no time shall 2 children be allowed to share the same crib, bed or cot unless it is thoroughly cleaned and then sanitized with a germicidal solution before each child's use.
- e) Freshly laundered [tightly fitted](#) sheets [for infants](#), and [sheets and](#) blankets [for toddlers](#), shall be provided and changed at least twice per week for infants and toddlers and at least once per week for preschool children, or more frequently if wet or soiled.
- f) Bed linens shall be tightly fitting and washable.
- g) Waterproof mattress covers or under sheets for cribs, beds or cots shall be provided for all children who are [enuretic](#)~~enuretic~~.
- h) Conveniently located, washable, plastic-lined, covered receptacles shall be provided for soiled bed linens.
- i) To minimize the risk of sudden infant death syndrome, children shall be placed on their backs when put down to sleep according to the following guidelines:
 - 1) When the infant cannot rest or sleep on his or her back due to a disability or illness, the caregiver shall have written instructions, signed by a physician, detailing an alternative safe sleep position or special sleeping arrangements for the infant. The caregiver shall put the infant to sleep in accordance with a physician's written instructions;
 - 2) Infants that can easily turn over from the back to stomach position shall be placed down to sleep on their backs, but allowed to adopt their preferred position while sleeping;
 - 3) [Infants unable to roll from their stomachs to their backs and from their backs to their stomachs, when found facedown, shall be placed on their backs](#);
 - 4) No infant shall be put to sleep on a sofa, soft mattress, car seat or swing; and

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- 54) When awake, an infant shall be placed on his or her stomach part of the time and observed at all times.
- j) No positioning device that restricts movement within the child's bed shall be used without written instructions from the child's physician. Soft bedding, bumpers, pillows, quilts, comforters, sheepskins, stuffed toys and other soft products shall ~~not be used or stored in cribs removed from the crib when children are napping or sleeping. If using a blanket, put the child with his or her feet at the foot of the crib. Tuck a thin blanket around the crib mattress, reaching only as far as the child's chest.~~
- k) Staffing during nap times shall be in accordance with Section 407.190(e). When non-sleeping children are allowed to get up, staffing shall be in accordance with Section 407.190(a).
- l) When children are sleeping or napping, the room shall have reduced light but shall not be dark.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

SUBPART H: FACILITY AND EQUIPMENT

Section 407.370 Physical Plant/Indoor Space

Partially exempt programs are exempt from these standards.

- a) Buildings used for day care center programs shall be in good shape and operable and must comply with all applicable fire safety standards.
- 1) The building housing a center shall be approved prior to occupancy and license renewal by the Illinois Department of Public Health and the Office of the State Fire Marshal or local agencies authorized by those State agencies to conduct inspections on their behalf. Otherwise, inspection and approval shall be in accordance with the regulations of the proper health and fire authorities.
 - 2) Day care centers that provide day care only for school-age children in a building currently being used as a pre-primary, primary, or secondary school do not need to obtain the fire clearance in subsection (a)(1) if the

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day care center provides written documentation that a fire safety clearance has been received from the responsible party of the Illinois State Board of Education and/or the Regional School Superintendent and that all exit doors for the school remain unlocked. An acceptable fire safety clearance from the Illinois State Board of Education must be in writing and must indicate that the school complies with the applicable fire safety regulations adopted by the Illinois State Board of Education (23 Ill. Adm. Code 180).

- b) The building or portion of the building to which children from the center have access shall be used only for a program of child care during the hours that the center is in operation. The space used for child care may be shared by other groups or persons outside of the hours of operation.
- c) Infants and toddlers shall be housed and cared for at ground level unless otherwise approved through the exception process below. Travel distance between any point in a room used for infants and toddlers and an exit discharging directly outside shall not exceed 150 feet. Only a fire inspector from the Office of the State Fire Marshal or the Chicago Fire Department's Fire Prevention Bureau may grant an exception to the requirement that infants and toddlers be housed and cared for at ground level.
- d) There shall be sufficient indoor space to conduct the program.
 - 1) There shall be a minimum of 35 square feet of activity area per child in centers for children 2 years of age and older. This space is exclusive of exit passages and fire escapes, which must be clear. This space is also exclusive of administrative space, storage areas, bathrooms, kitchen, space required for equipment that is not used for direct activities with children, and gymnasiums or other areas used exclusively for large muscle activity or active sports.
 - 2) The amount of space required for infants and toddlers shall be determined according to the use of the space for sleep and play purposes.
 - A) Regardless of whether infants play and sleep in the same room or in 2 separate rooms, there shall be a minimum of 25 square feet of play space per child plus a minimum of 30 square feet of sleeping space per child, with at least 2 feet between each crib and the next crib.

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- B) When toddlers play and sleep in the same room using cots that can be stacked, there shall be 35 square feet of space per child. When children are in their cots, there must be a minimum of 2 feet between the cots.
 - C) When toddlers play and sleep in the same room using cribs, there shall be a minimum of 55 square feet per child. When children are in their cribs, there must be a minimum of 2 feet between the cribs.
 - D) When toddlers play and sleep in separate rooms, there shall be minimum of 35 square feet of play space per child and a minimum of 30 square feet of sleeping space per child, with at least 2 feet between each cot or crib.
- 3) Storage space shall be provided for cots, bedding, and other equipment. [Cots and cribs shall not be used for storage, including pillows and blankets, except when cots are stacked between uses.](#)
- 4) One room, no matter how large, shall accommodate only one group, except that room dividers or program equipment at least 3'6" in height may be used to define and separate the space for each group of children up to age 5. Gymnasiums and similar sized areas may accommodate 2 groups, without dividers, when used for large muscle activity and active sports.
- 5) All rooms or spaces accommodating more than one group shall be provided with an acoustical ceiling or its equivalent in carpeting or wall covering. If carpeting is used to control noise, it shall not be required in water play, painting, and similar areas.
- e) The building and indoor space shall be maintained in good repair and shall provide a safe, comfortable environment for the children.
- 1) Adjustable window shades, drapes, or blinds shall be provided in all rooms where children rest or nap or in rooms that receive direct sunlight while children are present.
 - A) [All new and replacement window coverings shall be cordless \(free](#)

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of external cords in their operation).

- B) All window coverings installed on or before the effective date of this Section may remain in place until replaced due to normal wear. Replacement window coverings shall be in compliance with ANSI/WCMA 100.1-2009.
- C) Strings and cords (as found on some window coverings) capable of forming a loop greater than 7¼" in diameter shall be inaccessible to children.

- 2) The floors and floor coverings shall be washable and free from drafts, splinters, and dampness.
- 3) Toxic or lead paints or finishes shall not be used on walls, window sills, beds, toys or any other equipment, materials or furnishings that may be used by children or within their reach. Peeling or damaged paint or plaster shall be repaired promptly to protect children from possible hazards.
- A) Lead paint removal shall be in accordance with Illinois Department of Public Health guidelines.
- B) Asbestos shall only be removed by trained and licensed professionals in accordance with the Asbestos Abatement Act [105 ILCS 105].
- 4) Effective January 1, 2013, the center shall be tested for radon at least once every 3 years pursuant to rules established by the Illinois Emergency Management Agency. The report of the most current radon measurement shall be posted next to the center's license and the center shall provide copies of the report to parents or guardians of children attending the center, upon request. [225 ILCS 10/5.8]
- 54) Any thermal hazards (radiators, hot water pipes, steam pipes, heaters) in the space occupied by children shall be out of the reach of children or be separated from the space by partitions, screens, or other means.
- 65) Sharp scissors, plastic bags, knives, cigarettes, matches, lighters, flammable liquids, drugs, sharp instruments, power tools, cleaning

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supplies and any other such items that might be harmful to children shall be kept in areas inaccessible to children. Hazardous items for infants and toddlers also include coins, balloons, safety pins, marbles, Styrofoam[®] and similar products, and sponge, rubber or soft plastic toys.

- | 76) All cleaning compounds, pesticides, fertilizers and other potentially hazardous or explosive compounds or agents shall be stored in original containers with legible labels in a locked area that is inaccessible to children.
 - | 87) A draft-free temperature of 65° F to 75° F shall be maintained during the winter months or heating season. For infants and toddlers, a temperature of 68° F to 82° F shall be maintained during the summer or air-conditioning months. When the temperature in the center exceeds 78° F, measures shall be taken to cool the children. Temperatures shall be measured at least 3 feet above the floor.
 - | 98) If electric fans are used to control temperature, measures shall be taken to assure the safety of the children in the group:
 - A) Stationary fans shall be mounted on the walls (at least 5 feet above the floor) or on the ceiling.
 - B) When portable fans on stands are used, they shall be anchored to prevent tipping.
 - C) All portable fans shall have blade guard openings of less than ½ inch and shall be inaccessible to children.
 - | 109) Exits shall be kept unlocked and clear of equipment and debris at all times.
 - | 1140) Electrical outlets within the reach of children shall be covered.
 - | 1244) The program shall be modified, as needed, when there are adverse conditions caused by weather, heating or cooling difficulties or other problems. When the conditions exceed a 24-hour period, the Department shall be notified regarding program modifications.
- f) Drills for possible emergency situations including fire and tornado shall be

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conducted.

- 1) A floor plan shall be posted in every room indicating the following:
 - A) The building areas that will provide the most structural stability in case of tornado; and
 - B) The primary and secondary exit routes in case of fire.
 - 2) Drills shall be conducted once a month for fire and twice a year (seasonally) for tornado.
 - 3) Records shall be maintained of the dates and times that fire and tornado drills are conducted.
- g) All areas of the center shall receive sufficient light.
- 1) Areas for reading, painting, puzzles or other close work shall be illuminated to at least 50 to 100 foot candles on the work surface.
 - 2) Areas for general play, such as housekeeping and block building, shall be illuminated to at least 30 to 50 foot candles on the surface.
 - 3) Stairways, walkways, landings, driveways and entrances shall be illuminated to at least 20 foot candles on the surface.
- h) A safe and sanitary water supply shall be maintained. If a private water supply is used instead of a public water supply, the center shall supply written records of current test results indicating that the water supply is safe for drinking in accordance with the standards specified for non-community water supplies in the Drinking Water Systems Code (77 Ill. Adm. Code 900). New test results must be provided prior to relicensing. If nitrate content exceeds 10 parts per million, bottled water must be used for infants.
- i) There shall be no smoking or use of tobacco products in any form in the child care center or in the presence of children while on the playground or engaged in other activity away from the center.
- j) Major cleaning shall not be done while children are present.

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- k) Basement or cellar windows used or intended to be used for ventilation, and all other openings to a basement or cellar, shall not permit the entry of rodents.
- l) Openings to the outside shall be protected against the entrance of flies or other flying insects by doors, windows, screens, or other approved means.
- m) Any extensive extermination of pest or rodents shall be conducted by a licensed pest control operator under the direct observation of a staff member to insure that residue is not left in areas accessible to children.
- n) Pesticide Application
 - 1) Chemicals for insect and rodent control shall be applied in minimum amounts and shall not be used when children are present in the facility. Toys and other items mouthed or handled by the children must be removed from the area before pesticides are applied. Children must not return to the treated area within 2 hours after a pesticide application or as specified on the pesticide label, whichever time is greater. Over-the-counter products may be used only according to package instructions. Commercial chemicals, if used, shall be applied by a licensed pest control operator and shall meet all standards of the Department of Public Health (Structural Pest Control Code, 77 Ill. Adm. Code 830). A record of any pesticides used shall be maintained at the facility.
 - 2) Before a child is enrolled, the day care center shall provide a summary of its pest management plan and uses of pesticides to the child's parents or guardians. The center shall notify all parents or guardians before a pesticide application, or maintain a registry of parents or guardians who wish to receive written notification of when the facility will receive a pesticide application and send a written notification to them. Notification of the intended date of the application of the pesticide, which may be in the form of newsletters, bulletins, calendars, or other written communication methods presently used by the center, must be given at least 2, but not more than 30, days before the pesticide application. When economically feasible, the center must adopt an Integrated Pest Management (IPM) program as defined in Section 3.25 of the Structural Pest Control Act [225 ILCS 235/3.25], involving the cooperation between day care staff and pest control personnel or other specialists to use a

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variety of non-chemical methods as well as pesticides, when needed, to reduce pest infestations to acceptable levels and to minimize children's exposure to pesticides.

- 3) Prior notice of pesticide application is not required if the application is due to an immediate threat to health or property, in which case the pesticide must be immediately applied. Children shall not be present during the application and shall not return to the treated area within 2 hours after a pesticide application or as specified on the pesticide label, whichever time is greater. If such a situation arises, the appropriate day care center personnel must sign a statement describing the circumstances that gave rise to the health threat and ensure that written notice is provided to parents or guardians as soon as practicable.
- 4) *Pesticides subject to notification requirements shall not include antimicrobial agents, such as disinfectants, sanitizers, or deodorizers, or insecticide baits and rodenticide baits* (Section 10.3 of the Structural Pest Control Act).
- o) All garbage and refuse shall be collected daily and stored in a manner that will not permit the transmission of disease, create a nuisance or a fire hazard or provide harborage for insects, rodents or other pests.
 - 1) An adequate number of covered, durable, water-tight, insect and rodent-proof garbage and refuse containers shall be provided for use.
 - 2) Garbage and refuse containers used to discard diapering supplies, food products or disposable meal service supplies shall be tightly covered and lined with plastic. Contents shall be covered immediately or removed for discarding.
- p) The center shall be cleaned daily and kept in a sanitary condition at all times.
 - 1) The center shall provide necessary cleaning and maintenance equipment.
 - 2) Toys, table tops, furniture and other similar equipment used by children shall be washed and disinfected when soiled or contaminated with matter such as food, body secretions or excrement.

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- 3) Cleaning equipment, cleaning agents, aerosol cans and other hazardous chemical substances shall be labeled and stored in a space designated solely for this purpose. These materials shall be stored in a locked place that is inaccessible to children.
- q) Kitchen sinks used for food preparation shall not be used as hand-washing lavatories nor counted in the total number of hand-washing lavatories required.
- r) There shall be means for communication in emergencies.
 - 1) An operable non-coin telephone shall be on the premises, easily accessible for use in an emergency and for other communications.
 - 2) A list of emergency telephone numbers, such as the fire department, police department, poison control and emergency medical treatment, along with the full address of the day care center, shall be posted next to each telephone.
 - 3) In facilities where communication between groups is difficult due to the design of the day care center, operation in multiple buildings on the same site or on multiple floors, an intercom or a written plan for other effective means of communication between groups shall be provided.
 - 4) During hours of operation and at all times that children are present, there shall be a means for parents of enrolled children to have direct telephone contact with a center staff person.
- s) [The center shall provide reasonable, private accommodations for breastfeeding mothers who may want to breastfeed during hours of operation, including a private area with an electrical outlet for mothers to pump their breast milk, and shall notify parents of these accommodations.](#)

(Source: Amended at 37 Ill. Reg. _____, effective _____)

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Section 407.APPENDIX E Meal Patterns and Serving Sizes for Child Care Programs**MEAL PATTERNS AND SERVING SIZES
FOR CHILD CARE PROGRAMS**

MEAL	FOOD ITEMS	AGE (1-2 years)	AGE (3-5 years)	AGE (6-12 years)
Breakfast	Fluid Milk	½ cup	¾ cup	1 cup
	Juice/fruit or vegetable ¹	¼ cup	½ cup	½ cup
	Grains/Breads	½ serving	½ serving	1 serving
	Bread	½ slice or 0.5 oz.	½ slice or 0.5 oz.	1 slice
	Or cereal cold/dry	¼ cup	⅓ cup or 0.5 oz.	¾ cup or 1 oz.
	Or cereal hot/cooked	¼ cup	¼ cup	½ cup
	Or cooked pasta/noodles	¼ cup	¼ cup	½ cup
Lunch/Dinner	Lean meat, fish or poultry	1 oz.	1½ oz.	2 oz.
	Or cheese	1 oz.	1½ oz.	2 oz.
	Or egg	1	1	1
	Or cooked dry beans and peas	¼ cup	⅜ cup	½ cup
	Or peanut butter*	2 Tbsp.*	3 Tbsp.	4 Tbsp.
	Or yogurt	½ cup	¾ cup	1 cup
	Fruits and/or Vegetables (2 or more total)	¼ cup total	½ cup total	¾ cup total
	Grains/Bread	½ serving	½ serving	1 serving
Bread	½ slice or 0.5 oz.	½ slice or 0.5 oz.	1 slice or 1 oz.	

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	Or cooked pasta/noodles	¼ cup	¼ cup	½ cup
	Fluid milk	½ cup	¾ cup	1 cup
Snacks**	Fluid milk	½ cup	½ cup	1 cup
	Grains/Breads	½ serving	½ serving	1 serving
	Bread	½ slice or 0.5 oz.	½ slice or 0.5 oz.	1 slice
	Or cereal cold/dry	¼ cup	⅓ cup	¾ cup
	Or cereal hot/cooked	¼ cup	¼ cup	½ cup
	Lean meat, poultry or fish	½ oz.	½ oz.	1 oz.
	Or cheese	½ oz.	½ oz.	1 oz.
	Or cooked dry beans	⅛ cup	⅛ cup	¼ cup
	Or peanuts, peanut butter, nuts or seeds	1 Tbsp.*	1 Tbsp.	2 Tbsp.
	Juice/fruit or vegetable (full-strength juice) ¹	½ cup	½ cup	¾ cup

* Shall not be served to children under two years of age. Spread thinly for children ages 2-3 years or mix with other foods.

** Mid-morning or mid-afternoon supplement; select 2 of the 4 components.

¹ [No more than 4 ounces \(½ cup\) of 100% juice daily. Fruit juice shall be given only as part of a meal or snack.](#)

(Source: Amended at 37 Ill. Reg. _____, effective _____)

ILLINOIS EMERGENCY MANAGEMENT AGENCY

NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Fees for Radioactive Material Licensees and Registrants
- 2) Code Citation: 32 Ill. Adm. Code 331
- 3)

<u>Section Numbers:</u> 331.200 331. APPENDIX F	<u>Proposed Action:</u> Amendment Amendment
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- 4) Statutory Authority: Implementing and authorized by Section 10 and 12 of the Radiation Protection Act of 1990 [420 ILCS 40/10 and 12]
- 5) A Complete Description of the Subjects and Issues Involved: The Agency is proposing this rulemaking to increase the fees for radioactive material licenses in order to cover the cost of operating the radioactive material security, licensing and inspection programs.
- 6) Any published studies or reports, along with the sources of underlying data, that were used when composing this rulemaking: The Agency used information regarding its costs to operate the radioactive material licensing program, including increased costs for expanded security oversight of licensed sites, and information regarding fees paid by U.S. Nuclear Regulatory Commission licensees.
- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other proposed rulemakings pending on this Part? No
- 11) Statement of Statewide Policy Objectives: The requirements imposed by the proposed rulemaking are not expected to require local governments to establish, expand or modify their activities in such a way as to necessitate additional expenditures from local revenues.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Comments on this proposed rulemaking may be submitted in writing for a period of 45 days following publication of this notice. The Agency will consider fully all written comments on this proposed rulemaking submitted during the 45 day comment period. Comments should be submitted to:

ILLINOIS EMERGENCY MANAGEMENT AGENCY

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Traci Burton, Paralegal Assistant
Illinois Emergency Management Agency
1035 Outer Park Drive
Springfield, Illinois 62704

217/785-9860 (voice)
217/782-6133 (TDD)

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities or not-for-profit corporations affected: This could affect all three types of entities if they possess radioactive material required to be licensed with the Agency.
 - B) Reporting, bookkeeping or other procedures required for compliance: None
 - C) Types of professional skills necessary for compliance: None
- 14) Regulatory Agenda on which this rulemaking was summarized: This rulemaking was not included on either of the 2 most recent regulatory agendas because the need for the rulemaking was not anticipated at the time the agendas were published.

The full text of the proposed rulemaking begins on the next page:

ILLINOIS EMERGENCY MANAGEMENT AGENCY

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TITLE 32: ENERGY

CHAPTER II: ILLINOIS EMERGENCY MANAGEMENT AGENCY
SUBCHAPTER b: RADIATION PROTECTION

PART 331

FEES FOR RADIOACTIVE MATERIAL LICENSEES

Section

331.10	Purpose
331.20	Scope
331.30	Definitions
331.110	Exemptions
331.115	Radioactive Material Recovery and Remediation Fee
331.120	Payment of Fees
331.125	Implementation (Repealed)
331.130	Refunds of Full Cost Recovery Deposits
331.200	Full Cost Recovery
331.210	Schedule of Fees For Radioactive Material Licenses (Repealed)
331.310	Failure by Applicant or Licensee to Pay Prescribed Fee
331.APPENDIX A	Schedule of License Fees (Repealed)
331.TABLE A	License Fees – Jan. 1, 1988-Dec. 31, 1988 (Repealed)
331.TABLE B	License Fees – Jan. 1, 1989-Dec. 31, 1989 (Repealed)
331.TABLE C	License Fees – Jan. 1, 1990-Dec. 31, 1990 (Repealed)
331.APPENDIX B	Fee Schedule For Radioactive Material Licenses (Repealed)
331.APPENDIX C	Fee Schedule For Sealed Source And Device Evaluations (Repealed)
331.APPENDIX D	Fee Schedule For Radioactive Material Licenses (Repealed)
331.APPENDIX E	Primary Material Use Categories for Radioactive Material Licensees
331.APPENDIX F	Fee Schedule for Radioactive Material Licensees

AUTHORITY: Implementing and authorized by Section 11 of the Radiation Protection Act of 1990 [420 ILCS 40/11].

SOURCE: Adopted at 10 Ill. Reg. 17239, effective September 25, 1986; amended at 11 Ill. Reg. 20570, effective January 1, 1988; amended at 15 Ill. Reg. 90, effective January 1, 1991; amended at 16 Ill. Reg. 11479, effective July 7, 1992; amended at 18 Ill. Reg. 12131, effective August 1, 1994; emergency amendment at 21 Ill. Reg. 4309, effective March 19, 1997, for a maximum of 150 days; amended at 21 Ill. Reg. 10968, effective July 28, 1997; amended at 22 Ill. Reg. 6951, effective April 1, 1998; amended at 23 Ill. Reg. 5585, effective April 23, 1999; amended at 25

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Ill. Reg. 8266, effective July 1, 2001; amended at 26 Ill. Reg. 14274, effective September 16, 2002; recodified from the Department of Nuclear Safety to the Illinois Emergency Management Agency at 27 Ill. Reg. 13641; amended at 33 Ill. Reg. 4298, effective March 9, 2009; amended at 36 Ill. Reg. 17387, effective November 30, 2012; amended at 37 Ill. Reg. _____, effective _____.

Section 331.200 Full Cost Recovery

Initial applications, amendments and renewals for licenses designated as full cost recovery in Appendix F, and evaluations of new sealed sources and devices, or amendments to existing sealed source and device evaluations are assessed fees based on full cost recovery of review and inspection efforts. Full cost recovery fees are calculated based on the following:

- a) The time required by Agency professional staff to conduct the review, including license file review, travel time, correspondence preparation and supervisory and management review of specific actions, multiplied by the rate specified in subsection (f) ~~of this Section~~.
- b) The time required by Agency professional staff to conduct inspections or perform confirmatory environmental monitoring, including license file review, travel time, correspondence preparation and supervisory and management review of specific actions, multiplied by the rate specified in subsection (f) ~~of this Section~~.
- c) For licenses authorizing the possession and use of source material (as defined in 32 Ill. Adm. Code 310.20) and byproduct material (as defined in 32 Ill. Adm. Code 332.20), the Agency's cost for overseeing decontamination activities at unlicensed properties contaminated with source or byproduct material, including, but not limited to, travel time, correspondence preparation, supervisory and management review of specific actions, multiplied by the rate specified in subsection (f) ~~of this Section~~.
- d) The cost of standard lab equipment and supplies, special environmental monitoring equipment and servicing of ~~that~~ equipment.
- e) The contractual support service costs, if any, incurred by the Agency in conjunction with the review, inspections and confirmatory environmental monitoring activities.

AGENCY NOTE: These support service costs may include, but are not limited

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to, rental of specialized equipment, acquisition of additional professional expertise not available within the Agency and laboratory fees charged to the Agency.

- f) The hourly rate for full cost recovery shall be ~~\$263~~202.

AGENCY NOTE: Full cost recovery activities are billed to the nearest tenth of an hour.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

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Section 331.APPENDIX F Fee Schedule for Radioactive Material Licensees

<u>Primary Category</u>	<u>Description</u>	<u>Annual Fee</u>	<u>Recovery and Remediation Fee</u>	<u>Remote Site Fee</u>
<u>MANUFACTURING/DISTRIBUTION</u>				
201A.	Broad Scope Manufacturing and/or Distribution	\$19,340 <u>\$25,142</u>	\$300	\$7,720 <u>\$10,036</u>
201B.	Specific Manufacturing and/or Distribution	\$5,525 <u>\$7,183</u>	\$300	\$4,224 <u>\$5,491</u>
201C.	Nuclear Pharmacy and Limited Manufacturing and/or Distribution	\$5,430 <u>\$7,059</u>	\$300	\$3,820 <u>\$4,966</u>
201D.	Distribution	\$3,290 <u>\$4,277</u>	\$300	\$566 <u>\$736</u>
<u>IRRADIATORS</u>				
202A.	Category I Irradiator	\$1,320 <u>\$1,716</u>	\$300	\$620 <u>\$806</u>
202B.	Category II, III or IV Irradiator (less than 10,000 curies (370 TBq))	\$5,185 <u>\$6,741</u>	\$300	\$5,330 <u>\$6,929</u>
202C.	Category II, III or IV Irradiator (10,000 curies (370 TBq) or more) (One-time Deposit of	Full Cost <u>\$42,270</u>	\$300	Full Cost <u>\$29,589</u>

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~~(\$10,000)~~RESEARCH AND DEVELOPMENT

203A.	Broad Scope Research and Development	<u>\$12,929</u> 9,945	\$300	<u>\$9,048</u> 6,960
203B.	Other Research and Development	<u>\$4,752</u> 3,655	\$300	<u>\$2,054</u> 1,580

PORTABLE AND FIXED GAUGES

204A.	Gas Chromatographs and Fixed X-Ray Fluorescence Analyzers	<u>\$1,547</u> 1,190	\$300	<u>\$419</u> 322
204B.	Portable Gauges and Portable X-Ray Fluorescence Analyzers	<u>\$2,321</u> 1,785	\$300	<u>\$767</u> 590
204C.	Fixed Gauges	<u>\$2,321</u> 1,785	\$300	<u>\$832</u> 640

SERVICE

205A.	Service	<u>\$3,887</u> 2,990	\$300	<u>\$1,170</u> 900
205B.	Nuclear Laundries (One-time Deposit of \$10,000)	<u>\$13,830</u> Full Cost	\$300	<u>\$9,681</u> Full Cost
205C.	Decontamination Facilities (One-time Deposit of \$10,000)	<u>\$4,470</u> Full Cost	\$300	<u>\$3,129</u> Full Cost

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WIRELINE (Well Logging)

206.	Wireline Service Operations	<u>\$3,757</u> 2,890	\$300	<u>\$1,287</u> 990
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INDUSTRIAL RADIOGRAPHY

207.	Industrial Radiography	<u>\$9,685</u> 7,450	\$300	<u>\$6,838</u> 5,260
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MEDICAL/VETERINARY

208A.	Broad Scope Medical/ Veterinary Use	<u>\$21,801</u> 16,770	\$300	<u>\$7,462</u> 5,740
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208B.	Medical/Veterinary Use Including Teletherapy and/or High Dose Rate Remote Afterloader	<u>\$9,555</u> 7,350	\$300	<u>\$3,315</u> 2,550
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208C.	Medical/Veterinary Use	<u>\$4,310</u> 3,315	\$300	<u>\$1,373</u> 1,056
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208D.	Diagnostic Use Only	<u>\$2,652</u> 2,040	\$300	<u>\$1,014</u> 780
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208E.	Limited Medical/Veterinary Use	<u>\$2,392</u> 1,840	\$300	<u>\$1,066</u> 820
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208F.	Mobile Nuclear Medicine	<u>\$4,310</u> 3,315	\$300	<u>\$1,807</u> 1,390
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GENERAL LICENSES

209A.	Persons with Prepackaged Units for In Vitro Testing	\$170	\$300	N/A
209B.	Persons with Generally Licensed Devices	\$350 \$400	\$300	N/A

SOURCE MATERIAL

210A.	Possession and Use of Source Material and Byproduct Material (One-time Deposit of \$25,000)	Full Cost	\$300	Full Cost
210B.	Possession and Use of Source Material (One-time Deposit of \$25,000)	Full Cost	\$300	Full Cost

WASTE DISPOSAL AND TREATMENT FACILITIES

211A.	Low-Level Radioactive Waste Disposal Facilities (One-time Deposit of \$25,000)	Full Cost	\$300	Full Cost
211B.	Low-Level Radioactive Waste Treatment Facilities (One-time Deposit of \$25,000)	Full Cost	\$300	Full Cost
<u>211B.</u>	<u>Low-Level Radioactive Waste Treatment Facilities (For all new licenses after October 1, 2013)</u>	<u>\$13,830</u>	<u>\$300</u>	<u>\$9,681</u>
211C.	Centralized Radioactive Waste Storage Facilities	Full Cost \$13,830	\$300	Full Cost \$9,681

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	(One-time Deposit of \$25,000)			
211D.	Other Low-Level Radioactive Waste (One-time Deposit of \$25,000)	Full Cost	\$300	Full Cost
<u>OTHER</u>				
212A.	Storage Only	\$2,321 1,785	\$300	\$1,092 840
212B.	Possession Incident to Exempt Distribution	\$2,249 1,730	\$300	\$686 528
212C.	Other (uses not specified elsewhere in this schedule)	\$2,301 1,770	\$300	\$572,440
212D.	Reciprocity for Exhibition and Demonstration Only	\$400,350	N/A	N/A
212E.	Sealed Source and Device Evaluation Maintenance Fee	\$845,650	N/A	N/A

(Source: Amended at 37 Ill. Reg. _____, effective _____)

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- 1) Heading of the Part: Illinois Dental Practice Act
- 2) Code Citation: 68 Ill. Adm. Code 1220
- 3)

<u>Section Numbers</u> :	<u>Proposed Action</u> :
1220.10	New Section
1220.100	Amendment
1220.120	Amendment
1220.155	Amendment
1220.160	Amendment
1220.170	Amendment
1220.200	Amendment
1220.220	Amendment
1220.240	Amendment
1220.245	Amendment
1220.260	Amendment
1220.310	Amendment
1220.320	Repealed
1220.335	Repealed
1220.350	Amendment
1220.407	New Section
1220.410	Amendment
1220.415	Amendment
1220.421	Amendment
1220.440	Amendment
1220.500	Amendment
1220.505	Amendment
1220.510	Amendment
1220.520	Amendment
1220.APPENDIX D	Amendment
- 4) Statutory Authority: Implementing the Illinois Dental Practice Act [225 ILCS 25] and authorized by Section 2105-15(7) of the Civil Administrative Code of Illinois [20 ILCS 2105/2105-15(7)]
- 5) A Complete Description of the Subjects and Issues Involved: This proposed rulemaking clarifies and improves rules relating to the administration and monitoring of anesthesia and the requisite training of dental personnel that were adopted May 5, 2010. Section 1220.407 implements PA 94-1028 that permits a dental practice to continue to operate for a limited

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period of time following the death or incapacitation of the dentist who owns the practice. Changes concerning basic life support training are being modified to implement the provisions of PA 98-147. Additionally, this proposed rulemaking implements a variety of amendments to the Dental Practice Act made in Public Acts 96-1222, 97-526 and 97-1013. These amendments include conforming the Act to other Acts under the Department's purview, specifying the licensing examinations permitted to dental and dental hygienist licensure applicants and adding certification requirements in life support.

- 6) Published studies or reports, and sources of underlying data, used to compose this rulemaking: None
- 7) Will this rulemaking replace any emergency rulemakings currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other proposed rulemakings pending on this Part? No
- 11) Statement of Statewide Policy Objectives: This rulemaking has no impact on local governments.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Interested persons may submit written comments to:

Department of Financial and Professional Regulation
Attention: Craig Cellini
320 West Washington, 3rd Floor
Springfield, IL 62786

217/785-0813 Fax: 217/557-4451

All written comments received within 45 days after this issue of the *Illinois Register* will be considered.

- 13) Initial Regulatory Flexibility Analysis:
 - A) Types of small businesses, small municipalities and not for profit corporations affected: Businesses providing dental services

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- B) Reporting, bookkeeping or other procedures required for compliance: None
 - C) Types of professional skills necessary for compliance: Dental skills are required for licensure.
- 14) Regulatory Agenda on which this rulemaking was summarized: July 2013

The full text of the Proposed Rulemaking begins on the next page:

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TITLE 68: PROFESSIONS AND OCCUPATIONS

CHAPTER VII: DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

SUBCHAPTER b: PROFESSIONS AND OCCUPATIONS

PART 1220

ILLINOIS DENTAL PRACTICE ACT

SUBPART A: DENTIST

Section

<u>1220.10</u>	<u>Definitions</u>
1220.100	Application for Licensure
1220.110	Application for Examination (Repealed)
1220.120	Dental Examinations
1220.130	System of Retaking the Clinical Sections of the Examination (Repealed)
1220.140	Minimum Standards for an Approved Program in Dentistry
1220.150	Licensure (Repealed)
1220.155	Restricted Faculty Licenses
1220.156	Temporary Training License
1220.160	Restoration
1220.170	Renewal

SUBPART B: DENTAL HYGIENIST

Section

1220.200	Application for Licensure
1220.210	Application for Examination (Repealed)
1220.220	Dental Hygiene Examination
1220.230	System of Grading (Repealed)
1220.231	System of Retaking the Clinical Examination (Repealed)
1220.240	Prescribed Duties for Dental Hygienists
1220.245	Prescribed Duties of Dental Assistants
1220.250	Approved Programs of Dental Hygiene
1220.260	Restoration
1220.270	Renewal

SUBPART C: DENTAL SPECIALIST

Section

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1220.310	Applications
1220.320	Examination (<u>Repealed</u>)
1220.330	System of Grading (Repealed)
1220.335	American Board Diplomates (<u>Repealed</u>)
1220.340	Specialty Listing (Repealed)
1220.350	Restoration
1220.360	Renewal

SUBPART D: GENERAL

Section	
1220.380	Definitions
1220.400	Reportable Diseases and Conditions
1220.405	Reporting of Adverse Occurrences
1220.406	Impaired Dentist and Dental Hygienist Program of Care, Counseling or Treatment
1220.407	<u>Death or Incapacitation of Dentist</u>
1220.410	Endorsement
1220.415	Fees
1220.421	Advertising
1220.425	Referral Services
1220.431	Employment by Corporation (Repealed)
1220.435	Renewals (Repealed)
1220.440	Continuing Education
1220.441	Granting Variances

SUBPART E: ANESTHESIA PERMITS

Section	
1220.500	Definitions
1220.505	Minimal Sedation (Anxiolysis) in the Dental Office Setting
1220.510	Moderate Sedation (Conscious Sedation) in the Dental Office Setting
1220.520	Deep Sedation and General Anesthesia in the Dental Office Setting
1220.525	Renewal
1220.530	Anesthesia Review Panel
1220.540	Approved Programs in Anesthesiology (Repealed)
1220.550	Reporting of Adverse Occurrences (Repealed)
1220.560	Restoration of Permits

1220.APPENDIX A Pre-clinical Restorative Dentistry Sub-section (Repealed)

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- 1220.APPENDIX B Dental Assistant Permitted Procedures (Repealed)
1220.APPENDIX C Dental Hygienist Permitted Procedures (Repealed)
1220.APPENDIX D Characteristics of Levels of Anesthesia

AUTHORITY: Implementing the Illinois Dental Practice Act [225 ILCS 25] and authorized by Section 2105-15(7) of the Civil Administrative Code of Illinois [20 ILCS 2105/2105-15(7)].

SOURCE: Rules and Regulations for the Administration and Enforcement of the Provisions of the Illinois Dental Practice Act, effective August 16, 1967; amended at 3 Ill. Reg. 16, p. 21, effective April 21, 1979; amended at 3 Ill. Reg. 42, p. 266, effective October 3, 1979; codified at 5 Ill. Reg. 11028; emergency amendment at 6 Ill. Reg. 916, effective January 6, 1982, for a maximum of 150 days; amended at 6 Ill. Reg. 4174, effective May 24, 1982; amended at 6 Ill. Reg. 7448, effective June 15, 1982; emergency amendment at 7 Ill. Reg. 8952, effective July 15, 1983, for a maximum of 150 days; emergency expired December 12, 1983; amended at 8 Ill. Reg. 15610, effective August 15, 1984; amended at 10 Ill. Reg. 20725, effective December 1, 1986; transferred from Chapter I, 68 Ill. Adm. Code 220 (Department of Registration and Education) to Chapter VII, 68 Ill. Adm. Code 1220 (Department of Professional Regulation) pursuant to P.A. 85-225, effective January 1, 1988, at 12 Ill. Reg. 2926; amended at 13 Ill. Reg. 4191, effective March 16, 1989; amended at 13 Ill. Reg. 15043, effective September 11, 1989; amended at 17 Ill. Reg. 1559, effective January 25, 1993; emergency amendment at 17 Ill. Reg. 8309, effective May 21, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 15890, effective September 21, 1993; amended at 17 Ill. Reg. 21492, effective December 1, 1993; amended at 19 Ill. Reg. 6606, effective April 28, 1995; amended at 21 Ill. Reg. 378, effective December 20, 1996; emergency amendment at 22 Ill. Reg. 2332, effective January 8, 1998, for a maximum of 150 days; amended at 22 Ill. Reg. 10574, effective June 1, 1998; amended at 22 Ill. Reg. 14880, effective July 29, 1998; amended at 23 Ill. Reg. 7294, effective June 10, 1999; amended at 24 Ill. Reg. 13992, effective August 31, 2000; amended at 25 Ill. Reg. 10901, effective August 13, 2001; amended at 26 Ill. Reg. 18286, effective December 13, 2002; amended at 30 Ill. Reg. 8574, effective April 20, 2006; emergency amendment at 30 Ill. Reg. 12999, effective July 18, 2006, for a maximum of 150 days; emergency expired December 14, 2006; amended at 30 Ill. Reg. 19656, effective December 18, 2006; amended at 34 Ill. Reg. 7205, effective May 5, 2010; amended at 37 Ill. Reg. _____, effective _____.

SUBPART A: DENTIST

Section 1220.10 Definitions

"AAOMS" means the American Association of Oral and Maxillofacial Surgeons.

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"ACLS" means Advanced Cardiac Life Support.

"Act" means the Illinois Dental Practice Act [225 ILCS 25].

"BLS" means Basic Life Support for Healthcare Providers.

"Board" means the Board of Dentistry authorized by Section 6 of the Act.

"CITA" means the Counsel of Interstate [Testing Agencies, Inc.](#)

"CODA" means Commission on Dental Accreditation of the American Dental Association.

"CRDTS" means the Central Regional Dental Testing Service.

"DANB" means Dental Assisting National Board, Inc.

"Department" means the Department of Financial and Professional Regulation.

"Director" means the Director of the Division of Professional Regulation with the authority delegated by the Secretary.

"Division" means the Department of Financial and Professional Regulation-
Division of Professional Regulation with the authority delegated by the Secretary.

"JCNDE" or "Joint Commission" means the Joint Commission on National Dental Examinations.

"LLC" means [limited liability company](#), as defined in Section 1-5 of the Limited Liability Company Act [805 ILCS 180].

"NERB" means the North East Regional Board.

"PALS" means Pediatric Advanced Life Support.

"Secretary" means the Secretary of the Department of Financial and Professional Regulation.

"SRTA" means the Southern Regional Testing Agency, Inc.

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"WARE" means the Washington Restorative Exam.

"WREB" means the Western Regional Examining Board.

(Source: Added at 37 Ill. Reg. _____, effective _____)

Section 1220.100 Application for Licensure

An applicant for a license to practice dentistry in Illinois shall file an application on forms supplied by the ~~Department of Financial and Professional Regulation~~ ~~Division of Professional Regulation~~ (Division) that shall include:

- a) For graduates from a dental college or school in the United States or Canada, certification of successful completion of 60 semester hours or its equivalent of college pre-dental education, and graduation from a dental program specified in Section 1220.140.
- b) For graduates from a dental college or school outside of the United States or Canada:
 - 1) Certification of graduation from a dental college or school; and
 - 2) Clinical Training
 - A) Certification from an approved dental college or school in the United States or Canada that the applicant has completed a minimum of 2 years of clinical training at the school in which the applicant met the same level of scientific knowledge and clinical competence as all graduates from that school or college. The 2 years of clinical training shall consist of:
 - i) 2850 clock hours completed in 2 academic years for full-time applicants; or
 - ii) 2850 clock hours completed in 4 years with a minimum of 700 hours per year for part-time applicants; or
 - B) In the alternative, certification, from the program director of an

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accredited advanced dental education program approved by the Division, of completion of no less than 2 academic years may be substituted for the 2 academic years of general dental clinical training.

- c)d) The required fee set forth in Section 1220.415(a)(1) ~~of this Part.~~
- d)e) Proof of successful completion of the Theoretical examination given by JCNDE ~~the Joint Commission on National Dental Examinations.~~ The passing score shall be determined by JCNDE. ~~In order to be successful, a grade of at least 75 is required.~~ The National Board Certificate must be mailed to the Division by the Joint Commission.
- e)f) Proof of successful completion of an examination set forth in Section 1220.120(a).
- f)g) Certification, on forms provided by the Division, from the state in which an applicant was originally licensed and is currently licensed, if applicable, stating:
- 1) The time during which the applicant was licensed in that state, including the date of the original issuance of the license; and
 - 2) Whether the file on the applicant contains any record of disciplinary actions taken or pending.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.120 Dental Examinations

- a) ~~The Division, upon recommendation of the Board of Dentistry (Board), shall accept the American Dental Licensing Examination (ADLEX) developed by the American Board of Dental Examiners, Inc. (ADEX) for licensure. The passing score accepted by the Division shall be the passing score established by the testing entity. Dental licensure candidates can view and download a copy of the Candidate's Manual online at www.nerb.org/manual.htm or www.crdts.org/dental.htm.~~
- a)b) ~~The Division, upon recommendation from the Board, shall also accept the following examinations for licensure if administered and passed in their entirety.~~

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including passage of the periodontal portion of the examination prior to October 1, 2006:

1) ~~Central Regional Dental Testing Service (CRDTS) and North East Regional Board (NERB) Combined Regional Examination (CORE) with a passing score of 75. Beginning July 1, 1998, the passing score accepted by the Division shall be the passing score established by the testing entity;~~

1)2) The North East Regional Board (NERB), with a passing score of 75 or better on each part. Beginning July 1, 1998, the passing score accepted by the Division shall be the passing score established by the testing entity;

2)3) ~~The~~ Central Regional Dental Testing Service (CRDTS) Examination, with ~~a~~ taken after January 1, 1988, with a passing score of 75 or better on each part of the examination prior to May 1993. Beginning in May 1993, a passing score of 70 or better on each part of the examination shall be accepted for licensure. Beginning July 1, 1998, the passing score accepted by the Division shall be the passing score established by the testing entity. Beginning July 1, 2002, the passing score on the examination shall be 75;

3)4) The Southern Regional Testing Agency, Inc. (SRTA) Examination, with ~~a~~ taken after January 1, 1991, with a passing score of 75% or better on each section of the examination. Beginning July 1, 1998, the passing score accepted by the Division shall be the passing score established by the testing entity; ~~or~~

4)5) The Western Regional Examination Boards (WREB) Examination, taken after May 1, 1998, with a passing score ~~as~~ established by the testing entity; ~~or~~

5) [CITA Examination, with a passing score established by the testing agency.](#)

b)e) Retake requirements shall be that of the testing entity.

c)d) The applicant shall have the examination scores submitted to the Division directly from the reporting entity.

d)e) The Division will accept only examinations that have been completed in the 5 years prior to submission of the application, if never licensed in another

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jurisdiction.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.155 Restricted Faculty Licenses

- a) Pursuant to Section 11(d) of the Act, the Division shall issue a Restricted Faculty License to an individual who is currently licensed in another jurisdiction as a dentist and who files an application, on forms provided by the Division, that includes:
 - 1) Certification of licensure from the jurisdiction of original licensure and current licensure;
 - A) The time during which the applicant was licensed in that jurisdiction, including the date of the original license;
 - B) Whether the files of the jurisdiction contain any record of disciplinary action taken or pending;
 - 2) A certification, on forms provided by the Division, signed by the Dean of the school or hospital administrator, indicating:
 - A) The name and address of the dental school or hospital;
 - B) The beginning and ending date of the appointment;
 - C) The nature of and the need for the educational service that will be provided by the applicant;
 - 3) The required fee set forth in Section 1220.415(a)(7).
- b) The restricted faculty license shall be valid for 3 years from the date of issuance and may be renewed in accordance with subsection (e).
- c) The holder of a restricted faculty license may perform acts as may be required by his or her teaching of dentistry and may practice general dentistry or in his/her area of specialty, but only in a clinic or office affiliated with the dental school.

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- d) Any restricted faculty license issued to a faculty member shall be terminated immediately and automatically without any further action by the Division if the holder ceases to be a faculty member at an approved dental school or hospital in this State.
- e) Renewal
- 1) Application for renewal of a restricted faculty license shall be made on forms supplied by the Division at least 3060 days prior to expiration of the license. The application shall include:
- A1) Certification from the Dean of a dental program or the administrator of the hospital indicating the term of the renewal contract, not to exceed 3 years from the date of the original expiration date;
- B2) Certification from the jurisdiction of current licensure indicating the current status of the license; and
- C3) The fee set forth in Section 1220.415(b).
- 2) Failure to renew a restricted faculty license at least 30 days prior to its expiration shall result in the license expiring. A new application must be submitted.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.160 Restoration

- a) A licensee seeking restoration of a dental license after it has expired or has been placed on inactive status for less than 5 years shall have the license restored by submitting proof of 4832 hours of continuing education in accordance with Section 1220.440 completed within 32 years prior to the restoration application and payment of \$20 plus all lapsed renewal fees. Individuals restoring a license from inactive status shall only be required to pay the current renewal fee.
- b) A licensee seeking restoration of a dental license after it has expired or has been placed on inactive status for 5 years or more shall file an application, on forms supplied by the Division, together with proof of 4832 hours of continuing

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education in accordance with Section 1220.440 completed within ~~32~~ years prior to the restoration application and the fees required by Section 21 of the Act. Individuals restoring a license from inactive status shall only be required to pay the current renewal fee. The licensee shall also submit either:

- 1) Certification of lawful active practice in another jurisdiction for 3 of the last 5 years. Such certification shall include a statement from the appropriate board or licensing authority in the other jurisdiction that the licensee was authorized to practice during the term of ~~thesaid~~ active practice; or
 - 2) An affidavit attesting to military service as provided in Section 16 of the Act. If an applicant applies for restoration of a license within 2 years ~~afterof~~ termination of ~~militarysueh~~ service, he/she shall have the license restored without paying any lapsed renewal or restoration fees.
 - 3) A current certification in BLS by an organization that has adopted the American Heart Association's or American Red Cross' guidelines on BLS or a statement provided by the dentist's licensed physician indicating that the applicant is physically disabled and unable to obtain certification.
- c) ~~If neither subsection (b)(1) nor (b)(2) applies to the licensee, then he or she the licensee has not maintained an active practice in another jurisdiction for over 5 years, he/she shall be required to take and pass an examination set forth in Section 1220.120.~~

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.170 Renewal

- a) Beginning with the September 30, 2006 renewal, every dental license issued under the Act shall expire on September 30 every 3 years. The holder of a license may renew the license during the month preceding the expiration date thereof by paying the fee required in Section 21 of the Act and certifying to fulfillment of ~~4832~~ hours of continuing education pursuant to Section 1220.440.
- b) A renewal applicant must provide proof of current certification in BLS by an organization that has adopted the American Heart Association's or American Red Cross' guidelines on BLS or a statement provided by the dentist's licensed

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physician indicating that the applicant is physically disabled and unable to obtain certification;

- c)b) It is the responsibility of each licensee to notify the Division of any change of address. Failure to receive a renewal form from the Division shall not constitute an excuse for failure to pay the renewal fee or to renew one's license.
- d)e) Practicing or offering to practice on a license that has expired shall be considered unlicensed activity and shall be grounds for discipline pursuant to Section 23 of the Act.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

SUBPART B: DENTAL HYGIENIST

Section 1220.200 Application for Licensure

An applicant for licensure as a dental hygienist shall file an application, on forms supplied by the Division, that shall include:

- a) Certification of successful completion of 2 academic years of credit from a dental hygiene program approved by the Commission on Dental Accreditation of the American Dental Association;
- b) Proof that the applicant has passed the National Dental Hygienist Board Examination, with a passing score as established by the testing entity given by JCNDE ~~the Joint Commission on National Dental Examinations~~ and has been issued a National Board Certificate, mailed to the Division by the Joint Commission. ~~In order to be successful, a grade of at least 75 is required;~~
- c) Proof of successful completion of an examination pursuant to Section 1220.220(a) received directly from the testing entity;
- d) A current certification in ~~Basic Life Support for Healthcare Providers (BLS)~~, ~~or its equivalent~~, from the American Red Cross or, the American Heart Association ~~or an equivalent agency~~ or a statement from a licensed physician indicating that the applicant is physically disabled and unable to obtain certification;
- e) Certification, on forms provided by the Division, from the state in which an

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applicant was originally licensed and is currently licensed, if applicable, stating:

- 1) The time during which the applicant was licensed in that state, including the date of the original issuance of the license; and
 - 2) Whether the file on the applicant contains any record of disciplinary actions taken or pending;
- f) The required fee set forth in Section 1220.415(a)(3).

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.220 Dental Hygiene Examination

- a) ~~The Division, upon recommendation of the Board, shall accept the American Dental Hygiene Licensing Examination (ADHLEX) developed by the American Board of Dental Examiners, Inc. (ADEX) for licensure. The passing score accepted by the Division shall be the passing score established by the testing entity. Dental hygiene licensure candidates can view and download a copy of the Candidate's Manual online at www.nerb.org/manual.htm or www.crdts.org/dental.htm.~~ b) ~~The Division, upon recommendation from the Board, shall also accept the following examinations for licensure if administered and passed in their entirety prior to October 1, 2006:~~
- 1) ~~The North East Regional Board (NERB), with a passing score of 75 or better on each part of the examination. Beginning July 1, 1998, the passing score accepted by the Division shall be the passing score established by the testing entity;~~
 - 2) ~~The Central Regional Dental Testing Service (CRDTS) Examination, with after January 1, 1988, with a passing score of 75 prior to May 1993. Beginning in May 1993 a passing score of 70 or better on each part of the examination shall be accepted for licensure. Beginning July 1, 1998, the passing score accepted by the Division shall be the passing score established by the testing entity. Beginning July 1, 2002, the passing score on the examination shall be 75;~~
 - 3) ~~The Southern Regional Testing Agency, Inc. (SRTA) Examination, with after January 1, 1991, with a passing score of 75% or better on each part~~

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~~of the examination. Beginning July 1, 1998, the passing score accepted by the Division shall be the~~ passing score established by the testing entity; or

4) ~~The Western Regional Examination Boards (WREB) Examination, taken after May 1, 1998,~~ with a passing score ~~as~~ established by the testing entity; ~~or-~~

5) The CITA Examination, with a passing score established by the testing agency.

~~b)e)~~ Retake requirements shall be that of the testing entity.

~~c)d)~~ The applicant shall have examination scores submitted to the Division directly from the reporting entity.

~~d)e)~~ The Division will only accept examinations that have been completed in the 5 years prior to submission of the application, if never licensed in another jurisdiction.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.240 Prescribed Duties of Dental Hygienists

- a) Dental hygienists may perform the operative procedure of dental hygiene, consisting of oral prophylaxis procedures.
- b) Dental hygienists may perform dental health education functions and may record case histories and oral conditions observed.
- c) Dental hygienists may perform all procedures that may be performed by an appropriately trained dental assistant.
- d) Dental hygienists shall not perform those procedures that constitute the practice of dentistry as described in the ~~Illinois Dental Practice Act~~. Hygienists may not perform procedures that require the professional judgment and skill of a dentist. Such prohibited procedures include, but shall not be limited to, the following:
 - 1) Making denture adjustments.

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- ~~2)~~ ~~Condensing or carving amalgam restorations.~~
 - ~~2)3)~~ Placing and finishing composite restorations.
 - ~~3)4)~~ Taking final impressions for the fabrication of prosthetic appliances, crowns, bridges, inlays, onlays or other restorative or replacement dentistry.
 - ~~4)5)~~ Permanently cementing permanent crowns or bridges.
 - ~~5)6)~~ Permanently re-cementing permanent crowns or bridges that have come loose.
- e) Dental hygienists may administer and monitor nitrous oxide under the following conditions:
- 1) The dental hygienist functions under the supervision of the dentist who must remain in the facility;
 - 2) The dental hygienist may administer (start the flow of) nitrous oxide to the patient and control the induction of the gas, so that the patient is at a level of analgesia not anesthesia;
 - 3) The dental hygienist may remove the patient from nitrous oxide when the hygiene procedures have been completed;
 - 4) Proof of Completion
 - A) The dental hygienist is responsible for obtaining proof of certification, validating completion of a 12 hour course relative to nitrous oxide analgesia and submitting certification to the dentist of valid completion of the required course. The course shall have been completed no earlier than December 31, 1994.
 - B) A dental hygienist who completed the 12 hour course shall complete an additional 2 hour course in nitrous oxide analgesia administration. The course shall be completed by September 30, 2011. A dental hygienist who has not completed the 12 hour course shall complete an approved course of 14 hours relative to

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the administration and monitoring of nitrous oxide analgesia and submit certification of successful completion to the dentist. The course shall have been completed no earlier than January 1, 1998.

- C) An individual who graduated from an approved dental hygiene program after January 1, 1998 that contained nitrous oxide analgesia administration and monitoring in the curriculum shall not be required to complete the 14 hour course upon proof to the dentist of the required curriculum.
- D) A dental hygienist who has not completed the 12 or 14 hour course shall complete an approved 6 hour course relative to the administration and monitoring of nitrous oxide analgesia and submit certification of successful completion to the dentist.
- E) Proof of nitrous oxide analgesia education shall be made available to the Division upon request. The required hours shall include both didactic and clinical components and be given by a continuing education sponsor approved pursuant to Section 1220.440 or a dental hygiene program approved by the Division pursuant to Section 1220.250;
- 5) The dental hygienist must maintain ~~BLS~~Basic Life Support for Healthcare Providers certification or its equivalent, which will be in addition to the required courses. Certification or its equivalent shall be completed by September 30, 2011.
- f) Dental hygienists may assist in the provision of moderate sedation (~~conscious sedation~~), deep sedation, and general anesthesia, as defined in Section 1220.500, under the following conditions:
 - 1) The dental hygienist functions under the supervision of the dentist who must remain in the facility. When the hygienist is the treatment provider while the patient is under moderate sedation (~~conscious sedation~~), deep sedation, or general anesthesia, the anesthesia permit holder must remain in the treatment room;
 - 2) The dental hygienist is responsible for obtaining proof of certification validating completion of a course or courses totaling 12 hours or more.

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Up to 6 of 12 hours may be completed online. The course or courses shall include the areas of anatomy, physiology, pharmacology, monitoring, including nitrous oxide monitoring, and emergency procedures with an emphasis on airway management. The required hours shall include both didactic and hands-on clinical components. The clinical components must include practical training on a manikin for airway management. Other skills that must be demonstrated include: manual blood pressure and pulse determination, operation of supplemental oxygen equipment, monitor operation including EKG, pulse oximeter, capnograph and completion of anesthesia record. The courses must and be given by a continuing education sponsor approved pursuant to Section 1220.440 or a dental hygiene program approved by the Division pursuant to Section 1220.250. The course described in this subsection (f)(2) must be approved by the Division prior to its initial offering. The hands-on clinical portion must take place under the direct supervision of the approved CE sponsor and may not be supervised or certified by the dental hygienist's employer or supervising dentist. Dental hygienists who completed a 12 hour course that met course requirements in place for monitoring sedation prior to adoption of these amendments will not need to take additional training. Proof of course completion shall be made available to the Division upon request;

- 3) If the dental hygienist has complied with the provisions set forth in subsection (e)(4), the dental hygienist may complete an additional course or courses totaling 6 hours or more on advanced airway management and monitoring equipment in lieu of the 12 hour course required by subsection (f)(2). The course must comply with the elements set forth in subsection (f)(2), other than coursework related only to administration and monitoring of nitrous oxide. The courses described in this subsection (f)(3) must be approved by the Division prior to their initial offering. Proof of course completion shall be made available to the Division upon request;
- 4) The dental hygienist must maintain BLS~~Basic Life Support for Healthcare Providers~~ certification or its equivalent, which will be in addition to the required courses. Certification or its equivalent shall be completed by September 30, 2011.
- g) Dental hygienists may administer local anesthetics under the following

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conditions:

- 1) The dental hygienist functions under the supervision of the dentist who remains in the facility.
- 2) The dental hygienist is responsible for obtaining proof of certification, indicating successful completion of a 32 hour course that contains 24 hours of lecture and 8 hours of clinical training relative to the administration of local anesthetics and submitting certification to the dentist. An individual who graduated from an approved dental hygiene program after January 1, 1999 that contained administration of local anesthetics in the curriculum shall not be required to complete the 32 hour course upon proof to the dentist of the required curriculum. Proof of completion of education shall be made available to the Division upon request. The required hours shall include both didactic and clinical components and be given by a continuing education sponsor approved pursuant to Section 1220.440 or a dental or a dental hygiene program approved by the Division pursuant to Section 1220.250. The course shall contain at a minimum the following topics:
 - A) Patient preevaluation, which includes dental and medical health history (e.g., drug interactions/anxiety/pain and a physical evaluation);
 - B) Pharmacology (e.g., drugs/types, vasoconstrictors, dosages, toxicity);
 - C) Recordkeeping;
 - D) Anatomy/Neuroanatomy/Physiology;
 - E) Armamentarium;
 - F) Techniques that include adjunctive use of topical anesthetics, mandibular block and infiltration;
 - G) Complications;
 - H) Post-operative instructions; and

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- I) Clinical experience that includes combining techniques for quadrant anesthesia and practical use of different techniques in all areas of oral cavity.
- 3) A dental hygienist who was licensed in another state and was authorized to administer local anesthesia in that jurisdiction will not be required to complete an additional course. Proof shall be submitted to the dentist and shall be made available to the Division upon request.
- h) Dental hygienists may place, carve and finish amalgam restorations under the following conditions:
 - 1) The dental hygienist functions under the direct supervision of a dentist who remains in the facility and examines the work done by the hygienist prior to the dismissal of the patient.
 - 2) The dental hygienist is responsible for obtaining proof of certification, indicating successful completion of a 52 hour course, pre-approved by the Board, that contains lecture, laboratory and manikin training relative to the placing, carving and finishing of amalgam restorations and submitting certification to the dentist. Proof of completion of education shall be made available to the Division upon request. The required hours shall include both didactic and clinical components and be given by a continuing education sponsor approved by the Division and taught in an institution that is CODA approved, such as a dental school, hygiene program or assistant program. The course shall contain, at a minimum, the following preclinical, didactic and clinical instruction:
 - A) nomenclature;
 - B) caries classification;
 - C) oral anatomy;
 - D) dental morphology;
 - E) periodontium;

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- F) histology;
 - G) basic occlusion;
 - H) ergonomics;
 - I) instrumentation;
 - J) pulp protection liners and bases;
 - K) dental materials;
 - L) the medical history conditions and their implication for dental treatment and office emergencies;
 - M) matrix and wedge techniques;
 - N) amalgam placement and carving;
 - O) polishing amalgams;
 - P) rubber dam clamp placement;
 - Q) rubber dam placement and removal;
 - R) amalgam class I, II, V and IV. Class II cannot involve cusp replacement or pins.
- 3) Pass a pre-examination on basic dental procedures and techniques, as well as the basic fundamentals of dentistry.
 - 4) Pass a written and clinical exam that is psychometrically sound, such as the DANB's WARE or equivalent, to receive certification.
 - 5) A supervising dentist must attend a required orientation class with the applicant.

- ~~i)h)~~ The licensed dentist need not be present in the facility for a dental hygienist to perform the procedures set forth in this Section (except for the administration and

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monitoring of nitrous oxide, minimal sedation (~~anxiolysis~~), assisting in the provision of moderate sedation (~~conscious sedation~~), deep sedation, and general anesthesia, as defined in Section 1220.500, and the administration of injectable local anesthetics, which must be done under the direct supervision of a dentist as outlined in subsection (e)(1)) on persons who reside in a long-term care facility licensed by the State of Illinois or a mental health or developmental disability facility operated by the Department of Human Services hospital or other similar institution and are unable to travel to a dental office because of illness or infirmity. The dentist shall personally examine and diagnose the patient and determine which services are necessary to be performed, which shall be contained in a written order to the hygienist. The order must be implemented within 90 days after its issuance and an updated medical history and oral inspection must be performed by the hygienist immediately prior to beginning the procedures to ensure that the patient's health has not changed in any manner to warrant a re-examination by the dentist.

- ~~j)~~ All intraoral procedures performed by a dental ~~hygienist~~ ~~auxiliary~~, except those provided for in subsections (b), ~~and (h)~~ ~~and (i)~~, must be examined by the supervising dentist prior to the dismissal of the patient from the facility that day.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.245 Prescribed Duties of Dental Assistants

- a) "Dental Assistant" means an appropriately trained person who, under the supervision of a dentist, provides dental services or procedures as authorized by Section 17 of the ~~Illinois Dental Practice Act~~ or as prescribed by this Part. "Appropriately trained" means a person who:
- 1) Has completed formal training as a condition for administering a specific service or procedure as required by the ~~Illinois Dental Practice Act~~ or this Part; and
 - 2) Is considered, for all other authorized or prescribed services or procedures, by the supervising dentist to be competent to render such service or procedure as a result of on-the-job training.
- b) Provided that a dental assistant is appropriately trained pursuant to this Section and is acting under the supervision and full responsibility of a dentist, a dental

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assistant may perform any dental service or procedure except the following:

- 1) Any and all diagnosis of or prescription for treatment of disease, pain, deformity, deficiency, injury or physical condition of the human teeth or jaws, or adjacent structures.
- 2) Removal of, restoration of, or addition to the hard or soft tissues of the oral cavity. For purposes of this Section, coronal polishing and acid etching of a tooth surface are not considered removal of hard or soft tissues.
- 3) Any and all correction of malformation of teeth or of the jaws.
- 4) Administration of anesthetics, except for monitoring of nitrous oxide, conscious sedation, deep sedation and general anesthetic, as provided in Section 8.1 of the Act~~topical anesthetics and monitoring of nitrous oxide as specified in this Section.~~
- 5) Removal of calculus from teeth.
- 6) Taking of final impressions for the fabricating of prosthetic appliances, crowns, bridges, inlays, onlays, or other restorative or replacement dentistry.
- 7) The operative procedure of dental hygiene consisting of oral prophylactic procedures except for coronal polishing as specified in this Section.
- 8) Making denture adjustments.
- ~~9) Condensing or carving amalgam restorations.~~
- ~~9)10) Placing and finishing composite restorations.~~
- ~~10)11) Permanently cementing permanent crowns or bridges.~~
- ~~11)12) Permanently re-cementing permanent crowns or bridges that have come loose.~~
- ~~12)13) Placement of any chemotherapeutic agent for the management of~~

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periodontal disease.

~~13)14)~~ Applying cavity bases.

~~14)15)~~ Cementing bands and/or bonding brackets.

~~15)16)~~ Performing supragingival or subgingival scaling.

~~16)17)~~ Performing pulp vitality tests.

- c) A dental assistant, who is at least 18 years of age and has 1000 hours of clinical dental assisting experience or has graduated from a dental assistant program accredited by the ~~CODA~~ ~~Commission on Dental Accreditation of the American Dental Association~~, or is a currently certified dental assistant as designated by ~~DANB~~ ~~the Dental Assisting National Board, Inc.~~, may perform the following services and procedures, but only under the following terms and conditions:
- 1) Monitoring nitrous oxide, provided:
 - A) The dental assistant has completed an approved course of 12 hours relative to nitrous oxide analgesia and has submitted certification to the dentist of valid completion of ~~thesuch~~ course. The course shall have been completed no earlier than January 1, 1998.
 - i) A dental assistant who has not completed the 12 hour course shall complete an approved course or courses totaling 6 hours or more relative to monitoring nitrous oxide analgesia and submit certification of successful completion to the dentist.
 - ii) Proof shall be made available to the Division upon request.
 - iii) The required hours shall include both didactic and clinical components and have been designed by an educational institution such as a dental school, dental hygiene or dental assistant program or by an approved CE sponsor. The course shall include areas of anatomy, physiology, monitoring, pharmacology and emergency procedures with an emphasis on airway management. Courses being

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offered by approved CE sponsors, as provided for in Section 1220.440(b)(2)(N) must be preapproved by the Division prior to their initial offering and must meet the requirements set forth in this subsection (c)(1);

- B) The dental assistant is functioning under the supervision of the dentist who must remain in the facility;
 - C) Only a dentist or dental hygienist qualified pursuant to Section 1220.240(e) shall administer (start the flow of) nitrous oxide to the patient and control the induction of the gas so that the patient is at a level of analgesia, not anesthesia;
 - D) Only a dentist or dental hygienist qualified pursuant to Section 1220.240(e) shall remove the patient from nitrous oxide when the dentist or dental hygienist has completed the procedures on the patient;
 - E) If the dental assistant has completed a monitoring course or courses totaling 12 hours or more provided by ~~the American Association of Oral and Maxillofacial Surgeons (AAOMS)~~ or a similar course preapproved by the Division, the dental assistant need not complete the course hours required in subsection (c)(1)(A). The course shall have been completed no earlier than December 31, 2002. Proof shall be made available to the Division upon request;
 - F) The dental assistant maintains ~~BLS~~Basic Life Support for Healthcare Providers certification or its equivalent, which will be in addition to the required courses. Certification or its equivalent shall be completed by September 30, 2011.
- 2) Monitoring minimal sedation (~~anxiolysis~~), moderate sedation (~~conscious sedation~~), deep sedation, or general anesthesia, as defined in Section 1220.500, provided:
- A) The dental assistant is responsible for obtaining proof of certification validating completion of a course or courses totaling 12 hours or more. Up to 6 of the 12 hours may be completed

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online. The course or courses shall include the areas of anatomy, physiology, pharmacology, monitoring, including nitrous oxide monitoring, and emergency procedures with an emphasis on airway management. The required hours shall include both didactic and hands-on clinical components. The clinical components must include practical training on a manikin for airway management. Other skills that must be demonstrated include: manual blood pressure and pulse determination, operation of supplemental oxygen equipment, monitor operation including EKG, pulse oximeter, capnograph, completion of anesthesia record. The course must and be given by a continuing education sponsor approved pursuant to Section 1220.440 or a dental hygiene program approved by the Division pursuant to Section 1220.250. The courses described in this subsection (c)(2)(A) must be approved by the Division prior to their initial offering. The hands-on clinical portion must take place under the direct supervision of the approved CE sponsor, and may not be supervised or certified by the assistant's employer or supervising dentist. Dental assistants who completed a 12 hour course that met course requirements in place for monitoring sedation prior to adoption of the current rules will not be required to recertify. Proof shall be provided to the Division upon request.

- B) If the dental assistant has complied with the provisions set forth in subsection (c)(1)(A), the dental assistant shall complete an additional 6 hour course on advanced airway management and monitoring equipment in lieu of the 12 hour course required in subsection (c)(2)(A). The courses must comply with the elements set forth in subsection (c)(2)(A) other than coursework related only to monitoring of nitrous oxide. The courses described in this subsection (c)(2)(B) must be approved by the Division prior to their initial offering. Proof shall be made available to the Division upon request.
- C) If the dental assistant has completed a monitoring course or courses totaling 12 hours or more provided by the American Association of Oral and Maxillofacial Surgeons (AAOMS) or a similar course or courses pre-approvedpreapproved by the Division, the dental assistant need not complete the course hours

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required in subsection (c)(2)(A). The course shall have been completed no earlier than December 31, 2002. Proof shall be made available to the Division upon request.

- D) The dental assistant is functioning under the supervision of the dentist who must remain in the facility.
 - E) The dental assistant maintains ~~BLS~~~~Basic Life Support for Healthcare Providers~~ certification or its equivalent, which will be in addition to the required courses. Certification or its equivalent shall be completed by September 30, 2011.
- 3) Coronal polishing, provided:
- A) The dental assistant has completed an approved course of 6 hours relative to coronal polishing and has submitted certification of successful completion to the dentist. ~~The~~~~Such~~ course shall have been completed no earlier than January 1, 1998. Proof shall be made available to the Division upon request. The required hours shall include a minimum of 4 hours of didactic study in areas of anatomy, physiology, pharmacology and dental emergencies and 2 hours of clinical instruction and have been provided by an educational institution such as a dental school, dental hygiene or dental assistant program or by an approved CE sponsor. Courses being offered by CE sponsors approved pursuant to Section 1220.440(b)(2)(N) must be ~~pre-approved~~~~preapproved~~ by the Division prior to their initial offering and must meet the requirements set forth in this subsection (c)(~~32~~). The assistant must pass an examination in the didactic portion of the course and the clinical portion must contain experience on human subjects;
 - B) Coronal polishing is limited to polishing the clinical crown of the tooth and existing restoration, supragingivally;
 - C) Coronal polishing is limited to the use of slow speed rotary instruments using a rubber cup and/or brush polishing method. The use of air polish by dental assistants is not permitted; and
 - D) A dentist shall be limited to supervising 4 dental assistants at any

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one time for the task of coronal polishing.

- 4) Pit and fissure sealant application, provided:
 - A) The dental assistant has completed a course of at least 2 hours of didactic study and 2 hours of clinical instruction;
 - B) Prior to being permitted to place sealants in accord with this Section, the supervising dentist has personally observed the dental assistant successfully placing 6 pit and fissure sealants;
 - C) The supervising dentist documents that the training has been completed; and
 - D) The supervising dentist is responsible for examining the patient prior to and following the placement of sealants by a dental assistant.

- 5) Placing, carving and finishing amalgam restorations, provided:
 - A) The dental assistant functions under the direct supervision of the dentist who remains in the facility and examines the work done by the assistant prior to the dismissal of the patient.
 - B) The dental assistant is at least 18 years of age and can show proof that he or she is a DANB Certified Dental Assistant or has been employed as a dental assistant with a minimum of 2 years continuous hands-on experience (4,000 hours).
 - C) The dental assistant is responsible for obtaining proof of certification, indicating successful completion of a 52 hour course that contains lecture, laboratory and manikin training relative to the placing, carving and finishing of amalgam restorations and submitting certification to the dentist. Proof of completion of education shall be made available to the Division upon request. The required hours shall include both didactic and clinical components and be given by a continuing education sponsor approved by the Division and taught in an institution that is CODA approved, such as a dental school, hygiene program or assistant

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program. The course shall contain, at a minimum, the following preclinical, didactic and clinical instruction:

- i) nomenclature;
- ii) caries classification;
- iii) oral anatomy;
- iv) dental morphology;
- v) periodontium;
- vi) histology;
- vii) basic occlusion;
- viii) ergonomics;
- ix) instrumentation;
- x) pulp protection liners and bases;
- xi) dental materials;
- xii) the medical history conditions and their implication for dental treatment and office emergencies;
- xiii) matrix and wedge techniques;
- xiv) amalgam placement and carving;
- xv) polishing amalgams;
- xvi) rubber dam clamp placement;
- xvii) rubber dam placement and removal;

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xviii) amalgam class I, II, V and IV. Class II cannot involve cusp replacement or pins.

D) All applicants must take and pass a pre-examination on basic dental procedures and techniques, as well as the basic fundamentals of dentistry.

E) All applicants must pass a written and clinical exam that is psychometrically sound, such as DANB's WARE or equivalent, to receive certification as determined by the Board.

F) All applicants must maintain proof of BLS certification.

G) As a condition of acceptance into the program, a supervising dentist must attend a required orientation class with the applicant and sign an agreement that he or she will follow the required guidelines regarding supervision and clinical application of specific techniques being taught between scheduled classes.

- d) An individual who graduated from an approved dental assisting program after January 1, 1999 that contained monitoring of nitrous oxide, coronal polishing, and sealant application in the curriculum shall not be required to complete an additional course or courses in these areas as prescribed in this Section upon proof to the dentist of having successfully completed the required curriculum.
- e) All intraoral procedures performed by a dental assistant must be examined by the supervising dentist prior to the dismissal of the patient from the facility that day.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.260 Restoration

- a) A licensee seeking restoration of a dental hygienist license after it has expired or been placed on inactive status for less than 5 years shall have the license restored by submitting proof of 36 hours of continuing education pursuant to Section 1220.440 within 3 years prior to application for restoration, proof of certification in ~~Basic Life Support for Healthcare Providers (BLS)~~ by an organization that has adopted the American Heart Association's or American Red Cross' guidelines on BLS or its equivalent or a statement from a licensed physician indicating that the

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applicant is physically disabled and unable to obtain certification and payment of \$20 plus all lapsed renewal fees, but not to exceed \$85. Individuals restoring a license from inactive status shall only be required to pay the current renewal fee.

- b) A licensee seeking restoration of a dental hygienist license after it has expired or been placed on inactive status for 5 years or more shall file an application, on forms supplied by the Division, together with the fees required by Section 1220.415, proof of 36 hours of continuing education pursuant to Section 1220.440 within 3 years prior to application for restoration and proof of certification in BLS by an organization that has adopted the American Heart Association's or American Red Cross' guidelines on BLS~~or its equivalent~~ or a statement from a licensed physician indicating that the applicant is physically disabled and unable to obtain certification. Individuals restoring a license from inactive status shall only be required to pay the current renewal fee. The licensee shall also submit either:
- 1) Certification of lawful active practice in another jurisdiction for at least 3 of the last 5 years. The certification shall include a statement from the appropriate board or licensing authority in the other jurisdiction that the licensee was authorized to practice during the term of said active practice; or
 - 2) An affidavit attesting to military service as provided in Section 16 of the Act. If an applicant applies for restoration of a license within 2 years ~~after~~of termination of ~~the~~such service, ~~he or she~~he/she shall have the license restored without paying any lapsed renewal or restoration fees.
- c) ~~If neither subsection (b)(1) or (b)(2) applies to the licensee, then he or she~~If the licensee has not maintained an active practice in another jurisdiction for over 5 years, he/she shall be required to take and pass the clinical examination as provided in Section 1220.220.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

SUBPART C: DENTAL SPECIALIST

Section 1220.310 Applications

- a) An applicant for ~~examination for~~ licensure as a dental specialist must be currently

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licensed as a dentist in Illinois and must file an application ~~at least 60 days prior to date of examination.~~ The application shall include the following:

- 1) Certification of completion of dental specialty training in accordance with subsection (b);
 - 2) The fee required in Section 1220.415(a)(2) ~~of this Part.~~
- b) To ~~further qualify for licensure examination~~ as a specialist in ~~endodontics~~Endodontics, ~~pediatric dentistry~~Pediatric Dentistry, ~~prosthodontics~~Prosthodontics, ~~periodontics~~Periodontics, ~~oral and maxillofacial radiology~~Oral and Maxillofacial Radiology, or ~~orthodontics~~Orthodontics and ~~dentofacial orthopedics~~Dentofacial Orthopedics, the applicant must submit, in addition to the requirements of subsection (a), records, certified by the director of the program, showing that the applicant has successfully completed a course of study of not less than 2 academic years in a program approved by the Division in the dental specialty ~~he or she~~he/she proposes to practice.
- c) To further qualify for ~~licensure examination~~ as a specialist in ~~oral and maxillofacial surgery~~Oral and Maxillofacial Surgery, the applicant must submit, in addition to the requirements of subsection (a), the following:
- 1) The ~~oral and maxillofacial surgery~~Oral and Maxillofacial Surgery application must contain evidence that the applicant has successfully completed a 4 year (48 months) period of training in oral and maxillofacial surgery in a school and/or hospital approved by the Division. A minimum of 30 months shall be in clinical oral and maxillofacial surgery. The schedule shall include 24 months of full-time hospital training in an acceptable oral and maxillofacial surgery residency program. Not less than 4 months of this period must be devoted to training in anesthesiology.
 - 2) Certified records are required from the Dean of the dental school or the head of the Oral and Maxillofacial Surgery Department of the hospital or clinic in which the oral and maxillofacial surgery training took place. The records must attest to the individual's successful completion of the program.
- d) After July 1, 1994, periodontic specialty programs shall be 3 consecutive academic years with a minimum of 30 months of instruction. At least 2

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consecutive years of clinical education must take place in a single educational setting. Applicants who completed periodontic specialty training prior to July 1, 1994, shall have successfully completed a course of study of not less than 2 academic years in a program approved by the Division.

- e) ~~The~~ For the purpose of approving dental specialty education programs, the Division shall accept those specialty education providers accredited, at the time the education was obtained, by CODA apply the standards used by the American Dental Association as approved by its Commission on Dental Accreditation specified in the "Requirements for Advanced Specialty Education Programs", approved July 1, 1994, which are incorporated by reference in this Part and include no later amendments.
- f) ~~The provisions of this Section shall apply to all applicants upon adoption without regard to where an applicant is in the application process.~~

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.320 Examination (Repealed)

- a) ~~Examination for dental specialist licensure shall be held at least once each year and be conducted in the following specialties:~~
- ~~Endodontics~~
- ~~Oral and Maxillofacial Surgery~~
- ~~Orthodontics and Dentofacial Orthopedics~~
- ~~Pediatric Dentistry~~
- ~~Periodontics~~
- ~~Prosthodontics~~
- ~~Oral and Maxillofacial Radiology~~
- b) ~~The examination for dental specialty licensure shall contain 2 parts in the specialty for which the applicant is applying and consist of a:~~

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- 1) ~~Clinical Examination; and a~~
- 2) ~~Presentation of Case Histories and Oral Examination.~~
- e) ~~The Clinical is a written examination that shall test the applicant's knowledge in the subjects that constitute the science and art of the specialty for which the applicant is applying.~~
- d) ~~The Presentation of Case Histories and Oral Examination shall be evidence of the applicant's skill in his specialty. Applicants are required to submit case histories as specified in the candidate examination information packet received from the Division, or its designated testing service, prior to examination. Case histories shall be representative of the treatment situations encountered in the specialty for which the applicant is applying, and shall be cases in which the applicant had primary responsibility and control over the treatment method.~~
- e) ~~Applicants for dental specialist licensure shall have passed the Examination for dental specialist licensure in the specialty for which he is applying within the 3 years prior to licensure. The 3 years shall be computed from the date of the successful examination.~~
- f) ~~The written clinical part of the examination shall be waived for applicants who have passed the theoretical written portion of the American Board Examination in the specialty for which they are applying.~~
- g) ~~An applicant must score a grade of 75 or better in each part of the dental specialist licensure examination.~~
- h) ~~An applicant will not be required to retake any part of the dental specialty examination on which a score of 75 or more was received.~~
- i) ~~The provisions of this Section shall apply to all applicants upon adoption without regard to where an applicant is in the application process.~~

(Source: Repealed at 37 Ill. Reg. _____, effective _____)

Section 1220.335 American Board Diplomates (Repealed)

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- a) ~~An applicant for dental specialist licensure as a specialist in Endodontics, Pediatric Dentistry, Periodontics, Prosthodontics, Orthodontics and Dentofacial Orthopedics, Oral Maxillofacial Radiology or Oral and Maxillofacial Surgery who is also certified as an American Board Diplomate in the specialty for which application for licensure is made shall not be required to take the examination for dental specialist licensure as provided for in Section 1220.320 of this Part. To qualify for this exemption from the Division's dental specialty examination, the American Board Diplomate must have passed both the written and oral examinations provided by the specialty board, regardless of whether American Board Diplomate status is conferred by the specialty board without passage of both examinations.~~
- b) ~~American Board Diplomates applying for dental specialist licensure shall meet the requirements for specialty licensure set forth in Section 1220.310, with the exception of the examination, and shall additionally submit evidence of certification as an American Board Diplomate and proof of passage of both the written and oral examinations provided by the specialty board at time of application for licensure.~~

(Source: Repealed at 37 Ill. Reg. _____, effective _____)

Section 1220.350 Restoration

- a) A licensee seeking restoration of a specialty license after it has expired for less than 5 years shall have the license restored upon payment of \$20 plus all lapsed renewal fees. Individuals restoring a license from inactive status shall not be required to pay lapsed renewal fees. In order to restore a specialty license the applicant shall have an active dental license.
- b) A licensee seeking restoration of a license after it has expired or been placed on inactive status for 5 years or more shall file an application, on forms supplied by the Division, together with the fees required by Section 21 of the Act. Individuals reactivating a license from inactive status shall only be required to pay the current renewal fee. The registrant shall also submit either:
- 1) Certification of lawful active practice in another jurisdiction for 3 of the last 5 years. Such certification shall include a statement from the appropriate board or licensing authority in the other jurisdiction that the licensee was authorized to practice during the term of said active practice;

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or

- 2) An affidavit attesting to military service as provided in Section 16 of the Act. If an applicant applies for restoration of his license within 2 years of termination of such service, he shall have his license restored without paying any lapsed renewal or restoration fees.
- c) If the licensee has not maintained an active practice in another jurisdiction for over 5 years, ~~he or she~~^{he/she} shall be required to complete such additional testing, training or remedial education as the Board may deem necessary in order to establish the licensee's present capacity to practice his or her specialty with reasonable judgment, skill and safety~~take and pass the clinical examination as provided in Section 1220.320.~~

(Source: Amended at 37 Ill. Reg. _____, effective _____)

SUBPART D: GENERAL

Section 1220.407 Death or Incapacitation of Dentist

- a) The executor or administrator of a dentist's estate or the legal guardian or authorized representative of a dentist who has become incapacitated may contract with another dentist or dentists to continue the operations of the deceased or incapacitated dentist's **practice** for a period of no more than one year from the time of death or incapacitation of the dentist or until the practice is sold, whichever occurs first. [225 ILCS 25/38.2(a)]
- b) An executor, administrator, guardian or authorized representative seeking authorization to contract with another dentist or dentists to continue a practice as referenced in subsection (a), shall file an application with the Division, on forms provided by the Division, that shall include:
 - 1) The name and license number of the deceased or incapacitated dentist;
 - 2) A signed affidavit certifying that the executor, administrator, guardian, or authorized representative understands that any interference by the executor, administrator, guardian, or authorized representative or any agent or assignee of the executor, administrator, guardian, or authorized representative with the contracting dentist's or dentists' practice of

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dentistry or professional judgment or any other violation of this Section is grounds for an immediate termination of the operations of the dental practice [225 ILCS 25/38.2(a)(1)(E)];

- 3) The required fee set forth in Section 1220.415;
 - 4) The name and address of the dental practice;
 - 5) The name, address and tax identification number of the estate;
 - 6) The name and license number of each dentist who will operate the dental practice; and
 - 7) A copy of the death certificate of the dentist, if applicable, or a copy of a physician's statement detailing the dentist's incapacitating condition as set forth in subsection (e).
- c) A dental practice seeking to continue operations of a deceased or incapacitated dentist shall not begin until the provisions of subsection (b) have been met.
- d) Within 30 days after the death or incapacitation of a dentist, the executor, administrator, guardian, or authorized representative shall send notification of the death or incapacitation by mail to the last known address of each patient of record that has seen the deceased or incapacitated dentist within the previous 12 months, with an explanation of how copies of the practitioner's records may be obtained. This notice may also contain any other relevant information concerning the continuation of the dental practice. [225 ILCS 38.2(a)(2)]
- e) A licensed dentist shall be considered incapacitated if:
- 1) a physician licensed to practice medicine in all its branches has examined the licensee and has determined that the licensee lacks decision making capacity in order to competently and safely practice dentistry and that the incapacity is expected to continue for a minimum of 6 months;
 - 2) that physician has made a written record of this determination and has signed the written record within 90 days after the examination; and
 - 3) the written record has been delivered to the Department.

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- f) Any statement indicating incapacity to practice filed by a licensee or on the licensee's behalf shall be reviewed by the Department's Dental Coordinator, as well as Department staff, to determine if additional material or documentation may be required to determine whether a licensee is incapacitated, as required in Section 38.2 of the Act.
- g) A declaration of incapacity or a determination of incapacity shall not be a bar to Department action pursuant to Section 23 of the Act.
- h) Any renewal applicant who, prior to the expiration date of the license, submits a request for a waiver pursuant to Section 1220.440(e), in whole or in part, pursuant to the provisions of this Section, shall be deemed to be in good standing until the final decision on the application is made by the Division.
- i) The Division may require additional evidence demonstrating death or incapacity.
- j) The licensee may appeal the Division's determination of incapacity pursuant to the same procedures and guidelines as contained in the Act; however, the professional service corporation license shall remain in an inoperative status pending the outcome of the appeal.
- k) Upon review and recommendation of the Division, in accordance with the standards set forth in this Section, the Division will:
- 1) Place the licensee's dental license in inactive status.
 - 2) Place or maintain the professional service corporation license of the dental practice in an active status so long as all other requirements for licensure and renewal have been met.
- l) Prior to the Division restoring a license that has been placed in inactive status due to an incapacitating illness or condition, the licensee shall:
- 1) request restoration in writing on forms supplied by the Department; and
 - 2) provide a statement from a physician licensed to practice medicine in all its branches that the physician has examined the licensee and has determined that the licensee is no longer under the incapacitating illness or

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condition as stated in subsection (e) and that the licensee is not subject to any other incapacitating illness or condition that would affect the licensee's ability to competently and safely practice dentistry.

(Source: Added at 37 Ill. Reg. _____, effective _____)

Section 1220.410 Endorsement

- a) A person seeking licensure in Illinois as a dentist, a dental specialist or a dental hygienist who is so licensed in another state or territory and has been lawfully practicing for at least 3 of the last 5 years prior to application in Illinois, may be granted licensure in Illinois upon proof that the requirements for licensure in the other jurisdiction are at least equal to the requirements in Illinois.
- b) An applicant for a dental license shall file an application for licensure on forms provided by the Division, that shall include:
 - 1) Certification of licensure in the original jurisdiction and from any jurisdiction where the applicant has been practicing within the last 5 years, stating:
 - A) The time during which the applicant was licensed in that jurisdiction, including the date of the original issuance of the license;
 - B) Whether the files of the jurisdiction contain any record of any disciplinary action taken or pending;
 - 2) The applicant's National Board of Dentistry Examination scores, which must be forwarded to the Division from the Joint Commission on National Dental Examinations;
 - 3) Certification of successful completion of 60 semester hours or its equivalent of college level pre-dental education and graduation from a course of instruction in a dental school that meets the minimum education standards of the Division specified in Section 1220.140;
 - 4) After May 21, 1993, for dental applicants who graduated from a dental college or school outside of the United States or Canada:

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- A) Certification of graduation from a dental college or school;
 - B) Certification that the applicant was authorized to practice in the jurisdiction in which the applicant attended dental school; and
 - C) Certification from an approved dental college or school in the United States or Canada that the applicant has completed a minimum of 2 years of clinical training at the school in which the applicant met the same level of scientific knowledge and clinical competence as all graduates from that school or college. The 2 years of clinical training shall consist of:
 - i) 2850 clock hours completed in 2 academic years for full-time applicants; or
 - ii) 2850 clock hours completed in 4 years with a minimum of 700 hours per year for part-time applicants;
- 5) Verification of employment;
- 6) The fee required under Section 1220.415 ~~of this Part~~.
- c) An applicant for a dental hygienist license shall file an application for licensure on forms provided by the Division, that shall include:
- 1) Certification of licensure in the original jurisdiction and from any jurisdiction where the applicant has been practicing within the last 5 years, stating:
 - A) The time during which the applicant was licensed in that jurisdiction, including the date of the original issuance of the license;
 - B) Whether the files of the jurisdiction contain any record of any disciplinary action taken or pending;
 - 2) The applicant's National Dental Hygienist Board Examination scores, which must be forwarded to the Division from ~~JCNDE~~ the Joint

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~~Commission on National Dental Examinations;~~

- 3) Certification of 2 academic years of credit in an approved school of dental hygiene that meets the minimum education standards of the Division specified in Section 1220.250;
 - 4) Verification of employment;
 - 5) The fee required under Section 1220.415 ~~of this Part.~~
- d) ~~An applicant for a dental specialty license shall already hold an Illinois license to practice general dentistry or shall file an application for a license to practice general dentistry, as specified in subsection (b) together with the application for a dental specialty license. The application for a dental specialty license shall be filed on forms provided by the Division, which shall include:~~
- 1) ~~Certification of licensure in the original jurisdiction and from any jurisdiction, where the applicant has been practicing 3 of the last 5 years, stating:~~
 - A) ~~The time during which the applicant was licensed in that jurisdiction, including the date of the original issuance of the license;~~
 - B) ~~Whether the files of the jurisdiction contain any record of any disciplinary action taken or pending;~~
 - 2) ~~The applicant shall submit evidence of one of the following:~~
 - A) ~~Proof of admittance as an American Board Diplomate in the specialty for which application for licensure is made; or~~
 - B) ~~Proof of passage of the examination specified in Section 1220.320 or one substantially equivalent;~~
 - 3) ~~Certification of completion of dental specialty training in accordance with Section 1220.310 in the specialty for which application for licensure is made;~~

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- 4) ~~Verification of employment;~~
- 5) ~~The fee required by Section 1220.415.~~

d)⇨ Applicants who have not actively practiced in 3 of the last 5 years may be required to complete additional testing, training, or remedial education as the Board may deem necessary in order to establish the applicant's present capacity to practice dentistry.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.415 Fees

The following fees shall be paid to the Department and are not refundable:

- a) Application Fees:
 - 1) The fee for application for initial license as a dentist is \$250.
 - 2) The fee for application as a dental specialist is \$300.
 - 3) The fee for application as a dental hygienist is \$100.
 - 4) Applicants for any examination shall be required to pay, either to the Department or to the designated testing service, a fee covering the cost of determining an applicant's eligibility and providing the examination. Failure to appear for the examination on the scheduled date, at the time and place specified, after the applicant's application for examination has been received and acknowledged by the Division or the designated testing service, shall result in the forfeiture of the examination fee.
 - 5) The fee for application for a dentist licensed under the laws of another jurisdiction is \$750.
 - 6) The fee for application for a dental hygienist licensed under the laws of another jurisdiction is \$300.
 - 7)⇨ The fee for application for a dental sedation permit is \$300.

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~~8)7)~~ The fee for application for a restricted faculty license is \$250.

~~9)8)~~ The fee for application for a temporary training license is \$150.

~~10)9)~~ The fee for application as a continuing education sponsor is \$1,000.

b) ~~Renewal Fees-~~

- 1) The fee for the renewal of a license as a dentist is \$300 (\$100 per year), pursuant to Section 21 of the Act.
- 2) The fee for the renewal of a license as a dental specialist is \$300 (\$100 per year), pursuant to Section 21 of the Act.
- 3) The fee for the renewal of a license as a dental hygienist is \$150 (\$50 per year), pursuant to Section 21 of the Act.
- 4) The fee for the renewal of a sedation permit is \$300 (\$100 per year).
- 5) The fee for the renewal of a license as a continuing education sponsor is \$700.
- 6) The fee for the renewal of a restricted faculty license is \$150.

c) ~~General Fees-~~

- 1) The fee for the restoration of a license other than from inactive status is ~~\$50~~\$20 plus payment of all lapsed renewal fees.
- 2) The fee for the issuance of a duplicate license, for the issuance of a replacement license, for a license that has been lost or destroyed or for the issuance of a license with a change of name or address other than during the renewal period is \$20. No fee is required for name and address changes on Division records when no duplicate license is issued.
- 3) The fee for a certification of a licensee's record for any purpose is \$20.
- 4) The fee to have the scoring of an examination administered by the Division reviewed and verified is \$20 plus any fees charged by the

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applicable testing service.

- 5) The fee for a wall certificate showing licensure shall be the actual cost of producing such certificate.
- 6) The fee for a roster of persons licensed in this State under the ~~Dental Practice Act~~ shall be the actual cost of producing ~~the~~ a roster.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.421 Advertising

- a) Persons licensed to practice dentistry in the State of Illinois may advertise in any medium or other form of public communication in a manner that is truthful, and that is not fraudulent, deceptive, inherently misleading or proven to be misleading in practice. ~~The~~ ~~Sueh~~ advertising shall contain all information necessary to make the communication not misleading and shall not contain any false or misleading statement or otherwise operate to deceive.
- b) Information that may be contained in ~~the~~ ~~sueh~~ advertising includes:
 - 1) Dentist's name, address, office hours, and telephone number;
 - 2) Schools attended;
 - 3) Announcement of the opening of, change of, or return to practice;
 - 4) *Announcement of additions to or deletions from professional dental staff;* (Section 45 of the Act);
 - 5) Dentist's hospital affiliations;
 - 6) Any specialty licenses held, Board certification, professional society memberships and any limitations or concentrations of practice;
 - 7) Credit arrangements and/or acceptance of Medicare/Medicaid patients;
 - 8) Foreign language ability;

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- 9) Usual and customary fees for routine professional services that must include a statement that fees may be adjusted due to complications or unforeseen circumstances unless the fees do not vary under any circumstances;
 - 10) Description of offices in which dentist practices, e.g., accessibility to the handicapped, laboratory facilities on the premises, convenience of parking; and
 - 11) *Other information about the dentist, the dentist's practice, or the types of practice in which the dentist will accept employment, which a reasonable person might regard as relevant in determining whether to seek the dentist's services.* (Section 45 of the Act)
- c) If an advertisement is communicated to the public over television, ~~or radio~~ or the Internet, it shall be prerecorded and approved for broadcast by the dentist, and a recording of the actual transmission, including videotape, shall be retained by the dentist for a period of at least 3 years. Upon a written request from the Division, a dentist shall provide the Division with a copy of any such advertisement within 7 working days after receipt of the request (e.g., upon initiation of any investigation, receipt of a complaint, inquiry from the public, etc.).
- d) Information that may be untruthful, fraudulent, deceptive, inherently misleading, or that has proven to be misleading in practice includes that which:
- 1) Contains a misrepresentation of fact or omits a material fact required to prevent deception;
 - 2) Guarantees favorable results or creates false or unjustified expectations of favorable results;
 - 3) Takes advantage of the potential client's fears, anxieties, vanities, or other emotions;
 - 4) Contains ~~testimonials and/or~~ exaggerations pertaining to the quality of dental care;
 - 5) Describes as available products or services that are not permitted by the laws of this State and/or applicable Federal laws; and

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- 6) Advertises professional services that the dentist is not licensed to render.
- e) A dentist may incorporate as a professional service corporation or any other business entity permitted to provide dental services under a fictitious or an assumed name; however, all advertisements for dental services to be performed by members or employees of the corporation or other business entity must comply with the following conditions:
- 1) A dentist licensed and practicing in Illinois shall be designated at each practice location for the corporation or other business entity who shall assume responsibility for all advertising in Illinois.
 - 2) The name, office address and office phone number of the designated dentists shall appear in all advertising for the corporation or other business entity.
 - 3) The names of the owners of the corporation or other business entity, if other than the designated dentists, shall appear in all advertising for the corporation or other business entity.
 - 4) A list of all dentists employed by the corporation or other business entity who perform dental services shall be prominently displayed at the location where they practice.
 - 5) If the corporation or other business entity offers to practice both general dentistry and any licensed specialty, all advertising for the specialty shall include the name of the licensed dental specialists who performs the specialty services.
- f) When words relating to specialty practice are used in an advertisement, the advertisement must not imply that the dentist offering those services is licensed as a specialist unless he holds a specialty license issued by the Division. Words that cannot be used by a dentist unless licensed in that specialty are Endodontist, Pedodontist, Pediatric Dentist, Periodontist, Prosthodontist, Orthodontist, Oral and Maxillofacial Radiologist, or Oral and Maxillofacial Surgeon. Terms such as "Specialist", "Practice Limited To" or "Limited To Specialty Of", with the name of ~~the such~~ branch of dentistry practiced as a specialty, (~~endodontics~~Endodontics, ~~periodontics~~Pedodontics (~~pediatric dentistry~~Pediatric Denistry),

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~~periodontics~~Periodontics, ~~prosthodontics~~Prosthodontics, ~~orthodontics~~Orthodontics, ~~oral and maxillofacial radiology~~Oral and Maxillofacial Radiology and ~~oral and maxillofacial surgery~~Oral and Maxillofacial Surgery) shall be prima facie evidence that such dentist is holding himself out to the public as a specialist. A general dentist who advertises, in any media, using words or phrases customarily used by a specialist, except those prohibited above, but who does not hold a specialty license, shall include in ~~the~~such advertisement a prominent disclaimer that he ~~or she~~ is licensed only as a general dentist.

- g) Any advertisements offering the availability of those recognized dental specialties specified in Section ~~1220.310~~~~1220.320~~ of this Part, or offering the availability of some other "specialty" practice not specifically recognized by the Division shall contain a prominent disclaimer in the form of a statement setting forth the specialties in which the dentist is licensed in Illinois and/or a statement that the dentist is licensed to practice as a general dentist in Illinois.
- h) Advertising shall not use language suggesting a dental specialty that is not specified in Section ~~1220.310~~~~1220.320~~ of this Part unless it contains the disclaimer required in subsection (g). Examples of language requiring disclaimer: family dentistry, cosmetic dentistry, restorative dentistry, preventive dentistry, hospital dentistry, implant dentistry, TMJ, cranio mandibular dentistry.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.440 Continuing Education

- a) Continuing Education Hours Requirements
- 1) Beginning with the September 30, 2009 renewal and every renewal thereafter, each person who applies for renewal of a license as a dentist shall have completed 48 hours of continuing education (CE) relevant to the practice of dentistry during the prerenewal period.
 - 2) Beginning with the September 30, 2009 renewal and every renewal thereafter, each person who applies for renewal of a license as a dental hygienist shall have completed 36 hours of CE relevant to the practice of dental hygiene during the prerenewal period.
 - 3) A prerenewal period is the 36 months preceding September 30 of the year

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of the renewal.

- 4) A renewal applicant is not required to comply with CE requirements for the first renewal following the original issuance of a dental or dental hygienist license.
 - 5) Continuing education is not required to renew a dental specialty license. The holder of a dental specialty license is, however, required to complete 48 hours to renew the dental license.
 - 6) Dentists or dental hygienist licensed in Illinois but residing in other states shall comply with the CE requirements set forth in this Section.
 - 7) Continuing education credit for hours used to satisfy the CE requirements of another state may be applied to fulfillment of the CE requirements of the State of Illinois.
- b) Approved Continuing Education/Continuing Education Sponsors
- 1) All CE courses shall be relevant to the treatment and care of patients and shall be:
 - A) Clinical courses in dentistry and dental hygiene; or
 - B) Nonclinical subjects that relate to the skills necessary to provide dental or dental hygiene services and are supportive of clinical services (i.e., patient management, legal and ethical responsibilities, stress management). Courses not acceptable for the purpose of this definition include, but are not limited to, estate planning, financial planning, investments and personal health.
 - 2) CE credit may be earned for verifiable attendance at or participation in any courses that meet the requirements of subsection (b)(1) given by one of the following sponsors:
 - A) American Dental Association and National Dental Association, its constituent and component/branch associations and the American Dental Association Continuing Education Recognition Programs;

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- B) American Dental Hygienist's Association and National Dental Hygienist's Association, its constituent and component/branch associations;
- C) Dental programs approved by the Division as meeting minimum standards for an approved curriculum in dentistry under Section 1220.140 and dental hygiene programs approved under Section 1220.250 ~~of this Part~~;
- D) Organizations of specialties recognized by the American Dental Association and its constituent and component/branch associations, such as, but not limited to:
- i) Oral and ~~maxillofacial surgery~~; ~~Maxillofacial Surgery~~
 - ii) Endodontics;
 - iii) Pediatric ~~dentistry~~; ~~Dentistry~~
 - iv) Prosthodontics;
 - v) Orthodontics;
 - vi) Periodontology;
 - vii) Oral and ~~maxillofacial radiology~~; ~~Maxillofacial Radiology~~;
- E) Academy of General Dentistry, its constituent and component/branch associations and approved sponsors;
- F) American Dental Society of Anesthesiology and its constituent and component/branch associations;
- G) Community colleges with an approved dental hygiene program if offered under the auspices of the dental hygiene program;
- H) A college or university accredited by an agency approved by the U.S. Office of Education or a community college approved by the Illinois Community College Board;

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- I) A hospital that has been accredited by the Joint Commission on Accreditation of Healthcare Organizations;
- J) The American Heart Association and the American Cancer Society;
- K) A medical school that is accredited by the American Medical Association's Liaison Committee for Medical Education;
- L) American Medical Association (AMA), specialty medical associations/organizations, the Accreditation Council on Continuing Medical Education;
- M) Federal and State government agencies (i.e., dental division, military dental division, Veterans' Administration, etc.); ~~or~~
- N) A sponsor whose course is approved by the National Board for Certification in Dental Laboratory Technology; or
- ~~O)N)~~ A person, firm or association approved by the Division in accordance with subsection (c).
- 3) CE credit may be earned for completion of an individual study course (correspondence, audio or video course) sponsored by an approved sponsor. ~~TheSuch~~ courses shall include a test that the licensee must pass to obtain credit. No more than 50% of the required CE credit hours during a prerenewal period may be acquired through correspondence courses.
- 4) CE credit may be earned from teleconferencing courses with a moderator present given by an Illinois approved sponsor.
- 5) CE credit may be earned from courses leading to an advanced degree or specialty in dental or dental hygiene. ~~TheSuch~~ courses shall be allotted CE credit at the rate of 15 CE hours for each semester hour and 10 CE hours for each quarter hour of school credit awarded.
- 6) CE credit may be earned as an instructor of continuing education courses given by approved sponsors. Credit will be applied for every hour taught

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and only for the first presentation of the program (i.e., credit shall not be allowed for repetitious presentations). No more than 50% of the required CE credit hours during a prerenewal period may be acquired through teaching continuing education courses.

- 7) CE credit may be earned for presenting volunteer community oral health education programs. Credit will be applied for each hour of presentation documented by the program director. No more than 2 hours of the required CE credit hours during a prerenewal period may be acquired through presentation of volunteer community oral health education programs.
 - 8) Continuing education hours required by a disciplinary order shall not be used to satisfy the continuing education requirements for license renewal.
 - 9) If a renewal applicant will be earning or has earned CE hours in another jurisdiction, but is not licensed in that jurisdiction and the course is not presented by an Illinois approved sponsor, the applicant shall submit an individual program approval request form, along with a \$20 processing fee, to have the program reviewed. The Board shall review and recommend approval or disapproval of the program using the criteria set forth in subsection (b)(1) ~~of this Section~~. Applicants may seek individual program approval prior to participation in the course or program. All individual program approval requests shall be submitted prior to the expiration date of the license.
- c) Sponsor Application Pursuant to Subsection (b)(2)(M)
- 1) Entities seeking approval as CE sponsors pursuant to subsection (b)(2)(M) shall file an application, on forms supplied by the Division, along with the fee set forth in Section 1220.415(a)(9). The applicant shall certify on the application the following:
 - A) That all programs offered by the sponsor for CE credit will comply with the criteria in subsection (b)(1) and all other criteria in this Section;
 - B) That the sponsor will be responsible for providing a certificate of attendance and will maintain attendance records for at least 5

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years. The certificate of attendance shall contain:

- i) The name and address of the sponsor;
- ii) The name, address and license number of the participant;
- iii) A brief statement of the subject matter;
- iv) The number of hours attended in each program;
- v) An indication of whether the program fulfills CE requirements for dentist, dental hygienist or both;
- vi) The date and place of the program; and
- vii) The signature of the sponsor;

C) That, upon request by the Division, the sponsor will submit evidence (e.g., certificate of attendance or course materials) as is necessary to establish compliance with this Section. Evidence shall be required when the Division has reason to believe that there is not full compliance with this Part and that the information is necessary to ensure compliance.

- 2) To maintain approval as a sponsor, each sponsor shall submit to the Division by September 30 of each even-numbered year a renewal application, the fee set forth in Section 1220.415(b)(5) and a list of courses and programs offered within the last 24 months. The list shall include a brief description, location, date and time of each course given.
- 3) The sponsor shall be responsible for ensuring that any dentist or dental hygienist who will be performing some type of procedure as a part of a continuing education course shall have a current license in Illinois or another jurisdiction.

d) Certification of Compliance with CE Requirements

- 1) Each renewal applicant shall certify, on the renewal application, to full compliance with the CE requirements set forth in subsection (a).

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- 2) The Division may require additional evidence (e.g., certificate of attendance, transcripts ~~and~~; proof of registration) demonstrating compliance with the CE requirements. It is the responsibility of each renewal applicant to retain or otherwise produce evidence of ~~thesueh~~ compliance. The evidence shall be retained for at least 5 years following the renewal period in which the CE was taken.
 - 3) The Division may conduct random audits to verify compliance with CE requirements.
 - 4) When there is evidence of a lack of compliance with CE requirements, an applicant shall be notified in writing and may request a hearing before the Board. The Division may recommend that steps be taken to begin the formal disciplinary proceedings as required by Section 10-65 of the Illinois Administrative Procedure Act [5 ILCS 100/10-65].
- e) Waiver of CE Requirements
- 1) Any renewal applicant seeking renewal of the license or certificate without having fully complied with these CE requirements shall file with the Division a renewal application, a statement setting forth the facts concerning ~~thesueh~~ noncompliance, a request for waiver of the CE requirements on the basis of ~~thesueh~~ facts and, if desired, a request for an interview before the Board. If the Division finds, from ~~thesueh~~ statement or any other evidence submitted, that good cause has been shown for granting a waiver of the CE requirements, or any part thereof, the Division shall waive enforcement of ~~thosesueh~~ requirements for the renewal period for which the applicant has applied.
 - 2) Good cause shall be defined as an inability to devote sufficient hours to fulfilling the CE requirements during the applicable prerenewal period because of:
 - A) Full-time service in the armed forces of the United States of America during a substantial part of ~~thatsueh~~ period;
 - B) A temporary incapacitating illness documented by a licensed physician. A second, consecutive request for a CE waiver pursuant

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to this subsection (e)(2)(B) shall be prima facie proof that the renewal applicant has a physical or mental illness, including, but not limited to, deterioration through the aging process, or loss of motor skills that results in the dentist's inability to practice dentistry with reasonable judgment, skill or safety, in violation of Section 23(24) of the Act, and shall be grounds for denial of the renewal or other discipline;

C) Temporary undue hardship (e.g., prolonged hospitalization, being disabled and unable to practice dentistry or dental hygiene on a temporary basis).

3) If an interview is requested at the time the request for waiver is filed with the Division, the renewal applicant shall be given at least 20 days written notice of the date, time and place of the interview by certified mail, return receipt requested.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

SUBPART E: ANESTHESIA PERMITS

Section 1220.500 Definitions

"Anesthesia Case" means a situation in which the permit holder is responsible for anesthesia care on a live patient.

"Deep Sedation" means a pharmacologically induced depressed state of consciousness, accompanied by partial loss of protective reflexes, including the inability to respond purposefully to oral commands. The purposeful response to painful stimulation is maintained.

"General Anesthesia" means a pharmacologically induced state of unconsciousness accompanied by a partial or complete loss of protective reflexes, including the inability to independently maintain an airway and respond purposefully to painful stimulation or oral commands.

"Minimal Sedation" ~~or "Anxiolysis"~~ means a minimally depressed level of consciousness, produced by a pharmacological method, that retains the patient's ability to independently and continually maintain an airway and respond normally

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to tactile stimulation and verbal command. Although cognitive function and coordination may be modestly impaired, ventilatory and cardiovascular functions are unaffected.

"Moderate Sedation" or "~~Conscious Sedation~~" means a pharmacologically induced depressed state of consciousness (altered consciousness; signs of sleep) under which an individual retains the ability to independently and continuously maintain an airway and respond appropriately to light tactile stimulation and oral commands.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.505 Minimal Sedation (~~Anxiolysis~~) in the Dental Office Setting

- a) Minimal sedation (~~anxiolysis~~) includes the prescription or administration of a pharmacologic anxiolytic either with or without concomitant use of nitrous oxide dental analgesia. The drugs and/or techniques used must carry a margin of safety wide enough to prevent a depressed level of consciousness.
- b) No permit is required beyond the D.D.S. or D.M.D. degrees.
- c) Minimal monitoring of the patient is to be by clinical observation and appropriately documented in the patient's record.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.510 Moderate Sedation (~~Conscious Sedation~~) in the Dental Office Setting

- a) Moderate sedation (~~conscious sedation~~) includes the prescription or administration of pharmacologic agents to be used for the purposes of moderate sedation. Moderate sedation (~~conscious sedation~~) must be administered by an individual qualified under this Section. (See Appendix D for characteristics of levels of anesthesia.) The drugs and/or techniques used must carry a margin of safety wide enough to render unintended loss of consciousness unlikely.
- b) A licensed dentist seeking a Permit A for moderate sedation (~~conscious sedation~~) administration privileges shall file an application with the Division, on forms provided by the Division, that shall include:

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- 1) Certification of completion of an anesthesiology training program that meets the following requirements:
 - A) Include a minimum of 75 hours of didactic and clinical study that includes training in moderate sedation (~~conscious sedation~~), physical evaluation, venipuncture, advanced airway management, technical administration, recognition and management of complications and emergencies, and monitoring with additionally supervised experience in providing moderate~~conscious~~ sedation to 20 or more patients; and
 - B) Be an organized sequence of study operated by one entity and completed in less than one calendar year;
- 2) A signed affidavit certifying that:
 - A) the dentist will practice in a facility properly equipped in accordance with subsection (g) for the administration of moderate sedation (~~conscious sedation~~);
 - B) the facility will be staffed with a ~~supervised team~~, supervised by the applicant, that will remain in the treatment room. For each patient, the anesthesia team will consist of at least:
 - i) the dentist who holds the Permit A;
 - ii) one dental hygienist or dental assistant who has completed the training prescribed~~preseecribed~~ in Section 1220.240(f) or 1220.245(c)(2) and is capable of assisting with procedures, problems and emergencies incident to the administration of sedation; and
 - iii) one additional hygienist or dental assistant;
 - C) the dentist permit holder will remain immediately available to the patient after being treated under moderate sedation. A dental hygienist or dental assistant trained to monitor a patient under moderate sedation will remain with the sedated patient until the patient is no longer sedated;

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- D) all members of the anesthesia team are capable of assisting with procedures, problems and emergencies incident to the administration of sedation and, ~~after September 30, 2011,~~ will maintain current certification in ~~Basic Life Support for Healthcare Providers (BLS) or its equivalent;~~ and
- E) for the dentist permit holder, the BLS certification is in addition to the required 9 sedation technique CE hours (see subsection (k)) required per renewal cycle;
- 3) Proof of current ~~Advanced Cardiac Life Support (ACLS) certification or Pediatric Advanced Life Support (PALS) certification. Certification shall be completed by September 30, 2011;~~ and
- 4) The required fee set forth in Section 1220.415.
- c) Dentists who have a current valid permit for moderate sedation (~~conscious sedation~~) issued by the Division shall be permitted to administer without additional application.
- d) ~~In~~Upon review and recommendation of the Board in accordance with the standards set forth in this Section, the Division will:
- 1) Issue a moderate sedation (~~conscious sedation~~) permit (Permit A).
- 2) Re-issue a moderate sedation (~~conscious sedation~~) permit to Permit A holders who attest to completing continuing education.
- e) Licensees qualified to administer deep sedation (Permit B) pursuant to Section 1220.520 may administer moderate sedation (~~conscious sedation~~) without a Permit A.
- f) If the accuracy, relevance or sufficiency of any submitted documentation is questioned by the Division or the Board, because of discrepancies or conflicts in information, needing further clarification, and/or missing information, additional documentation may be required and/or an on-site evaluation of the facilities, equipment and personnel may be conducted by the Division or a member of the Board's Anesthesia Review Panel.

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- g) A properly equipped facility for the administration of moderation sedation (~~conscious sedation~~) shall include at minimum:
- 1) Sphygmomanometer and stethoscope;
 - 2) An oxygen delivery system with full face masks and connectors appropriate to the patient population being served that is capable of delivering oxygen to the patient under positive pressure, with an emergency backup system;
 - 3) Emergency drugs and equipment appropriate to the medications administered;
 - 4) Suction equipment, including an emergency backup suction system;
 - 5) An emergency backup lighting system that will permit the completion of any operation underway;
 - 6) A pulse oximeter;
 - 7) Laryngoscope complete with selection of blades and spare batteries and bulbs in sizes appropriate to the patient population being served;
 - 8) Advanced airway devices that would isolate the trachea and facilitate positive pressure oxygen administration in sizes appropriate for the patient population being served (e.g., endotracheal tubes or laryngeal mask airway);
 - 9) Tonsillar or pharyngeal suction tips adaptable to all office outlets;
 - 10) Nasal and oral airways in sizes appropriate to the patient population being served;
 - 11) Defibrillator (an automated external defibrillator is an acceptable defibrillator);
 - 12) Equipment for the establishment of an intravenous infusion;

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- 13) An operating table or an operating chair that permits appropriate access to the patient and provides a firm platform for the management of cardiopulmonary resuscitation; and
 - 14) A recovery area that has available oxygen, lighting, suction and electrical outlets. The Permit A holder shall remain with the patient until the patient retains the ability to independently and consciously maintain an airway and respond appropriately to physical stimulation and oral commands. The recovery area may be the operating theatre.
- h) The following records shall be kept during the administration of moderate sedation ~~(conscious sedation)~~:
- 1) Medical history of the patient and consent for administration of anesthesia prior to the performance of any procedure;
 - 2) Preoperative, intraoperative and pre-discharge monitoring of blood pressure, pulse, respiration and oxygen saturation. A time based record shall be entered into the patient's chart;
 - 3) Drugs and dosages of these drugs used during the operative procedure, including the identification of the person administering drugs and times of their administration over the course of the procedure.
- i) The dentist who holds the Permit A shall report adverse occurrences to the Division and the Board as required by Section 1220.405.
- j) A licensed dentist shall hold Permit A in order to perform dentistry while a licensed certified nurse anesthetist administers moderate sedation ~~(conscious sedation)~~. A nurse anesthetist for purposes of this Section is a licensed certified nurse anesthetist who holds a license as an advanced practice nurse under the Nurse Practice Act [225 ILCS 65]. The dentist shall enter into a written collaborative agreement with the nurse anesthetist in accordance with Section ~~65-3515-25~~ of the Nurse Practice Act and 68 Ill. Adm. Code 1300.
- k) Proof of 9 hours of continuing education per renewal cycle in sedation techniques, including medications and recognition and management of complications and emergencies, is required for renewal of Permit A.

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- l) A treating dentist does not need to hold Permit A to perform dentistry when another dentist, who holds Permit A or Permit B, or a physician assists the treating dentist by administering moderate sedation ~~(conscious sedation)~~. Physician for purposes of this Section means a physician who is licensed to practice medicine in all of its branches under the Medical Practice Act of 1987 [225 ILCS 60] and is authorized to provide anesthesia services in a licensed hospital or licensed ambulatory surgical treatment center or is a Board certified anesthesiologist.
- 1) The treating dentist shall be prepared to provide affidavits to the following if requested by the Division:
- A) That the facility used for sedation meets the criteria of subsection (g) of this Section;
- B) That the dentist shall staff the facility with a team, supervised by the permit holder or physician, ~~supervised team~~ that includes a minimum of 3 individuals per patient. The team shall be composed of either:
- i) One dental hygienist or dental assistant who has completed the training prescribed in Section 1220.240(f) or 1220.245(c)(2) and is capable of assisting with procedures, problems and emergencies incident to the administration of the sedation; the treating dentist; and the dentist who holds a Permit A or B providing the anesthesia services; or
- ii) One dental hygienist or dental assistant who has completed the training prescribed in Section 1220.240(f) or 1220.245(c)(2) and is capable of assisting with procedures, problems and emergencies incident to the administration of the sedation; the treating dentist; and a physician or dental anesthesiologist who holds a Permit B and has completed the certification prescribed in Section 1220.520(a)(1)(A) providing the anesthesia services.
- C) That the permit holder or physician will remain immediately available to the patient after being treated under moderate sedation. A dental hygienist or dental assistant trained to monitor a patient

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under moderate sedation will remain with the sedated patient until the patient is no longer sedated.

- 2) All members of the team, including the treating dentist (non-permit holder) must maintain current BLS certification or its equivalent. ~~Certification or its equivalent shall be completed by September 30, 2011.~~
- 3) In addition, the dentist (non-permit holder) shall report adverse occurrences to the Division as set forth in Section 1220.405 and accept the responsibility to verify the certification and licensure of any licensed provider present during the moderate sedation (~~conscious sedation~~) of a patient who is receiving dental care.
- m) A dentist holding a Permit A shall maintain current ~~Advanced Cardiac Life Support (ACLS) certification~~ or ~~Pediatric Advanced Life Support (PALS) certification~~. ACLS or PALS certification shall be in addition to the required 9 hours of anesthesia CE per renewal cycle. ~~Certification shall be completed by September 30, 2011.~~
- n) A dentist holding a Permit A shall maintain a logbook indicating the sedation cases performed. The log shall include the patient name, date, route of sedation administration, drug name and dosage, and the names of anesthesia team members assisting. This information shall be supplied to the Division upon request.
- o) A dentist holding a Permit A must also hold an active Illinois Controlled Substance License and current federal Drug Enforcement Administration registration.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1220.520 Deep Sedation and General Anesthesia in the Dental Office Setting

Deep sedation and general anesthesia must be administered by an individual qualified under this Section. (See Appendix D for characteristics of levels of anesthesia.)

- a) A licensed dentist seeking a permit to administer deep sedation or general anesthesia shall make application to the Division, on forms provided by the Division, that shall include:

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- 1) Certification of meeting one or more of the following:
 - A) Completion of a minimum of 2 years of advanced training in anesthesiology beyond the pre-doctoral level, in a training program approved by the American Dental Association, Commission on Dental Education, as outlined in Guidelines for Teaching Pain Control and Sedation to Dentists and Dental Students, published by the American Dental Association, Commission on Dental Education (October ~~2012~~2007).
 - B) Be a diplomate of the American Board of Oral and Maxillofacial Surgery.
 - C) Have an active, approved application with the American Board of Oral and Maxillofacial Surgery to obtain diplomat status.
 - D) Have a specialty license in oral and maxillofacial surgery issued by the Division;
- 2) A signed affidavit certifying that:
 - A) the dentist will practice in a facility properly equipped in accordance with subsection (d) for the administration of deep sedation and general anesthesia;
 - B) the facility will be staffed with ~~ana-supervised~~ supervised by the applicant anesthesia team, that will remain in the treatment room during the procedure on the patient. For each patient, the anesthesia team will consist of at least:
 - i) the dentist who holds the permit B;
 - ii) one dental hygienist or dental assistant who has completed the training prescribed in Section 1220.240(f) or 1220.245(c)(2) and is capable of assisting with procedures, problems and emergencies incident to the administration of the sedation; and
 - iii) one additional hygienist or dental assistant;

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- C) the dentist permit holder will remain immediately available to the patient ~~after~~ being treated under deep sedation or general anesthesia. A dental hygienist or dental assistant trained to monitor a patient under deep sedation or general anesthesia will remain with the sedated patient until the patient is no longer sedated;
 - D) all members of the anesthesia team are capable of assisting with procedures, problems and emergencies incident to the administration of sedation and, ~~after September 30, 2011,~~ will maintain current certification in ~~Basic Life Support for Healthcare Providers (BLS)~~ or its equivalent; and
 - E) for the dentist permit holder, the BLS certification is in addition to the required 9 sedation technique CE hours (see subsection (h)) required per renewal cycle;
- 3) Proof of current ~~Advanced Cardiac Life Support (ACLS) certification~~ or ~~Pediatric Advanced Life Support (PALS) certification.~~ ~~Certification shall be completed by September 30, 2011;~~ and
 - 4) The required fee set forth in Section 1220.415.
- b) ~~In~~Upon review and recommendation of the Board ~~in~~ accordance with the standards set forth in this Section, the Division will issue a deep sedation or general anesthesia permit (Permit B).
 - c) If the accuracy, relevance or sufficiency of any submitted documentation is questioned by the Division or the Board because of discrepancies or conflicts in information needing further clarification, and/or missing information, additional documentation may be required and/or an on-site evaluation of the facilities, equipment and personnel may be conducted by the Division or a member of the Board's Anesthesia Review Panel.
 - d) A properly equipped facility for the administration of deep sedation or general anesthesia shall include, at a minimum:
 - 1) Sphygmomanometer and stethoscope;

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- 2) An oxygen delivery system with full face masks and connectors appropriate to the patient population being served that is capable of delivering oxygen to the patient under positive pressure, with an emergency backup system;
- 3) Emergency drugs and equipment appropriate to the medications administered;
- 4) Suction equipment, including an emergency backup suction system;
- 5) An emergency backup lighting system that will permit the completion of any operation underway;
- 6) Laryngoscope complete with selection of blades and spare batteries and bulbs in sizes appropriate to the patient population being served;
- 7) Endotracheal tubes and connectors in sizes appropriate for the patient population being served;
- 8) Tonsillar or pharyngeal suction tips adaptable to all office outlets;
- 9) Nasal and oral airways in sizes appropriate to the patient population being served;
- 10) Device for monitoring temperature (e.g., temperature strips, thermometer);
- 11) Electrocardioscope and defibrillator (an automated external defibrillator is an acceptable defibrillator);
- 12) Pulse oximeter;
- 13) Equipment for the establishment of an intravenous infusion;
- 14) An operating table or an operating chair that permits appropriate access to the patient and provides a firm platform for the management of cardiopulmonary resuscitation; and
- 15) A recovery area that has available oxygen, lighting, suction and electrical outlets. The Permit B holder shall remain with the patient until the patient

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retains the ability to independently and consciously maintain an airway and respond appropriately to physical stimulation and oral commands. The recovery area may be the operating theatre.

- e) The following records shall be kept when administering deep sedation and general anesthesia:
- 1) Medical history and patient evaluation prior to the performance of any procedure;
 - 2) Preoperative, intraoperative, and pre-discharge monitoring of blood pressure, pulse, respiration and oxygen saturation. A time based record shall be entered into the patient's chart;
 - 3) EKG monitoring during the entire procedure;
 - 4) Drugs and dosages of agents used during the operative procedure, including nitrous oxide and oxygen, and including identification of the person administering drugs and times of their administration over the course of the procedure. Documentation of the anesthetic encounter will be consistent with currently accepted standards of anesthetic practice.
- f) The dentist who holds the Permit B shall report adverse occurrences to the Division and the Board as required by Section 1220.405.
- g) A licensed dentist shall hold a Permit B in order to perform dentistry while a licensed certified nurse anesthetist administers deep sedation or general anesthesia. A nurse anesthetist for purposes of this Section is a licensed certified nurse anesthetist who holds a license as an advanced practice nurse under the Nurse Practice Act ~~[225 ILCS 65]~~. The dentist shall enter into a written collaborative agreement with the nurse anesthetist in accordance with Section 65-35 of the Nurse Practice Act and 68 Ill. Adm. Code 1300.
- h) Proof of 9 hours of continuing education per renewal cycle in sedation techniques, including medications and recognition and management of complications and emergencies, is required for renewal of Permit B.
- i) A treating-dentist does not need to hold Permit B to perform dentistry when another dentist, who holds Permit B, or a physician assists the treating dentist by

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administering deep sedation or general anesthesia. Physician for purposes of this Section means a physician who is licensed to practice medicine in all of its branches under the Medical Practice Act of 1987 ~~[225 ILCS 60]~~ and is authorized to provide anesthesia services in a licensed hospital or licensed ambulatory surgical treatment center or is a Board certified anesthesiologist.

- 1) The treating dentist shall be prepared to provide affidavits attesting to the following if requested by the Division:
 - A) That the facility used is equipped as specified in subsection (d) ~~of this Section~~;
 - B) That the dentist shall staff the facility with a ~~supervised team~~, supervised by the Permit B holder or physician, that includes a minimum of 3 individuals per patient. The team shall be composed of either:
 - i) One dental hygienist or dental assistant who has completed the training prescribed in Section 1220.240(f) or 1220.245(c)(2) capable of assisting with procedures, problems and emergencies incident to the administration of the sedation; the treating dentist; and the dentist who holds a Permit B providing the anesthesia services; or
 - ii) One dental hygienist or dental assistant who has completed the training prescribed in Section 1220.240(f) or 1220.245(c)(2) capable of assisting with procedures, problems and emergencies incident to the administration of the sedation; the treating dentist; and a physician or dental anesthesiologist who holds a Permit B and has completed the certification prescribed in subsection (a)(1)(A) providing the anesthesia services.
 - C) That the Permit B holder will remain with the patient until the patient retains the ability to independently and consciously maintain an airway and respond appropriately to physical stimulation and oral commands. The recovery area may be the operating theatre.

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- 2) All members of the anesthesia team, including the treating dentist (non-Permit B holder) must maintain certification in BLS or its equivalent. ~~Certification or its equivalent shall be completed by September 30, 2011.~~
- 3) In addition, the dentist shall report severe adverse occurrences to the Division as set forth in Section 1220.405 and accept the responsibility for verifying certification and licensure of any licensed provider present during the deep sedation or general anesthesia of a patient receiving dental care.
- j) A dentist holding a Permit B shall maintain current ~~Advanced Cardiac Life Support (ACLS) certification~~ or ~~Pediatric Advanced Life Support (PALS) certification~~. ACLS or PALS certification shall be in addition to the required 9 hours of anesthesia CE per renewal cycle. ~~Certification shall be completed by September 30, 2011.~~
- k) A dentist holding a Permit B shall maintain a logbook indicating the sedation cases performed. The log shall include the patient name, date, route of sedation administration, drug name and dosage, and the names of anesthesia team members assisting. This information shall be supplied to the Division upon request.
- l) A dentist holding a Permit B must also hold an active Illinois Controlled Substance License and current federal Drug Enforcement Administration registration.

(Source: Amended at 37 Ill. Reg. _____, effective _____)

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Section 1220.APPENDIX D Characteristics of Levels of Anesthesia*

Factors	Minimal Sedation/Anxiolysis (No Permit required)	Moderate/Conscious Sedation (Permit A)	Deep Sedation (Permit B)	General Anesthesia (Permit B)
Goal	Decrease anxiety; facilitate coping skills	Decrease or eliminate anxiety; facilitate coping skills	Eliminate anxiety; coping skills over-ridden	Eliminate cognitive, sensory and skeletal motor activity
Definition	Minimally depressed level of consciousness, produced by a pharmacological method, that retains the patient's ability to independently and continually maintain an airway and respond normally to tactile stimulation and verbal command. Although cognitive function and coordination may be modestly impaired, ventilatory and cardiovascular functions are unaffected	Pharmacologically induced depressed state of consciousness (altered consciousness, signs of sleep) under which an individual retains the ability to independently and continuously maintain an airway and respond appropriately to light tactile stimulation and oral commands	Pharmacologically induced controlled state of depressed consciousness, accompanied by partial loss of protective reflexes, including inability to respond purposefully to oral commands. The purposeful response to painful stimulation is maintained	Pharmacologically induced controlled state of unconsciousness accompanied by a partial or complete loss of protective reflexes, including inability to independently maintain an airway and respond purposefully to painful stimulation or oral commands

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Personnel	1 (treating dentist)	3 (treating dentist with Permit A; trained person to monitor patient or nurse anesthetist; trained assistant) OR 3 (treating dentist w/o Permit A/B; physician or dentist with Permit A/B; trained assistant)	3 (treating dentist with Permit B; trained person to monitor patient or nurse anesthetist; trained assistant) OR 3 (treating dentist w/o Permit B; physician or dentist with Permit B; trained assistant)	3 (treating dentist with Permit B; trained person to monitor patient or nurse anesthetist; trained assistant) OR 3 (treating dentist w/o Permit B; physician or dentist with Permit B; trained assistant)
Monitoring	Clinical observation and monitoring as appropriate	Preoperative, intraoperative and pre-discharge monitoring of BP, pulse, respiration and oxygen saturation	Preoperative, intraoperative, and pre-discharge monitoring of BP, pulse, respiration and oxygen saturation, EKG monitoring. Defibrillator required	Preoperative, intraoperative, and pre-discharge monitoring of BP, pulse, respiration and oxygen saturation, EKG monitoring. Defibrillator required

* Chart adapted from American Academy of Pediatric Dentistry, Reference Manual 2000-2001, Templates of Definitions and Characteristics for Levels of Sedation and General Anesthesia and the American Dental Association, Guidelines for the Use of Sedation and General Anesthesia by Dentists (October [20122007](#)).

(Source: Amended at 37 Ill. Reg. _____, effective _____)

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- 1) Heading of the Part: General Procedures
- 2) Code Citation: 80 Ill. Adm. Code 1200
- 3)

<u>Section Numbers:</u>	<u>Proposed Action:</u>
1200.5	Amendment
1200.170	New
- 4) Statutory Authority: Authorized by Section 5(i) of the Illinois Public Labor Relations Act [5 ILCS 315/5(i)]
- 5) A Complete Description of the Subjects and Issues Involved: The Board's Springfield office address in the current rules is incorrect. This rulemaking seeks to correct that address and adjust the formatting of the Section. In addition, Section 7 of the Open Meetings Act [5 ILCS 120/7] allows, under limited circumstances, participation by Board members via videoconference or other like means if the agency has rules so allowing. This amendment is intended to promulgate rules so that Board members may attend meetings and vote on items via videoconference.
- 6) Published studies or reports, and sources of underlying data, used to compose this rulemaking: None
- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other proposed rulemakings pending on this Part? Yes

<u>Section Number:</u>	<u>Proposed Action:</u>	<u>Illinois Register Citation:</u>
1200.3	Amendment	37 Ill. Reg. 5762; May 3, 2013
- 11) Statement of Statewide Policy Objectives: This rulemaking does not create or expand a State mandate under 30 ILCS 805.
- 12) Time, Place and Manner in which interested persons may comment on this proposed rulemaking: Any interested person may submit comments in writing concerning this

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proposed rulemaking not later than 45 days after publication of this Notice in the *Illinois Register* to:

Jerald S. Post, General Counsel
Illinois Labor Relations Board
160 N. LaSalle Street, Suite S-400
Chicago, Illinois 60601

312/793-6400
Jerald.Post@Illinois.Gov

- 13) Initial Regulatory Flexibility Analysis:
- A) Types of small businesses, small municipalities and not for profit corporations affected: None
 - B) Reporting, bookkeeping or other procedures required for compliance: None
 - C) Types of professional skills necessary for compliance: None
- 14) Regulatory Agenda on which this rulemaking was summarized: This rulemaking was not included on either of the 2 most recent regulatory agendas because the matter did not come to the Board's attention until April 2013.

The full text of the Proposed Rulemaking begins on the next page:

ILLINOIS LABOR RELATIONS BOARD

NOTICE OF PROPOSED AMENDMENTS

TITLE 80: PUBLIC OFFICIALS AND EMPLOYEES
SUBTITLE C: LABOR RELATIONS
CHAPTER IV: ILLINOIS LABOR RELATIONS BOARDPART 1200
GENERAL PROCEDURES

Section

1200.3	General Statement of Purpose
1200.5	Board Information and Business Hours
1200.10	Definitions
1200.20	Filing and Service of Documents
1200.30	Computation and Extensions of Time
1200.40	Authority of Administrative Law Judges
1200.45	Motions
1200.50	Recording of Hearings
1200.60	Closing Arguments and Briefs Before an Administrative Law Judge
1200.70	Representation of Parties
1200.80	Ex Parte Communications
1200.90	Subpoenas
1200.100	Transfer of Jurisdiction
1200.105	Consolidation of Proceedings
1200.110	Amicus Curiae Briefs (Repealed)
1200.120	Voluntary Settlement or Adjustment of Disputes
1200.130	Rules of Evidence
1200.135	Appeals Procedures, Board Review and Court Review
1200.140	Amicus Curiae Briefs
1200.143	Declaratory Rulings
1200.145	Filing of Contracts
1200.150	Conflicts of Interest
1200.160	Variations and Suspensions of Rules
<u>1200.170</u>	<u>Board Member Meeting Attendance by Means other than Physical Presence</u>

AUTHORITY: Implementing and authorized by the Illinois Public Labor Relations Act [5 ILCS 315].

SOURCE: Emergency rule adopted at 8 Ill. Reg. 17314, effective September 11, 1984, for a maximum of 150 days; adopted at 9 Ill. Reg. 1846, effective January 25, 1985; amended at 11 Ill. Reg. 6428, effective March 27, 1987; amended at 12 Ill. Reg. 20096, effective November 18,

ILLINOIS LABOR RELATIONS BOARD

NOTICE OF PROPOSED AMENDMENTS

1988; amended at 14 Ill. Reg. 19896, effective November 30, 1990; amended at 17 Ill. Reg. 15588, effective September 13, 1993; amended at 20 Ill. Reg. 7391, effective May 10, 1996; amended at 27 Ill. Reg. 7365, effective May 1, 2003; emergency amendment at 27 Ill. Reg. 15557, effective September 22, 2003, for a maximum of 150 days; emergency amendment expired February 18, 2004; amended at 28 Ill. Reg. 4166, effective February 19, 2004; emergency amendment at 28 Ill. Reg. 7540, effective May 12, 2004, for a maximum of 150 days; emergency expired October 8, 2004; amended at 28 Ill. Reg. 15154, effective November 1, 2004; emergency amendment at 37 Ill. Reg. 5897, effective April 22, 2013, for a maximum of 150 days; amended at 37 Ill. Reg. _____, effective _____.

Section 1200.5 Board Information and Business Hours

- a) The Springfield office of the Illinois Labor Relations Board is located at:
One Natural Resources Way, First Floor
Springfield IL 62702
telephone: 217-785-3155
facsimile: 217-785-4146
- b) The Chicago office of the Board is located at:
160 N. LaSalle St., Suite S-400
Chicago IL 60601
telephone: 312-793-6400
facsimile: 312-793-6989
- c) The Board's website address is www.state.il.us/ilrb.
- d) The official business hours of the Board are 8:30 a.m. to 5:00 p.m., Monday through Friday.

~~The State Panel of the Illinois Labor Relations Board is located at 320 West Washington, Suite 500, Springfield, Illinois 62701. The State Panel telephone number is 1-217-785-3155. The State Panel facsimile telephone number is 1-217-785-4146. The Local Panel of the Illinois Labor Relations Board is located at 160 North LaSalle Street, Suite S-400, Chicago, Illinois 60601. The Local Panel telephone number is 1-312-793-6400. The Local Panel facsimile telephone number is 1-312-793-6989. The Illinois Labor Relations Board's website address is www.state.il.us/ilrb. The official business hours of the Illinois Labor Relations Board are 8:30 a.m. to 5:00 p.m., Monday through Friday.~~

ILLINOIS LABOR RELATIONS BOARD

NOTICE OF PROPOSED AMENDMENTS

(Source: Amended at 37 Ill. Reg. _____, effective _____)

Section 1200.170 Board Member Meeting Attendance by Means other than Physical Presence

The Board may allow a member of the Board to attend a meeting of the State or Local Panel or a joint meeting of the State and Local Panels by means of video or audio conference if:

- a) there is physically present at the meeting a quorum of the members of that panel or, in the case of a joint meeting of the State and Local Panels, a quorum consisting of the State Panel Chairman, serving as Chariman of the joint panel meeting, at least two other members of the State Panel, and at least one member of the Local Panel, as required by Section 2.01 of the Open Meeting Act;
- b) except when advance notification is impractical, the member wishing to participate by means of video or audio conference has notified the Board's secretary of this desire prior to the meeting; and
- c) the member is not able to be physically present at the meeting because of:
 - 1) personal illness or disability;
 - 2) the business of the Board; or
 - 3) a family or other emergency affecting the member.

(Source: Added at 37 Ill. Reg. _____, effective _____)

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

- 1) Heading of the Part: Pay Plan
- 2) Code Citation: 80 Ill. Adm. Code 310
- 3)

<u>Section Numbers:</u>	<u>Peremptory Action:</u>
310.100	Amendment
310.APPENDIX A TABLE F	Amendment
310.APPENDIX A TABLE G	Amendment
310.APPENDIX A TABLE P	Amendment
- 4) Reference to the Specific State or Federal Court Order, Federal Rule or Statute which Requires this Peremptory Rulemaking: The Department of Central Management Services (CMS) is amending the Pay Plan (80 Ill. Adm. Code 310) Sections 310.100 and 310.Appendix A Tables F, G and P to reflect three bargaining unit agreements.

CMS is amending Sections 310.100 and 310.Appendix A Table F to reflect the Agreement between the Departments of Central Management Services, Corrections, Human Services, State Police, Veterans' Affairs, Natural Resources and Transportation and Teamsters Downstate Illinois State Employees Negotiating Committee effective July 1, 2012 through June 30, 2015 signed July 9, 2013. Effective July 1, 2013 and 2014, pay rates for all bargaining unit classes shall be raised 2%. Employees shall receive a one-time 2.25% stipend which will not be added into the base salary effective June 1, 2013. Permanent part-time employees will be paid a pro-rated stipend based upon their regular work schedule which will not be added into the base salary. To be eligible for the stipend, the employee must be on payroll June 1, 2013. Employees on leave of absence who would otherwise be eligible will receive the lump sum stipend to which they are entitled upon return to the active payroll during fiscal year 2013. Employees appointed to the Highway Maintainer title who are required to perform duties of manual chemical application which require proper certification in chemical spraying shall receive \$1.00 an hour over their base pay during such time they are applying the chemical. Effective July 1, 2013, all employees covered by this agreement who are required to wear steel-toe safety shoes, shall receive an additional \$100 clothing allowance, which will not exceed \$200 per contract year. The new hire rate for the Highway Maintainer title shall continue in effect as was amended to 75%. All classifications shall have a rate that is 75% of the full scale journeyman rate for all employees hired July 1, 2013 or after. Employees who are promoted and are in the new hire progression will promote to the next step of the new hire rate of the higher classification. In addition, temporary assignments shall also be calculated at the new hire rates. Employees in the new hire will receive a 5% increase each year for five years on their anniversary date in order to obtain the full rate. All full

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

scale employees will be promoted to the full-scale rate of the next higher classifications, upon promotion. The provision, that the Ferry Operator II pay shall be increased to that of a Highway Maintenance Lead Lead Worker, was not applied in the Agreement's rate schedule so that will be corrected in a future agreement.

CMS is amending Section 310.Appendix A Table G to reflect the Agreement by and between the Illinois Federation of Public Employees Local 4408, AFT/AFL-CIO and the Department of Central Management Services State of Illinois for RC-045 July 1, 2012 – June 30, 2015 signed July 15, 2013. Effective July 1, 2013 and 2014, the pay rates for all bargaining unit classifications and steps shall be increased by 2.00%. All classification shall have a 75% in-hire rate for all employees hired on or after July 1, 2013. Employees who are promoted and are in the in-hire progression will promote to the next step of the in-hire rate of the higher classification. Temporary assignments shall be calculated at the in-hire rates. Employees in the in-hire will receive a 5% increase each year of the five years on their anniversary date in order to obtain the full scale. All full scale employees will be promoted to the full-scale rate of the next higher classification upon promotion. Employees covered by the bargaining unit shall receive a one-time 2.25% stipend which will not be added into the base salary based on the employee's base salary June 28, 2013. Permanent part-time employees will be paid a pro-rated stipend based upon their regular work schedule which will not be added into the base salary. To be eligible for the stipend, the employee must be on the payroll June 28, 2013. Employees on leave of absence who would otherwise be eligible will receive the lump sum stipend to which they are entitled upon return to the active payroll during fiscal year 2013.

CMS is amending Sections 310.100 and 310.Appendix A Table P to reflect the Agreement (RC-029) by and between the Illinois Federation of Public Employees, Local 44 09, (IFPE) and Department of Central Management Services State of Illinois effective July 1, 2012 through June 30, 2015 signed July 15, 2013. Effective July 1, 2013 and 2014, the pay rates for all bargaining unit classifications and steps shall be increased by 2.00%. Step 1a, 1b and 1c shall be implemented for all employees hired on or after July 1, 2013, with a 3% differential. In the Department of Agriculture, time spent traveling from an employee's residence to and/or from a work site in Cook, Will, Lake, DuPage, McHenry and Kane counties is not considered work time except where an employee is required to travel in excess of twenty miles one way or twenty-five minutes as measured from the employee's official headquarters in which case the miles in excess of twenty miles or minutes in excess of twenty-five minutes will be considered work time. The workday shall commence at the time of the pre-trip inspection for employees assigned to drive vehicles, which require a commercial driver's license. Effective July 1, 2013, the Step 8 rate shall be increased by \$75.00 per month for those employees (non-sworn) who

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

attain ten years of service and have three or more years of creditable service at Step 8 in the same pay grade. Effective July 1, 2013, the Step 8 rate shall be increased \$100.00 per month for those employees (non-sworn) who attain fifteen years of service and have three or more years of creditable service on Step 8.

- 5) Statutory Authority: Authorized by Sections 8, 8a and 9(7) of the Personnel Code [20 ILCS 415/8, 20 ILCS 415/8a and 20 ILCS 415/9(7)], subsection (d) of Section 1-5 of the Illinois Administrative Procedure Act [5 ILCS 100/1-5(d)] and by Sections 4, 6, 15 and 21 of the Illinois Public Labor Relations Act [5 ILCS 315/4, 5 ILCS 315/6, 5 ILCS 315/15 and 5 ILCS 315/21].
- 6) Effective Date: August 8, 2013
- 7) A Complete Description of the Subjects and Issues Involved: In Section 310.100, the numbering of the fourth level of subsections are corrected and the subsection (d) (4) (C) is added for the temporary assignment pay of employees represented by the RC-019 bargaining unit, appointed to the Highway Maintainer title and applying chemicals manually. Subsection (d) (5) (B) is added to travel for required training when employees are represented by the RC-029 bargaining unit and located in the Department of Agriculture.

In Section 310.Appendix A Table F, the outdated rate tables are removed. The full scale and new hire rate tables are added. Add the stipend and clothing allowance update to the Note.

In Section 310.Appendix A Table G, the outdated rate tables are removed. The full scale and new hire rate tables are added. Move the longevity pay information and add the stipend to the Note.

In Section 310.Appendix A Table P, the outdated rate tables are removed. The new rate tables are added. The longevity pay information is added to the Note.
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Date filed with the Index Department: August 8, 2013
- 10) This and other Pay Plan amendments are available in the Division of Technical Services of the Bureau of Personnel.

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

- 11) Is this in compliance with Section 5-50 of the Illinois Administrative Procedure Act?
Yes
- 12) Are there any other proposed amendments pending on this Part? Yes

<u>Section Numbers:</u>	<u>Proposed Action:</u>	<u>Ill. Reg. Citation:</u>
310.50	Amendment	37 Ill. Reg. 10740, July 19, 2013
310.280	Amendment	37 Ill. Reg. 10740, July 19, 2013
310.495	Amendment	37 Ill. Reg. 10740, July 19, 2013
310.600	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.610	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.620	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.630	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.640	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.650	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.660	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.670	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.680	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.690	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix A Table A	Amendment	37 Ill. Reg. 10740, July 19, 2013
310.Appendix A Table W	Amendment	37 Ill. Reg. 10740, July 19, 2013
310.Appendix A Table Y	Amendment	37 Ill. Reg. 10740, July 19, 2013
310.Appendix A Table AA	Amendment	37 Ill. Reg. 10740, July 19, 2013
310.Appendix A Table AD	Amendment	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table A	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table C	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table H	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table I	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table J	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table K	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table M	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table N	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table O	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table P	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table R	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table S	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table T	Repealed	37 Ill. Reg. 10740, July 19, 2013

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

310.Appendix B Table V	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table W	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table X	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table Y	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table Z	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table AB	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table AD	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix B Table AE	Repealed	37 Ill. Reg. 10740, July 19, 2013
310.Appendix D	Amendment	37 Ill. Reg. 10740, July 19, 2013
310.Appendix G	Amendment	37 Ill. Reg. 10740, July 19, 2013

- 13) Statement of Statewide Policy Objectives: The amendment to the Pay Plan affects only the employees subject to the Personnel Code and does not set out any guidelines that affect local or other jurisdictions in the State.
- 14) Information and questions regarding these preemptory amendments shall be directed to:

Mr. Jason Doggett
Manager
Compensation Section
Division of Technical Services and Agency Training and Development
Bureau of Personnel
Department of Central Management Services
504 William G. Stratton Building
Springfield IL 62706

217/782-7964
Fax: 217/524-4570
CMS.PayPlan@Illinois.gov

The full text of the Preemptory Amendments begins on the next page:

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

TITLE 80: PUBLIC OFFICIALS AND EMPLOYEES
SUBTITLE B: PERSONNEL RULES, PAY PLANS, AND
POSITION CLASSIFICATIONS

CHAPTER I: DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

PART 310
PAY PLAN

SUBPART A: NARRATIVE

Section	
310.20	Policy and Responsibilities
310.30	Jurisdiction
310.40	Pay Schedules
310.45	Comparison of Pay Grades or Salary Ranges Assigned to Classifications
310.47	In-Hiring Rate
310.50	Definitions
310.60	Conversion of Base Salary to Pay Period Units
310.70	Conversion of Base Salary to Daily or Hourly Equivalents
310.80	Increases in Pay
310.90	Decreases in Pay
310.100	Other Pay Provisions
310.110	Implementation of Pay Plan Changes (Repealed)
310.120	Interpretation and Application of Pay Plan
310.130	Effective Date
310.140	Reinstitution of Within Grade Salary Increases (Repealed)
310.150	Fiscal Year 1985 Pay Changes in Schedule of Salary Grades, effective July 1, 1984 (Repealed)

SUBPART B: SCHEDULE OF RATES

Section	
310.205	Introduction
310.210	Prevailing Rate
310.220	Negotiated Rate
310.230	Part-Time Daily or Hourly Special Services Rate (Repealed)
310.240	Daily or Hourly Rate Conversion
310.250	Member, Patient and Inmate Rate
310.260	Trainee Rate

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

310.270	Legislated Rate
310.280	Designated Rate
310.290	Out-of-State Rate (Repealed)
310.295	Foreign Service Rate (Repealed)
310.300	Educator Schedule for RC-063 and HR-010
310.310	Physician Specialist Rate
310.320	Annual Compensation Ranges for Executive Director and Assistant Executive Director, State Board of Elections (Repealed)
310.330	Excluded Classes Rate (Repealed)

SUBPART C: MERIT COMPENSATION SYSTEM

Section	
310.410	Jurisdiction
310.415	Merit Compensation Salary Range Assignments
310.420	Objectives
310.430	Responsibilities
310.440	Merit Compensation Salary Schedule
310.450	Procedures for Determining Annual Merit Increases and Bonuses
310.455	Intermittent Merit Increase (Repealed)
310.456	Merit Zone (Repealed)
310.460	Other Pay Increases
310.470	Adjustment
310.480	Decreases in Pay
310.490	Other Pay Provisions
310.495	Broad-Band Pay Range Classes
310.500	Definitions
310.510	Conversion of Base Salary to Pay Period Units (Repealed)
310.520	Conversion of Base Salary to Daily or Hourly Equivalent
310.530	Implementation
310.540	Annual Merit Increase and Bonus Guidechart
310.550	Fiscal Year 1985 Pay Changes in Merit Compensation System, effective July 1, 1984 (Repealed)

SUBPART D: FROZEN NEGOTIATED-RATES-OF-PAY DUE TO
FISCAL YEAR APPROPRIATIONS AND EXPIRED SALARY SCHEDULES IN
COLLECTIVE BARGAINING UNIT AGREEMENTS

Section

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

310.600	Jurisdiction
310.610	Pay Schedules
310.620	In-Hiring Rate
310.630	Definitions
310.640	Increases in Pay
310.650	Other Pay Provisions
310.660	Effective Date
310.670	Negotiated Rate
310.680	Trainee Rate
310.690	Educator Schedule for Frozen RC-063 and Frozen HR-010
310.APPENDIX A	Negotiated Rates of Pay
310.TABLE A	RC-104 (Conservation Police Supervisors, Laborers' – ISEA Local #2002)
310.TABLE B	VR-706 (Assistant Automotive Shop Supervisors, Automotive Shop Supervisors and Meat and Poultry Inspector Supervisors, Laborers' – ISEA Local #2002)
310.TABLE C	RC-056 (Site Superintendents and Departments of Veterans' Affairs, Natural Resources, Human Services and Agriculture and Historic Preservation Agency Managers, IFPE)
310.TABLE D	HR-001 (Teamsters Local #700)
310.TABLE E	RC-020 (Teamsters Local #330)
310.TABLE F	RC-019 (Teamsters Local #25)
310.TABLE G	RC-045 (Automotive Mechanics, IFPE)
310.TABLE H	RC-006 (Corrections Employees, AFSCME)
310.TABLE I	RC-009 (Institutional Employees, AFSCME)
310.TABLE J	RC-014 (Clerical Employees, AFSCME)
310.TABLE K	RC-023 (Registered Nurses, INA)
310.TABLE L	RC-008 (Boilermakers)
310.TABLE M	RC-110 (Conservation Police Lodge)
310.TABLE N	RC-010 (Professional Legal Unit, AFSCME)
310.TABLE O	RC-028 (Paraprofessional Human Services Employees, AFSCME)
310.TABLE P	RC-029 (Paraprofessional Investigatory and Law Enforcement Employees, IFPE)
310.TABLE Q	RC-033 (Meat Inspectors, IFPE)
310.TABLE R	RC-042 (Residual Maintenance Workers, AFSCME)
310.TABLE S	VR-704 (Departments of Corrections, Financial and Professional Regulation, Juvenile Justice and State Police Supervisors, Laborers' – ISEA Local #2002)

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310.TABLE T	HR-010 (Teachers of Deaf, IFT)
310.TABLE U	HR-010 (Teachers of Deaf, Extracurricular Paid Activities)
310.TABLE V	CU-500 (Corrections Meet and Confer Employees)
310.TABLE W	RC-062 (Technical Employees, AFSCME)
310.TABLE X	RC-063 (Professional Employees, AFSCME)
310.TABLE Y	RC-063 (Educators and Educator Trainees, AFSCME)
310.TABLE Z	RC-063 (Physicians, AFSCME)
310.TABLE AA	NR-916 (Departments of Central Management Services, Natural Resources and Transportation, Teamsters)
310.TABLE AB	RC-150 (Public Service Administrators Option 6, AFSCME) (Repealed)
310.TABLE AC	RC-036 (Public Service Administrators Option 8L Department of Healthcare and Family Services, INA)
310.TABLE AD	RC-184 (Public Service Administrators Option 8X Department of Natural Resources, SEIU Local 73)
310.TABLE AE	RC-090 (Internal Security Investigators, Metropolitan Alliance of Police Chapter 294)
310.APPENDIX B	Frozen Negotiated-Rates-of-Pay
310.TABLE A	Frozen RC-104-Rates-of-Pay (Conservation Police Supervisors, Laborers' – ISEA Local #2002)
310.TABLE C	Frozen RC-056-Rates-of-Pay (Site Superintendents and Departments of Veterans' Affairs, Natural Resources, Human Services and Agriculture and Historic Preservation Agency Managers, IFPE)
310.TABLE H	Frozen RC-006-Rates-of-Pay (Corrections Employees, AFSCME)
310.TABLE I	Frozen RC-009-Rates-of-Pay (Institutional Employees, AFSCME)
310.TABLE J	Frozen RC-014-Rates-of-Pay (Clerical Employees, AFSCME)
310.TABLE K	Frozen RC-023-Rates-of-Pay (Registered Nurses, INA)
310.TABLE M	Frozen RC-110-Rates-of-Pay (Conservation Police Lodge)
310.TABLE N	Frozen RC-010 (Professional Legal Unit, AFSCME)
310.TABLE O	Frozen RC-028-Rates-of-Pay (Paraprofessional Human Services Employees, AFSCME)
310.TABLE P	Frozen RC-029-Rates-of-Pay (Paraprofessional Investigatory and Law Enforcement Employees, IFPE)
310.TABLE R	Frozen RC-042-Rates-of-Pay (Residual Maintenance Workers, AFSCME)
310.TABLE S	Frozen VR-704-Rates-of-Pay (Departments of Corrections, Financial and Professional Regulation, Juvenile Justice and State Police Supervisors, Laborers' – ISEA Local #2002)

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310.TABLE T	Frozen HR-010-Rates-of-Pay (Teachers of Deaf, IFT)
310.TABLE V	Frozen CU-500-Rates-of-Pay (Corrections Meet and Confer Employees)
310.TABLE W	Frozen RC-062-Rates-of-Pay (Technical Employees, AFSCME)
310.TABLE X	Frozen RC-063-Rates-of-Pay (Professional Employees, AFSCME)
310.TABLE Y	Frozen RC-063-Rates-of-Pay (Educators and Educator Trainees, AFSCME)
310.TABLE Z	Frozen RC-063-Rates-of-Pay (Physicians, AFSCME)
310.TABLE AB	Frozen RC-150-Rates-of-Pay (Public Service Administrators Option 6, AFSCME) (Repealed)
310.TABLE AD	Frozen RC-184-Rates-of-Pay (Public Service Administrators Option 8X Department of Natural Resources, SEIU Local 73)
310.TABLE AE	Frozen RC-090-Rates-of-Pay (Internal Security Investigators, Metropolitan Alliance of Police Chapter 294)
310.APPENDIX C	Comparison of Pay Grades or Salary Ranges Assigned to Classifications
310.ILLUSTRATION A	Classification Comparison Flow Chart: Both Classes are Whole
310.ILLUSTRATION B	Classification Comparison Flow Chart: One Class is Whole and One is Divided
310.ILLUSTRATION C	Classification Comparison Flow Chart: Both Classes are Divided
310.APPENDIX D	Merit Compensation System Salary Schedule
310.APPENDIX E	Teaching Salary Schedule (Repealed)
310.APPENDIX F	Physician and Physician Specialist Salary Schedule (Repealed)
310.APPENDIX G	Broad-Band Pay Range Classes Salary Schedule

AUTHORITY: Implementing and authorized by Sections 8 and 8a of the Personnel Code [20 ILCS 415/8 and 8a].

SOURCE: Filed June 28, 1967; codified at 8 Ill. Reg. 1558; emergency amendment at 8 Ill. Reg. 1990, effective January 31, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 2440, effective February 15, 1984; emergency amendment at 8 Ill. Reg. 3348, effective March 5, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 4249, effective March 16, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 5704, effective April 16, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 7290, effective May 11, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 11299, effective June 25, 1984; emergency amendment at 8 Ill. Reg. 12616, effective July 1, 1984, for a maximum of 150 days; emergency amendment at 8 Ill. Reg. 15007, effective August 6, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 15367, effective August 13, 1984; emergency amendment at 8 Ill.

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Reg. 21310, effective October 10, 1984, for a maximum of 150 days; amended at 8 Ill. Reg. 21544, effective October 24, 1984; amended at 8 Ill. Reg. 22844, effective November 14, 1984; emergency amendment at 9 Ill. Reg. 1134, effective January 16, 1985, for a maximum of 150 days; amended at 9 Ill. Reg. 1320, effective January 23, 1985; amended at 9 Ill. Reg. 3681, effective March 12, 1985; emergency amendment at 9 Ill. Reg. 4163, effective March 15, 1985, for a maximum of 150 days; emergency amendment at 9 Ill. Reg. 9231, effective May 31, 1985, for a maximum of 150 days; amended at 9 Ill. Reg. 9420, effective June 7, 1985; amended at 9 Ill. Reg. 10663, effective July 1, 1985; emergency amendment at 9 Ill. Reg. 15043, effective September 24, 1985, for a maximum of 150 days; amended at 10 Ill. Reg. 3230, effective January 24, 1986; preemptory amendment at 10 Ill. Reg. 3325, effective January 22, 1986; emergency amendment at 10 Ill. Reg. 8904, effective May 13, 1986, for a maximum of 150 days; preemptory amendment at 10 Ill. Reg. 8928, effective May 13, 1986; emergency amendment at 10 Ill. Reg. 12090, effective June 30, 1986, for a maximum of 150 days; preemptory amendment at 10 Ill. Reg. 13675, effective July 31, 1986; preemptory amendment at 10 Ill. Reg. 14867, effective August 26, 1986; amended at 10 Ill. Reg. 15567, effective September 17, 1986; emergency amendment at 10 Ill. Reg. 17765, effective September 30, 1986, for a maximum of 150 days; preemptory amendment at 10 Ill. Reg. 19132, effective October 28, 1986; preemptory amendment at 10 Ill. Reg. 21097, effective December 9, 1986; amended at 11 Ill. Reg. 648, effective December 22, 1986; preemptory amendment at 11 Ill. Reg. 3363, effective February 3, 1987; preemptory amendment at 11 Ill. Reg. 4388, effective February 27, 1987; preemptory amendment at 11 Ill. Reg. 6291, effective March 23, 1987; amended at 11 Ill. Reg. 5901, effective March 24, 1987; emergency amendment at 11 Ill. Reg. 8787, effective April 15, 1987, for a maximum of 150 days; emergency amendment at 11 Ill. Reg. 11830, effective July 1, 1987, for a maximum of 150 days; preemptory amendment at 11 Ill. Reg. 13675, effective July 29, 1987; amended at 11 Ill. Reg. 14984, effective August 27, 1987; preemptory amendment at 11 Ill. Reg. 15273, effective September 1, 1987; preemptory amendment at 11 Ill. Reg. 17919, effective October 19, 1987; preemptory amendment at 11 Ill. Reg. 19812, effective November 19, 1987; emergency amendment at 11 Ill. Reg. 20664, effective December 4, 1987, for a maximum of 150 days; amended at 11 Ill. Reg. 20778, effective December 11, 1987; preemptory amendment at 12 Ill. Reg. 3811, effective January 27, 1988; preemptory amendment at 12 Ill. Reg. 5459, effective March 3, 1988; amended at 12 Ill. Reg. 6073, effective March 21, 1988; emergency amendment at 12 Ill. Reg. 7734, effective April 15, 1988, for a maximum of 150 days; preemptory amendment at 12 Ill. Reg. 7783, effective April 14, 1988; preemptory amendment at 12 Ill. Reg. 8135, effective April 22, 1988; preemptory amendment at 12 Ill. Reg. 9745, effective May 23, 1988; emergency amendment at 12 Ill. Reg. 11778, effective July 1, 1988, for a maximum of 150 days; emergency amendment at 12 Ill. Reg. 12895, effective July 18, 1988, for a maximum of 150 days; preemptory amendment at 12 Ill. Reg. 13306, effective July 27, 1988; corrected at 12 Ill. Reg. 13359; amended at 12 Ill. Reg. 14630, effective September 6, 1988; amended at 12 Ill. Reg. 20449, effective November 28, 1988; preemptory

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amendment at 12 Ill. Reg. 20584, effective November 28, 1988; preemptory amendment at 13 Ill. Reg. 8080, effective May 10, 1989; amended at 13 Ill. Reg. 8849, effective May 30, 1989; preemptory amendment at 13 Ill. Reg. 8970, effective May 26, 1989; emergency amendment at 13 Ill. Reg. 10967, effective June 20, 1989, for a maximum of 150 days; emergency amendment expired November 17, 1989; amended at 13 Ill. Reg. 11451, effective June 28, 1989; emergency amendment at 13 Ill. Reg. 11854, effective July 1, 1989, for a maximum of 150 days; corrected at 13 Ill. Reg. 12647; preemptory amendment at 13 Ill. Reg. 12887, effective July 24, 1989; amended at 13 Ill. Reg. 16950, effective October 20, 1989; amended at 13 Ill. Reg. 19221, effective December 12, 1989; amended at 14 Ill. Reg. 615, effective January 2, 1990; preemptory amendment at 14 Ill. Reg. 1627, effective January 11, 1990; amended at 14 Ill. Reg. 4455, effective March 12, 1990; preemptory amendment at 14 Ill. Reg. 7652, effective May 7, 1990; amended at 14 Ill. Reg. 10002, effective June 11, 1990; emergency amendment at 14 Ill. Reg. 11330, effective June 29, 1990, for a maximum of 150 days; amended at 14 Ill. Reg. 14361, effective August 24, 1990; emergency amendment at 14 Ill. Reg. 15570, effective September 11, 1990, for a maximum of 150 days; emergency amendment expired February 8, 1991; corrected at 14 Ill. Reg. 16092; preemptory amendment at 14 Ill. Reg. 17098, effective September 26, 1990; amended at 14 Ill. Reg. 17189, effective October 2, 1990; amended at 14 Ill. Reg. 17189, effective October 19, 1990; amended at 14 Ill. Reg. 18719, effective November 13, 1990; preemptory amendment at 14 Ill. Reg. 18854, effective November 13, 1990; preemptory amendment at 15 Ill. Reg. 663, effective January 7, 1991; amended at 15 Ill. Reg. 3296, effective February 14, 1991; amended at 15 Ill. Reg. 4401, effective March 11, 1991; preemptory amendment at 15 Ill. Reg. 5100, effective March 20, 1991; preemptory amendment at 15 Ill. Reg. 5465, effective April 2, 1991; emergency amendment at 15 Ill. Reg. 10485, effective July 1, 1991, for a maximum of 150 days; amended at 15 Ill. Reg. 11080, effective July 19, 1991; amended at 15 Ill. Reg. 13080, effective August 21, 1991; amended at 15 Ill. Reg. 14210, effective September 23, 1991; emergency amendment at 16 Ill. Reg. 711, effective December 26, 1991, for a maximum of 150 days; amended at 16 Ill. Reg. 3450, effective February 20, 1992; preemptory amendment at 16 Ill. Reg. 5068, effective March 11, 1992; preemptory amendment at 16 Ill. Reg. 7056, effective April 20, 1992; emergency amendment at 16 Ill. Reg. 8239, effective May 19, 1992, for a maximum of 150 days; amended at 16 Ill. Reg. 8382, effective May 26, 1992; emergency amendment at 16 Ill. Reg. 13950, effective August 19, 1992, for a maximum of 150 days; emergency amendment at 16 Ill. Reg. 14452, effective September 4, 1992, for a maximum of 150 days; amended at 17 Ill. Reg. 238, effective December 23, 1992; preemptory amendment at 17 Ill. Reg. 498, effective December 18, 1992; amended at 17 Ill. Reg. 590, effective January 4, 1993; amended at 17 Ill. Reg. 1819, effective February 2, 1993; amended at 17 Ill. Reg. 6441, effective April 8, 1993; emergency amendment at 17 Ill. Reg. 12900, effective July 22, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 13409, effective July 29, 1993; emergency amendment at 17 Ill. Reg. 13789, effective August 9, 1993, for a maximum of 150 days; emergency amendment at 17 Ill. Reg. 14666, effective August 26,

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1993, for a maximum of 150 days; amended at 17 Ill. Reg. 19103, effective October 25, 1993; emergency amendment at 17 Ill. Reg. 21858, effective December 1, 1993, for a maximum of 150 days; amended at 17 Ill. Reg. 22514, effective December 15, 1993; amended at 18 Ill. Reg. 227, effective December 17, 1993; amended at 18 Ill. Reg. 1107, effective January 18, 1994; amended at 18 Ill. Reg. 5146, effective March 21, 1994; preemptory amendment at 18 Ill. Reg. 9562, effective June 13, 1994; emergency amendment at 18 Ill. Reg. 11299, effective July 1, 1994, for a maximum of 150 days; preemptory amendment at 18 Ill. Reg. 13476, effective August 17, 1994; emergency amendment at 18 Ill. Reg. 14417, effective September 9, 1994, for a maximum of 150 days; amended at 18 Ill. Reg. 16545, effective October 31, 1994; preemptory amendment at 18 Ill. Reg. 16708, effective October 28, 1994; amended at 18 Ill. Reg. 17191, effective November 21, 1994; amended at 19 Ill. Reg. 1024, effective January 24, 1995; preemptory amendment at 19 Ill. Reg. 2481, effective February 17, 1995; preemptory amendment at 19 Ill. Reg. 3073, effective February 17, 1995; amended at 19 Ill. Reg. 3456, effective March 7, 1995; preemptory amendment at 19 Ill. Reg. 5145, effective March 14, 1995; amended at 19 Ill. Reg. 6452, effective May 2, 1995; preemptory amendment at 19 Ill. Reg. 6688, effective May 1, 1995; amended at 19 Ill. Reg. 7841, effective June 1, 1995; amended at 19 Ill. Reg. 8156, effective June 12, 1995; amended at 19 Ill. Reg. 9096, effective June 27, 1995; emergency amendment at 19 Ill. Reg. 11954, effective August 1, 1995, for a maximum of 150 days; preemptory amendment at 19 Ill. Reg. 13979, effective September 19, 1995; preemptory amendment at 19 Ill. Reg. 15103, effective October 12, 1995; amended at 19 Ill. Reg. 16160, effective November 28, 1995; amended at 20 Ill. Reg. 308, effective December 22, 1995; emergency amendment at 20 Ill. Reg. 4060, effective February 27, 1996, for a maximum of 150 days; preemptory amendment at 20 Ill. Reg. 6334, effective April 22, 1996; preemptory amendment at 20 Ill. Reg. 7434, effective May 14, 1996; amended at 20 Ill. Reg. 8301, effective June 11, 1996; amended at 20 Ill. Reg. 8657, effective June 20, 1996; amended at 20 Ill. Reg. 9006, effective June 26, 1996; amended at 20 Ill. Reg. 9925, effective July 10, 1996; emergency amendment at 20 Ill. Reg. 10213, effective July 15, 1996, for a maximum of 150 days; amended at 20 Ill. Reg. 10841, effective August 5, 1996; preemptory amendment at 20 Ill. Reg. 13408, effective September 24, 1996; amended at 20 Ill. Reg. 15018, effective November 7, 1996; preemptory amendment at 20 Ill. Reg. 15092, effective November 7, 1996; emergency amendment at 21 Ill. Reg. 1023, effective January 6, 1997, for a maximum of 150 days; amended at 21 Ill. Reg. 1629, effective January 22, 1997; amended at 21 Ill. Reg. 5144, effective April 15, 1997; amended at 21 Ill. Reg. 6444, effective May 15, 1997; amended at 21 Ill. Reg. 7118, effective June 3, 1997; emergency amendment at 21 Ill. Reg. 10061, effective July 21, 1997, for a maximum of 150 days; emergency amendment at 21 Ill. Reg. 12859, effective September 8, 1997, for a maximum of 150 days; preemptory amendment at 21 Ill. Reg. 14267, effective October 14, 1997; preemptory amendment at 21 Ill. Reg. 14589, effective October 15, 1997; preemptory amendment at 21 Ill. Reg. 15030, effective November 10, 1997; amended at 21 Ill. Reg. 16344, effective December 9, 1997; preemptory amendment at 21 Ill. Reg. 16465, effective December 4, 1997; preemptory

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amendment at 21 Ill. Reg. 17167, effective December 9, 1997; preemptory amendment at 22 Ill. Reg. 1593, effective December 22, 1997; amended at 22 Ill. Reg. 2580, effective January 14, 1998; preemptory amendment at 22 Ill. Reg. 4326, effective February 13, 1998; preemptory amendment at 22 Ill. Reg. 5108, effective February 26, 1998; preemptory amendment at 22 Ill. Reg. 5749, effective March 3, 1998; amended at 22 Ill. Reg. 6204, effective March 12, 1998; preemptory amendment at 22 Ill. Reg. 7053, effective April 1, 1998; preemptory amendment at 22 Ill. Reg. 7320, effective April 10, 1998; preemptory amendment at 22 Ill. Reg. 7692, effective April 20, 1998; emergency amendment at 22 Ill. Reg. 12607, effective July 2, 1998, for a maximum of 150 days; preemptory amendment at 22 Ill. Reg. 15489, effective August 7, 1998; amended at 22 Ill. Reg. 16158, effective August 31, 1998; preemptory amendment at 22 Ill. Reg. 19105, effective September 30, 1998; preemptory amendment at 22 Ill. Reg. 19943, effective October 27, 1998; preemptory amendment at 22 Ill. Reg. 20406, effective November 5, 1998; amended at 22 Ill. Reg. 20581, effective November 16, 1998; amended at 23 Ill. Reg. 664, effective January 1, 1999; preemptory amendment at 23 Ill. Reg. 730, effective December 29, 1998; emergency amendment at 23 Ill. Reg. 6533, effective May 10, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 7065, effective June 3, 1999; emergency amendment at 23 Ill. Reg. 8169, effective July 1, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 11020, effective August 26, 1999; amended at 23 Ill. Reg. 12429, effective September 21, 1999; preemptory amendment at 23 Ill. Reg. 12493, effective September 23, 1999; amended at 23 Ill. Reg. 12604, effective September 24, 1999; amended at 23 Ill. Reg. 13053, effective September 27, 1999; preemptory amendment at 23 Ill. Reg. 13132, effective October 1, 1999; amended at 23 Ill. Reg. 13570, effective October 26, 1999; amended at 23 Ill. Reg. 14020, effective November 15, 1999; amended at 24 Ill. Reg. 1025, effective January 7, 2000; preemptory amendment at 24 Ill. Reg. 3399, effective February 3, 2000; amended at 24 Ill. Reg. 3537, effective February 18, 2000; amended at 24 Ill. Reg. 6874, effective April 21, 2000; amended at 24 Ill. Reg. 7956, effective May 23, 2000; emergency amendment at 24 Ill. Reg. 10328, effective July 1, 2000, for a maximum of 150 days; emergency expired November 27, 2000; preemptory amendment at 24 Ill. Reg. 10767, effective July 3, 2000; amended at 24 Ill. Reg. 13384, effective August 17, 2000; preemptory amendment at 24 Ill. Reg. 14460, effective September 14, 2000; preemptory amendment at 24 Ill. Reg. 16700, effective October 30, 2000; preemptory amendment at 24 Ill. Reg. 17600, effective November 16, 2000; amended at 24 Ill. Reg. 18058, effective December 4, 2000; preemptory amendment at 24 Ill. Reg. 18444, effective December 1, 2000; amended at 25 Ill. Reg. 811, effective January 4, 2001; amended at 25 Ill. Reg. 2389, effective January 22, 2001; amended at 25 Ill. Reg. 4552, effective March 14, 2001; preemptory amendment at 25 Ill. Reg. 5067, effective March 21, 2001; amended at 25 Ill. Reg. 5618, effective April 4, 2001; amended at 25 Ill. Reg. 6655, effective May 11, 2001; amended at 25 Ill. Reg. 7151, effective May 25, 2001; preemptory amendment at 25 Ill. Reg. 8009, effective June 14, 2001; emergency amendment at 25 Ill. Reg. 9336, effective July 3, 2001, for a maximum of 150 days; amended at 25 Ill. Reg. 9846, effective July 23, 2001; amended at 25 Ill. Reg. 12087,

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effective September 6, 2001; amended at 25 Ill. Reg. 15560, effective November 20, 2001; preemptory amendment at 25 Ill. Reg. 15671, effective November 15, 2001; amended at 25 Ill. Reg. 15974, effective November 28, 2001; emergency amendment at 26 Ill. Reg. 223, effective December 21, 2001, for a maximum of 150 days; amended at 26 Ill. Reg. 1143, effective January 17, 2002; amended at 26 Ill. Reg. 4127, effective March 5, 2002; preemptory amendment at 26 Ill. Reg. 4963, effective March 15, 2002; amended at 26 Ill. Reg. 6235, effective April 16, 2002; emergency amendment at 26 Ill. Reg. 7314, effective April 29, 2002, for a maximum of 150 days; amended at 26 Ill. Reg. 10425, effective July 1, 2002; emergency amendment at 26 Ill. Reg. 10952, effective July 1, 2002, for a maximum of 150 days; amended at 26 Ill. Reg. 13934, effective September 10, 2002; amended at 26 Ill. Reg. 14965, effective October 7, 2002; emergency amendment at 26 Ill. Reg. 16583, effective October 24, 2002, for a maximum of 150 days; emergency expired March 22, 2003; preemptory amendment at 26 Ill. Reg. 17280, effective November 18, 2002; amended at 26 Ill. Reg. 17374, effective November 25, 2002; amended at 26 Ill. Reg. 17987, effective December 9, 2002; amended at 27 Ill. Reg. 3261, effective February 11, 2003; expedited correction at 28 Ill. Reg. 6151, effective February 11, 2003; amended at 27 Ill. Reg. 8855, effective May 15, 2003; amended at 27 Ill. Reg. 9114, effective May 27, 2003; emergency amendment at 27 Ill. Reg. 10442, effective July 1, 2003, for a maximum of 150 days; emergency expired November 27, 2003; preemptory amendment at 27 Ill. Reg. 17433, effective November 7, 2003; amended at 27 Ill. Reg. 18560, effective December 1, 2003; preemptory amendment at 28 Ill. Reg. 1441, effective January 9, 2004; amended at 28 Ill. Reg. 2684, effective January 22, 2004; amended at 28 Ill. Reg. 6879, effective April 30, 2004; preemptory amendment at 28 Ill. Reg. 7323, effective May 10, 2004; amended at 28 Ill. Reg. 8842, effective June 11, 2004; preemptory amendment at 28 Ill. Reg. 9717, effective June 28, 2004; amended at 28 Ill. Reg. 12585, effective August 27, 2004; preemptory amendment at 28 Ill. Reg. 13011, effective September 8, 2004; preemptory amendment at 28 Ill. Reg. 13247, effective September 20, 2004; preemptory amendment at 28 Ill. Reg. 13656, effective September 27, 2004; emergency amendment at 28 Ill. Reg. 14174, effective October 15, 2004, for a maximum of 150 days; emergency expired March 13, 2005; preemptory amendment at 28 Ill. Reg. 14689, effective October 22, 2004; preemptory amendment at 28 Ill. Reg. 15336, effective November 15, 2004; preemptory amendment at 28 Ill. Reg. 16513, effective December 9, 2004; preemptory amendment at 29 Ill. Reg. 726, effective December 15, 2004; amended at 29 Ill. Reg. 1166, effective January 7, 2005; preemptory amendment at 29 Ill. Reg. 1385, effective January 4, 2005; preemptory amendment at 29 Ill. Reg. 1559, effective January 11, 2005; preemptory amendment at 29 Ill. Reg. 2050, effective January 19, 2005; preemptory amendment at 29 Ill. Reg. 4125, effective February 23, 2005; amended at 29 Ill. Reg. 5375, effective April 4, 2005; preemptory amendment at 29 Ill. Reg. 6105, effective April 14, 2005; preemptory amendment at 29 Ill. Reg. 7217, effective May 6, 2005; preemptory amendment at 29 Ill. Reg. 7840, effective May 10, 2005; amended at 29 Ill. Reg. 8110, effective May 23, 2005; preemptory amendment at 29 Ill. Reg. 8214, effective May 23, 2005; preemptory amendment at 29 Ill. Reg. 8418, effective

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June 1, 2005; amended at 29 Ill. Reg. 9319, effective July 1, 2005; preemptory amendment at 29 Ill. Reg. 12076, effective July 15, 2005; preemptory amendment at 29 Ill. Reg. 13265, effective August 11, 2005; amended at 29 Ill. Reg. 13540, effective August 22, 2005; preemptory amendment at 29 Ill. Reg. 14098, effective September 2, 2005; amended at 29 Ill. Reg. 14166, effective September 9, 2005; amended at 29 Ill. Reg. 19551, effective November 21, 2005; emergency amendment at 29 Ill. Reg. 20554, effective December 2, 2005, for a maximum of 150 days; preemptory amendment at 29 Ill. Reg. 20693, effective December 12, 2005; preemptory amendment at 30 Ill. Reg. 623, effective December 28, 2005; preemptory amendment at 30 Ill. Reg. 1382, effective January 13, 2006; amended at 30 Ill. Reg. 2289, effective February 6, 2006; preemptory amendment at 30 Ill. Reg. 4157, effective February 22, 2006; preemptory amendment at 30 Ill. Reg. 5687, effective March 7, 2006; preemptory amendment at 30 Ill. Reg. 6409, effective March 30, 2006; amended at 30 Ill. Reg. 7857, effective April 17, 2006; amended at 30 Ill. Reg. 9438, effective May 15, 2006; preemptory amendment at 30 Ill. Reg. 10153, effective May 18, 2006; preemptory amendment at 30 Ill. Reg. 10508, effective June 1, 2006; amended at 30 Ill. Reg. 11336, effective July 1, 2006; emergency amendment at 30 Ill. Reg. 12340, effective July 1, 2006, for a maximum of 150 days; preemptory amendment at 30 Ill. Reg. 12418, effective July 1, 2006; amended at 30 Ill. Reg. 12761, effective July 17, 2006; preemptory amendment at 30 Ill. Reg. 13547, effective August 1, 2006; preemptory amendment at 30 Ill. Reg. 15059, effective September 5, 2006; preemptory amendment at 30 Ill. Reg. 16439, effective September 27, 2006; emergency amendment at 30 Ill. Reg. 16626, effective October 3, 2006, for a maximum of 150 days; preemptory amendment at 30 Ill. Reg. 17603, effective October 20, 2006; amended at 30 Ill. Reg. 18610, effective November 20, 2006; preemptory amendment at 30 Ill. Reg. 18823, effective November 21, 2006; preemptory amendment at 31 Ill. Reg. 230, effective December 20, 2006; emergency amendment at 31 Ill. Reg. 1483, effective January 1, 2007, for a maximum of 150 days; preemptory amendment at 31 Ill. Reg. 2485, effective January 17, 2007; preemptory amendment at 31 Ill. Reg. 4445, effective February 28, 2007; amended at 31 Ill. Reg. 4982, effective March 15, 2007; preemptory amendment at 31 Ill. Reg. 7338, effective May 3, 2007; amended at 31 Ill. Reg. 8901, effective July 1, 2007; emergency amendment at 31 Ill. Reg. 10056, effective July 1, 2007, for a maximum of 150 days; preemptory amendment at 31 Ill. Reg. 10496, effective July 6, 2007; preemptory amendment at 31 Ill. Reg. 12335, effective August 9, 2007; emergency amendment at 31 Ill. Reg. 12608, effective August 16, 2007, for a maximum of 150 days; emergency amendment at 31 Ill. Reg. 13220, effective August 30, 2007, for a maximum of 150 days; preemptory amendment at 31 Ill. Reg. 13357, effective August 29, 2007; amended at 31 Ill. Reg. 13981, effective September 21, 2007; preemptory amendment at 31 Ill. Reg. 14331, effective October 1, 2007; amended at 31 Ill. Reg. 16094, effective November 20, 2007; amended at 31 Ill. Reg. 16792, effective December 13, 2007; preemptory amendment at 32 Ill. Reg. 598, effective December 27, 2007; amended at 32 Ill. Reg. 1082, effective January 11, 2008; preemptory amendment at 32 Ill. Reg. 3095, effective February 13, 2008; preemptory amendment at 32 Ill. Reg. 6097, effective March 25,

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2008; preemptory amendment at 32 Ill. Reg. 7154, effective April 17, 2008; expedited correction at 32 Ill. Reg. 9747, effective April 17, 2008; preemptory amendment at 32 Ill. Reg. 9360, effective June 13, 2008; amended at 32 Ill. Reg. 9881, effective July 1, 2008; preemptory amendment at 32 Ill. Reg. 12065, effective July 9, 2008; preemptory amendment at 32 Ill. Reg. 13861, effective August 8, 2008; preemptory amendment at 32 Ill. Reg. 16591, effective September 24, 2008; preemptory amendment at 32 Ill. Reg. 16872, effective October 3, 2008; preemptory amendment at 32 Ill. Reg. 18324, effective November 14, 2008; preemptory amendment at 33 Ill. Reg. 98, effective December 19, 2008; amended at 33 Ill. Reg. 2148, effective January 26, 2009; preemptory amendment at 33 Ill. Reg. 3530, effective February 6, 2009; preemptory amendment at 33 Ill. Reg. 4202, effective February 26, 2009; preemptory amendment at 33 Ill. Reg. 5501, effective March 25, 2009; preemptory amendment at 33 Ill. Reg. 6354, effective April 15, 2009; preemptory amendment at 33 Ill. Reg. 6724, effective May 1, 2009; preemptory amendment at 33 Ill. Reg. 9138, effective June 12, 2009; emergency amendment at 33 Ill. Reg. 9432, effective July 1, 2009, for a maximum of 150 days; amended at 33 Ill. Reg. 10211, effective July 1, 2009; preemptory amendment at 33 Ill. Reg. 10823, effective July 2, 2009; preemptory amendment at 33 Ill. Reg. 11082, effective July 10, 2009; preemptory amendment at 33 Ill. Reg. 11698, effective July 23, 2009; preemptory amendment at 33 Ill. Reg. 11895, effective July 31, 2009; preemptory amendment at 33 Ill. Reg. 12872, effective September 3, 2009; amended at 33 Ill. Reg. 14944, effective October 26, 2009; preemptory amendment at 33 Ill. Reg. 16598, effective November 13, 2009; preemptory amendment at 34 Ill. Reg. 305, effective December 18, 2009; emergency amendment at 34 Ill. Reg. 957, effective January 1, 2010, for a maximum of 150 days; preemptory amendment at 34 Ill. Reg. 1425, effective January 5, 2010; preemptory amendment at 34 Ill. Reg. 3684, effective March 5, 2010; preemptory amendment at 34 Ill. Reg. 5776, effective April 2, 2010; preemptory amendment at 34 Ill. Reg. 6214, effective April 16, 2010; amended at 34 Ill. Reg. 6583, effective April 30, 2010; preemptory amendment at 34 Ill. Reg. 7528, effective May 14, 2010; amended at 34 Ill. Reg. 7645, effective May 24, 2010; preemptory amendment at 34 Ill. Reg. 7947, effective May 26, 2010; preemptory amendment at 34 Ill. Reg. 8633, effective June 18, 2010; amended at 34 Ill. Reg. 9759, effective July 1, 2010; preemptory amendment at 34 Ill. Reg. 10536, effective July 9, 2010; preemptory amendment at 34 Ill. Reg. 11864, effective July 30, 2010; emergency amendment at 34 Ill. Reg. 12240, effective August 9, 2010, for a maximum of 150 days; preemptory amendment at 34 Ill. Reg. 13204, effective August 26, 2010; preemptory amendment at 34 Ill. Reg. 13657, effective September 8, 2010; preemptory amendment at 34 Ill. Reg. 15897, effective September 30, 2010; preemptory amendment at 34 Ill. Reg. 18912, effective November 15, 2010; preemptory amendment at 34 Ill. Reg. 19582, effective December 3, 2010; amended at 35 Ill. Reg. 765, effective December 30, 2010; emergency amendment at 35 Ill. Reg. 1092, effective January 1, 2011, for a maximum of 150 days; preemptory amendment at 35 Ill. Reg. 2465, effective January 19, 2011; preemptory amendment at 35 Ill. Reg. 3577, effective February 10, 2011; emergency amendment at 35 Ill. Reg. 4412, effective February 23, 2011, for a

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maximum of 150 days; preemptory amendment at 35 Ill. Reg. 4803, effective March 11, 2011; emergency amendment at 35 Ill. Reg. 5633, effective March 15, 2011, for a maximum of 150 days; preemptory amendment at 35 Ill. Reg. 5677, effective March 18, 2011; amended at 35 Ill. Reg. 8419, effective May 23, 2011; amended at 35 Ill. Reg. 11245, effective June 28, 2011; emergency amendment at 35 Ill. Reg. 11657, effective July 1, 2011, for a maximum of 150 days; emergency expired November 27, 2011; preemptory amendment at 35 Ill. Reg. 12119, effective June 29, 2011; preemptory amendment at 35 Ill. Reg. 13966, effective July 29, 2011; preemptory amendment at 35 Ill. Reg. 15178, effective August 29, 2011; emergency amendment at 35 Ill. Reg. 15605, effective September 16, 2011, for a maximum of 150 days; preemptory amendment at 35 Ill. Reg. 15640, effective September 15, 2011; preemptory amendment at 35 Ill. Reg. 19707, effective November 23, 2011; amended at 35 Ill. Reg. 20144, effective December 6, 2011; amended at 36 Ill. Reg. 153, effective December 22, 2011; preemptory amendment at 36 Ill. Reg. 564, effective December 29, 2011; preemptory amendment at 36 Ill. Reg. 3957, effective February 24, 2012; preemptory amendment at 36 Ill. Reg. 4158, effective March 5, 2012; preemptory amendment at 36 Ill. Reg. 4437, effective March 9, 2012; amended at 36 Ill. Reg. 4707, effective March 19, 2012; amended at 36 Ill. Reg. 8460, effective May 24, 2012; preemptory amendment at 36 Ill. Reg. 10518, effective June 27, 2012; emergency amendment at 36 Ill. Reg. 11222, effective July 1, 2012, for a maximum of 150 days; preemptory amendment at 36 Ill. Reg. 13680, effective August 15, 2012; preemptory amendment at 36 Ill. Reg. 13973, effective August 22, 2012; preemptory amendment at 36 Ill. Reg. 15498, effective October 16, 2012; amended at 36 Ill. Reg. 16213, effective November 1, 2012; preemptory amendment at 36 Ill. Reg. 17138, effective November 20, 2012; preemptory amendment at 37 Ill. Reg. 3408, effective March 7, 2013; amended at 37 Ill. Reg. 4750, effective April 1, 2013; preemptory amendment at 37 Ill. Reg. 5925, effective April 18, 2013; preemptory amendment at 37 Ill. Reg. 9563, effective June 19, 2013; amended at 37 Ill. Reg. 9939, effective July 1, 2013; emergency amendment at 37 Ill. Reg. 11395, effective July 1, 2013, for a maximum of 150 days; preemptory amendment at 37 Ill. Reg. 11524, effective July 3, 2013; preemptory amendment at 37 Ill. Reg. 12588, effective July 19, 2013; preemptory amendment at 37 Ill. Reg. 13762, effective August 8, 2013, for a maximum of 150 days.

SUBPART A: NARRATIVE

Section 310.100 Other Pay Provisions

- a) Transfer – Upon the assignment of an employee to a vacant position in a class with the same pay grade as the class for the position being vacated, the employee's base salary will not be changed. Upon separation from a position in a given class and subsequent appointment to a position in the same pay grade, no increase in salary will be given.

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- b) Entrance Base Salary –
- 1) Qualifications Only Meet Minimum Requirements – When a candidate only meets the minimum requirements of the class specification upon entry to State service, an employee's entrance base salary is the in-hiring rate or the minimum base salary of the pay grade.
 - 2) Qualifications Above Minimum Requirements – If a candidate possesses directly-related education and experience in excess of the minimum requirements of the class specification, the employing agency may offer the candidate an entrance base salary that is not more than 5% above the candidate's current base salary. Any deviation from the 5% maximum is a special salary adjustment (see Section 310.80(e)).
 - 3) Area Differential – For positions where additional compensation is required because of dissimilar economic or other conditions in the geographical area in which the positions are established, a higher entrance step may be authorized by the Director of Central Management Services. Present employees receiving less than the new rate shall be advanced to the new rate.
- c) Geographical Transfer – Upon geographical transfer from or to an area for which additional compensation has been authorized, an employee will receive an adjustment to the appropriate salary level for the new geographical area of assignment effective the first day of the month following date of approval.
- d) Differential and Overtime Pay – An eligible employee may have an amount added to the employee's base salary for a given pay period for work performed in excess of the normal requirements for the position and work schedule, as follows:
- 1) Shift Differential Pay –
 - A) When Contract Contains No Provision – An employee may be paid an amount in addition to the employee's base salary for work performed on a regularly scheduled second or third shift. The additional compensation will be at a rate and in a manner approved by the Department of Central Management Services. The Director of Central Management Services will approve the manner and rate

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of this provision after considering the need of the employing agency, the treatment of other similar situations, prevailing practices of other employers, and the equity of the particular circumstances.

- B) When Represented by AFSCME – Employees shall be paid a shift differential of 80 cents per hour in addition to the base salary rate for all hours worked if the normal work schedule for that day provides that the employee is scheduled to work and the employee works half or more of such work hours before 7 a.m. or after 3 p.m. Such payment shall be for all paid time.
- 2) Overtime Pay –
- A) Eligibility – The Director of Central Management Services will maintain a list of titles and their overtime eligibility as determined by labor contracts, Federal Fair Labor Standards Act, or State law or regulations. Overtime shall be paid in accordance with the labor contracts, Federal Fair Labor Standards Act, and State law or regulations.
 - B) Compensatory Time –
 - i) When Contract Contains No Provision – Employees who are eligible for compensatory time may request such time, which may be granted by the agency at its discretion, considering, among other things, its operating needs. Compensatory time shall be taken within the fiscal year it was earned at a time convenient to the employee and consistent with the operating needs of the agency. Compensatory time shall be accrued at the rate in which it is earned (straight time or time and a half), but shall not exceed 120 hours in any fiscal year. Compensatory time approved for non-union employees will be earned after 40 actual work hours in a workweek. Compensatory time not used by the end of the fiscal year in which it was earned shall be liquidated and paid in cash at the rate it was earned. Time spent in travel outside the normal work schedule shall not be accrued as compensatory time except

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as provided by labor contracts and the Federal Fair Labor Standards Act. At no time are overtime hours or compensatory time to be transferred from one agency to another agency.

- ii) When Represented by AFSCME (excludes CU-500) – If evidence demonstrates that circumstances prevented an employee from receiving a rest period or resulted in a rest period being interrupted, and no alternative time is authorized, the employee shall be entitled to compensatory time. For employees represented by RC-006, RC-009, RC-014, RC-028 and RC-042 bargaining units, accrued compensatory time not used by the end of the fiscal year in which it was earned shall be liquidated and paid in cash at the rate it was earned. Notwithstanding the above, employees who schedule compensatory time off by June 1st of the fiscal year shall be allowed to use such time through August 1st of the following fiscal year. Employees who earn compensatory time after June 1st shall be allowed to use such compensatory time through August 15th of the subsequent fiscal year.
- 3) Incentive Pay – An employee may be paid an amount in addition to the employee's base salary for work performed in excess of the normal work standard as determined by agency management. The additional compensation shall be at a wage rate and in a manner approved by the Director of Central Management Services. The Director of Central Management Services will approve the manner and rate of this provision after considering the need of the employing agency, the treatment of other similar situations, prevailing practices of other employers, and the equity of the particular circumstances.
- 4) Temporary Assignment Pay –
- A) When Assigned to a Higher-Level Position Classification –
 - i) When Contract Contains No Provision – A bargaining unit employee may be temporarily assigned to a bargaining unit position in a position classification having a higher pay

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grade and shall be eligible for temporary assignment pay. To be eligible for temporary assignment pay, the employee must be directed to perform the duties that distinguish the higher-level position classification and be held accountable for the responsibility of the higher classification.

Employees shall not receive temporary assignment pay for paid days off except if the employee is given the assignment for 30 continuous days or more, the days off fall within the period of time and the employee works 75% of the time of the temporary assignment. Temporary assignment pay shall be calculated as if the employee received a promotion (see Section 310.80(d)(1)) into the higher pay grade. In no event is the temporary assignment pay to be lower than the minimum rate of the higher pay grade or greater than the maximum rate of the higher pay grade.

- ii) When Represented by AFSCME (excludes CU-500) – If the employee who has been temporarily assigned is selected for the posted vacancy, the employee shall have his/her creditable service date adjusted to reflect the first date on which the employee was temporarily assigned without interruption. The uninterrupted time in a temporary assignment shall be credited in determining semi-automatic promotions, if the employee successfully performed the duty or duties which distinguish the position to which the employee has been temporarily assigned. When an employee in a position allocated to the Public Service Administrator title represented by an AFSCME (excludes CU-500) bargaining unit is temporarily assigned to a non-bargaining unit position, the time frames shall not exceed nine (9) months, unless mutually agreed otherwise. For other titles, the time limits for temporarily filling a position classification are in terms of work days or calendar months. The time limit herein may be extended by mutual agreement of the parties. The time limits are: While the Employer posts and fills a job vacancy for a period of sixty (60) days from the date of posting; While an absent regular incumbent is utilizing sick leave, or accumulated time

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(vacation, holidays, personal days); Up to thirty (30) work days in a six (6) calendar month period while a regular incumbent is on disciplinary suspension or layoff; While a regular incumbent is attending required training classes; Up to six (6) months while a regular incumbent is on any illness or injury, Union or jury leave of absence. Extension shall not be unreasonably denied; and Up to sixty (60) work days in a twelve (12) month period for other leaves, or where there is temporary change in work load, or other reasonable work related circumstances. Extension shall not be unreasonably denied.

- B) When Required to Use Second Language Ability – Employees who are bilingual or have the ability to use sign language, Braille, or another second language (e.g., Spanish) and whose job descriptions do not require that they do so shall be paid temporary assignment pay when required to perform duties requiring the ability. The temporary assignment pay received is prorated based on 5% or \$100 per month, whichever is greater, in addition to the employee's base rate.

- C) When Required to Apply Chemical Manually and represented by Teamsters RC-019 – Employees represented by RC-019 and appointed to the Highway Maintainer title who are required to perform duties of manual chemical application which require proper certification in chemical spraying shall receive \$1.00 an hour over their base pay during the time they are applying the chemical.

- 5) Travel for Required Training –

- A) When Represented by American Federation of State, County and Municipal Employees (AFSCME) (excludes CU-500) – When an employee is in a position represented by an AFSCME (excludes CU-500) bargaining unit, overtime shall be paid to the employee required to travel for training, orientation, or professional development when travel is in excess of the employee's normal commute and outside the employee's normal work hours. Where current practice exists, the employee who is paid overtime for

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travel during the employee's normal commute time outside normal work time, the practice shall continue.

B) When represented by Illinois Federation of Public Employees (IFPE) RC-029 – When an employee is in a position represented by IFPE RC-029 and in the Department of Agriculture, time spent traveling from an employee's residence to and/or from a work site in Cook, Will, Lake, DuPage, McHenry and Kane counties is not considered work time except where an employee is required to travel in excess of twenty miles one way or twenty-five minutes as measured from the employee's official headquarters in which case the miles in excess of twenty miles or minutes in excess of twenty-five minutes will be considered work time. The workday shall commence at the time of the pre-trip inspection for employees assigned to drive vehicles, which require a commercial driver's license.

- e) Out-of-State Assignment – Employees who are assigned to work out-of-state on a temporary basis may receive an appropriate differential during the period of the assignment, as approved by the Director of Central Management Services. The Director of Central Management Services will approve the manner and rate of this provision after considering the need of the employing agency, the treatment of other similar situations, prevailing practices of other employers, and the equity of the particular circumstances.
- f) Equivalent Earned Time –
- 1) Employees in Positions Represented by the VR-704 Bargaining Unit –
- A) Eligibility – Employees who are represented by the VR-704 bargaining unit may receive equivalent earned time for hours worked in excess of the hours per week indicated in the approved work schedule (80 Ill. Adm. Code 303.300) assigned to the employee.
- B) Accrual –
- i) Employees who are eligible for equivalent earned time shall request that time before working in excess of the

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hours per week indicated in the approved work schedule (80 Ill. Adm. Code 303.300) assigned to the employee. Requests for equivalent earned time may be granted by the agency at its discretion, considering its operating needs. Equivalent earned time shall be accrued at straight time only to a maximum of 160 hours at any time.

- ii) Equivalent earned time will accrue in no less than one-quarter hour increments. Time spent in travel outside the normal work schedule shall not be counted toward accrual of equivalent earned time.
- C) Compensation – Any approved equivalent earned time shall be taken at a time convenient to the employee and consistent with the operating needs of the agency. The equivalent earned time may be taken in increments of not less than one-quarter hour after a minimum use of one-half hour any time after it is earned. At no time is equivalent earned time to be converted into cash payment or transferred from one agency to another agency.
- 2) Employees in Positions Represented by an American Federation of State, County and Municipal Employees Bargaining Unit – Employees shall retain their equivalent earned time upon their positions' representation by an American Federation of State, County and Municipal Employees bargaining unit. The use of the equivalent earned time is approved by supervisors, prior to other benefit time excluding sick and personal business leave, in increments of fifteen minutes after the initial use of one-half hour, and granted under the same criteria as vacation time. Employees may substitute equivalent earned time for sick leave in accordance to sick leave policies and procedures.
- g) Part-Time Work – Part-time employees whose base salary is other than an hourly or daily basis shall be paid on a daily basis computed by dividing the annual rate of salary by the total number of work days in the year.
- h) Lump Sum Payment – Lump sum payment shall be provided for accrued vacation, sick leave and unused compensatory overtime at the current base rate to those employees separated from employment under the Personnel Code. Leaves of absence and temporary layoff (per 80 Ill. Adm. Code 302.510) are not

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separations and therefore lump sum cannot be given in these transactions. Method of computation is explained in Section 310.70(a).

AGENCY NOTE – The method to be used in computing the lump sum payment for accrued vacation, sick leave and unused compensatory overtime payment for an incumbent entitled to shift differential during the employee's regular work hours will be to use the employee's current base salary plus the shift differential pay. Sick leave earned prior to January 1, 1984 and after December 31, 1997 is not compensable. Sick leave earned and not used between January 1, 1984 and December 31, 1997 will be compensable at the current base daily rate times one-half of the total number of compensable sick days.

- i) Salary Treatment Upon Return From Leave –
 - 1) An employee returning from Military Leave (80 Ill. Adm. Code 302.220 and 303.170), Peace Corps Leave (80 Ill. Adm. Code 302.230), Service-Connected Disability Leave (80 Ill. Adm. Code 303.135), Educational Leave (80 Ill. Adm. Code 302.215), Disaster Service Leave with Pay (80 Ill. Adm. Code 303.175), Family Responsibility Leave (80 Ill. Adm. Code 303.148), Leave to accept a temporary, emergency, provisional, exempt (80 Ill. Adm. Code 303.155) or trainee position, Leave to serve in domestic peace or job corps (80 Ill. Adm. Code 302.230) or leave to serve in an interim assignment will be placed on the step that reflects satisfactory performance increases to which the employee would have been entitled during the employee's period of leave. Creditable service date will be maintained.
 - 2) An employee returning to the employee's former pay grade from any other leave (not mentioned in subsection (j)(1)) of over 14 days will be placed at the step on which the employee was situated prior to the employee's leave, and the employee's creditable service date will be extended by the duration of the leave.
- j) Salary Treatment Upon Reemployment –
 - 1) Upon the reemployment of an employee in a class with the same pay grade as the class for the position held before layoff, the employee will be placed at the same salary step as held at the time of the layoff, and the employee's creditable service date will be adjusted to reflect that time on

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layoff does not count as creditable service time.

- 2) Upon the reemployment of an employee in a class at a lower salary range than the range of the class for the position held before layoff, the employee will be placed at the step in the lower pay grade that provides the base salary nearest in amount to, but less than, the current value of the step held at the time of layoff, and the employee's creditable service date will be adjusted to reflect that time on layoff does not count as creditable service time.
- k) Reinstatement – The salary upon reinstatement should not provide more than a 5% increase over the candidate's current base salary or exceed the current value of the salary step held in the position where previously certified without prior approval by the Director of Central Management Services. In no event is the resulting salary to be lower than the minimum rate or higher than the maximum rate of the pay grade. Any deviation from the 5% maximum, except when the resulting salary is the minimum rate of the pay grade, is a special salary adjustment (see Section 310.80(e)).
- l) Extended Service Payment –
 - 1) The Step 8 rate shall be increased by \$25 per month for those employees who have attained 10 years of service and have three years of creditable service on Step 8 in the same pay grade.
 - 2) The Step 8 rate shall be increased by \$50 per month for those employees who have attained 15 years of service and have three years of creditable service on Step 8 in the same pay grade.
- m) Bilingual Pay – Individual positions whose job descriptions require the use of sign language, Braille, or another second language (e.g., Spanish) shall receive 5% or \$100 per month, whichever is greater, in addition to the employee's base rate.
- n) Maximum Security Rates – An employee represented by an AFSCME (excludes CU-500) bargaining unit with seven or more years of continuous service with the Departments of Corrections and Juvenile Justice who is currently employed at Department of Corrections or Juvenile Justice maximum security institution shall be placed on the maximum security schedule as long as they remain an employee

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at a maximum security facility. Maximum Security rates are denoted by Pay Plan Codes P and S (defined in Section 310.50).

(Source: Amended by peremptory rulemaking at 37 Ill. Reg. 13762, effective August 8, 2013)

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Section 310.APPENDIX A Negotiated Rates of Pay**Section 310.TABLE F RC-019 (Teamsters Local #25)****Full Scale Rates**

<u>Title</u>	<u>Title Code</u>	<u>Bargaining Unit</u>	<u>Pay Plan Code</u>	<u>Mo.</u>	<u>Effective Date</u>
Highway Maintainer (Snowbirds)	18639	RC-019	Q	3664.00	January 1, 2010
Highway Maintainer (Snowbirds)	18639	RC-019	Q	3738.00	July 1, 2010
Highway Maintainer (Snowbirds)	18639	RC-019	Q	3850.00	January 1, 2011
Highway Maintainer (Snowbirds)	18639	RC-019	Q	3964.00	July 1, 2011
Highway Maintainer (Snowbirds)	18639	RC-019	Q	4083.00	January 1, 2012
Highway Maintainer (Snowbirds)	18639	RC-019	Q	4205.00	June 30, 2012

NOTE: Snowbirds are all seasonal, salaried, full-time Highway Maintainers whose primary function is snow removal.

<u>Title</u>	<u>Title Code</u>	<u>Bargaining Unit</u>	<u>Pay Plan Code</u>	<u>July 1, 2012</u>		<u>July 1, 2013</u>		<u>July 1, 2014</u>	
				<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>
<u>Bridge Mechanic (IDOT)</u>	<u>05310</u>	<u>RC-019</u>	<u>Q</u>	<u>5982</u>	<u>34.38</u>	<u>6102</u>	<u>35.07</u>	<u>6224</u>	<u>35.77</u>
<u>Bridge Tender (IDOT)</u>	<u>05320</u>	<u>RC-019</u>	<u>B</u>	<u>6018</u>	<u>34.59</u>	<u>6138</u>	<u>35.28</u>	<u>6261</u>	<u>35.98</u>
<u>Deck Hand (IDOT)</u>	<u>11500</u>	<u>RC-019</u>	<u>B</u>	<u>5782</u>	<u>33.23</u>	<u>5898</u>	<u>33.90</u>	<u>6016</u>	<u>34.57</u>
<u>Ferry Operator I (IDOT)</u>	<u>14801</u>	<u>RC-019</u>	<u>B</u>	<u>6018</u>	<u>34.59</u>	<u>6138</u>	<u>35.28</u>	<u>6261</u>	<u>35.98</u>
<u>Ferry Operator II (IDOT)</u>	<u>14802</u>	<u>RC-019</u>	<u>B</u>	<u>6070</u>	<u>34.89</u>	<u>6191</u>	<u>35.58</u>	<u>6315</u>	<u>36.29</u>
<u>Highway Maintainer (Regular – RG) (IDOT)</u>	<u>18639</u>	<u>RC-019</u>	<u>Q</u>	<u>5947</u>	<u>34.18</u>	<u>6066</u>	<u>34.86</u>	<u>6187</u>	<u>35.56</u>
<u>Highway Maintainer (Bridge Crew – BC) (IDOT)</u>	<u>18639</u>	<u>RC-019</u>	<u>Q</u>	<u>6022</u>	<u>34.61</u>	<u>6142</u>	<u>35.30</u>	<u>6265</u>	<u>36.01</u>
<u>Highway Maintainer (Drill Rig - DR) (IDOT)</u>	<u>18639</u>	<u>RC-019</u>	<u>Q</u>	<u>6052</u>	<u>34.78</u>	<u>6173</u>	<u>35.48</u>	<u>6296</u>	<u>36.18</u>
<u>Highway Maintainer (Emergency Patrol – EP) (IDOT)</u>	<u>18639</u>	<u>RC-019</u>	<u>Q</u>	<u>6054</u>	<u>34.79</u>	<u>6175</u>	<u>35.49</u>	<u>6299</u>	<u>36.20</u>
<u>Highway Maintenance</u>	<u>18659</u>	<u>RC-019</u>	<u>Q</u>	<u>6085</u>	<u>34.97</u>	<u>6207</u>	<u>35.67</u>	<u>6331</u>	<u>36.39</u>

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<u>Lead Worker (Regular – RG) (IDOT)</u>										
<u>Highway Maintenance</u>										
<u>Lead Worker (Bridge Crew – BC) (IDOT)</u>	<u>18659</u>	<u>RC-019</u>	<u>Q</u>	<u>6159</u>	<u>35.40</u>	<u>6282</u>	<u>36.10</u>	<u>6408</u>	<u>36.83</u>	
<u>Highway Maintenance</u>										
<u>Lead Worker (Emergency Patrol – EP) (IDOT)</u>	<u>18659</u>	<u>RC-019</u>	<u>Q</u>	<u>6192</u>	<u>35.59</u>	<u>6316</u>	<u>36.30</u>	<u>6442</u>	<u>37.02</u>	
<u>Highway Maintenance</u>										
<u>Lead Worker (Lead Lead Worker) (Regular – RG) (IDOT)</u>	<u>18659</u>	<u>RC-019</u>	<u>Q</u>	<u>6138</u>	<u>35.28</u>	<u>6261</u>	<u>35.98</u>	<u>6386</u>	<u>36.70</u>	
<u>Highway Maintenance</u>										
<u>Lead Worker (Lead Lead Worker) (Bridge Crew – BC) (IDOT)</u>	<u>18659</u>	<u>RC-019</u>	<u>Q</u>	<u>6212</u>	<u>35.70</u>	<u>6336</u>	<u>36.41</u>	<u>6463</u>	<u>37.14</u>	
<u>Highway Maintenance</u>										
<u>Lead Worker (Lead Lead Worker) (Emergency Patrol – EP) (IDOT)</u>	<u>18659</u>	<u>RC-019</u>	<u>Q</u>	<u>6217</u>	<u>35.73</u>	<u>6341</u>	<u>36.44</u>	<u>6468</u>	<u>37.17</u>	
<u>Janitor I (CMS, DOC, DHS, DJJ, DNR, ISP and DVA)</u>	<u>21951</u>	<u>RC-019</u>	<u>B</u>	<u>5574</u>	<u>32.03</u>	<u>5685</u>	<u>32.67</u>	<u>5799</u>	<u>33.33</u>	
<u>Janitor II (CMS, DOC, DHS, DJJ, DNR, ISP and DVA)</u>	<u>21952</u>	<u>RC-019</u>	<u>B</u>	<u>5607</u>	<u>32.22</u>	<u>5719</u>	<u>32.87</u>	<u>5833</u>	<u>33.52</u>	
<u>Labor Maintenance Lead Worker (CMS, DOC, DHS, DJJ, DNR, IDOT, ISP and DVA)</u>	<u>22809</u>	<u>RC-019</u>	<u>B</u>	<u>5844</u>	<u>33.59</u>	<u>5961</u>	<u>34.26</u>	<u>6080</u>	<u>34.94</u>	
<u>Laborer (Maintenance) (IDOT)</u>	<u>23080</u>	<u>RC-019</u>	<u>B</u>	<u>5785</u>	<u>33.25</u>	<u>5901</u>	<u>33.91</u>	<u>6019</u>	<u>34.59</u>	
<u>Maintenance Equipment Operator (CMS, DOC, DHS, DJJ, DNR, ISP and DVA)</u>	<u>25020</u>	<u>RC-019</u>	<u>B</u>	<u>5882</u>	<u>33.80</u>	<u>6000</u>	<u>34.48</u>	<u>6120</u>	<u>35.17</u>	
<u>Maintenance Equipment Operator (DOC & DJJ)</u>	<u>25020</u>	<u>RC-019</u>	<u>Q</u>	<u>6052</u>	<u>34.78</u>	<u>6173</u>	<u>35.48</u>	<u>6296</u>	<u>36.18</u>	
<u>Maintenance Equipment</u>	<u>25020</u>	<u>RC-019</u>	<u>S</u>	<u>6105</u>	<u>35.09</u>	<u>6227</u>	<u>35.79</u>	<u>6352</u>	<u>36.51</u>	

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<u>Operator (DOC – Maximum Security) Maintenance Equipment Operator (DHS – Forensics)</u>	<u>25020</u>	<u>RC-019</u>	<u>Q</u>	<u>5947</u>	<u>34.18</u>	<u>6066</u>	<u>34.86</u>	<u>6187</u>	<u>35.56</u>
<u>Maintenance Worker (CMS, DOC, DHS, DJJ, DNR, IDOT, ISP and DVA)</u>	<u>25500</u>	<u>RC-019</u>	<u>B</u>	<u>5823</u>	<u>33.47</u>	<u>5939</u>	<u>34.13</u>	<u>6058</u>	<u>34.82</u>
<u>Maintenance Worker (DHS – Forensics)</u>	<u>25500</u>	<u>RC-019</u>	<u>Q</u>	<u>5888</u>	<u>33.84</u>	<u>6006</u>	<u>34.52</u>	<u>6126</u>	<u>35.21</u>
<u>Power Shovel Operator (Maintenance) (Regular – RG) (CMS, DOC, DHS, DJJ, DNR, ISP and DVA)</u>	<u>33360</u>	<u>RC-019</u>	<u>B</u>	<u>5986</u>	<u>34.40</u>	<u>6106</u>	<u>35.09</u>	<u>6228</u>	<u>35.79</u>
<u>Power Shovel Operator (Maintenance) (Regular – RG) (IDOT)</u>	<u>33360</u>	<u>RC-019</u>	<u>Q</u>	<u>6052</u>	<u>34.78</u>	<u>6173</u>	<u>35.48</u>	<u>6296</u>	<u>36.18</u>
<u>Power Shovel Operator (Maintenance) (Bridge Crew – BC) (IDOT)</u>	<u>33360</u>	<u>RC-019</u>	<u>Q</u>	<u>6127</u>	<u>35.21</u>	<u>6250</u>	<u>35.92</u>	<u>6375</u>	<u>36.64</u>
<u>Security Guard I (CMS, DOC, DHS, DJJ, DNR, ISP and DVA)</u>	<u>39851</u>	<u>RC-019</u>	<u>B</u>	<u>5603</u>	<u>32.20</u>	<u>5715</u>	<u>32.84</u>	<u>5829</u>	<u>33.50</u>
<u>Security Guard II (CMS, DOC, DHS, DJJ, DNR, ISP and DVA)</u>	<u>39852</u>	<u>RC-019</u>	<u>B</u>	<u>5653</u>	<u>32.49</u>	<u>5766</u>	<u>33.14</u>	<u>5881</u>	<u>33.80</u>
<u>Silk Screen Operator (IDOT)</u>	<u>41020</u>	<u>RC-019</u>	<u>B</u>	<u>5991</u>	<u>34.43</u>	<u>6111</u>	<u>35.12</u>	<u>6233</u>	<u>35.82</u>

<u>Title</u>	<u>Title Code</u>	<u>Bargaining Unit</u>	<u>Pay Plan Code</u>	<u>July 1, 2011</u>		<u>January 1, 2012</u>	
				<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>
<u>Bridge Mechanic</u>	<u>05310</u>	<u>RC-019</u>	<u>Q</u>	<u>5894</u>	<u>33.87</u>	<u>5982</u>	<u>34.38</u>
<u>Bridge Tender</u>	<u>05320</u>	<u>RC-019</u>	<u>B</u>	<u>5930</u>	<u>34.08</u>	<u>6018</u>	<u>34.59</u>
<u>Deck Hand</u>	<u>11500</u>	<u>RC-019</u>	<u>B</u>	<u>5694</u>	<u>32.72</u>	<u>5782</u>	<u>33.23</u>
<u>Ferry Operator I</u>	<u>14801</u>	<u>RC-019</u>	<u>B</u>	<u>5930</u>	<u>34.08</u>	<u>6018</u>	<u>34.59</u>

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Ferry Operator II	14802	RC-019	B	5982	34.38	6070	34.89
Highway Maintainer	18639	RC-019	Q	5859	33.67	5947	34.18
Highway Maintainer (Bridge Crew)	18639	RC-019	Q	5934	34.10	6022	34.61
Highway Maintainer (Drill Rig)	18639	RC-019	Q	5964	34.28	6052	34.78
Highway Maintainer (Emergency Patrol)	18639	RC-019	Q	5966	34.29	6054	34.79
Highway Maintenance Lead Worker	18659	RC-019	Q	5997	34.47	6085	34.97
Highway Maintenance Lead Worker (Bridge Crew)	18659	RC-019	Q	6071	34.89	6159	35.40
Highway Maintenance Lead Worker (Emergency Patrol)	18659	RC-019	Q	6104	35.08	6192	35.59
Highway Maintenance Lead Worker (Lead Lead Worker)	18659	RC-019	Q	6050	34.77	6138	35.28
Highway Maintenance Lead Worker (Lead Lead Worker) (Bridge Crew)	18659	RC-019	Q	6124	35.20	6212	35.70
Highway Maintenance Lead Worker (Lead Lead Worker) (Emergency Patrol)	18659	RC-019	Q	6129	35.22	6217	35.73
Janitor I (Including Office of Administration)	21951	RC-019	B	5486	31.53	5574	32.03
Janitor II (Including Office of Administration)	21952	RC-019	B	5519	31.72	5607	32.22
Labor Maintenance Lead Worker	22809	RC-019	B	5756	33.08	5844	33.59
Laborer (Maintenance)	23080	RC-019	B	5697	32.74	5785	33.25
Maintenance Equipment Operator	25020	RC-019	B	5794	33.30	5882	33.80
Maintenance Equipment Operator	25020	RC-019	Q	5964	34.28	6052	34.78

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

Maintenance Equipment Operator	25020	RC-019	S	6017	34.58	6105	35.09
Maintenance Equipment Operator (DHS, forensic)	25020	RC-019	Q	5859	33.67	5947	34.18
Maintenance Worker	25500	RC-019	B	5735	32.96	5823	33.47
Maintenance Worker	25500	RC-019	Q	5800	33.33	5888	33.84
Power Shovel Operator (Maintenance)	33360	RC-019	B	5898	33.90	5986	34.40
Power Shovel Operator (Maintenance)	33360	RC-019	Q	5964	34.28	6052	34.78
Power Shovel Operator (Maintenance) (Bridge Crew)	33360	RC-019	Q	6039	34.71	6127	35.21
Security Guard I	39851	RC-019	B	5515	31.70	5603	32.20
Security Guard II	39852	RC-019	B	5565	31.98	5653	32.49
Silk Screen Operator	41020	RC-019	B	5903	33.93	5991	34.43

New Hire Rates

Effective July 1, 2012

Title	Pay Plan Code	95%		90%		85%		80%		75%	
		Mo.	Hr.								
Highway Maintainer (Regular – RG) (IDOT)	Q	<u>5650</u>	<u>32.47</u>	<u>5352</u>	<u>30.76</u>	<u>5055</u>	<u>29.05</u>	<u>4758</u>	<u>27.34</u>	<u>4460</u>	<u>25.63</u>
Highway Maintainer (Bridge Crew – BC) (IDOT)	Q	<u>5721</u>	<u>32.88</u>	<u>5420</u>	<u>31.15</u>	<u>5119</u>	<u>29.42</u>	<u>4818</u>	<u>27.69</u>	<u>4517</u>	<u>25.96</u>
Highway Maintainer (Drill Rig – DR) (IDOT)	Q	<u>5749</u>	<u>33.04</u>	<u>5447</u>	<u>31.30</u>	<u>5144</u>	<u>29.56</u>	<u>4842</u>	<u>27.83</u>	<u>4539</u>	<u>26.09</u>
Highway Maintainer (Emergency Patrol – EP) (IDOT)	Q	<u>5751</u>	<u>33.05</u>	<u>5449</u>	<u>31.32</u>	<u>5146</u>	<u>29.57</u>	<u>4843</u>	<u>27.83</u>	<u>4541</u>	<u>26.10</u>

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

Effective July 1, 2013

<u>Title</u>	<u>Pay Plan Code</u>	<u>95%</u>		<u>90%</u>		<u>85%</u>		<u>80%</u>		<u>75%</u>	
		<u>Mo.</u>	<u>Hr.</u>								
<u>Bridge Mechanic (IDOT)</u>	<u>Q</u>	<u>5797</u>	<u>33.32</u>	<u>5492</u>	<u>31.56</u>	<u>5187</u>	<u>29.81</u>	<u>4882</u>	<u>28.06</u>	<u>4577</u>	<u>26.30</u>
<u>Bridge Tender (IDOT)</u>	<u>B</u>	<u>5831</u>	<u>33.51</u>	<u>5524</u>	<u>31.75</u>	<u>5217</u>	<u>29.98</u>	<u>4910</u>	<u>28.22</u>	<u>4604</u>	<u>26.46</u>
<u>Deck Hand (IDOT)</u>	<u>B</u>	<u>5603</u>	<u>32.20</u>	<u>5308</u>	<u>30.51</u>	<u>5013</u>	<u>28.81</u>	<u>4718</u>	<u>27.11</u>	<u>4424</u>	<u>25.43</u>
<u>Ferry Operator I (IDOT)</u>	<u>B</u>	<u>5831</u>	<u>33.51</u>	<u>5524</u>	<u>31.75</u>	<u>5217</u>	<u>29.98</u>	<u>4910</u>	<u>28.22</u>	<u>4604</u>	<u>26.46</u>
<u>Ferry Operator II (IDOT)</u>	<u>B</u>	<u>5881</u>	<u>33.80</u>	<u>5572</u>	<u>32.02</u>	<u>5262</u>	<u>30.24</u>	<u>4953</u>	<u>28.47</u>	<u>4643</u>	<u>26.68</u>
<u>Highway Maintainer (Regular – RG) (IDOT)</u>	<u>Q</u>	<u>5763</u>	<u>33.12</u>	<u>5459</u>	<u>31.37</u>	<u>5156</u>	<u>29.63</u>	<u>4853</u>	<u>27.89</u>	<u>4550</u>	<u>26.15</u>
<u>Highway Maintainer (Bridge Crew – BC) (IDOT)</u>	<u>Q</u>	<u>5835</u>	<u>33.53</u>	<u>5528</u>	<u>31.77</u>	<u>5221</u>	<u>30.01</u>	<u>4914</u>	<u>28.24</u>	<u>4607</u>	<u>26.48</u>
<u>Highway Maintainer (Drill Rig – DR) (IDOT)</u>	<u>Q</u>	<u>5864</u>	<u>33.70</u>	<u>5556</u>	<u>31.93</u>	<u>5247</u>	<u>30.16</u>	<u>4938</u>	<u>28.38</u>	<u>4630</u>	<u>26.61</u>
<u>Highway Maintainer (Emergency Patrol – EP) (IDOT)</u>	<u>Q</u>	<u>5866</u>	<u>33.71</u>	<u>5558</u>	<u>31.94</u>	<u>5249</u>	<u>30.17</u>	<u>4940</u>	<u>28.39</u>	<u>4631</u>	<u>26.61</u>
<u>Highway Maintenance Lead Worker (Regular – RG) (IDOT)</u>	<u>Q</u>	<u>5897</u>	<u>33.89</u>	<u>5586</u>	<u>32.10</u>	<u>5276</u>	<u>30.32</u>	<u>4966</u>	<u>28.54</u>	<u>4655</u>	<u>26.75</u>
<u>Highway Maintenance Lead Worker (Bridge Crew – BC) (IDOT)</u>	<u>Q</u>	<u>5968</u>	<u>34.30</u>	<u>5654</u>	<u>32.49</u>	<u>5340</u>	<u>30.69</u>	<u>5026</u>	<u>28.89</u>	<u>4712</u>	<u>27.08</u>
<u>Highway Maintenance Lead Worker (Emergency Patrol – EP) (IDOT)</u>	<u>Q</u>	<u>6000</u>	<u>34.48</u>	<u>5684</u>	<u>32.67</u>	<u>5369</u>	<u>30.86</u>	<u>5053</u>	<u>29.04</u>	<u>4737</u>	<u>27.22</u>
<u>Highway Maintenance Lead Worker (Lead Lead Worker) (Regular – RG)</u>	<u>Q</u>	<u>5948</u>	<u>34.18</u>	<u>5635</u>	<u>32.39</u>	<u>5322</u>	<u>30.59</u>	<u>5009</u>	<u>28.79</u>	<u>4696</u>	<u>26.99</u>

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

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(IDOT)Highway MaintenanceLead Worker (Lead
Lead Worker)(Bridge Crew – BC)(IDOT)Q 6019 34.59 5702 32.77 5386 30.95 5069 29.13 4752 27.31Highway MaintenanceLead Worker (Lead
Lead Worker)(Emergency Patrol –
EP) (IDOT)Q 6024 34.62 5707 32.80 5390 30.98 5073 29.16 4756 27.33Janitor I (CMS, DOC,DHS, DJJ, DNR, ISP
and DVA)B 5401 31.04 5117 29.41 4832 27.77 4548 26.14 4264 24.51Janitor II (CMS, DOC,DHS, DJJ, DNR, ISP
and DVA)B 5433 31.22 5147 29.58 4861 27.94 4575 26.29 4289 24.65Labor MaintenanceLead Worker (CMS,
DOC, DHS, DJJ,DNR, IDOT, ISP and
DVA)B 5663 32.55 5365 30.83 5067 29.12 4769 27.41 4471 25.70Laborer (Maintenance)(IDOT)B 5606 32.22 5311 30.52 5016 28.83 4721 27.13 4426 25.44MaintenanceEquipment Operator
(CMS, DOC, DHS,DJJ, DNR, ISP and
DVA)B 5700 32.76 5400 31.03 5100 29.31 4800 27.59 4500 25.86MaintenanceEquipment Operator
(DOC & DJJ)Q 5763 33.12 5459 31.37 5156 29.63 4853 27.89 4550 26.15MaintenanceEquipment Operator
(DOC – MaximumSecurity)S 5864 33.70 5556 31.93 5247 30.16 4938 28.38 4630 26.61MaintenanceEquipment Operator(DHS – Forensics)Q 5916 34.00 5604 32.21 5293 30.42 4982 28.63 4670 26.84

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

<u>Maintenance Worker</u> <u>(CMS, DOC, DHS,</u> <u>DJJ, DNR, IDOT,</u> <u>ISP and DVA)</u>	<u>B</u>	<u>5642</u>	<u>32.43</u>	<u>5345</u>	<u>30.72</u>	<u>5048</u>	<u>29.01</u>	<u>4751</u>	<u>27.30</u>	<u>4454</u>	<u>25.60</u>
<u>Maintenance Worker</u> <u>(DHS – Forensics)</u>	<u>Q</u>	<u>5706</u>	<u>32.79</u>	<u>5405</u>	<u>31.06</u>	<u>5105</u>	<u>29.34</u>	<u>4805</u>	<u>27.61</u>	<u>4505</u>	<u>25.89</u>
<u>Power Shovel Operator</u> <u>(Maintenance)</u> <u>(Regular – RG)</u> <u>(CMS, DOC, DHS,</u> <u>DJJ, DNR, ISP and</u> <u>DVA)</u>	<u>B</u>	<u>5801</u>	<u>33.34</u>	<u>5495</u>	<u>31.58</u>	<u>5190</u>	<u>29.83</u>	<u>4885</u>	<u>28.07</u>	<u>4580</u>	<u>26.32</u>
<u>Power Shovel Operator</u> <u>(Maintenance)</u> <u>(Regular - RG)</u> <u>(IDOT)</u>	<u>Q</u>	<u>5864</u>	<u>33.70</u>	<u>5556</u>	<u>31.93</u>	<u>5247</u>	<u>30.16</u>	<u>4938</u>	<u>28.38</u>	<u>4630</u>	<u>26.61</u>
<u>Power Shovel Operator</u> <u>(Maintenance)</u> <u>(Bridge Crew – BC)</u> <u>(IDOT)</u>	<u>Q</u>	<u>5938</u>	<u>34.13</u>	<u>5625</u>	<u>32.33</u>	<u>5313</u>	<u>30.53</u>	<u>5000</u>	<u>28.74</u>	<u>4688</u>	<u>26.94</u>
<u>Security Guard I</u> <u>(CMS, DOC, DHS,</u> <u>DJJ, DNR, ISP and</u> <u>DVA)</u>	<u>B</u>	<u>5429</u>	<u>31.20</u>	<u>5144</u>	<u>29.56</u>	<u>4858</u>	<u>27.92</u>	<u>4572</u>	<u>26.28</u>	<u>4286</u>	<u>24.63</u>
<u>Security Guard II</u> <u>(CMS, DOC, DHS,</u> <u>DJJ, DNR, ISP and</u> <u>DVA)</u>	<u>B</u>	<u>5478</u>	<u>31.48</u>	<u>5189</u>	<u>29.82</u>	<u>4901</u>	<u>28.17</u>	<u>4613</u>	<u>26.51</u>	<u>4325</u>	<u>24.86</u>
<u>Silk Screen Operator</u> <u>(IDOT)</u>	<u>B</u>	<u>5805</u>	<u>33.36</u>	<u>5500</u>	<u>31.61</u>	<u>5194</u>	<u>29.85</u>	<u>4889</u>	<u>28.10</u>	<u>4583</u>	<u>26.34</u>

Effective July 1, 2014

<u>Title</u>	<u>Pay</u> <u>Plan</u> <u>Code</u>	<u>95%</u>		<u>90%</u>		<u>85%</u>		<u>80%</u>		<u>75%</u>	
		<u>Mo.</u>	<u>Hr.</u>								
<u>Bridge Mechanic</u> <u>(IDOT)</u>	<u>Q</u>	<u>5913</u>	<u>33.98</u>	<u>5602</u>	<u>32.20</u>	<u>5290</u>	<u>30.40</u>	<u>4979</u>	<u>28.61</u>	<u>4668</u>	<u>26.83</u>
<u>Bridge Tender (IDOT)</u>	<u>B</u>	<u>5948</u>	<u>34.18</u>	<u>5635</u>	<u>32.39</u>	<u>5322</u>	<u>30.59</u>	<u>5009</u>	<u>28.79</u>	<u>4696</u>	<u>26.99</u>
<u>Deck Hand (IDOT)</u>	<u>B</u>	<u>5715</u>	<u>32.84</u>	<u>5414</u>	<u>31.11</u>	<u>5114</u>	<u>29.39</u>	<u>4813</u>	<u>27.66</u>	<u>4512</u>	<u>25.93</u>
<u>Ferry Operator I</u>	<u>B</u>	<u>5948</u>	<u>34.18</u>	<u>5635</u>	<u>32.39</u>	<u>5322</u>	<u>30.59</u>	<u>5009</u>	<u>28.79</u>	<u>4696</u>	<u>26.99</u>

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

<u>(IDOT)</u>												
<u>Ferry Operator II</u>												
<u>(IDOT)</u>	B	<u>5999</u>	<u>34.48</u>	<u>5684</u>	<u>32.67</u>	<u>5368</u>	<u>30.85</u>	<u>5052</u>	<u>29.03</u>	<u>4736</u>	<u>27.22</u>	
<u>Highway Maintainer</u>												
<u>(Regular – RG)</u>												
<u>(IDOT)</u>	Q	<u>5878</u>	<u>33.78</u>	<u>5568</u>	<u>32.00</u>	<u>5259</u>	<u>30.22</u>	<u>4950</u>	<u>28.45</u>	<u>4640</u>	<u>26.67</u>	
<u>Highway Maintainer</u>												
<u>(Bridge Crew –</u>												
<u>BC) (IDOT)</u>	Q	<u>5952</u>	<u>34.21</u>	<u>5639</u>	<u>32.41</u>	<u>5325</u>	<u>30.60</u>	<u>5012</u>	<u>28.80</u>	<u>4699</u>	<u>27.01</u>	
<u>Highway Maintainer</u>												
<u>(Drill Rig – DR)</u>												
<u>(IDOT)</u>	Q	<u>5981</u>	<u>34.37</u>	<u>5666</u>	<u>32.56</u>	<u>5352</u>	<u>30.76</u>	<u>5037</u>	<u>28.95</u>	<u>4722</u>	<u>27.14</u>	
<u>Highway Maintainer</u>												
<u>(Emergency Patrol</u>												
<u>– EP) (IDOT)</u>	Q	<u>5984</u>	<u>34.39</u>	<u>5669</u>	<u>32.58</u>	<u>5354</u>	<u>30.77</u>	<u>5039</u>	<u>28.96</u>	<u>4724</u>	<u>27.15</u>	
<u>Highway Maintenance</u>												
<u>Lead Worker</u>												
<u>(Regular – RG)</u>												
<u>(IDOT)</u>	Q	<u>6014</u>	<u>34.56</u>	<u>5698</u>	<u>32.75</u>	<u>5381</u>	<u>30.93</u>	<u>5065</u>	<u>29.11</u>	<u>4748</u>	<u>27.29</u>	
<u>Highway Maintenance</u>												
<u>Lead Worker</u>												
<u>(Bridge Crew –</u>												
<u>BC) (IDOT)</u>	Q	<u>6088</u>	<u>34.99</u>	<u>5767</u>	<u>33.14</u>	<u>5447</u>	<u>31.30</u>	<u>5126</u>	<u>29.46</u>	<u>4806</u>	<u>27.62</u>	
<u>Highway Maintenance</u>												
<u>Lead Worker</u>												
<u>(Emergency Patrol</u>												
<u>– EP) (IDOT)</u>	Q	<u>6120</u>	<u>35.17</u>	<u>5798</u>	<u>33.32</u>	<u>5476</u>	<u>31.47</u>	<u>5154</u>	<u>29.62</u>	<u>4832</u>	<u>27.77</u>	
<u>Highway Maintenance</u>												
<u>Lead Worker (Lead</u>												
<u>Lead Worker)</u>												
<u>(Regular – RG)</u>												
<u>(IDOT)</u>	Q	<u>6067</u>	<u>34.87</u>	<u>5747</u>	<u>33.03</u>	<u>5428</u>	<u>31.20</u>	<u>5109</u>	<u>29.36</u>	<u>4790</u>	<u>27.53</u>	
<u>Highway Maintenance</u>												
<u>Lead Worker (Lead</u>												
<u>Lead Worker)</u>												
<u>(Bridge Crew –</u>												
<u>BC) (IDOT)</u>	Q	<u>6140</u>	<u>35.29</u>	<u>5817</u>	<u>33.43</u>	<u>5494</u>	<u>31.57</u>	<u>5170</u>	<u>29.71</u>	<u>4847</u>	<u>27.86</u>	
<u>Highway Maintenance</u>												
<u>Lead Worker (Lead</u>												
<u>Lead Worker)</u>												
<u>(Emergency Patrol</u>												
<u>– EP) (IDOT)</u>	Q	<u>6145</u>	<u>35.32</u>	<u>5821</u>	<u>33.45</u>	<u>5498</u>	<u>31.60</u>	<u>5174</u>	<u>29.74</u>	<u>4851</u>	<u>27.88</u>	

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

<u>(CMS, DOC, DHS, DJJ, DNR, ISP and DVA)</u>	<u>B</u>	<u>5917</u>	<u>34.01</u>	<u>5605</u>	<u>32.21</u>	<u>5294</u>	<u>30.43</u>	<u>4982</u>	<u>28.63</u>	<u>4671</u>	<u>26.84</u>
<u>Power Shovel Operator (Maintenance) (Regular – RG) (IDOT)</u>	<u>Q</u>	<u>5981</u>	<u>34.37</u>	<u>5666</u>	<u>32.56</u>	<u>5352</u>	<u>30.76</u>	<u>5037</u>	<u>28.95</u>	<u>4722</u>	<u>27.14</u>
<u>Power Shovel Operator (Maintenance) (Bridge Crew – BC) (IDOT)</u>	<u>Q</u>	<u>6056</u>	<u>34.80</u>	<u>5738</u>	<u>32.98</u>	<u>5419</u>	<u>31.14</u>	<u>5100</u>	<u>29.31</u>	<u>4781</u>	<u>27.48</u>
<u>Security Guard I (CMS, DOC, DHS, DJJ, DNR, ISP and DVA)</u>	<u>B</u>	<u>5538</u>	<u>31.83</u>	<u>5246</u>	<u>30.15</u>	<u>4955</u>	<u>28.48</u>	<u>4663</u>	<u>26.80</u>	<u>4372</u>	<u>25.13</u>
<u>Security Guard II (CMS, DOC, DHS, DJJ, DNR, ISP and DVA)</u>	<u>B</u>	<u>5587</u>	<u>32.11</u>	<u>5293</u>	<u>30.42</u>	<u>4999</u>	<u>28.73</u>	<u>4705</u>	<u>27.04</u>	<u>4411</u>	<u>25.35</u>
<u>Silk Screen Operator (IDOT)</u>	<u>B</u>	<u>5921</u>	<u>34.03</u>	<u>5610</u>	<u>32.24</u>	<u>5298</u>	<u>30.45</u>	<u>4986</u>	<u>28.66</u>	<u>4675</u>	<u>26.87</u>

<u>Title</u>	<u>Title Code</u>	<u>Bargaining Unit</u>	<u>Pay Plan Code</u>
Highway Maintainer	48639	RC-019	Q

Highway Maintainer and Highway Maintainer (Tractor Mower)

<u>New Hire Between the Dates</u>	<u>July 1, 2012</u>		<u>On-employee's "new hire" anniversary July-December 2012</u>		<u>January 1, 2013</u>		<u>On-employee's "new-hire" anniversary January-June 2013</u>	
	<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>
(7/1/08-12/31/08)	5650	32.47	Full Scale					
(1/1/09-6/30/09)	5650	32.47			5650	32.47	Full Scale	
(7/1/09-10/31/09)	5352	30.76	5650	32.47	5650	32.47		
(11/1/09-12/31/09)	5055	29.05	5352	30.76	5352	30.76		
(1/1/10-6/30/10)	5055	29.05			5055	29.05	5352	30.76

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

(7/1/10-12/31/10)	4758	27.34	5055	29.05	5055	29.05		
(1/1/11-6/30/11)	4758	27.34			4758	27.34	5055	29.05
(7/1/11-12/31/11)	4460	25.63	4758	27.34	4758	27.34		
(1/1/12-6/30/12)	4460	25.63			4460	25.63	4758	27.34
(7/1/12-12/31/12)	4460	25.63			4460	25.63		
(1/1/13-6/30/13)					4460	25.63		

Highway Maintainer (Bridge Crew)

<u>New Hire</u>	<u>July 1, 2012</u>		<u>On-employee's "new hire" anniversary July-December 2012</u>		<u>January 1, 2013</u>		<u>On-employee's "new hire" anniversary January-June 2013</u>	
<u>Between the Dates</u>	<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>
(7/1/08-12/31/08)	5721	32.88	Full Scale					
(1/1/09-6/30/09)	5721	32.88			5721	32.88	Full Scale	
(7/1/09-10/31/09)	5420	31.15	5721	32.88	5721	32.88		
(11/1/09-12/31/09)	5119	29.42	5420	31.15	5420	31.15		
(1/1/10-6/30/10)	5119	29.42			5119	29.42	5420	31.15
(7/1/10-12/31/10)	4818	27.69	5119	29.42	5119	29.42		
(1/1/11-6/30/11)	4818	27.69			4818	27.69	5119	29.42
(7/1/11-12/31/11)	4517	25.96	4818	27.69	4818	27.69		
(1/1/12-6/30/12)	4517	25.96			4517	25.96	4818	27.69
(7/1/12-12/31/12)	4517	25.96			4517	25.96		
(1/1/13-6/30/13)					4517	25.96		

Highway Maintainer (Drill Rig)

<u>New Hire</u>	<u>July 1, 2012</u>		<u>On-employee's "new hire" anniversary July-December 2012</u>		<u>January 1, 2013</u>		<u>On-employee's "new hire" anniversary January-June 2013</u>	
<u>Between the Dates</u>	<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>	<u>Mo.</u>	<u>Hr.</u>
(7/1/08-12/31/08)	5749	33.04	Full Scale					
(1/1/09-6/30/09)	5749	33.04			5749	33.04	Full Scale	

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(7/1/09-10/31/09)	5447	31.30	5749	33.04	5749	33.04	5447	31.30
(11/1/09-12/31/09)	5144	29.56	5447	31.30	5447	31.30		
(1/1/10-6/30/10)	5144	29.56			5144	29.56	5144	29.56
(7/1/10-12/31/10)	4842	27.83	5144	29.56	5144	29.56		
(1/1/11-6/30/11)	4842	27.83			4842	27.83	4842	27.83
(7/1/11-12/31/11)	4539	26.09	4842	27.83	4842	27.83		
(1/1/12-6/30/12)	4539	26.09			4539	26.09		
(7/1/12-12/31/12)	4539	26.09			4539	26.09		
(1/1/13-6/30/13)					4539	26.09		

Highway Maintainer (Emergency Patrol)

New Hire	July 1, 2012		On-employee's "new hire" anniversary July-December 2012		January 1, 2013		On-employee's "new hire" anniversary January-June 2013	
	Mo:	Hr:	Mo:	Hr:	Mo:	Hr:	Mo:	Hr:
Between the Dates								
(7/1/08-12/31/08)	5751	33.05	Full Scale					
(1/1/09-6/30/09)	5751	33.05			5751	33.05	Full Scale	
(7/1/09-10/31/09)	5449	31.32	5751	33.05	5751	33.05		
(11/1/09-12/31/09)	5146	29.57	5449	31.32	5449	31.32		
(1/1/10-6/30/10)	5146	29.57			5146	29.57	5449	31.32
(7/1/10-12/31/10)	4843	27.83	5146	29.57	5146	29.57		
(1/1/11-6/30/11)	4843	27.83			4843	27.83	5146	29.57
(7/1/11-12/31/11)	4541	26.10	4843	27.83	4843	27.83		
(1/1/12-6/30/12)	4541	26.10			4541	26.10	4843	27.83
(7/1/12-12/31/12)	4541	26.10			4541	26.10		
(1/1/13-6/30/13)					4541	26.10		

Note: Effective July 1, 2011, the clothing allowance for Highway Maintainers, Highway Maintenance Lead Workers, Highway Maintenance Lead Lead Workers, Deck Hands and Power Shovel Operator Maintenance employees increases to \$200. Effective July 1, 2011, the clothing allowance for all other titles increases to \$100. Effective July 1, 2013, employees who are required to wear steel-toe safety shoes shall receive an additional \$100 clothing allowance. The total will not exceed \$200 per contract year.

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NOTICE OF PEREMPTORY AMENDMENTS

Employees shall receive a one-time 2.25% stipend which will not be added into the base salary effective June 1, 2013. Permanent part-time employees will be paid a pro-rated stipend based upon their regular work schedule which will not be added into the base salary. To be eligible for the stipend, the employee must be on payroll June 1, 2013. Employees on leave of absence who would otherwise be eligible will receive the lump sum stipend to which they are entitled upon return to the active payroll during fiscal year 2013.

(Source: Amended by peremptory rulemaking at 37 Ill. Reg. 13762, effective August 8, 2013)

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

Section 310.APPENDIX A Negotiated Rates of Pay**Section 310.TABLE G RC-045 (Automotive Mechanics, IFPE)****Effective Date July 1, 2012**

<u>Title</u>	<u>Title Code</u>	<u>Pay Plan Code</u>	<u>Monthly Rate</u>
<u>Auto & Body Repairer</u>	<u>03680</u>	<u>B</u>	<u>5432</u>
<u>Auto & Body Repairer</u>	<u>03680</u>	<u>Q</u>	<u>5624</u>
<u>Auto & Body Repairer</u>	<u>03680</u>	<u>S</u>	<u>5704</u>
<u>Automotive Attendant I</u>	<u>03696</u>	<u>B</u>	<u>3281</u>
<u>Automotive Attendant I</u>	<u>03696</u>	<u>Q</u>	<u>3411</u>
<u>Automotive Attendant I</u>	<u>03696</u>	<u>S</u>	<u>3484</u>
<u>Automotive Attendant II</u>	<u>03697</u>	<u>B</u>	<u>3504</u>
<u>Automotive Attendant II</u>	<u>03697</u>	<u>Q</u>	<u>3642</u>
<u>Automotive Attendant II</u>	<u>03697</u>	<u>S</u>	<u>3714</u>
<u>Automotive Mechanic</u>	<u>03700</u>	<u>B</u>	<u>5432</u>
<u>Automotive Mechanic</u>	<u>03700</u>	<u>Q</u>	<u>5624</u>
<u>Automotive Mechanic</u>	<u>03700</u>	<u>S</u>	<u>5704</u>
<u>Automotive Mechanic (Hired on or after 9/1/2010)</u>	<u>03700</u>	<u>B</u>	<u>5052</u>
<u>Automotive Mechanic (Hired on or after 9/1/2010)</u>	<u>03700</u>	<u>Q</u>	<u>5230</u>
<u>Automotive Mechanic (Hired on or after 9/1/2010)</u>	<u>03700</u>	<u>S</u>	<u>5305</u>
<u>Automotive Parts Warehouse Specialist</u>	<u>03734</u>	<u>B</u>	<u>5319</u>
<u>Automotive Parts Warehouse</u>	<u>03730</u>	<u>B</u>	<u>5218</u>
<u>Small Engine Mechanic</u>	<u>41150</u>	<u>B</u>	<u>4782</u>
<u>Storekeeper I*</u>	<u>43051</u>	<u>B</u>	<u>5112</u>
<u>Storekeeper II*</u>	<u>43052</u>	<u>B</u>	<u>5221</u>

Effective Date July 1, 2013

<u>Title</u>	<u>Title Code</u>	<u>Pay Plan Code</u>	<u>100% (Full-Scale)</u>	<u>97%</u>	<u>95%</u>	<u>93%</u>
<u>Automotive Mechanic (Hired between 9/1/2010 and 6/30/2013)</u>	<u>03700</u>	<u>B</u>	<u>5541</u>	<u>5375</u>	<u>5264</u>	<u>5153</u>
<u>Automotive Mechanic (Hired between 9/1/2010 and 6/30/2013)</u>	<u>03700</u>	<u>Q</u>	<u>5624</u>	<u>5455</u>	<u>5343</u>	<u>5230</u>

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

Automotive Mechanic (Hired between
9/1/2010 and 6/30/2013)03700 S 5704 5533 5419 5305

<u>Title</u>	<u>Title Code</u>	<u>Pay Plan Code</u>	<u>100% (Full Scale)</u>	<u>95%</u>	<u>90%</u>	<u>85%</u>	<u>80%</u>	<u>75%</u>
<u>Auto & Body Repairer</u>	<u>03680</u>	<u>B</u>	<u>5541</u>	<u>5264</u>	<u>4987</u>	<u>4710</u>	<u>4433</u>	<u>4156</u>
<u>Auto & Body Repairer</u>	<u>03680</u>	<u>Q</u>	<u>5624</u>	<u>5343</u>	<u>5062</u>	<u>4780</u>	<u>4499</u>	<u>4218</u>
<u>Auto & Body Repairer</u>	<u>03680</u>	<u>S</u>	<u>5704</u>	<u>5419</u>	<u>5134</u>	<u>4848</u>	<u>4563</u>	<u>4278</u>
<u>Automotive Attendant I</u>	<u>03696</u>	<u>B</u>	<u>3347</u>	<u>3180</u>	<u>3012</u>	<u>2845</u>	<u>2678</u>	<u>2510</u>
<u>Automotive Attendant I</u>	<u>03696</u>	<u>Q</u>	<u>3411</u>	<u>3240</u>	<u>3070</u>	<u>2899</u>	<u>2729</u>	<u>2558</u>
<u>Automotive Attendant I</u>	<u>03696</u>	<u>S</u>	<u>3484</u>	<u>3310</u>	<u>3136</u>	<u>2961</u>	<u>2787</u>	<u>2613</u>
<u>Automotive Attendant II</u>	<u>03697</u>	<u>B</u>	<u>3574</u>	<u>3395</u>	<u>3217</u>	<u>3038</u>	<u>2859</u>	<u>2681</u>
<u>Automotive Attendant II</u>	<u>03697</u>	<u>Q</u>	<u>3642</u>	<u>3460</u>	<u>3278</u>	<u>3096</u>	<u>2914</u>	<u>2732</u>
<u>Automotive Attendant II</u>	<u>03697</u>	<u>S</u>	<u>3714</u>	<u>3528</u>	<u>3343</u>	<u>3157</u>	<u>2971</u>	<u>2786</u>
<u>Automotive Mechanic</u>	<u>03700</u>	<u>B</u>	<u>5541</u>	<u>5264</u>	<u>4987</u>	<u>4710</u>	<u>4433</u>	<u>4156</u>
<u>Automotive Mechanic</u>	<u>03700</u>	<u>Q</u>	<u>5624</u>	<u>5343</u>	<u>5062</u>	<u>4780</u>	<u>4499</u>	<u>4218</u>
<u>Automotive Mechanic</u>	<u>03700</u>	<u>S</u>	<u>5704</u>	<u>5419</u>	<u>5134</u>	<u>4848</u>	<u>4563</u>	<u>4278</u>
<u>Automotive Parts</u>								
<u>Warehouse Specialist</u>	<u>03734</u>	<u>B</u>	<u>5425</u>	<u>5154</u>	<u>4883</u>	<u>4611</u>	<u>4340</u>	<u>4069</u>
<u>Automotive Parts</u>								
<u>Warehouser</u>	<u>03730</u>	<u>B</u>	<u>5322</u>	<u>5056</u>	<u>4790</u>	<u>4524</u>	<u>4258</u>	<u>3992</u>
<u>Small Engine Mechanic</u>	<u>41150</u>	<u>B</u>	<u>4878</u>	<u>4634</u>	<u>4390</u>	<u>4146</u>	<u>3902</u>	<u>3659</u>
<u>Storekeeper I*</u>	<u>43051</u>	<u>B</u>	<u>5214</u>	<u>4953</u>	<u>4693</u>	<u>4432</u>	<u>4171</u>	<u>3911</u>
<u>Storekeeper II*</u>	<u>43052</u>	<u>B</u>	<u>5325</u>	<u>5059</u>	<u>4793</u>	<u>4526</u>	<u>4260</u>	<u>3994</u>

Effective Date July 1, 2014

<u>Title</u>	<u>Title Code</u>	<u>Pay Plan Code</u>	<u>100% (Full-Scale)</u>	<u>97%</u>	<u>95%</u>
<u>Automotive Mechanic (Hired between 9/1/2010 and 6/30/2013)</u>	<u>03700</u>	<u>B</u>	<u>5652</u>	<u>5482</u>	<u>5369</u>
<u>Automotive Mechanic (Hired between 9/1/2010 and 6/30/2013)</u>	<u>03700</u>	<u>Q</u>	<u>5736</u>	<u>5564</u>	<u>5449</u>
<u>Automotive Mechanic (Hired between 9/1/2010 and 6/30/2013)</u>	<u>03700</u>	<u>S</u>	<u>5818</u>	<u>5643</u>	<u>5527</u>

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

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<u>Title</u>	<u>Title Code</u>	<u>Pay Plan Code</u>	<u>100% (Full-Scale)</u>	<u>95%</u>	<u>90%</u>	<u>85%</u>	<u>80%</u>	<u>75%</u>
<u>Auto & Body Repairer</u>	<u>03680</u>	<u>B</u>	<u>5652</u>	<u>5369</u>	<u>5087</u>	<u>4804</u>	<u>4522</u>	<u>4239</u>
<u>Auto & Body Repairer</u>	<u>03680</u>	<u>Q</u>	<u>5736</u>	<u>5449</u>	<u>5162</u>	<u>4876</u>	<u>4589</u>	<u>4302</u>
<u>Auto & Body Repairer</u>	<u>03680</u>	<u>S</u>	<u>5818</u>	<u>5527</u>	<u>5236</u>	<u>4945</u>	<u>4654</u>	<u>4364</u>
<u>Automotive Attendant I</u>	<u>03696</u>	<u>B</u>	<u>3414</u>	<u>3243</u>	<u>3073</u>	<u>2902</u>	<u>2731</u>	<u>2561</u>
<u>Automotive Attendant I</u>	<u>03696</u>	<u>Q</u>	<u>3479</u>	<u>3305</u>	<u>3131</u>	<u>2957</u>	<u>2783</u>	<u>2609</u>
<u>Automotive Attendant I</u>	<u>03696</u>	<u>S</u>	<u>3554</u>	<u>3376</u>	<u>3199</u>	<u>3021</u>	<u>2843</u>	<u>2666</u>
<u>Automotive Attendant II</u>	<u>03697</u>	<u>B</u>	<u>3645</u>	<u>3463</u>	<u>3281</u>	<u>3098</u>	<u>2916</u>	<u>2734</u>
<u>Automotive Attendant II</u>	<u>03697</u>	<u>Q</u>	<u>3715</u>	<u>3529</u>	<u>3344</u>	<u>3158</u>	<u>2972</u>	<u>2786</u>
<u>Automotive Attendant II</u>	<u>03697</u>	<u>S</u>	<u>3788</u>	<u>3599</u>	<u>3409</u>	<u>3220</u>	<u>3030</u>	<u>2841</u>
<u>Automotive Mechanic</u>	<u>03700</u>	<u>B</u>	<u>5652</u>	<u>5369</u>	<u>5087</u>	<u>4804</u>	<u>4522</u>	<u>4239</u>
<u>Automotive Mechanic</u>	<u>03700</u>	<u>Q</u>	<u>5736</u>	<u>5449</u>	<u>5162</u>	<u>4876</u>	<u>4589</u>	<u>4302</u>
<u>Automotive Mechanic</u>	<u>03700</u>	<u>S</u>	<u>5818</u>	<u>5527</u>	<u>5236</u>	<u>4945</u>	<u>4654</u>	<u>4364</u>
<u>Automotive Parts</u>								
<u>Warehouse Specialist</u>	<u>03734</u>	<u>B</u>	<u>5534</u>	<u>5257</u>	<u>4981</u>	<u>4704</u>	<u>4427</u>	<u>4151</u>
<u>Automotive Parts</u>								
<u>Warehouser</u>	<u>03730</u>	<u>B</u>	<u>5428</u>	<u>5157</u>	<u>4885</u>	<u>4614</u>	<u>4342</u>	<u>4071</u>
<u>Small Engine Mechanic</u>	<u>41150</u>	<u>B</u>	<u>4976</u>	<u>4727</u>	<u>4478</u>	<u>4230</u>	<u>3981</u>	<u>3732</u>
<u>Storekeeper I*</u>	<u>43051</u>	<u>B</u>	<u>5318</u>	<u>5052</u>	<u>4786</u>	<u>4520</u>	<u>4254</u>	<u>3989</u>
<u>Storekeeper II*</u>	<u>43052</u>	<u>B</u>	<u>5432</u>	<u>5160</u>	<u>4889</u>	<u>4617</u>	<u>4346</u>	<u>4074</u>

* Storekeeper I & Storekeeper II serving as Automotive Parts Warehouser in Cook County.

<u>Title</u>	<u>Title Code</u>	<u>Bargaining Unit</u>	<u>Pay Plan Code</u>	<u>September 10, 2010 Monthly Rate</u>	<u>January 1, 2011 Monthly Rate</u>
<u>Automotive Mechanic</u> <u>(Hired on or after</u> <u>September 1, 2010)</u>	<u>03700</u>	<u>RC-045</u>	<u>B</u>	<u>4692</u>	<u>4786</u>
<u>Automotive Mechanic</u> <u>(Hired on or after</u> <u>September 1, 2010)</u>	<u>03700</u>	<u>RC-045</u>	<u>Q</u>	<u>4858</u>	<u>4955</u>
<u>Automotive Mechanic</u> <u>(Hired on or after</u> <u>September 1, 2010)</u>	<u>03700</u>	<u>RC-045</u>	<u>S</u>	<u>4927</u>	<u>5026</u>

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NOTICE OF PEREMPTORY AMENDMENTS

<u>Title</u>	<u>Title Code</u>	<u>Bargaining Unit</u>	<u>Pay Plan Code</u>	<u>July 1, 2011 Monthly Rate</u>	<u>January 1, 2012 Monthly Rate</u>
Auto & Body Repairer (See Note)	03680	RC-045	B	5352	5432
Auto & Body Repairer (See Note)	03680	RC-045	Q	5541	5624
Auto & Body Repairer (See Note)	03680	RC-045	S	5620	5704
Automotive Attendant I (See Note)	03696	RC-045	B	3233	3281
Automotive Attendant I (See Note)	03696	RC-045	Q	3361	3411
Automotive Attendant I (See Note)	03696	RC-045	S	3433	3484
Automotive Attendant II (See Note)	03697	RC-045	B	3452	3504
Automotive Attendant II (See Note)	03697	RC-045	Q	3588	3642
Automotive Attendant II (See Note)	03697	RC-045	S	3659	3714
Automotive Mechanic (Hired on or after September 1, 2010)	03700	RC-045	B	4977	5052
Automotive Mechanic (Hired on or after September 1, 2010)	03700	RC-045	Q	5153	5230
Automotive Mechanic (Hired on or after September 1, 2010)	03700	RC-045	S	5227	5305
Automotive Mechanic (See Note)	03700	RC-045	B	5352	5432
Automotive Mechanic (See Note)	03700	RC-045	Q	5541	5624
Automotive Mechanic (See Note)	03700	RC-045	S	5620	5704
Automotive Parts Warehouse	03730	RC-045	B	5141	5218
Automotive Parts Warehouse Specialist	03734	RC-045	B	5240	5319
Small Engine Mechanic	41150	RC-045	B	4711	4782
Storekeeper I (See Note)	43051	RC-045	B	5036	5112
Storekeeper II (See Note)	43052	RC-045	B	5144	5221

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

~~Effective July 1, 2011, employees who have more than 10 years of continuous service receive a longevity payment of \$50/month and employees who have more than 15 years of continuous service receive a longevity payment of \$75/month.~~

Note: Effective July 1, 2011, employees who have more than 10 years of continuous service receive a longevity payment of \$50/month and employees who have more than 15 years of continuous service receive a longevity payment of \$75/month.

The Storekeeper I and II titles are in Cook County only.

An employee newly hired to a position that was previously covered by the alternative formula for pension benefits prior to January 1, 2011 and, effective January 1, 2011, is covered by the standard formula for pension benefits (see the Illinois Pension Code [40 ILCS 5/1-160(g) and 14-110(b)]) shall be placed on the Pay Plan Code B salary grade assigned to the classification to which the position is allocated. An employee newly hired is an employee hired on or after January 1, 2011 who has never been a member of the State Employees' Retirement System (SERS) or any other reciprocal retirement system. Other reciprocal retirement systems are the Chicago Teachers' Pension Fund, County Employees' Annuity and Benefit Fund of Cook County, Forest Preserve District Employees' Annuity and Benefit Fund of Cook County, General Assembly Retirement System (GARS), Illinois Municipal Retirement Fund (IMRF), Judges Retirement System (JRS), Laborers' Annuity and Benefit Fund of Chicago, Metropolitan Water Reclamation District Retirement Fund, Municipal Employees Annuity and Benefit Fund of Chicago, State Universities Retirement System (SURS) and Teachers' Retirement System of the State of Illinois (TRS).

Employees shall receive a one-time 2.25% stipend which will not be added into the base salary. The stipend is based on the employee's base salary effective June 28, 2013. Permanent part-time employees are paid a pro-rated stipend, based upon their regular work schedule, that is not to be added into the employee's base salary. To be eligible for the stipend, the employee shall be on the payroll June 28, 2013. Employees on leave of absence who would otherwise be eligible will receive the lump sum stipend upon return during fiscal year 2013 to the active payroll.

(Source: Amended by preemptory rulemaking at 37 Ill. Reg. 13762, effective August 8, 2013)

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

Section 310.APPENDIX A Negotiated Rates of Pay**Section 310.TABLE P RC-029 (Paraprofessional Investigatory and Law Enforcement Employees, IFPE)**

<u>Title</u>	<u>Title Code</u>	<u>Bargaining Unit</u>	<u>Pay Grade</u>
Agricultural Products Promoter (See Note)	00815	RC-029	13
Animal and Animal Products Investigator	01072	RC-029	14
Apiary Inspector	01215	RC-029	04
Apiary Inspector (hourly)	01215	RC-029	04H
Arson Investigator I (See Note)	01481	RC-029	16
Arson Investigator II (See Note)	01482	RC-029	18
Arson Investigator II (Lead Worker) (See Note)	01482	RC-029	19
Breath Alcohol Analysis Technician (See Note)	05170	RC-029	16
Commerce Commission Police Officer I (See Note)	08451	RC-029	16
Commerce Commission Police Officer II (See Note)	08452	RC-029	18
Commodities Inspector	08770	RC-029	10
Drug Compliance Investigator	12778	RC-029	25
Elevator Inspector	13495	RC-029	18.5
Environmental Protection Legal Investigator I (See Note)	13811	RC-029	12
Environmental Protection Legal Investigator II	13812	RC-029	14
Environmental Protection Legal Investigator Specialist	13815	RC-029	15
Explosives Inspector I	14051	RC-029	14
Explosives Inspector II	14052	RC-029	17
Fingerprint Technician (See Note)	15204	RC-029	12
Fingerprint Technician Supervisor	15208	RC-029	17
Fire Prevention Inspector I	15316	RC-029	15
Fire Prevention Inspector II (See Note)	15317	RC-029	18
Fire Prevention Inspector Trainee (See Note)	15320	RC-029	12
Guard I	17681	RC-029	05
Guard II	17682	RC-029	08
Guard III	17683	RC-029	11
Licensing Assistant	23568	RC-029	07
Licensing Investigator I (See Note)	23571	RC-029	12
Licensing Investigator II	23572	RC-029	15
Licensing Investigator III (See Note)	23573	RC-029	16
Licensing Investigator IV (See Note)	23574	RC-029	18

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Liquor Control Special Agent I	23751	RC-029	15
Motorist Assistance Specialist	28490	RC-029	07
Plant and Pesticide Specialist I (See Note)	32501	RC-029	16
Plant and Pesticide Specialist II (See Note)	32502	RC-029	18
Plumbing Inspector (See Note)	32915	RC-029	19
Police Officer I (See Note)	32981	RC-029	16
Police Officer II (See Note)	32982	RC-029	18
Police Officer III (See Note)	32983	RC-029	20
Polygraph Examiner I (See Note)	33001	RC-029	18
Polygraph Examiner II (See Note)	33002	RC-029	20
Polygraph Examiner III (See Note)	33003	RC-029	22
Products and Standards Inspector	34603	RC-029	14
Security Officer (See Note)	39870	RC-029	12
Security Officer Sergeant (See Note)	39877	RC-029	13
Seed Analyst I	39951	RC-029	11
Seed Analyst II (See Note)	39952	RC-029	12
Site Security Officer	41115	RC-029	08
Truck Weighing Inspector (See Note)	46100	RC-029	12
Vehicle Compliance Inspector (See Note)	47570	RC-029	16
Vehicle Emissions Compliance Inspector (See Note)	47580	RC-029	12
Vehicle Emissions Quality Assurance Auditor (See Note)	47584	RC-029	13
Vital Records Quality Control Inspector (See Note)	48000	RC-029	12
Warehouse Claims Specialist (See Note)	48780	RC-029	19
Warehouse Examiner	48881	RC-029	15
Warehouse Examiner Specialist	48882	RC-029	17
Well Inspector I	49421	RC-029	14
Well Inspector II	49422	RC-029	17

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<u>Pay</u> <u>Grade</u>	<u>Pay Plan</u> <u>Code</u>	<u>STEPS</u>							
		<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>
<u>04</u>	<u>B</u>	<u>2857</u>	<u>2931</u>	<u>2998</u>	<u>3082</u>	<u>3151</u>	<u>3286</u>	<u>3339</u>	<u>3473</u>
<u>04-H</u>	<u>B</u>	<u>16.42</u>							
<u>05</u>	<u>B</u>	<u>2937</u>	<u>3011</u>	<u>3089</u>	<u>3165</u>	<u>3242</u>	<u>3377</u>	<u>3433</u>	<u>3572</u>
<u>07</u>	<u>B</u>	<u>3098</u>	<u>3189</u>	<u>3281</u>	<u>3366</u>	<u>3463</u>	<u>3621</u>	<u>3683</u>	<u>3832</u>

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<u>08</u>	<u>B</u>	<u>3195</u>	<u>3291</u>	<u>3395</u>	<u>3486</u>	<u>3584</u>	<u>3755</u>	<u>3822</u>	<u>3976</u>
<u>10</u>	<u>B</u>	<u>3419</u>	<u>3520</u>	<u>3636</u>	<u>3750</u>	<u>3868</u>	<u>4065</u>	<u>4140</u>	<u>4306</u>
<u>11</u>	<u>B</u>	<u>3537</u>	<u>3651</u>	<u>3784</u>	<u>3906</u>	<u>4030</u>	<u>4241</u>	<u>4323</u>	<u>4497</u>
<u>12</u>	<u>B</u>	<u>3689</u>	<u>3810</u>	<u>3952</u>	<u>4081</u>	<u>4232</u>	<u>4459</u>	<u>4543</u>	<u>4723</u>
<u>12</u>	<u>Q</u>	<u>3841</u>	<u>3968</u>	<u>4121</u>	<u>4262</u>	<u>4417</u>	<u>4656</u>	<u>4751</u>	<u>4941</u>
<u>13</u>	<u>B</u>	<u>3828</u>	<u>3974</u>	<u>4122</u>	<u>4272</u>	<u>4433</u>	<u>4679</u>	<u>4771</u>	<u>4961</u>
<u>13</u>	<u>Q</u>	<u>3985</u>	<u>4143</u>	<u>4306</u>	<u>4463</u>	<u>4627</u>	<u>4890</u>	<u>4985</u>	<u>5186</u>
<u>14</u>	<u>B</u>	<u>3997</u>	<u>4153</u>	<u>4335</u>	<u>4492</u>	<u>4662</u>	<u>4934</u>	<u>5033</u>	<u>5236</u>
<u>15</u>	<u>B</u>	<u>4180</u>	<u>4352</u>	<u>4523</u>	<u>4707</u>	<u>4880</u>	<u>5176</u>	<u>5274</u>	<u>5486</u>
<u>16</u>	<u>B</u>	<u>4377</u>	<u>4572</u>	<u>4761</u>	<u>4961</u>	<u>5159</u>	<u>5463</u>	<u>5576</u>	<u>5799</u>
<u>16</u>	<u>Q</u>	<u>4777</u>	<u>4977</u>	<u>5183</u>	<u>5391</u>	<u>5712</u>	<u>5825</u>	<u>5944</u>	<u>6183</u>
<u>17</u>	<u>B</u>	<u>4592</u>	<u>4805</u>	<u>5008</u>	<u>5211</u>	<u>5422</u>	<u>5744</u>	<u>5862</u>	<u>6096</u>
<u>18</u>	<u>B</u>	<u>4843</u>	<u>5071</u>	<u>5299</u>	<u>5514</u>	<u>5737</u>	<u>6079</u>	<u>6199</u>	<u>6449</u>
<u>18</u>	<u>Q</u>	<u>5298</u>	<u>5539</u>	<u>5765</u>	<u>5994</u>	<u>6354</u>	<u>6480</u>	<u>6610</u>	<u>6874</u>
<u>18.5</u>	<u>B</u>	<u>4869</u>	<u>5117</u>	<u>5359</u>	<u>5606</u>	<u>5844</u>	<u>6087</u>	<u>6458</u>	<u>6717</u>
<u>19</u>	<u>B</u>	<u>5117</u>	<u>5359</u>	<u>5606</u>	<u>5844</u>	<u>6087</u>	<u>6458</u>	<u>6583</u>	<u>6847</u>
<u>19</u>	<u>Q</u>	<u>5473</u>	<u>5715</u>	<u>5942</u>	<u>6171</u>	<u>6528</u>	<u>6654</u>	<u>6785</u>	<u>7056</u>
<u>20</u>	<u>B</u>	<u>5405</u>	<u>5656</u>	<u>5924</u>	<u>6184</u>	<u>6441</u>	<u>6829</u>	<u>6965</u>	<u>7242</u>
<u>20</u>	<u>Q</u>	<u>5648</u>	<u>5914</u>	<u>6193</u>	<u>6460</u>	<u>6730</u>	<u>7139</u>	<u>7278</u>	<u>7569</u>
<u>22</u>	<u>B</u>	<u>6043</u>	<u>6338</u>	<u>6637</u>	<u>6946</u>	<u>7232</u>	<u>7684</u>	<u>7837</u>	<u>8152</u>
<u>22</u>	<u>Q</u>	<u>6315</u>	<u>6627</u>	<u>6936</u>	<u>7257</u>	<u>7560</u>	<u>8030</u>	<u>8193</u>	<u>8520</u>
<u>25</u>	<u>B</u>	<u>6828</u>	<u>7188</u>	<u>7530</u>	<u>7878</u>	<u>8234</u>	<u>8750</u>	<u>8927</u>	<u>9284</u>

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<u>Pay Grade</u>	<u>Pay Plan Code</u>	<u>STEPS</u>										
		<u>1c</u>	<u>1b</u>	<u>1a</u>	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>
<u>04</u>	<u>B</u>	<u>2652</u>	<u>2739</u>	<u>2827</u>	<u>2914</u>	<u>2990</u>	<u>3058</u>	<u>3144</u>	<u>3214</u>	<u>3352</u>	<u>3406</u>	<u>3542</u>
<u>04-H</u>	<u>B</u>	<u>15.24</u>	<u>15.74</u>	<u>16.25</u>	<u>16.75</u>							
<u>05</u>	<u>B</u>	<u>2726</u>	<u>2816</u>	<u>2906</u>	<u>2996</u>	<u>3071</u>	<u>3151</u>	<u>3228</u>	<u>3307</u>	<u>3445</u>	<u>3502</u>	<u>3643</u>
<u>07</u>	<u>B</u>	<u>2876</u>	<u>2970</u>	<u>3065</u>	<u>3160</u>	<u>3253</u>	<u>3347</u>	<u>3433</u>	<u>3532</u>	<u>3693</u>	<u>3757</u>	<u>3909</u>
<u>08</u>	<u>B</u>	<u>2966</u>	<u>3063</u>	<u>3161</u>	<u>3259</u>	<u>3357</u>	<u>3463</u>	<u>3556</u>	<u>3656</u>	<u>3830</u>	<u>3898</u>	<u>4056</u>
<u>10</u>	<u>B</u>	<u>3173</u>	<u>3278</u>	<u>3382</u>	<u>3487</u>	<u>3590</u>	<u>3709</u>	<u>3825</u>	<u>3945</u>	<u>4146</u>	<u>4223</u>	<u>4392</u>
<u>11</u>	<u>B</u>	<u>3283</u>	<u>3392</u>	<u>3500</u>	<u>3608</u>	<u>3724</u>	<u>3860</u>	<u>3984</u>	<u>4111</u>	<u>4326</u>	<u>4409</u>	<u>4587</u>
<u>12</u>	<u>B</u>	<u>3424</u>	<u>3537</u>	<u>3650</u>	<u>3763</u>	<u>3886</u>	<u>4031</u>	<u>4163</u>	<u>4317</u>	<u>4548</u>	<u>4634</u>	<u>4817</u>

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

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<u>12</u>	<u>Q</u>	<u>3565</u>	<u>3683</u>	<u>3800</u>	<u>3918</u>	<u>4047</u>	<u>4203</u>	<u>4347</u>	<u>4505</u>	<u>4749</u>	<u>4846</u>	<u>5040</u>
<u>13</u>	<u>B</u>	<u>3554</u>	<u>3671</u>	<u>3788</u>	<u>3905</u>	<u>4053</u>	<u>4204</u>	<u>4357</u>	<u>4522</u>	<u>4773</u>	<u>4866</u>	<u>5060</u>
<u>13</u>	<u>Q</u>	<u>3699</u>	<u>3821</u>	<u>3943</u>	<u>4065</u>	<u>4226</u>	<u>4392</u>	<u>4552</u>	<u>4720</u>	<u>4988</u>	<u>5085</u>	<u>5290</u>
<u>14</u>	<u>B</u>	<u>3710</u>	<u>3832</u>	<u>3955</u>	<u>4077</u>	<u>4236</u>	<u>4422</u>	<u>4582</u>	<u>4755</u>	<u>5033</u>	<u>5134</u>	<u>5341</u>
<u>15</u>	<u>B</u>	<u>3880</u>	<u>4008</u>	<u>4136</u>	<u>4264</u>	<u>4439</u>	<u>4613</u>	<u>4801</u>	<u>4978</u>	<u>5280</u>	<u>5379</u>	<u>5596</u>
<u>16</u>	<u>B</u>	<u>4063</u>	<u>4197</u>	<u>4331</u>	<u>4465</u>	<u>4663</u>	<u>4856</u>	<u>5060</u>	<u>5262</u>	<u>5572</u>	<u>5688</u>	<u>5915</u>
<u>16</u>	<u>Q</u>	<u>4434</u>	<u>4581</u>	<u>4727</u>	<u>4873</u>	<u>5077</u>	<u>5287</u>	<u>5499</u>	<u>5826</u>	<u>5942</u>	<u>6063</u>	<u>6307</u>
<u>17</u>	<u>B</u>	<u>4262</u>	<u>4403</u>	<u>4543</u>	<u>4684</u>	<u>4901</u>	<u>5108</u>	<u>5315</u>	<u>5530</u>	<u>5859</u>	<u>5979</u>	<u>6218</u>
<u>18</u>	<u>B</u>	<u>4495</u>	<u>4644</u>	<u>4792</u>	<u>4940</u>	<u>5172</u>	<u>5405</u>	<u>5624</u>	<u>5852</u>	<u>6201</u>	<u>6323</u>	<u>6578</u>
<u>18</u>	<u>Q</u>	<u>4918</u>	<u>5080</u>	<u>5242</u>	<u>5404</u>	<u>5650</u>	<u>5880</u>	<u>6114</u>	<u>6481</u>	<u>6610</u>	<u>6742</u>	<u>7011</u>
<u>18.5</u>	<u>B</u>	<u>4519</u>	<u>4668</u>	<u>4817</u>	<u>4966</u>	<u>5219</u>	<u>5466</u>	<u>5718</u>	<u>5961</u>	<u>6209</u>	<u>6587</u>	<u>6851</u>
<u>19</u>	<u>B</u>	<u>4749</u>	<u>4906</u>	<u>5062</u>	<u>5219</u>	<u>5466</u>	<u>5718</u>	<u>5961</u>	<u>6209</u>	<u>6587</u>	<u>6715</u>	<u>6984</u>
<u>19</u>	<u>Q</u>	<u>5080</u>	<u>5247</u>	<u>5415</u>	<u>5582</u>	<u>5829</u>	<u>6061</u>	<u>6294</u>	<u>6659</u>	<u>6787</u>	<u>6921</u>	<u>7197</u>
<u>20</u>	<u>B</u>	<u>5017</u>	<u>5182</u>	<u>5348</u>	<u>5513</u>	<u>5769</u>	<u>6042</u>	<u>6308</u>	<u>6570</u>	<u>6966</u>	<u>7104</u>	<u>7387</u>
<u>20</u>	<u>Q</u>	<u>5243</u>	<u>5415</u>	<u>5588</u>	<u>5761</u>	<u>6032</u>	<u>6317</u>	<u>6589</u>	<u>6865</u>	<u>7282</u>	<u>7424</u>	<u>7720</u>
<u>22</u>	<u>B</u>	<u>5609</u>	<u>5794</u>	<u>5979</u>	<u>6164</u>	<u>6465</u>	<u>6770</u>	<u>7085</u>	<u>7377</u>	<u>7838</u>	<u>7994</u>	<u>8315</u>
<u>22</u>	<u>Q</u>	<u>5861</u>	<u>6055</u>	<u>6248</u>	<u>6441</u>	<u>6760</u>	<u>7075</u>	<u>7402</u>	<u>7711</u>	<u>8191</u>	<u>8357</u>	<u>8690</u>
<u>25</u>	<u>B</u>	<u>6338</u>	<u>6547</u>	<u>6756</u>	<u>6965</u>	<u>7332</u>	<u>7681</u>	<u>8036</u>	<u>8399</u>	<u>8925</u>	<u>9106</u>	<u>9470</u>

Effective July 1, 2014
Bargaining Unit: RC-029

<u>Pay</u> <u>Grade</u>	<u>Pay</u> <u>Plan</u> <u>Code</u>	<u>STEPS</u>										
		<u>1c</u>	<u>1b</u>	<u>1a</u>	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>
<u>04</u>	<u>B</u>	<u>2705</u>	<u>2794</u>	<u>2884</u>	<u>2972</u>	<u>3050</u>	<u>3119</u>	<u>3207</u>	<u>3278</u>	<u>3419</u>	<u>3474</u>	<u>3613</u>
<u>04-H</u>	<u>B</u>	<u>15.55</u>	<u>16.06</u>	<u>16.57</u>	<u>17.08</u>							
<u>05</u>	<u>B</u>	<u>2781</u>	<u>2872</u>	<u>2964</u>	<u>3056</u>	<u>3132</u>	<u>3214</u>	<u>3293</u>	<u>3373</u>	<u>3514</u>	<u>3572</u>	<u>3716</u>
<u>07</u>	<u>B</u>	<u>2934</u>	<u>3029</u>	<u>3126</u>	<u>3223</u>	<u>3318</u>	<u>3414</u>	<u>3502</u>	<u>3603</u>	<u>3767</u>	<u>3832</u>	<u>3987</u>
<u>08</u>	<u>B</u>	<u>3025</u>	<u>3124</u>	<u>3224</u>	<u>3324</u>	<u>3424</u>	<u>3532</u>	<u>3627</u>	<u>3729</u>	<u>3907</u>	<u>3976</u>	<u>4137</u>
<u>10</u>	<u>B</u>	<u>3236</u>	<u>3344</u>	<u>3450</u>	<u>3557</u>	<u>3662</u>	<u>3783</u>	<u>3902</u>	<u>4024</u>	<u>4229</u>	<u>4307</u>	<u>4480</u>
<u>11</u>	<u>B</u>	<u>3349</u>	<u>3460</u>	<u>3570</u>	<u>3680</u>	<u>3798</u>	<u>3937</u>	<u>4064</u>	<u>4193</u>	<u>4413</u>	<u>4497</u>	<u>4679</u>
<u>12</u>	<u>B</u>	<u>3492</u>	<u>3608</u>	<u>3723</u>	<u>3838</u>	<u>3964</u>	<u>4112</u>	<u>4246</u>	<u>4403</u>	<u>4639</u>	<u>4727</u>	<u>4913</u>
<u>12</u>	<u>Q</u>	<u>3636</u>	<u>3757</u>	<u>3876</u>	<u>3996</u>	<u>4128</u>	<u>4287</u>	<u>4434</u>	<u>4595</u>	<u>4844</u>	<u>4943</u>	<u>5141</u>

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<u>13</u>	<u>B</u>	<u>3625</u>	<u>3744</u>	<u>3864</u>	<u>3983</u>	<u>4134</u>	<u>4288</u>	<u>4444</u>	<u>4612</u>	<u>4868</u>	<u>4963</u>	<u>5161</u>
<u>13</u>	<u>Q</u>	<u>3773</u>	<u>3897</u>	<u>4022</u>	<u>4146</u>	<u>4311</u>	<u>4480</u>	<u>4643</u>	<u>4814</u>	<u>5088</u>	<u>5187</u>	<u>5396</u>
<u>14</u>	<u>B</u>	<u>3784</u>	<u>3909</u>	<u>4034</u>	<u>4159</u>	<u>4321</u>	<u>4510</u>	<u>4674</u>	<u>4850</u>	<u>5134</u>	<u>5237</u>	<u>5448</u>
<u>15</u>	<u>B</u>	<u>3958</u>	<u>4088</u>	<u>4219</u>	<u>4349</u>	<u>4528</u>	<u>4705</u>	<u>4897</u>	<u>5078</u>	<u>5386</u>	<u>5487</u>	<u>5708</u>
<u>16</u>	<u>B</u>	<u>4144</u>	<u>4281</u>	<u>4418</u>	<u>4554</u>	<u>4756</u>	<u>4953</u>	<u>5161</u>	<u>5367</u>	<u>5683</u>	<u>5802</u>	<u>6033</u>
<u>16</u>	<u>Q</u>	<u>4523</u>	<u>4673</u>	<u>4822</u>	<u>4970</u>	<u>5179</u>	<u>5393</u>	<u>5609</u>	<u>5943</u>	<u>6061</u>	<u>6184</u>	<u>6433</u>
<u>17</u>	<u>B</u>	<u>4347</u>	<u>4491</u>	<u>4634</u>	<u>4778</u>	<u>4999</u>	<u>5210</u>	<u>5421</u>	<u>5641</u>	<u>5976</u>	<u>6099</u>	<u>6342</u>
<u>18</u>	<u>B</u>	<u>4585</u>	<u>4737</u>	<u>4888</u>	<u>5039</u>	<u>5275</u>	<u>5513</u>	<u>5736</u>	<u>5969</u>	<u>6325</u>	<u>6449</u>	<u>6710</u>
<u>18</u>	<u>Q</u>	<u>5016</u>	<u>5182</u>	<u>5347</u>	<u>5512</u>	<u>5763</u>	<u>5998</u>	<u>6236</u>	<u>6611</u>	<u>6742</u>	<u>6877</u>	<u>7151</u>
<u>18.5</u>	<u>B</u>	<u>4609</u>	<u>4761</u>	<u>4913</u>	<u>5065</u>	<u>5323</u>	<u>5575</u>	<u>5832</u>	<u>6080</u>	<u>6333</u>	<u>6719</u>	<u>6988</u>
<u>19</u>	<u>B</u>	<u>4844</u>	<u>5004</u>	<u>5163</u>	<u>5323</u>	<u>5575</u>	<u>5832</u>	<u>6080</u>	<u>6333</u>	<u>6719</u>	<u>6849</u>	<u>7124</u>
<u>19</u>	<u>Q</u>	<u>5182</u>	<u>5352</u>	<u>5523</u>	<u>5694</u>	<u>5946</u>	<u>6182</u>	<u>6420</u>	<u>6792</u>	<u>6923</u>	<u>7059</u>	<u>7341</u>
<u>20</u>	<u>B</u>	<u>5117</u>	<u>5286</u>	<u>5455</u>	<u>5623</u>	<u>5884</u>	<u>6163</u>	<u>6434</u>	<u>6701</u>	<u>7105</u>	<u>7246</u>	<u>7535</u>
<u>20</u>	<u>Q</u>	<u>5348</u>	<u>5523</u>	<u>5700</u>	<u>5876</u>	<u>6153</u>	<u>6443</u>	<u>6721</u>	<u>7002</u>	<u>7428</u>	<u>7572</u>	<u>7874</u>
<u>22</u>	<u>B</u>	<u>5721</u>	<u>5910</u>	<u>6099</u>	<u>6287</u>	<u>6594</u>	<u>6905</u>	<u>7227</u>	<u>7525</u>	<u>7995</u>	<u>8154</u>	<u>8481</u>
<u>22</u>	<u>Q</u>	<u>5978</u>	<u>6176</u>	<u>6373</u>	<u>6570</u>	<u>6895</u>	<u>7217</u>	<u>7550</u>	<u>7865</u>	<u>8355</u>	<u>8524</u>	<u>8864</u>
<u>25</u>	<u>B</u>	<u>6465</u>	<u>6678</u>	<u>6891</u>	<u>7104</u>	<u>7479</u>	<u>7835</u>	<u>8197</u>	<u>8567</u>	<u>9104</u>	<u>9288</u>	<u>9659</u>

Effective July 1, 2011
Bargaining Unit: RC-029

<u>Pay Grade</u>	<u>Pay Plan Code</u>	<u>STEPS</u>							
		<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>
04	B	2822	2895	2961	3044	3112	3245	3298	3430
04H	B	16.22							
05	B	2901	2974	3051	3126	3202	3335	3391	3528
07	B	3060	3150	3240	3324	3420	3576	3638	3785
08	B	3156	3250	3353	3443	3540	3709	3775	3927
10	B	3377	3477	3591	3704	3820	4015	4088	4253

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

11	B	3493	3606	3737	3858	3980	4189	4270	4441
12	B	3643	3763	3903	4031	4180	4404	4487	4665
12	Q	3794	3919	4070	4209	4362	4599	4692	4880
13	B	3781	3925	4071	4219	4378	4621	4712	4900
13	Q	3936	4092	4253	4408	4570	4830	4923	5122
14	B	3948	4102	4281	4437	4604	4873	4971	5171
15	B	4128	4298	4467	4649	4820	5112	5209	5418
16	B	4323	4516	4702	4900	5095	5396	5507	5727
16	Q	4718	4916	5119	5324	5641	5753	5871	6107
17	B	4535	4746	4946	5147	5355	5673	5790	6021
18	B	4783	5008	5234	5446	5666	6004	6122	6369
18	Q	5233	5471	5694	5920	6276	6400	6528	6789
18.5	B	4809	5054	5293	5537	5772	6012	6378	6634
19	B	5054	5293	5537	5772	6012	6378	6502	6762
19	Q	5405	5644	5869	6095	6447	6572	6701	6969
20	B	5338	5586	5851	6108	6361	6745	6879	7153
20	Q	5578	5841	6117	6380	6647	7051	7188	7476
22	B	5968	6260	6555	6860	7143	7589	7740	8051
22	Q	6237	6545	6850	7167	7467	7931	8092	8415
25	B	6744	7099	7437	7781	8132	8642	8817	9169

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

Effective January 1, 2012
Bargaining Unit: RC-029

<u>Pay Grade</u>	<u>Pay Plan Code</u>	<u>STEPS</u>							
		<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>
04	B	2857	2931	2998	3082	3151	3286	3339	3473
04H	B	16.42							
05	B	2937	3011	3089	3165	3242	3377	3433	3572
07	B	3098	3189	3281	3366	3463	3621	3683	3832
08	B	3195	3291	3395	3486	3584	3755	3822	3976
10	B	3419	3520	3636	3750	3868	4065	4140	4306
11	B	3537	3651	3784	3906	4030	4241	4323	4497
12	B	3689	3810	3952	4081	4232	4459	4543	4723
12	Q	3841	3968	4121	4262	4417	4656	4751	4941
13	B	3828	3974	4122	4272	4433	4679	4771	4961
13	Q	3985	4143	4306	4463	4627	4890	4985	5186
14	B	3997	4153	4335	4492	4662	4934	5033	5236
15	B	4180	4352	4523	4707	4880	5176	5274	5486
16	B	4377	4572	4761	4961	5159	5463	5576	5799
16	Q	4777	4977	5183	5391	5712	5825	5944	6183
17	B	4592	4805	5008	5211	5422	5744	5862	6096
18	B	4843	5071	5299	5514	5737	6079	6199	6449

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

18	Q	5298	5539	5765	5994	6354	6480	6610	6874
18.5	B	4869	5117	5359	5606	5844	6087	6458	6717
19	B	5117	5359	5606	5844	6087	6458	6583	6847
19	Q	5473	5715	5942	6171	6528	6654	6785	7056
20	B	5405	5656	5924	6184	6441	6829	6965	7242
20	Q	5648	5914	6193	6460	6730	7139	7278	7569
22	B	6043	6338	6637	6946	7232	7684	7837	8152
22	Q	6315	6627	6936	7257	7560	8030	8193	8520
25	B	6828	7188	7530	7878	8234	8750	8927	9284

Note:

Effective July 1, 2013, the Step 8 rate shall be increased by \$75.00 per month for those employees (non-sworn) who attain ten years of service and have three or more years of creditable service at Step 8 in the same pay grade. Effective July 1, 2013, the Step 8 rate shall be increased \$100.00 per month for those employees (non-sworn) who attain fifteen years of service and have three or more years of creditable service on Step 8.
~~Effective July 1, 2010, the Step 8 rate shall be increased by \$50 per month for those employees (non-sworn) who attain 10 years of service and have 3 or more years of creditable service at Step 8 in the same pay grade. Effective July 1, 2010, the Step 8 rate shall be increased \$75 per month for those employees (non-sworn) who attain 15 years of service and have 3 or more years of creditable service on Step 8.~~

Effective July 1, 2011, employees in Arson Investigator I and II, Commerce Commission Police Officer I and II, Police Officer I, II, and III titles receive a salary increase of \$50 per month upon reaching 10 years, 13 years, and 15 years service in the same classification series and receive a salary increase of \$100 per month upon reaching 17 years service in the same classification series.

An employee newly hired to a position that was previously covered by the alternative formula for pension benefits prior to January 1, 2011, and effective January 1, 2011, is covered by the standard formula for pension benefits (see the Illinois Pension Code [40 ILCS 5/1-160(g) and 14-110(b)]) shall be placed on the Pay Plan Code B salary grade assigned to the classification to which the position is allocated. An employee

DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

NOTICE OF PEREMPTORY AMENDMENTS

newly hired is an employee hired on or after January 1, 2011 who has never been a member of the State Employees' Retirement System (SERS) or any other reciprocal retirement system. Other reciprocal retirement systems are the Chicago Teachers' Pension Fund, County Employees' Annuity and Benefit Fund of Cook County, Forest Preserve District Employees' Annuity and Benefit Fund of Cook County, General Assembly Retirement System (GARS), Illinois Municipal Retirement Fund (IMRF), Judges Retirement System (JRS), Laborers' Annuity and Benefit Fund of Chicago, Metropolitan Water Reclamation District Retirement Fund, Municipal Employees Annuity and Benefit Fund of Chicago, State Universities Retirement System (SURS) and Teachers' Retirement System of the State of Illinois (TRS).

(Source: Amended by peremptory rulemaking at 37 Ill. Reg. 13762, effective August 8, 2013)

JOINT COMMITTEE ON ADMINISTRATIVE RULES

NOTICE OF PUBLICATION ERROR

- 1) Heading of the Part: Hospital Financial Assistance Under the Fair Patient Billing Act
- 2) Code Citation: 77 Ill. Adm. Code 4500
- 3) Register Citation of Adopted Rulemaking and Other Pertinent Action: 37 Ill. Reg. 12536; August 2, 2013
- 4) Explanation: When this Part was adopted in the August 2, 2013 *Illinois Register*, the page header incorrectly showed the Department of Financial and Professional Regulation, instead of the Office of the Attorney General, as the agency that adopted this rulemaking. The agency name was correctly cited in the Chapter line. JCAR regrets this error.

JOINT COMMITTEE ON ADMINISTRATIVE RULES
ILLINOIS GENERAL ASSEMBLY

SECOND NOTICES RECEIVED

The following second notices were received by the Joint Committee on Administrative Rules during the period of August 6, 2013 through August 12, 2013. The rulemakings are scheduled for review at the Committee's September 17, 2013 meeting. Other items not contained in this published list may also be considered. Members of the public wishing to express their views with respect to a rulemaking should submit written comments to the Committee at the following address: Joint Committee on Administrative Rules, 700 Stratton Bldg., Springfield IL 62706.

<u>Second Notice Expires</u>	<u>Agency and Rule</u>	<u>Start Of First Notice</u>	<u>JCAR Meeting</u>
9/20/13	<u>Chief Procurement Officer for the Department of Transportation</u> , Chief Procurement Officer for the Department of Transportation – Contract Procurement (44 Ill. Adm. Code 6)	6/21/13 37 Ill. Reg. 8051	9/17/13
9/22/13	<u>Department of Public Health</u> , Illinois Water Well Construction Code (77 Ill. Adm. Code 920)	12/14/12 36 Ill. Reg. 17308	9/17/13
9/22/13	<u>Department of Public Health</u> , Hearing Instrument Consumer Protection Code (77 Ill. Adm. Code 682)	6/7/13 37 Ill. Reg. 7713	9/17/13
9/22/13	<u>Department of Financial and Professional Regulation</u> , Interior Design Profession Title Act (68 Ill. Adm. Code 1255)	6/21/13 37 Ill. Reg. 8089	9/17/13
9/22/13	<u>Department of Financial and Professional Regulation</u> , The Illinois Landscape Architecture Act of 1989 (68 Ill. Adm. Code 1275)	6/21/13 37 Ill. Reg. 8103	9/17/13
9/25/13	<u>Department of Natural Resources</u> , Grant Review and Processing Fees (17 Ill. Adm. Code 3000)	3/15/13 37 Ill. Reg. 2843	9/17/13

CHIEF PROCUREMENT OFFICER FOR DEPARTMENT OF TRANSPORTATION

NOTICE OF PUBLIC INFORMATION

NOTICE OF CAMPAIGN CONTRIBUTION VIOLATION OF PROCUREMENT CODE

1. Statutory Authority: Section 50-37 of the Illinois Procurement Code, 30 ILCS 500/50-37, prohibits business entities with contracts and solicitations worth in excess of \$50,000 in combined annual value pending with a given officeholder responsible for awarding the contracts from making campaign contributions to campaign committees established to promote the candidacy of the officeholder or any other declared candidate for that office. The prohibition also extends to contributions made by various affiliated persons and businesses of a business entity that is subject to the prohibition. Section 50-37 requires that notice of violation of the prohibition and the penalty imposed is to be published in the Illinois Register.
2. Name of Contributor: Mr. Craig Baum, Vice President and Secretary, Otto Baum Company.
3. Date of Violation: January 14, 2010
4. Description of Violation: Mr. Baum, an affiliated person of the business entity Otto Baum Company, made a contribution of \$200.00 to Proft for Governor, a campaign committee established to support the candidacy of Dan Proft. At the time of the contribution, Dan Proft was a declared candidate for the office of governor, and Otto Baum had in place active contracts with the Illinois Department of Transportation, the total annual combined value of which was in excess of \$50,000.
5. Summary of Action Taken by the Agency: Section 50-37 provides that State contracts with a business entity that violates the campaign contribution prohibition are voidable at the discretion of the chief procurement officer. The Chief Procurement Officer for the Department of Transportation, Highway Construction has notified Otto Baum of the apparent violation, and has considered the value, status, and necessity of the contracts. We find that voiding affected contracts, bids or proposals would not be in the best interest of the State.

As required by Section 50-37(e) of the Procurement Code, Proft for Governor, is required to pay to the State an amount equal to the value of the contribution within 30 days of the publication of this notice.

ILLINOIS ADMINISTRATIVE CODE
Issue Index - With Effective Dates

Rules acted upon in Volume 37, Issue 34 are listed in the Issues Index by Title number, Part number, Volume and Issue. Inquiries about the Issue Index may be directed to the Administrative Code Division at (217) 782-7017/18.

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