# 2007 LLINOS REGISTER RULES OF GOVERNMENTAL AGENCIES



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Administrative Code Division
111 East Monroe Street
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### INTRODUCTION

The Illinois Register is the official state document for publishing public notice of rulemaking activity initiated by State governmental agencies. The table of contents is arranged categorically by rulemaking activity and alphabetically by agency within each category.

Rulemaking activity consists of proposed or adopted new rules; amendments to or repealers of existing rules; and rules promulgated by emergency or peremptory action. Executive Orders and Proclamations issued by the Governor; notices of public information required by State Statute; and activities (meeting agendas; Statements of Objection or Recommendation, etc.) of the Joint Committee on Administrative Rules (JCAR), a legislative oversight committee which monitors the rulemaking activities of State Agencies; is also published in the Register.

The Register is a weekly update of the Illinois Administrative Code (a compilation of the rules adopted by State agencies). The most recent edition of the Code, along with the Register, comprise the most current accounting of State agencies' rulemakings.

The Illinois Register is the property of the State of Illinois, granted by the authority of the Illinois Administrative Procedure Act [5 ILCS 100/1-1, et seq.].

### 2007 REGISTER SCHEDULE VOLUME #31

Issue #	Rules Due Date	<b>Date of Issue</b>
1	December 26, 2006	January 5, 2007
2	January 2, 2007	January 12, 2007
3	January 8, 2007	January 19, 2007
4	January 16, 2007	January 26, 2007
5	January 22, 2007	February 2, 2007
6	January 29, 2007	February 9, 2007
7	February 5, 2007	February 16, 2007
8	February 13, 2007	February 23, 2007
9	February 20, 2007	March 2, 2007
10	February 26, 2007	March 9, 2007
11	March 5, 2007	March 16, 2007
12	March 12, 2007	March 23, 2007
13	March 19, 2007	March 30, 2007
14	March 26, 2007	April 6, 2007
15	April 2, 2007	April 13, 2007
16	April 9, 2007	April 20, 2007
17	April 16, 2007	April 27, 2007
18	April 23, 2007	May 4, 2007
19	April 30, 2007	May 11, 2007
20	May 7, 2007	May 18, 2007
21	May 14, 2007	May 25, 2007
22	May 21, 2007	June 1, 2007
23	May 29, 2007	June 8, 2007

24 Issue #	June 4, 2007 <b>Rules Due Date</b>	June 15, 2007 <b>Date of Issue</b>
25	June 11, 2007	
23 26	•	June 22, 2007
	June 18, 2007	June 29, 2007
27 28	June 25, 2007	July 6, 2007
28 29	July 2, 2007	July 13, 2007
	July 9, 2007	July 20, 2007
30	July 16, 2007	July 27, 2007
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32	July 30, 2007	August 10, 2007
33	August 6, 2007	August 17, 2007
34	August 13, 2007	August 24, 2007
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36	August 27, 2007	September 7, 2007
37	September 4, 2007	September 14, 2007
38	September 10, 2007	September 21, 2007
39	September 17, 2007	September 28, 2007
40	September 24, 2007	October 5, 2007
41	October 1, 2007	October 12, 2007
42	October 9, 2007	October 19, 2007
43	October 15, 2007	October 26, 2007
44	October 22, 2007	November 2, 2007
45	October 29, 2007	November 12, 2007
46	November 5, 2007	November 16, 2007
47	November 12, 2007	November 26, 2007
48	November 19, 2007	December 1, 2006
49	November 26, 2007	December 7, 2007
50	December 3, 2007	December 14, 2007
51	December 10, 2007	December 21, 2007
52	December 17, 2007	December 28, 2007

### NOTICE OF PROPOSED AMENDMENTS

- 1) Heading of the Part: Advertising and Sales Promotion of Life Insurance and Annuities
- 2) Code Citation: 50 Ill. Adm. Code 909

3)	Section Numbers:	<u>Proposed Action</u> :
	909.10	Amendment
	909.20	Amendment
	909.85	New Section
	909.90	Amendment
	909.100	Amendment

- 4) <u>Statutory Authority</u>: Implementing Sections 149, 151, 236, 237, 426 and Article XXXI and authorized by Section 401 of the Illinois Insurance Code [215 ILCS 5/149, 151, 236, 237, 401, 426 and Article XXXI]
- A Complete Description of the Subjects and Issues Involved: The proposed amendments to this Part include provisions borrowed from California law and are designed to prohibit certain predatory practices in the sale of variable life insurance and annuities to senior citizens. Additionally, the amendments require that insurers maintain a system of control so that recommendations of such products are not unsuitable to the applicant.
- 6) Any published studies or reports, along with the sources of underlying data, that were used when comprising this rulemaking: None
- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other proposed rulemakings pending on this Part? No
- 11) <u>Statement of Statewide Policy Objectives</u>: This rulemaking will not require a local government to establish, expand or modify its activities in such a way as to necessitate additional expenditures from local revenues.
- 12) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking: Persons who wish to comment on this proposed rulemaking may submit written comments no later than 45 days after the publication of this Notice to:

### NOTICE OF PROPOSED AMENDMENTS

James C. Rundblom, Staff Attorney Department of Financial and Professional Regulation Division of Insurance 320 West Washington, 4<sup>th</sup> Floor or Springfield, Illinois 62767-0001

Craig Cellini, Rules Coordinator Department of Financial and Professional Regulation 320 West Washington 3<sup>rd</sup> Floor

Springfield, Illinois 62767-0001

217/785-8559 217/785-0813

- 13) Initial Regulatory Flexibility Analysis:
  - A) Types of small businesses, small municipalities and not for profit corporations affected: Insurance producers, as that term is defined in Section 909.20 of this Part.
  - B) Reporting, bookkeeping or other procedures required for compliance: New provisions have been added to Section 909.85 of this Part.
  - C) Types of professional skills necessary for compliance: Insurance
- Regulatory Agenda on which this rulemaking was summarized: This rulemaking was not 14) included on either of the 2 most recent regulatory agendas because: the Division did not anticipate the need to amend this Part in conjunction with a new Part, 50 Ill. Adm. Code 3120, to address suitability.

The full text of the Proposed Amendments begins on the next page:

### NOTICE OF PROPOSED AMENDMENTS

TITLE 50: INSURANCE

CHAPTER I: DEPARTMENT OF <u>FINANCIAL AND PROFESSIONAL</u>

REGULATIONINSURANCE

SUBCHAPTER 1: PROVISIONS APPLICABLE TO ALL COMPANIES

# PART 909 ADVERTISING AND SALES PROMOTION OF LIFE INSURANCE AND ANNUITIES

Section	
909.10	Authority
909.20	Definitions
909.30	Applicability
909.40	Form and Content of Advertisements
909.50	Disclosure Requirements
909.60	Identity of Insurer
909.70	Jurisdictional Licensing and Status of Insurer
909.80	Statements about an Insurer
909.85	Advertising and Marketing of Annuities and Variable Life Contracts
909.90	Advertising Records and Certificate Enforcement Procedures
909.100	Noncompliance Penalties Noncompliance Penalties
909.110	Conflict with Other Rules
909.120	Severability Provision

AUTHORITY: Implementing Sections 149, 151, 236, 237, 426 and Article XXXI and authorized by Section 401 of the Illinois Insurance Code [215 ILCS 5/149, 151, 236, 237, 401, 426 and Article XXXI].

SOURCE: Filed April 26, 1976, effective May 7, 1976; codified at 7 Ill. Reg. 3460; amended at 14 Ill. Reg. 13584, effective August 14, 1990; amended at 15 Ill. Reg. 15665, effective October 18, 1991; amended at 22 Ill. Reg. 3027, effective June 1, 1998; amended at 22 Ill. Reg. 16468, effective September 1, 1998; amended at 26 Ill. Reg. 16500, effective October 28, 2002; amended at 28 Ill. Reg. 4591, effective March 1, 2004; amended at 31 Ill. Reg. \_\_\_\_\_\_\_, effective

### **Section 909.10 Authority**

This Part is issued by the Director of Insurance pursuant to <u>Sections Section</u> 401 <u>and 500-145</u> of the Illinois Insurance Code [215 ILCS 5/401 <u>and 500-145</u>], which <u>empower empowers</u> the

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### DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

### NOTICE OF PROPOSED AMENDMENTS

Director to make reasonable rules and regulations as may be necessary for making effective the insurance laws of this State. The purpose of this Part is to set forth minimum standards and guidelines to assure a full and truthful disclosure to the public of all material and relevant information in the advertising or solicitation of life insurance policies and annuity contracts. This Part implements the Illinois Insurance Code [215 ILCS 5] by defining acts and practices that which constitute a violation of one or more of the following Sections of the Illinois Insurance Code: Sections 149, 151, 236, 237 and, 426 and Article XXXI500-85 [215 ILCS 5/149, 151, 236, 237 and, 426 and Article XXXI500-85], which generally relate to the use of false or misleading statements and certain prohibited actions in the sale of insurance and that which apply variously to life insurance companies and to insurance producers and solicitors.

(Source:	Amended at 31 Ill. Reg.	, effective

### Section 909.20 Definitions

For the purpose of this Part:

Advertisement for the purpose of this Part shall not include:

Communications or materials used within an insurer's own organization and not intended for dissemination to the public;

Communications with policyholders other than material urging policyholders to purchase, increase, modify, reinstate or retain a policy;

A general announcement from a group or blanket policyholder to eligible individuals on an employment or membership list that a policy or program has been written or arranged, provided the announcement clearly indicates that it is preliminary to the issuance of a booklet explaining the proposed coverage.

Advertisement <u>means shall be</u> material designed to create public interest in life insurance or annuities or in an insurer, or to induce the public to purchase, increase, modify, reinstate or retain a policy including:

Printed and published material, audio-visual material and descriptive literature of an insurer used in direct mail, newspapers, magazines, radio and television scripts, billboards and similar displays;

### NOTICE OF PROPOSED AMENDMENTS

Descriptive literature and sales aids of all kinds issued by an insurer or agent, including but not limited to circulars, leaflets, booklets, depictions, illustrations and form letters:

Material used for the recruitment, training, and education of an insurer's sales personnel, agents, solicitors and brokers which is designed to be used or is used to induce the public to purchase, increase, modify, reinstate or retain a policy;

Prepared sales talks, presentations, and material for use by sales personnel, agents, solicitors and brokers.

### Code means the Illinois Insurance Code [215 ILCS 5].

Currently Payable Scale means a scale of non-guaranteed elements in effect for a policy form as of the preparation date of the illustration, or declared to become effective within the next 95 days of the preparation date.

Department means the Department of Financial and Professional Regulation.

<u>Director means the Director of the Illinois Department of Financial and Professional Regulation-Division of Insurance.</u>

Disciplined <u>Current Scale current scale</u> means a scale of non-guaranteed elements constituting a limit on illustrations currently being illustrated by an insurer that is reasonably based on actual recent historical experience, as certified annually by an illustration actuary designated by the insurer. The standards established by the Actuarial Standards Board (ASB) (1720 I Street, N.W., 7<sup>th</sup> Floor, Washington, D.C. 20006) (Actuarial Standard of Practice No. 24, Compliance with the NAIC Life Insurance Illustrations Model Regulation as of December 1995, no subsequent dates or editions) may be relied upon if the standards:

Are consistent with all provisions of this Part;

Limit a disciplined current scale to reflect only actions that have already been taken or events that have already occurred;

Do not permit a disciplined current scale to include any projected trends of improvements in experience or any assumed improvements in experience

### NOTICE OF PROPOSED AMENDMENTS

beyond the illustration date; and

Do not permit assumed expenses to be less than minimum assumed expenses.

<u>Division means the Illinois Department of Financial and Professional Regulation</u>-Division of Insurance.

Illustrated <u>Scalescale</u> means a scale of non-guaranteed elements, currently being illustrated for policies other than variable life insurance, individual and group annuity contracts, credit life insurance, or life insurance policies and certificates with guaranteed death benefits of \$10,000 or less, or illustrated death benefits less than \$15,000, that is not more favorable to the policy owner than the lesser of:

The disciplined current scale; or

The currently payable scale.

Insurer shall include any organization or person which issues life insurance or annuities to residents of this State.

Non-guaranteed <u>Elements</u> means premiums, benefits, values, credits or charges under a policy of life insurance that are not guaranteed or not determined at issue.

Policy shall include any policy, plan, certificate, contract, agreement, statement of coverage, rider or endorsement which provides for life insurance or annuity benefits.

Preneed Funeral Contract or Prearrangement shall mean an arrangement by or for an individual before the individual's death relating to the purchase or provision of specific funeral or cemetery merchandise or services.

Source: Amende	ed at 31 III. Reg.	, effective	
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### Section 909.85 Advertising and Marketing of Annuities and Variable Life Contracts

With respect to annuities and variable life contracts, any advertisement or other device designed to produce leads based on a response from a potential insured that is directed toward persons age

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### DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

### NOTICE OF PROPOSED AMENDMENTS

65 or older shall prominently disclose that an insurer or insurance producer may contact the applicant if that is the fact. In addition, an insurer or insurance producer who makes contact with a person as a result of acquiring that person's name from a lead generating device shall disclose that fact in the initial contact with the person.

- a) No insurer, insurance producer, solicitor, or other person or other entity shall solicit persons age 65 and older in this State through the use of a true or fictitious name that is deceptive or misleading with regard to the status, character or proprietary or representative capacity of the entity or person, or to the true purpose of the advertisement.
- b) Advertisements shall not employ words, letters, initials, symbols or other devices that are so similar to those used by governmental agencies, a nonprofit or charitable institution, senior organization, or other insurer that they could have the capacity or tendency to mislead the public.
- No advertisement may imply that the reader may lose a right or privilege or benefits under federal, State, or local law if he or she fails to respond to the advertisement.
- d) An insurer, insurance producer, or other entity may not use an address so as to mislead or deceive as to the true identity, location, or licensing status of the insurer, insurance producer, or other entity.
- En addition to any other prohibition on untrue, deceptive, or misleading advertisements, no advertisement for an event where insurance products will be offered for sale may use the terms "seminar", "class", "informational meeting", or substantially equivalent terms to characterize the purpose of the public gathering or event unless it adds the words "and insurance sales presentation" immediately following those terms in the same type size and font as those terms.

(	Source:	Added at 31	III.	Reg.	, effective	

### Section 909.90 Advertising Records and Certificate Enforcement Procedures

a) Each insurer shall maintain at its home or principal office a complete file containing a specimen copy of every printed, published or prepared advertisement hereafter disseminated in this State, with a notation indicating the manner and extent of distribution and the form number of any policy advertised. The file shall

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### DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

### NOTICE OF PROPOSED AMENDMENTS

be subject to inspection by this <u>Division Department</u>. All the advertisements shall be maintained in the file for a period of either four years or until the filing of the next regular report of examination of the insurer, whichever is the longer period of time.

b) Every insurer subject to the provisions of this Part shall prepare and maintain a certificate of compliance that will be placed on file with the company, together with the materials identified in subsection (a). The certificate shall be executed by an authorized officer of the insurer wherein it is stated that, to the best of his or her knowledge, information and belief, the advertisements which were disseminated by or on behalf of the insurer in this State during the preceding statement year, or during the portion of such year when this Part was in effect, complied or were made to comply in all respects with the provisions of this Part and the Insurance Laws of this State as implemented and interpreted by this Part.

Source:	Amended at 31	Ill. Reg.	, effective)	)
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### **Section 909.100 Noncompliance Penalties**

<u>Violation of the requirements of this Part shall be an unfair trade practice, and evidence of incompetence or untrustworthiness in the conduct of business under Section 500-70(a)(7) and (8) of the Code.</u> Any insurer or any of its officers, directors, producers or employees which, or who, violate any of the provisions of this Part, or knowingly participate in or abet such violation, shall be subject to a fine up to \$1000 for each violation and/or subject to suspension or revocation of their certificate of authority or license.

(Source: A	Amended at	31 Ill. Reg.	, effective)	)
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### NOTICE OF PROPOSED AMENDMENTS

- 1) <u>Heading of the Part</u>: Licensing Requirements for the Solicitation of Variable Contracts
- 2) Code Citation: 50 Ill. Adm. Code 3117

3)	Section Numbers:	<u>Proposed Action</u> :
	3117.10	Amendment
	3117.20	Amendment
	3117.25	New Section
	3117.40	Amendment
	3117.200	New Section
	3117.210	New Section

- 4) <u>Statutory Authority</u>: Implementing Article XXXI and authorized by Sections 401 and 500-145 of the Illinois Insurance Code [215 ILCS 5/Art. XXXI, 401 and 500-145]
- A Complete Description of the Subjects and Issues Involved: The proposed amendments update the regulation and supplement requirements for solicitation of variable life insurance, so that products are not recommended that are unsuitable to the applicant in view of his or her financial situation.
- 6) Any published studies or reports, along with the sources of underlying data, that were used when comprising this rulemaking: None
- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other proposed rulemakings pending on this Part? No
- 11) <u>Statement of Statewide Policy Objectives</u>: This rulemaking will not require a local government to establish, expand or modify its activities in such a way as to necessitate additional expenditures from local revenues.
- 12) <u>Time, Place, and Manner in which interested persons may comment on this proposed rulemaking</u>: Persons who wish to comment on this proposed rulemaking may submit written comments no later than 45 days after the publication of this Notice to:

### NOTICE OF PROPOSED AMENDMENTS

James C. Rundblom, Staff Attorney Department of Financial and **Professional Regulation** Division of Insurance 320 West Washington, 4<sup>th</sup> Floor or Springfield, Illinois 62767-0001

Craig Cellini, Rules Coordinator Department of Financial and Professional Regulation 320 West Washington 3<sup>rd</sup> Floor

Springfield, Illinois 62767-0001

217/785-8559 217/785-0813

- 13) Initial Regulatory Flexibility Analysis:
  - Types of small businesses, small municipalities and not for profit corporations A) affected: Insurance producers, as that term is defined in 50 Ill. Adm. Code 909.20.
  - B) Reporting, bookkeeping or other procedures required for compliance: New provisions have been added to Section 3117.200 of this Part.
  - C) Types of professional skills necessary for compliance: Insurance
- 14) Regulatory Agenda on which this rulemaking was summarized: This rulemaking was not included on either of the 2 most recent regulatory agendas because: the Division did not anticipate the need to amend this Part in conjunction with new Part 3120 to address suitability.

The full text of the Proposed Amendments begins on the next page:

### NOTICE OF PROPOSED AMENDMENTS

### TITLE 50: INSURANCE

CHAPTER I: DEPARTMENT OF <u>FINANCIAL AND PROFESSIONAL</u>
REGULATION<del>INSURANCE</del>

SUBCHAPTER ii: INSURANCE PRODUCERS, LIMITED INSURANCE REPRESENTATIVES AND REGISTERED FIRMS

### **PART 3117**

## LICENSING AND SUITABILITY REQUIREMENTS FOR THE SOLICITATION OF VARIABLE CONTRACTS

Section	
3117.10	Authority
3117.20	Scope
<u>3117.25</u>	<u>Definitions</u>
3117.30	Definitions (Repealed)
3117.40	Procedure for Obtaining a Variable Contract License
3117.50	Agents (Repealed)
3117.60	Resident Brokers (Repealed)
3117.70	Nonresident Brokers (Repealed)
3117.80	Agents and Brokers Doing Business Under an Assumed Trade or Firm Name
	(Repealed)
3117.90	Cancellation of Agent/Broker Licenses (Repealed)
3117.100	Renewals (Repealed)
3117.110	Certifications/Clearances (Repealed)
3117.200	Suitability
<u>3117.210</u>	Noncompliance
	3117.10 3117.20 <u>3117.25</u> 3117.30 3117.40 3117.50 3117.60 3117.70 3117.80 3117.90 3117.100 3117.110

AUTHORITY: Implementing Article XXXI and authorized by Sections 401 and 500-145 of the Illinois Insurance Code [215 ILCS 5/Art. XXXI, 401 and 500-145].

SOURCE: Emergency rule adopted at 4 Ill. Reg. 24, p. 663, effective June 2, 1980, for a maximum of 150 days; adopted at 4 Ill. Reg. 44, p. 462, effective October 22, 1980; codified at 7 Ill. Reg. 3014; amended at 9 Ill. Reg. 6709, effective April 26, 1985; amended at 31 Ill. Reg. \_\_\_\_\_\_, effective \_\_\_\_\_\_.

### Section 3117.10 Authority

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<u>This The</u> Part is promulgated by the Director of Insurance pursuant to <u>Sections Section</u> 401 <u>and</u> 500-145 of the Illinois Insurance Code [215 ILCS 5/401 and 500-145](Ill. Rev. Stat. 1981, ch.

### NOTICE OF PROPOSED AMENDMENTS

73, par. 1013) which empowers the Director " to make reasonable rules and regulations as		
may be necessary for making effective" the insurance laws of this State. The purpose of this Part is to implement <a href="Article XXXISection 494.1">Article XXXISection 494.1</a> of the Illinois Insurance Code.		
(Source: Amended at 31 Ill. Reg, effective)		
Section 3117.20 Scope and Purpose		
This Part applies to individuals holding an Insurance Producer License, as defined in Section 500-10491.1 of the Illinois Insurance Code (Ill. Rev. Stat. 1983, ch. 73, par. 1065.38-1), which at the minimum, includes the authority to write Class I(a) life insurance, as classified in Section 4 of the Code [215 ILCS 5/4](Ill. Rev. Stat. 1983, ch. 73, par. 616), and, with respect to suitability, to insurance producers and issuers of annuities or who wish to solicit variable contracts. For the purposepurposes of this Part, the term variable contract shall include both variable annuity contracts and variable life contracts.		
(Source: Amended at 31 Ill. Reg, effective)		
Section 3117.25 Definitions		
Code means the Illinois Insurance Code [215 ILCS 5].		
Department means the Department of Financial and Professional Regulation.		
Director means the Director of the Illinois Department of Financial and Professional Regulation-Division of Insurance.		
Division means the Illinois Department of Financial and Professional Regulation- Division of Insurance.		
Insurance producer means a person required to be licensed under the laws of this State to sell, solicit, or negotiate insurance.		
Recommendation means advice provided by an insurance producer, or an insurer where no producer is involved, to an individual consumer that results in a purchase or exchange of an annuity in accordance with that advice.		
(Source: Added at 31 Ill. Reg. , effective )		

### DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

### NOTICE OF PROPOSED AMENDMENTS

### Section 3117.40 Procedure for Obtaining a Variable Contract License

An individual who has passed the <u>Division's Department's</u> written Life Insurance Licensing Qualification Examination and either the National Association of Security Dealers (NASD) or Securities and Exchange Commission (SEC) examination may apply for a variable contract license. The individual must complete the form prescribed by the Director, including providing the Director with a copy of the individual's NASD or SEC examination score. Upon completion of the above requirements, the Director shall issue a variable contract license to the individual.
(Source: Amended at 31 Ill. Reg, effective)
Section 3117.200 Suitability
No recommendation shall be made to an applicant to purchase a variable life insurance policy and no variable life insurance policy shall be issued, on an individual basis, in the absence of reasonable grounds to believe that the purchase of the policy is not unsuitable for the applicant on the basis of information furnished after reasonable inquiry of the applicant concerning the applicant's age, insurance and investment objectives, financial situation, needs and tax status, and any other information known to the insurer or producer making the recommendation.
(Source: Added at 31 Ill. Reg, effective)
Section 3117.210 Noncompliance
Violation of the requirements of this Part shall be an unfair trade practice and evidence of incompetence or untrustworthiness in the conduct of business under Section 500-70(a)(7) and (8) of the Code.

(Source: Added at 31 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

### NOTICE OF PROPOSED RULES

- 1) Heading of the Part: Suitability in Annuity Transactions
- 2) Code Citation: 50 Ill. Adm. Code 3120

3)	Section Numbers:	Proposed Action:
	3120.10	New Section
	3120.20	New Section
	3120.30	New Section
	3120.40	New Section
	3120.50	New Section
	3120.60	New Section
	3120.70	New Section
	3120.80	New Section

- 4) <u>Statutory Authority</u>: Implementing and authorized by Article XXXI of the Illinois Insurance Code [215 ILCS 5/Art. XXXI] and further authorized by Section 401 of the Illinois Insurance Code [215 ILCS 5/401]
- 5) <u>A Complete Description of the Subjects and Issues Involved</u>: This new Part adopts the NAIC Model Regulation for Suitability in Annuity Transactions. The regulation contains various suitability standards, exemptions, record keeping requirements, and provisions for noncompliance.
- 6) <u>Published studies or reports, and sources of underlying data used to compose this</u> rulemaking: None
- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) <u>Does this rulemaking contain an automatic repeal date?</u> No
- 9) <u>Does this rulemaking contain incorporations by reference</u>? Yes. Please see Section 3120.50.
- 10) Are there any other proposed rulemakings pending on this Part? No
- 11) <u>Statement of Statewide Policy Objectives</u>: This rulemaking will not require a local government to establish, expand or modify its activities in such a way as to necessitate additional expenditures from local revenues.

### NOTICE OF PROPOSED RULES

12) <u>Time, Place, and Manner in which interested persons may comment on this proposed rulemaking</u>: Persons who wish to comment on this proposed rulemaking may submit written comments no later than 45 days after the publication of this Notice to:

James C. Rundblom, Staff Attorney
Department of Financial and
Professional Regulation
Division of Insurance
320 West Washington, 4<sup>th</sup> Floor
Springfield, Illinois 62767-0001

Craig Cellini, Rules Coordinator Department of Financial and Professional Regulation 320 West Washington 3<sup>rd</sup> Floor

Springfield, Illinois 62767-0001

217/785-8559 217/785-0813

- 13) Initial Regulatory Flexibility Analysis:
  - A) Types of small businesses, small municipalities and not for profit corporations affected: Insurance Producers, as that term is defined in Section 3120.40 of this Part.
  - B) Reporting, bookkeeping or other procedures required for compliance: Please see Section 3120.50 and 3120.70 of this Part.
  - C) <u>Types of professional skills necessary for compliance</u>: Insurance
- 14) <u>Regulatory Agenda on which this rulemaking was summarized</u>: January 2007, however, the Division initially thought this new regulation would be numbered as Part 2022.

The full text of the Proposed Rules begins on the next page:

### DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

### NOTICE OF PROPOSED RULES

### TITLE 50: INSURANCE

# CHAPTER I: DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION SUBCHAPTER ii: INSURANCE PRODUCERS, LIMITED INSURANCE REPRESENTATIVES AND REGISTERED FIRMS

### PART 3120 SUITABILITY IN ANNUITY TRANSACTIONS

3120.10	Purpose
3120.20	Scope
3120.30	Exemptions
3120.40	Definitions
3120.50	Duties of Insurers and Insurance Producers
3120.60	Mitigation of Responsibility
3120.70	Recordkeeping
3120.80	Noncompliance

AUTHORITY: Implementing and authorized by Article XXXI of the Illinois Insurance Code [215 ILCS 5/Art. XXXI] and further authorized by Section 401 of the Illinois Insurance Code [215 ILCS 5/401].

SOURCE: Adopted at 31 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

### Section 3120.10 Purpose

- a) The purpose of this Part is to set forth standards and procedures for recommendations by insurers or insurance producers to consumers that result in a transaction involving annuity products so that the insurance needs and financial objectives of consumers at the time of the transaction are appropriately addressed.
- b) Nothing in this Part shall be construed to create or imply a private cause of action for a violation of this Part.

### Section 3120.20 Scope

This Part shall apply to any recommendation to purchase or exchange an annuity made to a consumer by an insurance producer, or an insurer where no producer is involved, that results in the purchase or exchange recommended.

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### **Section 3120.30 Exemptions**

Unless otherwise specifically included, this Part shall not apply to recommendations involving:

- a) Direct response solicitations where there is no recommendation based on information collected from the consumer pursuant to this Part.
- b) Contracts used to fund:
  - 1) An employee pension or welfare benefit plan that is covered by the Employee Retirement and Income Security Act (ERISA);
  - A plan described by sections 401(a), 401(k), 403(b), 408(k) or 408(p) of the Internal Revenue Code (IRC) (26 USCS 401 et seq.), as amended, if established or maintained by an employer;
  - 3) A government or church plan defined in section 414 of the IRC, a government or church welfare benefit plan, or a deferred compensation plan of a state or local government or tax exempt organization under section 457 of the IRC;
  - 4) A nonqualified deferred compensation arrangement established or maintained by an employer or plan sponsor;
  - 5) Settlements of or assumptions of liabilities associated with personal injury litigation or any dispute or claim resolution process; or
  - 6) Formal prepaid funeral contracts.

### **Section 3120.40 Definitions**

Annuity means a fixed or variable annuity that is individually solicited, whether the product is classified as an individual or group annuity.

Code means the Illinois Insurance Code [215 ILCS 5].

Department means the Department of Financial and Professional Regulation.

### NOTICE OF PROPOSED RULES

Director means the Director of the Illinois Department of Financial and Professional Regulation-Division of Insurance.

Division means the Illinois Department of Financial and Professional Regulation-Division of Insurance.

Insurance Producer means a person required to be licensed under the laws of this State to sell, solicit, or negotiate insurance.

Insurer means a company required to be licensed under the laws of this State to provide insurance products, including annuities.

Recommendation means advice provided by an insurance producer, or an insurer where no producer is involved, to an individual consumer that results in a purchase or exchange of an annuity in accordance with that advice.

### Section 3120.50 Duties of Insurers and Insurance Producers

- a) In recommending to a consumer the purchase of an annuity or the exchange of an annuity that results in another insurance transaction or series of insurance transactions, the insurance producer, or the insurer where no producer is involved, shall have reasonable grounds for believing that the recommendation is suitable for the consumer on the basis of the facts disclosed by the consumer as to his or her investments and other insurance products and as to his or her financial situation and needs.
- b) Prior to the execution of a purchase or exchange of an annuity resulting from a recommendation, an insurance producer, or an insurer where no producer is involved, shall make reasonable efforts to obtain information concerning:
  - 1) The consumer's financial status;
  - 2) The consumer's tax status;
  - 3) The consumer's investment objectives; and
  - 4) Such other information used or considered to be reasonable by the insurance producer, or the insurer where no producer is involved, in making recommendations to the consumer.

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### c) Recommendation to Consumer

- 1) Except as provided under subsection (c)(2), neither an insurance producer, nor an insurer where no producer is involved, shall have any obligation to a consumer under subsection (a) related to any recommendation if a consumer:
  - A) Refuses to provide relevant information requested by the insurer or insurance producer;
  - B) Decides to enter into an insurance transaction that is not based on a recommendation of the insurer or insurance producer; or
  - C) Fails to provide complete or accurate information.
- 2) An insurer or insurance producer's recommendation subject to subsection (c)(1) shall be reasonable under all the circumstances actually known to the insurer or insurance producer at the time of the recommendation.

### d) Supervision of Recommendations

- An insurer either shall assure that a system to supervise recommendations that is reasonably designed to achieve compliance with this Part is established and maintained by complying with subsections (d)(3) through (5) or shall establish and maintain such a system, including, but not limited to:
  - A) Maintaining written procedures; and
  - B) Conducting periodic reviews of its records that are reasonably designed to assist in detecting and preventing violations of this Part.
- A managing general agent, as defined in Section 141a of the Code, either shall adopt a system established by an insurer to supervise recommendations of its insurance producers that is reasonably designed to achieve compliance with this Part or shall establish and maintain such a system, including, but not limited to:

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- A) Maintaining written procedures; and
- B) Conducting periodic reviews of records that are reasonably designed to assist in detecting and preventing violations of this Part.
- An insurer may contract with a third party, including a managing general agent, to establish and maintain a system of supervision as required by subsection (d)(1) with respect to insurance producers under contract with or employed by the third party.
- An insurer shall make reasonable inquiry to assure that the third party, including a managing general agent, contracting under subsection (d)(3) is performing the functions required under subsection (d)(1) and shall take such action as is reasonable under the circumstances to enforce the contractual obligation to perform the functions. An insurer may comply with its obligation to make reasonable inquiry by doing all of the following:
  - A) The insurer annually obtains a certification from a third party, including a managing general agent or other person who has responsibility for the delegated functions that the manager has a reasonable basis to represent, and does represent, that the third party is performing the required functions; and
  - B) The insurer, based on reasonable selection criteria, periodically selects third parties contracting under subsection (d)(3) for a review to determine whether the third parties are performing the required functions. In conducting the review, the insurer shall perform those procedures that are reasonable under the circumstances.
- An insurer that contracts with a third party, including a managing general agent, pursuant to subsection (d)(3) and that complies with the requirements to supervise in subsection (d)(4) shall have fulfilled its responsibilities under subsection (d)(1).

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- 6) An insurer or managing general agent, is not required by subsection (d)(1) or (2) to:
  - A) Review, or provide for review of, all insurance producer solicited transactions; or
  - B) Include in its system of supervision an insurance producer's recommendations to consumers of products other than the annuities offered by the insurer or managing general agent.
- A managing general agent contracting with an insurer pursuant to subsection (d)(3) shall promptly, when requested by the insurer pursuant to subsection (d)(4), give a certification as described in subsection (d)(4) or give a clear statement that it is unable to meet the certification criteria.
- 8) No person may provide a certification under subsection (d)(4)(A) unless:
  - A) The person is a senior manager with responsibility for the delegated functions; and
  - B) The person has a reasonable basis for making the certification.
- e) Compliance with the National Association of Securities Dealers Conduct Rules (1735 K Street, N.W., Washington, D.C. 20006) (adopted by SR-NASD-95-39, eff. Aug. 20, 1996, no later editions or amendments are included) pertaining to suitability shall satisfy the requirements of this Section for the recommendation of variable annuities. However, nothing in this subsection shall limit the Director's ability to enforce the provisions of this Part.

### Section 3120.60 Mitigation of Responsibility

In addition to any other action authorized by the Code, the Director may order:

- a) An insurer to take reasonably appropriate corrective action for any consumer harmed by the insurer's, or by its insurance producer's, violation of this Part;
- b) An insurance producer to take reasonably appropriate corrective action for any consumer harmed by the insurance producer's violation of this Part; and

### DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

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c) A managing general agent that employs or contracts with an insurance producer to sell or solicit the sale of annuities to consumers shall take reasonably appropriate corrective action for any consumer harmed by the insurance producer's violation of this Part.

### Section 3120.70 Recordkeeping

- a) Insurers, managing general agents and insurance producers shall maintain and be able to make available to the Director records of the information collected from the consumer and other information used in making the recommendations that were the basis for insurance transactions for 7 years after the insurance transaction is completed by the insurer. An insurer is permitted, but shall not be required, to maintain documentation on behalf of an insurance producer.
- b) Records required to be maintained by this Part may be maintained in paper, photographic, microprocess, magnetic, mechanical or electronic media, or by any process that accurately reproduces the original document.

### **Section 3120.80 Noncompliance**

Violation of the requirements of this Part shall be an unfair trade practice and evidence of incompetence or untrustworthiness in the conduct of business under Section 500-70(a)(7) and (8) of the Code.

### DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES

### NOTICE OF PROPOSED AMENDMENT

- 1) <u>Heading of the Part</u>: Specialized Health Care Delivery Systems
- 2) Code Citation: 89 Ill. Adm. Code 146
- 3) <u>Section Number:</u> <u>Proposed Action:</u> Amendment
- 4) <u>Statutory Authority</u>: Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/12-13]
- Somplete Description of the Subjects and Issues Involved: The proposed amendment will allow for an adjustment of Medicaid rates paid to supportive living facilities (SLFs) on a semi-annual basis in April and October. The current rule allows for an adjustment to occur annually in October of each year. SLFs are paid 60 percent of the weighted average nursing facility geographic group rate, based upon the geographic area in which the SLF is located. With nursing facility rates changing on a quarterly basis, beginning January 1, 2007 under the MDS-based methodology, this amendment allows for SLF rates to be more closely aligned with nursing facility rates.
- 6) <u>Published studies or reports, and sources of underlying data, used to compose this rulemaking</u>: None
- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other proposed rulemakings pending on this Part? Yes

Sections	Proposed Action	<b>Illinois Register Citation</b>
146.110	Amendment	30 Ill. Reg. 13261; August 11, 2006
146.125	Amendment	30 Ill. Reg. 13261; August 11, 2006
146.130	Amendment	30 Ill. Reg. 13261; August 11, 2006

- 11) <u>Statement of Statewide Policy Objectives</u>: This rulemaking does not affect units of local government.
- 12) <u>Time, Place, and Manner in which Interested Persons may Comment on this Proposed</u> <u>Rulemaking</u>: Any interested parties may submit comments, data, views, or arguments

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concerning this proposed rulemaking. All comments must be in writing and should be addressed to:

Tamara Tanzillo Hoffman Chief of Staff Illinois Department of Healthcare and Family Services 201 South Grand Avenue E., 3<sup>rd</sup> Floor Springfield IL 62763-0002

217/557-7157

The Department requests the submission of written comments within 30 days after the publication of this Notice. The Department will consider all written comments it receives during the first notice period as required by Section 5-40 of the Illinois Administrative Procedure Act [5 ILCS 100/5-40].

These proposed amendments may have an impact on small businesses, small municipalities, and not-for-profit corporations as defined in Sections 1-75, 1-80 and 1-85 of the Illinois Administrative Procedure Act [5 ILCS 100/1-75, 1-80, 1-85]. These entities may submit comments in writing to the Department at the above address in accordance with the regulatory flexibility provisions in Section 5-30 of the Illinois Administrative Procedure Act [5 ILCS 100/5-30]. These entities shall indicate their status as small businesses, small municipalities, or not-for-profit corporations as part of any written comments they submit to the Department.

- 13) <u>Initial Regulatory Flexibility Analysis:</u>
  - A) Types of small businesses, small municipalities and not-for-profit corporations affected: Illinois supportive living facilities
  - B) Reporting, bookkeeping or other procedures required for compliance: None
  - C) Types of professional skills necessary for compliance: None
- 14) Regulatory Agenda on which this rulemaking was summarized: January 2007

The full text of the Proposed Amendment begins on the next page:

### DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES

### NOTICE OF PROPOSED AMENDMENT

# TITLE 89: SOCIAL SERVICES CHAPTER I: DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES SUBCHAPTER d: MEDICAL PROGRAMS

### PART 146 SPECIALIZED HEALTH CARE DELIVERY SYSTEMS

### SUBPART A: AMBULATORY SURGICAL TREATMENT CENTERS

146.125	Covered Ambulatory Surgical Treatment Center Services
146.130	Reimbursement for Services
	SUBPART B: SUPPORTIVE LIVING FACILITIES
Section	
146.200	General Description
146.205	Definitions
146.210	Structural Requirements
146.215	SLF Participation Requirements
146.220	Resident Participation Requirements
146.225	Reimbursement for Medicaid Residents
146.230	Services
146.235	Staffing
146.240	Resident Contract
146.245	Assessment and Service Plan and Quarterly Evaluation
146.250	Resident Rights
146.255	Discharge
146.260	Grievance Procedure
146.265	Records and Reporting Requirements
146.270	Quality Assurance Plan
146.275	Monitoring
146.280	Termination or Suspension of SLF Provider Agreement
146.285	Voluntary Surrender of Certification

Records and Data Reporting Requirements

**General Description** 

Geographic Groups

Participation Requirements

**Definitions** 

Section

146.100 146.105

146.110

146.115

146.290

### DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES

### NOTICE OF PROPOSED AMENDMENT

146.295	<b>Emergency Contingency Plan</b>
146.300	Waivers

### SUBPART C: STATE HEMOPHILIA PROGRAM

Section	
146.400	Definitions
146.410	Patient Eligibility
146.420	Hemophilia Treatment Centers
146.430	Comprehensive Care Evaluation
146.440	Home Transfusion Arrangements
146.450	Obligations of the Department

### SUBPART D: CHILDREN'S COMMUNITY-BASED HEALTH CARE CENTERS

Section	
146.500	General Description
146.510	Definitions
146.520	Participation Requirements
146.530	Records and Data Reporting Requirements
146.540	Covered Children's Community-Based Health Care Center Services
146.550	Reimbursement for Services
146.560	Individuals Eligible for Services Provided in a Children's Community-Based
	Health Care Center
146.570	Prior and Post Approval of Services

AUTHORITY: Implementing and authorized by Articles III, IV, V, VI and Section 12-13 of the Illinois Public Aid Code [305 ILCS 5/Arts. III, IV, V, VI and 12-13].

SOURCE: Old Part repealed at 14 Ill. Reg. 13800, effective August 15, 1990; new Part adopted at 20 Ill. Reg. 4419, effective February 29, 1996; emergency amendment at 21 Ill. Reg. 13875, effective October 1, 1997, for a maximum of 150 days; amended at 22 Ill. Reg. 4430, effective February 27, 1998; emergency amendment at 22 Ill. Reg. 13146, effective July 1, 1998, for a maximum of 150 days; amended at 22 Ill. Reg. 19914, effective October 30, 1998; amended at 23 Ill. Reg. 5819, effective April 30, 1999; emergency amendment at 23 Ill. Reg. 8256, effective July 1, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 13663, effective November 1, 1999; amended at 24 Ill. Reg. 8353, effective June 1, 2000; emergency amendment at 26 Ill. Reg. 14882, effective October 1, 2002, for a maximum of 150 days; amended at 27 Ill. Reg. 2176, effective February 1, 2003; emergency amendment at 27 Ill. Reg. 10854, effective July 1, 2003,

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### NOTICE OF PROPOSED AMENDMENT

for a maximum of 150 days; amended at 27 III. Reg. 18671, effective November 26, 2003; emergency amendment at 28 III. Reg. 12218, effective August 11, 2004, for a maximum of 150 days; emergency amendment at 28 III. Reg. 14214, effective October 18, 2004, for a maximum of 150 days; amended at 29 III. Reg. 852, effective January 1, 2005; emergency amendment at 29 III. Reg. 2014, effective January 21, 2005, for a maximum of 150 days; amended at 29 III. Reg. 4360, effective March 7, 2005; expedited correction at 29 III. Reg. 14127, effective March 7, 2005; amended at 29 III. Reg. 6967, effective May 1, 2005; amended at 29 III. Reg. 14987, effective September 30, 2005; amended at 30 III. Reg. 8845, effective May 1, 2006; amended at 31 III. Reg. \_\_\_\_\_\_, effective \_\_\_\_\_\_.

### SUBPART B: SUPPORTIVE LIVING FACILITIES

### **Section 146.225 Reimbursement for Medicaid Residents**

SLFs shall accept the reimbursement provided in this Section as payment in full for all services provided to Medicaid residents.

- a) The Department shall establish its portion of the reimbursement for Medicaid residents by calculating 60 percent of the weighted average (weighted by Medicaid patient days) nursing facility rates for the geographic grouping as defined in Section 146.290. Each SLF shall be paid 60 percent of the weighted average nursing facility geographic group rate, based upon the nursing facility geographic group in which it is located. The rates paid to SLFs shall be <u>updated semi-annually on April 1 andreviewed annually, and adjusted, if necessary, on October 1 to assure that the rates coincide with 60 percent of weighted average nursing facility geographic group rates. Effective October 1, 2002, SLF rates shall remain at a minimum of the rate in effect as of September 30, 2002.</u>
- b) The payment rate received by the SLF from the Department for services, with the exception of meals, provided in accordance with Section 146.230 shall constitute the full and complete charge for services rendered. Additional payment, other than patient credits authorized by the Department, may not be accepted. Meals are included in the room and board amount paid by the resident.
- c) Single Occupancy: Each Medicaid resident of an SLF shall be allotted a minimum of \$90 per month as a deduction from his or her income as a protected amount for personal use. The SLF may charge each Medicaid resident no more than the current SSI rate for a single individual less a minimum of \$90 for room and board charges. Any income remaining after deduction of the protected

### DEPARTMENT OF HEALTHCARE AND FAMILY SERVICES

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minimum of \$90 and room and board charges shall be applied first towards medical expenses not covered under the Department's Medical Assistance Program. Any income remaining after that shall be applied to the charges for SLF services paid by the Department.

- d) Double Occupancy: In the event a Medicaid eligible resident chooses to share an apartment, the Medicaid resident of an SLF shall be allotted a minimum of \$90 per month as a deduction from his or her income as a protected amount for personal use. The SLF may charge each Medicaid resident no more than the resident's share of the current SSI rate for a couple less a minimum of \$90 for room and board charges. The room and board rate for two Medicaid eligible individuals sharing an apartment cannot exceed the SSI rate for a married couple even if the two individuals sharing an apartment are unrelated. Any income of an individual remaining after deduction of the protected minimum of \$90 and room and board charges shall be applied first towards that individual's medical expenses not covered under the Department's Medical Assistance Program. Any income of an individual remaining after that shall be applied to that individual's charges for SLF services paid by the Department. If one, or both, of the individuals sharing an apartment is not Medicaid eligible, the SLF may negotiate its own rate with the non-Medicaid individual or individuals.
- e) The room and board charge for Medicaid residents shall only be increased when the SSI amount is increased. Any room and board charge increase shall not exceed the amount of the SSI increase.
- f) Payment shall be made by the Department for up to 30 days per State fiscal year during a Medicaid resident's temporary absence from the SLF when the absence is due to situations such as hospitalizations or vacations. The resident shall continue to be responsible for room and board charges during any absence. Involuntary discharge criteria relating to temporary absence are found at Section 146.255(b) and (d)(7). Nursing facilities that have a distinct part certified as an SLF shall consider converted beds in the nursing facility's licensed capacity when calculating the 93 percent occupancy level for bed reserve payments pursuant to 89 Ill. Adm. Code 140.523.
  - 1) The day a resident is transferred to the hospital is the first day of the temporary absence.

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- 2) For all other temporary absences, except a long-term care admission, the day after resident leaves the SLF is the first day of the temporary absence.
- 3) The day before resident returns to the SLF is the last day of the temporary absence.
- 4) The Department does not pay for temporary absence due to admission to a long-term care facility. In this instance, an SLF shall discharge the resident from the Department's database. An SLF may choose to hold an apartment while a resident is in a long-term care facility.
- 5) By agreement between the SLF and a resident, an SLF may continue to hold an apartment when a resident has exceeded the 30 days payable by the Department.

(Source:	Amended at 31 Ill. Reg.	, effective )
	Timenaca at 51 Im. Item.	

### OFFICE OF THE STATE FIRE MARSHAL

### NOTICE OF PROPOSED REPEALER

- 1) <u>Heading of the Part</u>: Policy and Procedures Manual for Fire Protection Personnel
- 2) <u>Code Citation</u>: 41 Ill. Adm. Code 140

3)	Section Numbers:	Proposed Action:
	140.1	Repeal
	140.2	Repeal
	140.3	Repeal
	140.8	Repeal
	140.11	Repeal
	140.12	Repeal
	140.13	Repeal
	140.15	Repeal
	140.16	Repeal
	140.18	Repeal
	140.20	Repeal
	140.25	Repeal
	140.50	Repeal
	140.55	Repeal
	140.60	Repeal
	140.65	Repeal
	140.70	Repeal
	140.80	Repeal
	140.90	Repeal
	140.110	Repeal
	140.130	Repeal
	140.140	Repeal
	140.150	Repeal
	140.160	Repeal
	140.171	Repeal
	140.180	Repeal
	140.185	Repeal
	140.190	Repeal
	140.200	Repeal
	140.210	Repeal
	140.215	Repeal
	140.220	Repeal
	140.225	Repeal
	140.230	Repeal

### OFFICE OF THE STATE FIRE MARSHAL

### NOTICE OF PROPOSED REPEALER

140.232	Repeal
140.234	Repeal
140.236	Repeal
140.238	Repeal
140.240	Repeal
140.241	Repeal
140.242	Repeal
140.243	Repeal
140.245	Repeal
140.246	Repeal
140.260	Repeal
140.290	Repeal
140.300	Repeal
140.305	Repeal
140.310	Repeal
140.315	Repeal
140.320	Repeal
140.325	Repeal
140.350	Repeal
140.360	Repeal
140.390	Repeal
140.400	Repeal
140.420	Repeal
140.430	Repeal
140.500	Repeal

- 4) <u>Statutory Authority</u>: Sections 8 and 11 of the Illinois Fire Protection Training Act [50 ILCS 740/8 and 11] and the Peace Office Fire Investigation Act [20 ILCS 2910]
- 5) <u>A Complete Description of the Subjects and Issues Involved</u>: This proposed rulemaking is intended to delete existing Sections to allow for adoption of proposed rules in a recodified format to allow for easier access and reference of the users.
- 6) <u>Published Studies or Reports, and sources of underlying data used to compose this rulemaking</u>: None
- 7) Will this proposed repealer replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No

#### OFFICE OF THE STATE FIRE MARSHAL

#### NOTICE OF PROPOSED REPEALER

- 9) Does this proposed repealer contain incorporations by reference? Yes
- 10) Are there any other proposed rulemakings pending on this Part? No
- 11) <u>Statement of Statewide Policy Objectives</u>: These rules have no impact on local government.
- 12) Time, Place, and Manner in which interested persons may comment on this proposed rulemaking: Persons wishing to comment on this proposed rulemaking may submit comments no later than 45 days after the publication of this Notice to:

Susie Alwerdt, Division Manager Division of Career Development & Public Education Office of the State Fire Marshal 1035 Stevenson Dr. Springfield, IL 62703-4259

Telephone: 217/785-1003 Facsimile: 217/782-1062

- 13) Initial Regulatory Flexibility Analysis:
  - A) Types of small businesses, small municipalities and not for profit corporations affected: All fire departments in Illinois
  - B) Reporting, bookkeeping or other procedures required for compliance: The fire departments must maintain certain training records for compliance with the rules.
  - C) Types of Professional skills necessary for compliance: None
- 14) Regulatory Agenda on which this rulemaking was summarized: January 2007

The full text of the Proposed Repealer begins on the next page:

## OFFICE OF THE STATE FIRE MARSHAL

## NOTICE OF PROPOSED REPEALER

# TITLE 41: FIRE PROTECTION CHAPTER I: OFFICE OF THE STATE FIRE MARSHAL

# PART 140

# POLICY AND PROCEDURES MANUAL FOR FIRE PROTECTION PERSONNEL (REPEALED)

Section	
140.1	Authority Notes
140.2	Definitions
140.3	Applicability of Part 140
140.4	Program Goals (Repealed)
140.8	State Examinations
140.10	Division Responsibilities (Repealed)
140.11	Resources Required for Certification as a Provisionally Approved Training
	Facility
140.12	Resources Required for Certification as an Unlimited Training Facility or
	Regional Training Center
140.13	Certificates Earned by Bypass Examination
140.15	Course Approval
140.16	Examination Procedures for End-of-Course Exams Not Administered by the
	Office
140.18	Course Approval Equivalency
140.20	Requirements for Participation
140.25	Course Approval Standards
140.30	Developmental Sequence (Repealed)
140.40	Certified Firefighter I (Repealed)
140.50	Certified Firefighter II
140.55	Airport Firefighter
140.60	Certified Firefighter III
140.65	Certified Fire Apparatus Engineer
140.70	Fire Officer I
140.80	Fire Officer II
140.90	Fire Officer III
140.100	Instructor (Repealed)
140.110	Interim Instructor
140.120	Special Instructor (Repealed)
140.130	Fire Service Instructor I
140.140	Fire Service Instructor II

# NOTICE OF PROPOSED REPEALER

140.150	Fire Service Instructor III
140.160	Fire Service Instructor IV
140.170	Airport Firefighter (Repealed)
140.171	Fire Prevention Officer
140.180	Public Fire and Life Safety Educator II
140.185	Public Fire and Life Safety Educator III
140.190	Bypass Examination
140.200	Fire Investigator
140.210	Arson Investigator
140.215	Fire Inspector II
140.220	Fire Inspector III
140.225	Hazardous Materials First Responder-Awareness
140.230	Hazardous Materials First Responder-Operations
140.232	Hazardous Materials Technician
140.234	Chemistry of Hazardous Materials
140.236	Hazardous Materials Refresher Training
140.238	Hazardous Materials Incident Command
140.240	Rescue Specialist-Roadway Extrication
140.241	Confined Space/Trench Rescue Awareness
140.242	Rescue Specialist – Confined Space
140.243	Rescue Specialist – Trench I
140.245	Rescue Specialist – Vertical I/Ropes and Rigging
140.246	Rescue Specialist – Vertical II/High Angle
140.250	Hazardous Materials Specialist (Repealed)
140.300	Rules and Regulations for Reimbursement Funding
140.305	Prerequisites for Participation for Reimbursement Funding
140.310	Requirements
140.315	Claim Forms
140.320	Claim Deadline
140.325	Amount of Reimbursement
140.350	Appropriations
140.360	Advanced Training Programs
140.370	Funding Hours (Repealed)
140.380	Prerequisites Necessary to Qualify an Individual for Reimbursement Funding
140.390	Advisory Committees
140.400	Invalidation of a Student's State Examination Score
140.420	Appeal Process

AUTHORITY: Implementing and authorized by Sections 8 and 11 of the Illinois Fire Protection

#### OFFICE OF THE STATE FIRE MARSHAL

## NOTICE OF PROPOSED REPEALER

Training Act [50 ILCS 740/8 and 11] and the Peace Officer Fire Investigation Act [20 ILCS 2910].

SOURCE: Adopted at 3 Ill. Reg. 37, p. 168, effective September 15, 1979; codified at 5 Ill. Reg. 10681; emergency amendment at 6 Ill. Reg. 7551, effective June 16, 1982, for a maximum of 150 days; emergency expired November 13, 1982; emergency amendment at 6 Ill. Reg. 8474, effective July 1, 1982, for a maximum of 150 days; emergency expired November 27, 1982; amended at 7 Ill. Reg. 2336, effective February 16, 1983; amended at 7 Ill. Reg. 12944, effective September 23, 1983; amended at 10 Ill. Reg. 4231, effective February 20, 1986; amended at 11 Ill. Reg. 17108, effective October 8, 1987; amended at 14 Ill. Reg. 19185, effective November 26, 1990; emergency amendment at 17 Ill. Reg. 11181, effective June 29, 1993, for a maximum of 150 days; emergency expired on November 26, 1993; amended at 18 Ill. Reg. 12696, effective August 8, 1994; amended at 21 Ill. Reg. 8211, effective July 1, 1997; amended at 22 Ill. Reg. 1314, effective December 23, 1997; repealed at 31 Ill. Reg. \_\_\_\_\_\_\_, effective \_\_\_\_\_\_\_.

## **Section 140.1 Authority Notes**

The Illinois Fire Protection Training Act [50 ILCS 740] (the Act) requires the Office of the State Fire Marshal to establish training programs and to assist the development of training of firefighters throughout the State. Section 1 of the Act requires the Office of the State Fire Marshal, in a Division of Personnel Standards and Education, to have the purpose of:

... encouraging and aiding of municipalities, counties and other local governmental agencies of this State in their efforts to raise the level of local fire protection by upgrading and maintaining a high level of training for fire protection personnel. It is declared to be the responsibility of the Office of the State Fire Marshal to encourage the participation of local governmental units in the programs established by the Office and to aid in the establishment of adequate training facilities.

## **Section 140.2 Definitions**

Definitions are those which follow, unless the context requires otherwise:

"Accredit" means to supply with credentials or authority; authorize; certify as meeting a prescribed standard.

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"Accreditation" means the act of accrediting or the state of being accredited, especially the granting of approval to an institution of learning by an official review board after the school has met specific requirements.

"Act" means the Illinois Fire Protection Training Act.

"Fire Brigade" means an entity, privately owned, possessing those resources necessary for fire suppression in their own premises.

"Fire Department" means an entity, public or private, possessing those resources necessary for fire administration, fire prevention, fire suppression, fire education and arson investigation.

"Fire protection personnel" and "firefighter" mean any person engaged in fire administration, fire prevention, fire suppression, fire education and arson investigation, including any permanently employed trainee or volunteer firefighter, whether or not such person, trainee or volunteer is compensated for all or any fraction of his/her time. (Section 2 of the Act)

"Fire Service experience" means a fire suppression training, fire administration, fire investigation or fire prevention experience in a fire department excluding clerical.

"IFSTA" means International Fire Service Training Association, Oklahoma State University, Stillwater, Oklahoma 74074; pamphlet or standard number will appear after the abbreviation and the edition will appear in parentheses. Where standards are incorporated by reference in this Part, the incorporated material does not include any later editions or amendments.

"Local governmental agency" means any local governmental unit or municipal corporation in this State.

"Maximum reimbursable funding" means the number of hours for which the office will reimburse for training of an individual; this is in addition to tuition and other fees as later described in these rules.

"Member" means an individual engaged by a fire department or fire brigade to carry out assigned duties, whether or not that person is compensated for all or any fraction of their time.

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"NFPA" means National Fire Protection Association, Batterymarch Park, Quincy, Mass. 02269; pamphlet or standard number will appear after the abbreviation and the edition will appear in parentheses. Where standards are incorporated by reference in this Part, the incorporated material does not include any later editions or amendments.

"Office" means the Office of the State Fire Marshal.

"School" means any school located within the State of Illinois, whether privately or publicly owned, which offers a course in fire protection training or related subjects and which has been approved by the Office.

"Trainee" means a recruit firefighter required to complete initial minimum basic training requirements at an approved school to be eligible for permanent employment as a firefighter.

## Section 140.3 Applicability of Part 140

Part 140 is applicable to all programs recognized by the Office for the fulfillment of the goals and purposes required in the Illinois Fire Protection Training Act. Part 140 specifies the requirements to obtain state certification from the Office and reimbursement funding for these training expenses incurred by local governments electing to participate in the training programs of the Office.

## **Section 140.8 State Examinations**

Except as otherwise noted in this Part, all State written examinations will be developed, provided, and administered by Office personnel. Local Instructors desiring to schedule state examinations should contact the Office to establish a time and place for the examination. While the Office will endeavor to schedule examinations at sites throughout the State as requested, the number of examination requests may necessitate delays and regional testing. Instructors requesting the State examinations be given should have facilities for the examination. When large numbers of persons are to be tested, Office personnel may request additional assistance of the facility or fire department in monitoring the administration of a test.

a) Class rooms, lecture rooms, municipal and fire protection department training rooms shall be acceptable facilities provided that space is available for the number of persons requesting to take the examination; desks or tables and chairs shall be provided by the examination center. The room in which the examination is to be given shall be a room customarily used for quiet activities and not subject to loud

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noise or other activities nearby which might interfere with the need for a quiet area for taking written examinations. Students must be spaced to ensure that they cannot readily observe another's answer sheet. The following specifications for the facility and the administration of the exam must be adhered to:

- 1) Candidates not present in the room at the time the proctor starts the exam will be disqualified from taking the exam.
- 2) There can be nothing on the walls at test site that could pertain to exam questions.
- 3) Test administrator must be provided a table at least 6 feet in length.
- 4) Loudspeakers, monitors, portable radios and beepers must be turned off.
- 5) The department hosting the test must supply a representative from the department at the test site during the exam. This will be the only representative of the department allowed in the test room at the time of the test.
- 6) Test site must have toilet facilities in proximity in the same building.
- 7) All candidates must be in clear view of the proctor's table.
- 8) The test site must have temperature control for comfort of candidates.
- b) Passing rate for all written certification examinations will be 70% of the test, overall.
  - 1) Firefighter II and Firefighter III exams including Hazardous Materials Awareness and Hazardous Materials Operations, respectively, will require 70% overall passing rate for Module C or for the entire exam.
  - 2) Seventy percent pass rate shall also be required of the Hazardous Materials Awareness and Hazardous Materials Operations sections of these exams to qualify for certification.
- c) Examination results will be sent to the individual taking the examination, the chief of the individual's fire department and when applicable, the school which

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provided training. The Office will maintain these scores and shall use them internally for statistical and/or employment purposes. Otherwise, the Office will not release the examination scores of any individual without the prior written approval of the individual.

- d) State certifications for qualified fire service personnel may be awarded to individuals employed both by local governmental agencies and to State of Illinois employees, after successful completion of all requirements.
- e) Prerequisites. A candidate for Firefighter II certification must be engaged in firefighting in an organized Illinois fire department as a fire protection person or trainee according to the Act as attested to by the Illinois Fire Chief of the individual seeking certification.
- f) Procedure to Request State Administered Certification Exam.
  - 1) At least 30 days prior to the anticipated day for testing at a given fire department or school, the Office shall be in receipt of a completed form entitled "Request for Examination", signed by the Fire Chief and the Certified Instructor, which will attest to the fact that each individual has:
    - A) A documented learning experience in each of the subject areas of the course required;
    - B) Satisfactory scores on all local examinations; and
    - C) Demonstrated the proficiency required in each skill requirements for the level of certification by having been observed and evaluated by a Certified Instructor (of the proper level) and an officer of the fire department or his designee in the accomplishment of these skills; and that local records are maintained which contain copies of the evaluator's checklists and evaluation sheets for each individual.
  - 2) In the case of State required practical exams, a copy of the evaluator's checklist or Practical Examination Key must be submitted to the Division before certificates will be issued.
- g) No person will be allowed to take the written examination for State certification

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without having completed all of the above requirements. End-of-subject written examinations of fire departments and community colleges which show satisfactory learning experiences and scores are recognized as satisfying the learning experience requirements.

- h) Persons who have not met all prerequisites listed in subsection (e) above, will not be examined. Nor will persons who are ill, or obviously under the influence of drugs or alcohol, persons on duty who may be called out during the examination. In making the determination of such impairment, the Office will consider, but is not limited to, observation of demeanor, slurred speech, odor of alcohol, general behavior and other considerations that would benefit in making such determination.
- i) The proctor will not be permitted to discuss or answer questions regarding any questions on the examination. No one will be permitted to enter once the examination has started. Students are not permitted to have notes or reference material in their possession, including calculators, and slide rules. The only paper allowed in the test center is a tablet which will be distributed in single sheets by the proctor according to the examination directions. There will be no scheduled breaks during examination. Persons should be advised at the beginning of the examination that no one will be allowed to leave the room before completing the examination except in an emergency, and then only one at a time. The examination begins after the proctor has read the instructions, at which time all discussion will cease. Candidates will not be permitted to speak to each other or to the proctor, and all instructions to the candidates contained in the proctor instructions will be followed. The proctor will begin the examination with the words "you may begin" and the examination will end with the proctor announcing "you are to stop now".
- j) Results of examinations taken for the purpose of State certification will be retained in the individual training record file maintained for each individual in the Office. All participants who receive certification will have notification of successful completion sent to their department.
- k) Re-examination.
  - 1) No person shall be re-examined without further documented learning experiences in each of the subject areas.

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- 2) The Request for Examination form contains an attestation that proof exists that the individual has had the required additional learning experience before re-examination.
- 3) In the case of failure, individuals must wait 60 days before retaking the State written examination of that level.
- 4) There is no limit set by the Office for the number of times that an individual may take the written or practical portion of a State certification examination.
- 5) The battery of examination to be given will be determined by the Office.
- Practical skill exams required by the Office for Fire Apparatus Engineer, Hazardous Materials and Rescue Specialist certifications remain valid for 12 months. If an individual has not passed the written exam within 12 months of the practical skills evolutions, the candidate will be required to retake the State practical exam.
  - 1) Passing rate of Fire Apparatus Engineer practical exam is 70%.
  - 2) Passing rate of all other practical skill examinations shall be 100%.
  - 3) After the practical skill examination is completed and scored, the examination answer key and/or the validation and attestation sheets shall be sent to the Office for inclusion in the student's file.
  - 4) Certification will not be granted until both the State written exam is successfully passed and the answer key for the practical exam is submitted to the Office with passing rate.
- m) State required practical skills evolutions for Firefighter II and III may be administered at any time during the course of training. It is the responsibility of the Certified Instructor to set standards and assure currency of skills.
- n) If firefighters from a given fire department experience excessive or repeated failures of a firefighter examination, the Fire Chief and appropriate officers of the department are encouraged to visit the Division to discuss the department's training program, or may request a field visit for assistance.

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# Section 140.11 Resources Required for Certification as a Provisionally Approved Training Facility

Any installation or facility may, upon submitting an application and an inventory to the Office, be certified as an approved training facility for conducting training as prescribed by the Office of the State Fire Marshal.

- a) The administrator of a training facility may request, in writing, a reevaluation of the facility's level of certification at any time. The Office will cause such a review to be conducted, and, where justified, recertify the facility at the appropriate level.
- b) Facilities at which all of the listed resources are not readily available may be certified as a "Provisional" Facility from the Office for conducting training in those subject areas for which adequate resources are available. There are specific requirements for "Provisional" Facility approval and these are:
  - 1) A fire station that serves as a classroom or access to a classroom facility;
  - A pumper apparatus equipped in accordance with National Fire Protection Association (NFPA) No. 1901 (1991) Automotive Fire Apparatus;
  - 3) A current set of International Fire Service Training Association (IFSTA) Manuals;
  - 4) Improvise to provide all learning experiences required in the "Certified Firefighter II" course; and
  - 5) Keep complete approved records based on the Training Records Jacket and Course Approval outline, as specified in Section 140.12(e).

# Section 140.12 Resources Required for Certification as an Unlimited Training Facility or Regional Training Center

In order to qualify for Unlimited Facility Certification, a training facility center must possess, or have readily available for use, the following facilities, apparatus, equipment, reference material, established records, procedures and staff:

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## a) Facilities:

- 1) Training tower, not less than two stories in height, for use as a training structure for ladder evolutions, rescue drills, hose advancement and rope work;
- 2) Classroom with adequate environmental control and seating capacity for the anticipated trainee population (not adequate means obvious unsuitability, complaints received and other factors deemed relevant by the Office);
- 3) Forcible entry and ventilation drill facilities, including a means of providing the trainee an opportunity to practice opening a variety of doors, windows, roofs, floors and partitions that are representative of the type and construction found in the community;
- 4) A smoke and fire room or building suitable for containing, and equipped for simulating, fire atmospheres and conditions. Any or all of these facilities may be combined into one structure; and
- 5) Facilities for conducting live fire training (by permission and within restrictions of environmental control agencies) and rescue which must include:
  - A) Structural fires;
  - B) Flammable Liquid fires;
  - C) LP and natural gas fires; and
  - D) Automobile fires.

## b) Apparatus:

Pumper apparatus, fully equipped as prescribed in NFPA No. 1901 (1991), "Automotive Fire Apparatus".

c) Equipment:

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- 1) All current types and classes of portable fire extinguishers;
- 2) Forcible entry tools such as: pry-axe, pick head axe, pike pole, wrecking bar, hatchet, wire and bolt cutters, claw and Kelly tool, crow bar, Halligan tool, manual and power saws and jacks;
- Ropes of assorted lengths, which can be used for rescue, rappeling and practicing knots and lashings;
- 4) All equipment specified by NFPA No. 1901 (1991);
- 5) Salvage and overhaul equipment including covers, carry-alls, cleaning and patching equipment and sprinkler kits;
- 6) Self-contained breathing equipment in sufficient numbers to enable each student to wear the equipment for at least the life of one canister or breathing air tank during his training;
- 7) Standard first-aid supplies for the teaching of the Standard American Red Cross first aid course or its equivalent;
- 8) Slide and/or overhead projector and a 16mm movie projector and screen;
- 9) Standard classroom equipment: chalk board, speaker's rostrum;
- 10) Protective clothing (one full set for each student) including the structural helmet with a face shield. (Students should provide their own clothing while training at a facility other than their duty station); and
- Other instructional aids as may be needed such as, cutaways of equipment, models, flip charts.
- d) Records and Established Procedures:

An established system of records maintenance that includes:

1) Training records which reflect who was trained, objectives of subject taught relating to Instructor Reference Manual, by whom, how, when and where conducted.

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- 2) A system of evaluating the effectiveness of the class, the instructor and all participants including:
  - A) Testing technique utilized: oral, written, practical or combination; and
  - B) Performance appraisal and evaluation: ranking, factor comparison, grading, graphic rating scale, checklist.
- 3) Individual training records which show when each person began training in each subject area, individual to whom responsible, the objective of his training, intermediate goals, performance criteria, ultimate goal and estimated completion date.
- 4) Records of training. The Office shall approve training records which contain the following:
  - A) Location of training.
  - B) Dates of training.
  - C) Name of Instructor printed and signature.
  - D) Name of trainee printed and signature.
  - E) Academic/practical training record.
  - F) Subject training record correlated to objectives.
  - G) Receipts of training expenses.
  - H) Schools shall document training.
- e) One or more persons who have been certified by the Office as an instructor for the level of training being conducted.

## **Section 140.13 Certificates Earned by Bypass Examination**

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- a) Certification at the level of Fire Prevention Officer and Fire Investigator or Arson Investigator may be achieved after successful completion of a "Bypass Examination" in lieu of meeting the prerequisite of Firefighter II or Firefighter III, where required. The use of the Bypass Examination is limited to personnel not identified as fire protection sworn personnel. No person employed by a local governmental agency who has current fire suppression responsibilities as a firefighter, fire officer, or fire service instructor shall be able to take a Firefighter Bypass Examination.
- b) If an individual is assigned to fire department suppression duties they must take and pass the Firefighter II exam before proceeding with advanced certifications.

## **Section 140.15 Course Approval**

All organizations, institutions, fire departments, colleges and companies wishing to offer courses leading to certification must submit a "Course Approval Form" to the Office according to the following schedule:

- a) Fire Departments:
  - 1) Fire departments must submit "Course Approval Form" once each five years, with the exception of Rescue Specialist. (See Section 140.241, 242, 243, 245, 246.) New forms must be submitted if:
    - A) A new Fire Chief is employed, or
    - B) Additional course or courses are added to the training schedule.
  - 2) Forms are due January 1 and must be renewed by June 30 of the fifth year following approval. Approvals not renewed by June 30 will not be approved for that calendar year. Reimbursement funding will not be honored until the course approval is renewed.
  - 3) Approvals will be granted on a calendar year.
- b) Colleges, organizations, institutions and companies:
  - 1) "Course Approval Form" must be submitted once each five years with accompanying materials:

- A) appropriate course correlation form
- B) syllabi and course content; end-of-course exam; name and credentials of instructor
- C) End-of-course exams, course syllabi and content shall be correlated to the Office established objectives.
- 2) Course approval extension forms may be used for the next four years if no changes are made in previously approved course.
- c) Instructor Requirements. Approval will be granted upon proof of the following: experience, education and/or training indicating competence in the technical area to be taught. In making the determination of competency, the Office shall consider, but is not limited to, transcripts, certificates, job descriptions or other evidence of experience and training.
- d) Course completion rosters must be submitted to the Office listing individuals who successfully completed course.
- e) The Office reserves the right to monitor and evaluate the delivery of all Approved Courses, including the following requirements:
  - 1) Provide for records of student attendance (i.e., a minimum of 80 per cent is required) and for student evaluations of the course.
  - 2) Maintain all financial records for a minimum of five years after the conclusion of the course.
  - 3) The length of time required to retain training records shall be determined by the local government based on their Records Retention schedule, but shall be retained for at least five years for audit purposes.
  - 4) Maintain complete student records of course completion and test scores.
    - A) If a course involves college credit, the student's transcript is the complete student record.

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- B) If a course is non-credit, the delivering agency shall obtain a written student waiver-of-privacy and shall provide complete student records to the Division at the completion of the course.
- 5) Allow Division personnel to observe and monitor all approved courses to assure agreement compliance and compliance with State rules.
- f) The Office may revoke course approvals if an agency is found to be in violation of course approval requirements or requirements contained elsewhere in these rules. In determining whether to revoke, the Office shall consider the seriousness or frequency of the offenses.

# Section 140.16 Examination Procedures for End-of-Course Exams Not Administered by the Office

Certification of personnel, like all levels and subject areas in the State Training and Certification Program, is contingent upon the successful completion of competency-based examinations. Only those courses which conclude with a written examination, or practice teaching examination, where required, will be approved for reimbursement funding. A minimum of fifty questions is required at the end of the course. Questions are to be developed by the school authority or teacher. All questions are to be keyed directly to the material contained in the course outline and should be constructed in such a manner as to test the student's knowledge and retention of the material to which the student has been exposed in the course. A seventy percent score is required to pass. School authorities are required to submit end-of-course examinations to the Office for approval, prior to administration. Since the purpose of the written exam is to test retention, open book and other similar exams are not acceptable. The end-of-course examination must use objective test items.

## Section 140.18 Course Approval Equivalency

Equivalency for an approved course will be granted if the following conditions are met:

- a) Complete course outline with measurable objectives is submitted to the Office for review.
  - 1) These objectives must meet a minimum of 80% of the Office requirements.
  - 2) A checklist of required objectives must be completed by correlating the

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course with required objectives. The checklist will be prepared by the Office and may be requested by contacting the Office.

- b) Final written and, where applicable, practical exams are submitted to Office for review.
- c) Courses may be audited by a member of Division of Personnel Standards and Education staff or person designated by the Office.
- d) If course is approved, completion roster must be submitted with record of attendance (hours).
- e) All Division of Personnel Standards prerequisites are met according to appropriate rulemaking.
- f) When a course or courses are evaluated as equivalent, the individual will be allowed to take the State written and practical exam one time. Failure of either the written or practical exams will invalidate the equivalency evaluation and require the individual to successfully complete the Office approved program prior to taking the State written and practical exam a second time.

## **Section 140.20 Requirements for Participation**

All local governmental agencies and individuals may elect to participate in the training and certification program of the Office, subject to the rules and regulations of the Office. Units of local government and individuals may elect to participate for certification only, or for certification and reimbursement for training expenses as described in the Illinois Fire Protection Training Act [50 ILCS 740].

- a) The local government agency must pass an Ordinance agreeing to participate if reimbursement funding is to be sought.
  - 1) The Office will provide the governing body with a copy of a model Ordinance upon request.
  - 2) For participation for reimbursement funding each local governmental agency must pass an Ordinance requiring trainees to be certified at the Firefighter II level by the end of the probationary period. The ordinance must state the length of the probationary period. A certified copy of the

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required Ordinance must be sent to the Office.

- A) Local governmental agencies under Sections 10-7-7 and 10-2.1-4 of the Illinois Municipal Code [65 ILCS 5/10-7-7 and 10-2.1-4] are limited to probationary periods not to exceed one year for all firefighters except those having paramedic duties.
- B) All local governmental agencies which participate for reimbursement funding and file a certified copy of the required Ordinance shall be eligible for reimbursement funding from the date a certified copy of the Ordinance is received by the Office. Reimbursement funding for trainees and permanent fire protection personnel will be paid only for courses begun after the date of the receipt of the certified copy of Ordinance.
- C) Failure of any trainee to complete such basic training and certification within the required period will render that individual and local governmental agency ineligible for reimbursement funding for basic training for that individual in the year in which his/her probationary period ends. The individual may later become certified without reimbursement.
- Personnel who are department members prior to the date of the Ordinance are not required by the Office to become certified as Firefighter II but may do so on a voluntary basis. Reimbursement funding is available for such training for three years from the date that a certified copy of the Ordinance is filed with the Office.
- 4) Individuals may receive reimbursement for training costs if employed by a unit of local government which participates for reimbursement funding and the individual is otherwise eligible. Such reimbursement is limited to out-of-pocket expenses not paid or reimbursed, in whole or in part, by a local governmental agency.
- 5) Individuals and departments may participate in all aspects of the programs for certification without passing the Ordinance. The Ordinance is required, however, to qualify an agency to receive reimbursement funding.
- 6) The Board of Police and Fire Commissioners, or the Civil Service

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commission, or the local department of personnel or any other department or commission charged with the authority to make rules and regulations concerning Firefighter II certification, must file a copy of their rules which require such certification prior to commencing regular employment as a firefighter with the Office. Any subsequent changes to the rules must be sent to the Office.

## b) Facility approval

- 1) A department must have a Provisionally Approved Training Facility to offer Firefighter II training. See Section 140.11.
- 2) A department must have an Unlimited Approved Training Facility to offer Firefighter III training. See Section 140.12.
- 3) A department must have Unlimited Facility Approval to operate as a regional training center.
- 4) A department may use the facilities of a regional training center or the Illinois Fire Service Institute for approved firefighter training.
- c) Instructor Certification. See Sections 140.110, 140.130, 140.140, 140.150 and 140.160.
- d) Course Approval. See Section 140.15.

# **Section 140.25 Course Approval Standards**

In determining whether to grant approval of courses, instructors, or tests, the Office will consider, but is not limited to:

- a) Necessary records
- b) Teaching all required topics of the course
- c) Possession of all necessary equipment
- d) Suitable testing methods

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- 1) The test must be related to the subject matter
- 2) The test must be workable
- 3) The test must be as objective and neutral as possible. (See Section 140.16).
- e) Instructor approval. See Section 140.200(d) for Instructor qualifications unless specific qualifications are required for the individual course.

## Section 140.50 Certified Fire fighter II

The Illinois Firefighter II program meets or exceeds the Firefighter I level identified in NFPA 1001 (1992). The term synonymous with Firefighter II is Operative Firefighter and identifies the expected level of supervision.

- a) Prerequisites. A candidate for Firefighter II certification must be engaged in firefighting in an organized Illinois fire department as a fire protection person or trainee according to the Act as attested to by the Illinois Fire Chief of the individual seeking certification.
- b) Funding hours.
  - 1) A maximum of 450 hours is available for reimbursement funding. The Office will fund this level of training only one time.
  - Individuals whose status is not affected by the passage of the Ordinance required in Section 140.20 (i.e., fire protection personnel who are not required to pass the Firefighter II examination due to the date of passage of the Ordinance) qualify for reimbursement funding three years from the date of the passage of the Ordinance.
- c) No specific requirement in terms of hours of training or fire service experience is required; however, no person may take the State written examination for Firefighter II certification until the appropriately certified Fire Service Instructor and Fire Chief or his designee sign the Request for Examination Form.
- d) Instructor Requirements.

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- This course must be supervised by an instructor who is certified by the Office at the Fire Service Instructor I level. Those portions of the Firefighter II Course that deal with Hazardous Materials shall be taught by an individual meeting the requirements of Section 140.225(c)(3).
- 2) Departments lacking Instructor I's are urged to apply for the Interim Instructor credentials during the first year of involvement in the program.
- e) Facility Certification and Delivery Systems.
  - 1) The course will be taught at a facility which is in possession of minimum resources required for a Provisional Facility Certification. See Section 140.11.
  - 2) See Section 140.15 for Course Approval.
- f) Curriculum shall consist of a course or courses covering knowledge and skill objectives and depth of coverage as listed in NFPA 1001 Firefighter Professional Qualifications, 1992 edition. This standard is incorporated by reference and includes no later standards or editions.
- g) Curriculum Subject Headings for Modular courses.
  - 1) MODULE A.
    - A) General/Orientation.
    - B) Fire Behavior.
    - C) Self-Contained Breathing Apparatus.
    - D) Ladders.
    - E) Fire Hose and Appliances.
    - F) Personal Safety.
    - G) Portable Fire Extinguishers.

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2)	MODULI	ΕB.

- A) Water Supply.
- B) Nozzles, Fire Streams.
- C) Ventilation.
- D) Rescue.
- E) Emergency Medical Care.
- F) Forcible Entry.
- G) Overhaul.
- H) Building Construction.

# 3) MODULE C.

- A) Communications.
- B) Sprinkler Systems.
- C) Salvage.
- D) Fire Prevention, Public Education and Fire Cause.
- E) Ropes.
- F) Hazardous Materials Awareness.
- h) Firefighter II can be instructed in a series of modules. Examinations can be taken by module or by taking the complete examination. Hazardous Materials Awareness exams may be taken separately.
- i) Depth of coverage of the subjects listed varies for each firefighter level.
- j) When an individual takes the exam by modules, the passed modules will be kept

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on file until all modules are passed before certification is granted.

- k) If an individual is training by module, and then enters an Academy or College program which instructs the complete program mode, any previously passed modules cannot be used to exempt any portion of the exam; the complete examination must be taken. If an individual fails the complete examination, he or she may elect to then test using the modular system.
- l) When an individual elects to be trained using the modular system, he or she may select any module in any sequence; however, the individual must take the examination after each module. A passing grade on all three modules is required before certification will be granted. See Section 140.8(b)(2).
  - 1) For Certification at Firefighter II, the firefighter shall meet the job performance requirements defined in NFPA 1001, Standard for Firefighter Professional Qualifications (1992 edition), and the requirements defined in Chapter 2. Competencies for the First Responder at the Awareness level of NFPA 472, Standard for Professional Competence of Responders to Hazardous Materials Incidents.
  - 2) It will be determined by the fire department when the education and training are to be received by the firefighter candidate.
  - All requirements must be met for each certification level before certificates will be issued. This includes passing State written exams and submission of practical examination keys of the appropriate level.
- m) State Certification Practical Skills Examination.
  - 1) Local fire departments or schools are responsible for administering the practical skills examination prepared by the Office.
  - 2) Records and documented proof of such tests must be maintained by the department for audit purposes.
    - A) Fire Chiefs are to acquire the identified equipment or to improvise where specific equipment is not available in the fire department or the mutual aid area to provide parallel learning experiences.

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- B) Practical Skill Examinations.
  - i) All practical skill examinations are supplied by the Division. The examination package consists of the lists of evolutions to be completed and the Practical Examination Key. The evaluation package contains an attestation by the Fire Chief or School Director and Certified Instructor that the tasks have been 100% successfully completed.
  - ii) The Practical Examination Key and the attestation must be returned to the Division before certification will be issued.
- n) State Certification Written Examination. To be certified as a Firefighter II, candidates must take and pass the State examination. (See Section 140.8)

## **Section 140.55 Airport Firefighter**

Professional qualifications for Airport Firefighter are identified in the NFPA 1003 (1992), hereby incorporated by reference. The Illinois program does not recognize rank as equivalent to the level of Airport Firefighter. The Office defines the Airport Firefighter as a certified individual who has the required airport fire protection and prevention experience.

- a) Prerequisites.
  - 1) Certification as a Firefighter II.
  - 2) Attainment of one year of experience in airport fire protection.
  - 3) Successful completion of the Airport Firefighter course, including the skill examination and passage of the State written examination.
  - 4) See Section 140.50(a).
- b) Funding Hours.

A maximum of 120 hours is available for reimbursement funding. The Office will fund this level of training only one time. No funding is available for repeat courses.

- c) Instructor Requirements. The course is to be taught under auspices of a Certified Fire Service Instructor II who has successfully completed the course and is a Certified Airport Firefighter. The Interim Fire Service Instructor policy (see Section 140.110 Interim Instructor) is applicable to airports seeking to begin training for Airport Firefighter.
- d) Facility Certification and Delivery Systems. Educational institutions, fire departments, and fire service organizations desiring to offer the Certified Airport Firefighter program will be required to:
  - 1) File Course Approval Forms. See Section 140.15.
  - 2) Use a facility which possesses the minimum required resources. All delivery systems offering the program must have at least Provisional Facility Certification. See Section 140.11. In addition, the facility must possess:
    - A) A complete set of the IFSTA Training Manuals.
    - B) A classroom.
    - C) An airport firefighting vehicle.
- e) Curriculum Subject Headings.
  - 1) Introduction.
  - 2) Aircraft Familiarization.
  - 3) Airport Familiarization.
  - 4) Personnel Safety.
  - 5) Firefighting Equipment.
  - 6) Firefighting Operations.
  - 7) Communications.

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- 8) Fire Prevention.
- f) Curriculum shall consist of a course or courses covering knowledge and skill objectives and depth of coverage as listed in NFPA 1003 Professional Qualifications for Airport Firefighters, 1992 edition. This standard is incorporated by reference and includes no later standards or editions.
- g) State Certification Practical Skills Examinations. Evaluations of the student's performance of the psychomotor objectives are to be done by independent evaluators, each using identical checklists which have been approved by the Office prior to its administration. Psychomotor skills checklists must be related to IFSTA 206 (1992) requirements to qualify for approval. It is the responsibility of the school, fire department or airport to test the psychomotor behavioral objectives or all personnel as part of the certification testing process. See the Airport Firefighter Instructor Reference Package for certification of Airport Firefighter for skill requirements. Answer keys for practical exams must be submitted before certification will be awarded.
- h) State Certification Written Examination. To be certified as an Airport Firefighter, candidates must take and pass the State examination. See Section 140.8.

## Section 140.60 Certified Firefighter III

The Office recognizes the Firefighter III level as equivalent to or exceeding the Firefighter II level identified in the NFPA 1001 (1992). The term synonymous with Firefighter III is Journeyman Firefighter and identifies the expected level of supervision.

- a) Prerequisites.
  - 1) Certification as a Firefighter II.
  - 2) See Section 140.50(a).
  - Attainment of three years cumulative fire service experience in a fire department which may include any combination of full-time, paid-on-call, volunteer, and military service (if a person's primary responsibility was fire protection). Proof is required. Job descriptions and personnel records are examples of adequate proof.

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- 4) Documented learning experiences in each of the 20 subject areas outlined in subsection (e) of this Section and contained in the Student Study Guide.
- 5) Documented demonstration of competence in all manipulative skills contained in the Student Study Guide.
- b) Funding Hours. Maximum funding is 450 hours.
- c) Instructor Requirements.
  - 1) This course must be taught under the auspices of an instructor who has been certified by the Office as having met minimum standards for Fire Service Instructor II certification.
  - 2) Fire Service Instructor I persons who have successfully completed portions of the Firefighter III examination may be authorized to teach and complete the required records in each of the subjects of the Firefighter III course which the Fire Service Instructor I has successfully completed.
  - 3) Those portions of the Firefighter III that deal with Hazardous Materials shall be taught by an individual meeting the requirements of Section 140.230(d)(3).
- d) Facility Certification and Delivery System. Educational institutions, fire departments and fire service organizations must:
  - 1) Have access to an Unlimited Training Facility. See Section 140.12.
  - 2) File necessary Course Approval Forms. See Section 140.15.
- e) Curriculum shall consist of a course or courses covering knowledge and skill objectives and depth of coverage as listed in NFPA 1001, Firefighter Professional Qualifications, 1992 edition. This standard is incorporated by reference and includes no later standards or editions.
- f) Curriculum Subject Headings for Modular Courses.
  - 1) MODULE A.

- A) Fire Department Organization.
- B) Fire Behavior.
- C) Self-Contained Breathing Apparatus.
- D) Ladders.
- E) Fire Hose and Appliances.
- F) Personal Safety.
- 2) MODULE B.
  - A) Water Supply.
  - B) Nozzles and Fire Streams.
  - C) Ventilation.
  - D) Rescue.
  - E) Building Construction.
  - F) Emergency Medical Care.
  - G) Overhaul.
- 3) MODULE C.
  - A) Communications.
  - B) Sprinkler Systems.
  - C) Ropes.
  - D) Fire Prevention, Public Education and Fire Cause.
  - E) Hazardous Materials First Responder Operations.

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- g) State Certification Practical Skill Examination.
  - 1) Local fire departments or schools are responsible for administering the practical skills examination prepared by the Office. Records and documented proof of such tests must be maintained by the department for audit purposes.
  - 2) Fire Chiefs are to acquire the identified equipment or to improvise where specific equipment is not available in the fire department or the mutual aid area to provide parallel learning experiences.
  - 3) Practical Skill Examinations.
    - A) All practical skill examinations are supplied by the Division. The examination package consists of the lists of evolutions to be completed and the Practical Examination Key. The evaluation package contains an attestation by the Fire Chief or School Director and Certified Instructor that the tasks have been 100% successfully completed.
    - B) The Practical Examination Key and the attestation must be returned to the Division before certification will be issued.
- h) State Certification Written Examination. To be certified as a Firefighter III, candidates must take and pass the State examination. A Request for Examination must be signed by a Certified Fire Service Instructor II. See Section 140.8.
- i) Firefighter III can be instructed in a series of modules. Examinations can be taken by module or by taking the complete exam. Hazardous Materials Operations exams may be taken separately.
- j) Depth of coverage of the subjects listed varies for each firefighter level.
- k) When an individual takes the exam by modules, the passed modules will be kept on file until all modules are passed before certification is granted.
- 1) If an individual is training by module, and then enters an Academy or College program which instructs the complete program mode, any previously passed

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modules cannot be used to exempt any portion of the exam; the complete examination must be taken.

- m) When an individual elects to be trained using the modular system, he or she may select any module in any sequence; however, the individual must take the examination after each module. A passing grade on all modules is required before certification will be granted.
  - 1) For Certification at Firefighter III, the firefighter shall meet the job performance requirements defined in NFPA 1001, Standard for Firefighters Professional Qualifications (1992 edition), and the requirements defined in Chapter 3, Competencies for the First Responder at the Operational level of NFPA 472, Standard for Professional Competence of Responders to Hazardous Materials Incidents.
  - 2) It will be determined by the Authority Having Jurisdiction when the education and training are to be received by the firefighter candidate.
  - 3) All requirements as listed must be met for each certification level before certificates will be issued. This includes passing State written exams and submission of practical examination keys of the appropriate level.
- n) Refresher Training.
  - The Certified Firefighter III is considered by the Office to be the senior technical level in the fire suppression career ladder and, therefore, is not required to progress to another level in order to maintain certification. In order to insure that Firefighter III personnel maintain their proficiency, they are encouraged to keep abreast of the state of the art by participating in refresher training. For the purpose of funding, 100 hours of reimbursable time per year will be funded by the Office for refresher training.
  - 2) The training may consist of any or all of the subjects listed in NFPA 1001 and Firefighter III certification. The failure to participate in the annual 60 hours of refresher training does not revoke the individual's certification, since such certification has historically been seen as a personal achievement, and maintenance of the certificate a personal commitment. Refresher training must encompass at least four subject areas annually to

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claim for funding, with the minimum intent to cover all subject areas at least once each five years.

3) Individuals participating in such refresher training will need to have clearly identified training records. (See Section 140.12(e))

## Section 140.65 Certified Fire Apparatus Engineer

The Certified Fire Apparatus Engineer course is designed to meet a specialty need within the fire service. The program equals or exceeds the requirements of NFPA 1002, Fire Apparatus Driver/Operator Professional Qualifications, 1993 edition.

- a) Prerequisites.
  - 1) Certification as a Firefighter II.
  - 2) See Section 140.50(a) above.
  - 3) Completion of the Certified Fire Apparatus Engineer course of two modules: pumper operations and apparatus driving.
  - 4) Pass State end-of-course written and practical skill examination.
  - 5) Possess the appropriate class of driver's license in accordance with the Illinois Vehicle Code [625 ILCS 5].
  - Application for certification which includes attestation by Fire Chief that all practical driving skills as specified in NFPA 1002 have been taught.
- b) Funding. A maximum of 108 hours is available for reimbursement funding. No funding is available for repeat courses.
- c) Instructor Qualifications. There is no Fire Apparatus Engineer Instructor certification level. Persons planning to offer this program must:
  - 1) Be the Instructor of Record who must be an Instructor II and Certified Fire Apparatus Engineer.
  - 2) When a department is initiating a Fire Apparatus Engineer program, the

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initial course may be conducted by a Certified Fire Service Instructor II who is not a Certified Fire Apparatus Engineer. However, the practical skill examination must be conducted by a Certified Fire Apparatus Engineer. The department should contact the Office for the names of Certified Fire Apparatus Engineers who have agreed to conduct practical skill examinations.

- d) Facility Certification and Delivery Systems.
  - 1) Course Approval. (See Section 140.15)
  - 2) The course must be taught at an Unlimited Training Facility. (See Section 140.12)
- e) Curriculum shall consist of a course or courses covering knowledge and skill objectives and depth of coverage as listed in NFPA 1002, Fire Apparatus Driver/Operator Professional Qualifications, 1993 edition. This standard is incorporated by reference and includes no later standard or edition.
- f) State Certification Practical Skill Examination.
  - 1) The State practical skill examinations consist of a series of evolutions covering pumper operations and apparatus driving. Instructors should contact the Office for the practical skill package.
  - 2) All practical skill examinations must be administered by an Instructor II and Certified Fire Apparatus Engineer and observed by two additional persons assigned by the Fire Chief.
  - 3) After the practical examination is completed and scored by the Instructor, a copy of the answer key must be sent to the Office for inclusion in the student's file before certification will be granted.
- g) State Certification Written Examination. To be certified as a Fire Apparatus Engineer, candidates must take and pass the State examination. Firefighter II certification is required before the Fire Apparatus Engineer examination may be taken. Request for exam must be signed by a Fire Service Instructor II who is also a Certified Fire Apparatus Engineer. (See Section 140.8)

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## Section 140.70 Fire Officer I

The Office recognizes three levels of Fire Officer: Fire Officer I, II, and III. These three levels meet and exceed the four levels of Fire Officer identified in NFPA 1021 (1992), hereby incorporated by reference. The Office does not recognize rank as equivalent to the various levels of Fire Officer. The Office defines the Fire Officer I as an individual having the responsibilities of Company Officer.

- a) Prerequisites. Fire Officer I certification is granted to those individuals who have achieved the following:
  - 1) Certification as Firefighter III.
  - 2) See Section 140.50(a).
  - 3) Attainment of three years minimum fire service experience in a fire department.
  - 4) Successful completion of the required three-semester credit (40 student-contact hour minimum) courses or equivalent according to Section 140.18 Course Approval Equivalency. A course taken for certification credit of 40 student contact hours (minimum) can only be used for one area of career hierarchy. Individuals must have courses meeting the objectives in NFPA 1021, Fire Officer Professional Qualifications, 1992 edition, hereby incorporated by reference, including no later amendments or editions.
  - 5) Experience Requirements.
    - A) The candidates for Fire Officer I certification must have served a minimum of one year as a Fire Officer I or Fire Officer I trainee. The Office defines a Fire Officer I trainee as a person possessing Firefighter III certification assigned to supervise one or more companies (a company is a crew of fire protection personnel). The Certified Instructor and Fire Chief must document the experience as a Fire Officer I or Fire Officer I trainee.
    - B) Until such time as the experience requirement is satisfied, the Fire Officer I candidate will receive a certificate attesting to his "Provisional Qualification" as a Fire Officer I. Provisional

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Qualification can only be given after completion of all required courses. Provisionally qualified status allows the individual to participate in Fire Officer II courses and training. Provisionally qualified status does not certify the individual as a Fire Officer I.

- b) Funding Hours. A maximum of 324 hours is available for reimbursement funding with no more than 54 hours being allowed for any one of the courses required in subsection(a)(4) of this Section. Work experience does not qualify for funding. The Office will fund this level of education only one time. A candidate must be certified as a Firefighter III prior to the beginning of Fire Officer I classes to qualify for reimbursement funding.
- c) Equivalent courses. Courses not having prior approval but which correlate with the content areas of required courses and conclude with an evaluation of the individual's retention will be approved for certification purpose only. Fire Officer Applications for certification that request course equivalency evaluation must be accompanied by complete course content or syllabus for the course. College catalog descriptions of a paragraph or less are not sufficient documentation for review.
  - 1) Equivalent courses must meet the performance objectives required in NFPA 1021, Fire Officer Professional Qualifications, 1992 edition, Chapters 2 and 3.
  - 2) It is the responsibility of the applicant to provide documentation for the Office to conduct an equivalency evaluation.
  - 3) Course approval Equivalency: See Section 140.18 Course Approval Equivalency. Documentation and proof necessary to establish course equivalency shall include but is not limited to:
    - A) Course titles or transcripts.
    - B) Syllabi and course outlines.
    - C) Test scores or grades.
    - D) College and Institute catalog course descriptions.

- E) Other supporting material.
- F) See Section 140.18(f).
- d) Instructor Requirements. See Section 140.200(d) for instructor approval requirements.
- e) Facility Certification and Delivery Systems. Educational institutions and fire service organizations desiring to offer the Fire Officer program will be required to receive facility certification. Such certification requires:
  - 1) See Section 140.15 for course approval requirements.
  - 2) See Section 140.16 for end-of-course examination requirements.
  - 3) All courses will be delivered under the auspices of approved institutions which are identified as follows:
    - A) All Fire Officer I and II courses may be delivered by any accredited college or university in Illinois.
    - B) All Fire Officer III courses may be delivered by colleges or universities accredited in Illinois to offer baccalaureate degrees.
    - C) Fire Service organizations may receive approval to deliver specialized courses. Such approval will be granted based on compliance with all applicable rules in this Part, including Sections 140.11, 140.12, 140.15, 140.16, and 140.25. These organizations are identified as:
      - i) The Illinois Fire Chief's Association (IFCA).
      - ii) The Illinois Fire Inspector's Association (IFIA).
      - iii) The Illinois Society of Fire Service Instructors (ISFSI).
      - iv) The Illinois Firefighter's Association (IFA).
      - v) The Associated Firefighters of Illinois (AFFI).

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- vi) The Illinois Association of Fire Protection Districts (IAFPD).
- vii) The Illinois Professional Firefighters Association (IPFA).
- viii) The Illinois Fire Service Alliance (IFSA).
- ix) The Illinois Fire Prevention Education Association (IFPEA).
- 4) All organizations and institutions desiring to offer programs and/or courses will be required to meet all rules and regulations established by the Office regarding curricula, student control, examinations, financial records maintenance and instructor's qualifications, including Sections 140.11, 140.12, 140.15, 140.16 and 140.25.
- f) Curriculum shall consist of courses covering knowledge and skill objectives and depth of coverage listed in NFPA 1021, Fire Officer Professional Qualification, 1992 edition. This standard is incorporated by reference and includes no later editions or amendments.
- g) State Certification Written Examination To be certified as a Fire Officer I, one of the following means of examination and evaluation must be successfully passed, with proof of course completion and passing submitted to the Office:
  - Written examination administered by the school. Exam must be approved by the Office as meeting the criteria in Sections 140.15 and 140.16.
  - 2) Written examination administered by the Office.
  - 3) Exams shall be taken either by subject area or entire certification requirements. Request for exam must be submitted to the Office and meet requirements in Section 140.8.

## Section 140.80 Fire Officer II

The Office recognizes three levels of Fire Officer: Fire Officer I, II, and III. These three levels meet and exceed the four levels of Fire Officer identified in NFPA 1021 (1992), hereby

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incorporated by reference. The Office defines Fire Officer II as a person having the responsibilities above Company Officer, but less than the responsibilities of the Fire Administrator, Fire Chief, head of the department, etc. (See Section 140.70)

- a) Prerequisites. The candidate seeking Fire Officer II certification must have achieved the following qualifications:
  - 1) Certification as a Fire Officer I.
  - 2) See Section 140.50(a).
  - 3) Five years minimum fire service experience in a fire department.
  - 4) Successful completion of the identified 3-semester credit courses (40 student contact hours minimum), or equivalent according to Section 140.18 Course Approval Equivalency. A course taken for certification credit of 40 student contact hours (minimum) can only be used for one area in the career hierarchy. Individual must have courses meeting the objectives in NFPA 1021, Fire Officer Professional Qualifications, 1992 edition, hereby incorporated by reference and includes no later editions or amendments.
  - 5) Experience Requirements.
    - A) The candidates for Fire Officer II certification must have served a minimum of one year as a Fire Officer II or a Fire Officer II trainee. The Office defines a Fire Officer II trainee as a person possessing Fire Officer I certification assigned to Fire Officer II duties. The Certified Instructor and Fire Chief must document the experience as a Fire Officer II or Fire Officer II trainee.
    - B) Until such time as the experience requirement is met, the Fire Officer II candidate will receive a certificate attesting to his "provisional qualification" as a Fire Officer II. Provisionally qualified status allows the individual to participate in Fire Officer III courses. Provisionally qualified status does not certify the individual as a Fire Officer II. Provisional qualification can only be given after completion of all required courses.

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- C) An individual must be a certified Fire Officer I to receive a Provisional Fire Officer II.
- b) Funding Hours. A maximum of 324 hours is available for reimbursement funding. The Office will fund this level of education only one time. No funding is available for repeat courses with no more than 54 hours being allowed for any one of the courses required in subsection (a)(4) of this Section. Work experience does not qualify for funding. Candidates must be certified as a Fire Officer I or a provisionally qualified Fire Officer I prior to beginning Fire Officer II course to qualify for reimbursement funding.
- c) Equivalent courses. See Section 140.70(c).
- d) Instructor Requirements. See Section 140.70(d).
- e) Facility Certification and Delivery Systems. See Section 140.70 (e).
- f) Curriculum shall consist of courses covering knowledge and skill objectives and depth of coverage listed in NFPA 1021, Fire Officer Professional Qualifications, 1992 edition. This standard is incorporated by reference and includes no later standard or edition.
- g) State Certification To be certified as a Fire Officer II, one of the following means of examination and evaluation must be successfully passed, with proof of course completion and passing submitted to the Office:
  - Written examination administered by the school. Exam must be approved by the Office as meeting the criteria in Sections 140.15 and 140.16.
  - 2) Written examination administered by the Office.
  - 3) Exams shall be taken either by subject area or entire certification requirement. Request for exam must be submitted to the Office and meet requirements in Section 140.8.

## Section 140.90 Fire Officer III

The Office recognizes three levels of Fire Officer: Fire Officer I, II, and III. These three levels meet and exceed the four levels of Fire Officer identified in NFPA 1021 (1992), hereby

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incorporated by reference. The Office identifies the Fire Officer III as a person who has administrative responsibilities, the authority to affect practices, policies, and procedures of the department, and is, or reports directly to, the chief, chief administrator or head of department.

- a) Prerequisites. Fire Officer III certification is granted to those persons who have met the following qualifications:
  - 1) Certified as a Fire Officer II.
  - 2) Attained ten years minimum fire service experience in a fire department.
  - 3) Successful completion of the required courses or equivalent as established by Section 140.18 Course Approval Equivalency, including the following topics:
    - A) Introduction.
    - B) Communications.
    - C) Government Structures and The Political Arena.
    - D) Fire Department Operations and Administration.
    - E) Human Resource Administration.
    - F) Public Fiscal Planning and Administration.
  - 4) Experience Requirements.
    - A) The applicant must have current administrative duties to be certified. Individuals applying with prior experience shall be evaluated individually. (See Section 140.50(a))
    - B) Documentation of work experience as a Fire Officer III shall consist of:
      - i) completion of a specified office checklist showing completion of work experience related to objectives.

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- ii) the official job description of the applicant.
- iii) an official, legible, definitive department organization chart on fire department letterhead, signed by the Fire Chief or, in the case of the applicant being the Fire Chief, the Supervisor of the Fire Chief (such as Mayor, President of Trustees, or similar official).
- C) Until such time as the experience requirement is met, the Fire Officer III candidate will receive a certificate attesting to his "provisional qualification" as a Fire Officer III. Provisionally qualified status does not certify the individual as a Fire Officer III. Provisional qualification can only be given after completion of all formal courses.
- D) A person possessing a certificate as a provisional Fire Officer II may take Fire Officer III courses and receive funding for Fire Officer III courses. However, an individual must be certified as a Fire Officer II to receive a provisional Fire Officer III.
- b) State Certification Written Examination To be certified as a Fire Officer III, one of the following means of examination and evaluation must be successfully passed, with proof of course completion and passing submitted to the Office:
  - Written examination administered by the school. Exam must be approved by the office as meeting the criteria in Sections 140.15 and 140.16.
  - 2) Written examination administered by the Office.
  - 3) Exams shall be taken either by subject area or entire certification requirement. Request for exam must be submitted to the Office and meet requirements in Section 140.8.
- Funding hours. A maximum of 400 hours is available for reimbursement funding with no more than 54 hours being allowed for any one of the 6 courses required in subsection(a)(3) of this Section. Work experience does not qualify for funding. The Office will fund this level of education only one time. No funding is available for repeat courses. Candidates must be certified as a Fire Officer II or a provisionally qualified Fire Officer II to qualify for reimbursement funding.

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- d) Equivalent courses. See Section 140.70(c).
- e) Instructor Requirements. See Section 140.70(d).
- f) Facility Certification and Delivery Systems. See Section 140.70(e).
- g) Curriculum shall consist of courses covering knowledge and skill objectives and depth of coverage listed in NFPA 1021 (1992). This standard is incorporated by reference and includes no later editions or amendments.
- h) Refresher training of up to 120 hours may be funded annually. Funding documentation must be proof of completed class, course or seminar that meets the objectives of NFPA 1021 (1992). Funding will not be available for repeat courses. Refresher training must encompass at least three subject areas to claim for funding.

#### **Section 140.110 Interim Instructor**

- a) The Interim Instructor is equivalent to the Instructor Candidate identified in NFPA 1041 (1981), hereby incorporated by reference. See Chapter 1 for requirements. The Illinois program does not recognize rank as equivalent to the various levels of Fire Service Instructor. An individual granted temporary (interim) certification is a person who serves as instructor for fire departments without certified instructors; those engaged in training for Fire Service Instructor I certification.
- b) Interim Instructor certification will be granted to those individuals who have met the following qualifications:
  - 1) are recommended and approved by their Fire Chief or Training Officer.
  - 2) have demonstrated an interest and proficiency in instructing.
  - 3) have minimum of three years in a fire department.
  - 4) agree to conditions stipulated by the Office in conducting training, controlling examinations, maintaining records and submitting reports.

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- 5) agree that during this interim period they will complete all requirements for Fire Service Instructor I certification.
- c) Interim Instructor certificates authorize the recipient to teach Firefighter II courses to personnel within their own fire department for a period of one year from the date of issue.
- d) An extension of one year will be given to an individual who was not able to attend an Instructor I course in the first year at a time or location which the person would be able to attend consistent with the person's employment. The maximum period for an individual to serve as Interim Instructor is two years.
- e) One interim instructor may be authorized for each fire department.

#### Section 140.130 Fire Service Instructor I

Professional qualifications for Fire Service Instructor I are identified in the NFPA 1041 (1992), Chapter 3, hereby incorporated by reference. The Illinois program does not recognize rank as equivalent to the various levels of Fire Service Instructor. The Office defines the Fire Service Instructor I as a certified individual who has successfully completed the required academic program; an Instructor in the fire department who is authorized to teach courses in the Firefighter II programs for State certification and to validate training records for these levels. A fire service instructor who has demonstrated the knowledge of and the ability to conduct instruction from prepared material.

- a) Prerequisites. Fire Service Instructor I is granted to those individuals who have met the following qualifications:
  - 1) Certification as a Firefighter II.
  - 2) Attainment of three years of documented cumulative fire service experience in a fire department.
  - 3) Successful completion of a course with a minimum of 40 hours in instructional techniques equivalent to NFPA 1041 (1992), Chapter 2, or State Teacher's Certification Board, State of Illinois Teacher's Certificate. Such certificate will be accepted only for certification for Fire Service Instructor, if all other certification requirements are met. Copy of Teacher's Certificate must be submitted with application for certification.

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- 4) See Section 140.50(a) above.
- b) Funding hours. A maximum of 54 hours is available for reimbursement funding. The Office will fund this level of education only one time. No funding is available for repeat courses. Candidates must be certified as a Firefighter II to qualify for reimbursement funding.
- c) Instructor Requirements.
  - 1) Course must be taught under auspices of an instructor who is recognized and approved by an educational institution or major fire service organization which has the approval of the Office. The Instructor qualifications are flexible in that no specific discipline is required of the person employed to teach the Instructor course.
  - 2) It is strongly recommended that fire protection personnel not be authorized as instructors for this course unless the fire service personnel have been previously recognized by the institution offering the course and the Office as an educator qualified to teach others how to teach.
- d) Facility Certification and Delivery Systems. Educational institutions and fire service organizations desiring to offer the Fire Service Instructor program will be required to receive facility certification. Such certification requires:
  - 1) See Section 140.15 for course approval requirements.
  - 2) See Section 140.16 for end-of-course written examination requirements.
  - A practice teaching evaluation system for Fire Service Instructor I and Fire Service Instructor II must be approved by the Office. This system must contain at least one practice teaching evaluation to be conducted by two or more evaluators. All evaluators will utilize a checklist, approved by the Office, to independently evaluate the candidates performance.
  - 4) Fire Service Instructor courses will be delivered under the auspices of approved institutions identified as follows:
    - A) All Fire Service Instructor I, II and III courses may be delivered by

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any accredited college or university in Illinois.

- B) All Fire Service Instructor IV courses may be delivered by colleges or universities accredited in Illinois to offer baccalaureate degrees.
- C) Fire service organizations may receive approval to deliver specialized courses. The organizations are identified as:
  - i) The Illinois Fire Chief's Association (IFCA).
  - ii) The Illinois Fire Inspector's Association (IFIA).
  - iii) The Illinois Society of Fire Service Instructors (ISFSI).
  - iv) The Illinois Firefighter's Association (IFA).
  - v) The Associated Firefighters of Illinois (AFFI).
  - vi) The Illinois Association of Fire Protection Districts (IAFPD).
  - vii) The Illinois Professional Firefighter's Association (IPFA).
  - viii) The Illinois Fire Service Alliance (IFSA).
  - ix) The Illinois Fire Prevention Education Association (IFPEA).
- 5) All organizations and institutions desiring to offer programs and/or courses will be required to meet all rules and regulations established by the Office regarding curricula, student control, examinations, financial records maintenance and instructor's qualifications (see Section 140.25).
- e) Curriculum shall consist of course or courses covering knowledge and skill objectives and depth of coverage listed in NFPA 1041, Chapter 2. This standard is incorporated by reference and includes no later editions or amendments.

## Section 140.140 Fire Service Instructor II

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Professional qualifications for Fire Service Instructor II are identified in the NFPA 1041 (1992), Chapter 3, hereby incorporated by reference. The Illinois program does not recognize rank as equivalent to the various levels of Fire Service Instructor. The Office defines the Fire Service Instructor II as a fire service instructor who, in addition to meeting Instructor I qualifications, has demonstrated the knowledge and ability to coordinate other instructors and who is capable of using a variety of teaching strategies to develop lesson plans and instructional aids based on a task analysis. Instructor II's are authorized to teach all subjects of the Firefighter II and III courses and to validate training records for these levels of training.

- a) Prerequisites. Fire Service Instructor II certification is granted to those individuals who have:
  - 1) Certification as a Firefighter III.
  - 2) Certification as a Fire Service Instructor I.
  - 3) Attained five years of documented fire service experience in a fire department.
  - 4) Successfully completed a course with a minimum of 40 hours in methods and techniques of teaching equivalent to NFPA 1041 (1992), Chapter 3, hereby incorporated by reference, including no later editions or amendments.
  - 5) See Section 140.50(a).
- b) Funding hours. A maximum of 54 hours is available for reimbursement funding. The Office will fund this level of education only one time. No funding is available for repeat courses. Candidates must be certified as a Fire Service Instructor I prior to starting this course to qualify for reimbursement funding.
- c) Instructor Requirements. (See Section 140.130(c))
- d) Facility Certification and Delivery Systems. See Section 140.130(d).
- e) Curriculum Subject Headings. The general course content is identified in NFPA 1041 (1992), Chapter 3. In addition to meeting the qualifications of Instructor I, the objectives of the course are designed to prepare the candidate in the ability to demonstrate knowledge and skills in preparing Instructional Materials,

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Techniques of Testing and Evaluations and writing Behavioral Objectives or Performance Objectives.

#### Section 140.150 Fire Service Instructor III

Professional qualifications for Fire Service Instructor III are in the NFPA 1041 (1992), Chapter 4, hereby incorporated by reference and including no later editions or amendments. The Illinois program does not recognize rank as equivalent to the various levels of Fire Service Instructor. The Office defines the Fire Service Instructor III as a certified individual serving in a fire department or allied field or agency assigned supervisory/administrative duties with some instructional responsibilities; responsible for the development of courses and the selection and development of appropriate instructional materials; and responsible for supervising instructors and support staff. The term Technical Manager is synonymous with Fire Service Instructor III.

- a) Prerequisites. Fire Service Instructor III certification is granted to those individuals who:
  - 1) Have certification as a Fire Service Instructor II.
  - 2) Have served a minimum of three years in the capacity of a fire service instructor or training officer.
  - 3) Successful completion of 80 hours of courses equivalent to NFPA 1041 (1992), Chapter 4.
  - 4) See Section 140.50(a).
- b) Funding Hours. A maximum of 80 hours is available for reimbursement funding. The Office will fund this level of education only one time. No funding is available for repeat courses. Candidates must be certified as a Fire Service Instructor II prior to starting this course to qualify for reimbursement funding.
- c) Instructor Requirements. (See Section 140.130(c))
- d) Facility Certification and Delivery System. See Section 140.130(d).
- e) Curriculum Subject Headings. The general course content is identified in NFPA 1041, (1992), Chapter 4.

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#### Section 140.160 Fire Service Instructor IV

Professional qualifications for Fire Service Instructor IV are in the NFPA 1041 (1992), Chapter 5, hereby incorporated by reference and including no later editions or amendments. The Office defines the Instructor IV who, in addition to meeting Instructor III qualifications, has demonstrated the knowledge and ability to administer and manage a fire service training program including budget preparation, personnel management, maintenance of positive public relations, and organizational goal setting.

- a) Prerequisites. Instructor IV certification will be granted to those individuals who have met the following qualifications:
  - 1) Certification as a Fire Service Instructor III.
  - 2) Have served a minimum of five years in the capacity of a fire service instructor or training officer.
  - 3) Successful completion of the course (40 student contact hours minimum) or equivalent.
  - 4) See Section 140.50(a).
- b) Funding hours. A maximum of 54 hours is available for reimbursement funding. The Office will fund this level of education only one time. No funding is available for repeat courses. Candidates must be certified as a Fire Service Instructor III prior to starting this course to qualify for reimbursement funding.
- c) Equivalent courses. (See Section 140.70(c))
- d) Instructor Requirements. See Section 140.70(d).
- e) Facility Certification and Delivery System. See Section 140.70(e).
- f) Curriculum shall consist of course or courses covering knowledge and skill objectives and depth of coverage listed in NFPA 1041, Chapter 6.

## **Section 140.171 Fire Prevention Officer**

Professional qualifications for Fire Prevention Officer, except Firefighter qualifications, are

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identified in the NFPA 1031, 1033, 1035 (1993), hereby incorporated by reference, including no later amendments or editions. The Office defines the Fire Prevention Officer as a person serving in a fire department or allied agency whose primary duties are inspections of a variety of structures, reporting inspection results of fire safety conditions, conducting basic fire investigation, and performing basic fire prevention education activities. The term synonymous with Fire Prevention Officer is Technical Specialist.

- a) Prerequisites. Fire Prevention Officer certification is granted to those individuals who have met the following qualifications:
  - 1) Certification as a Firefighter III or successfully completing the Firefighter Bypass Examination. Entrances into this program through the Bypass Examination is limited to:
    - A) Office personnel.
    - B) Persons employed by fire departments and fire protection districts in fire prevention areas who are prohibited from work in fire suppression.
  - 2) Attainment of three years cumulative fire service experience which must include one year of experience in fire prevention.
  - 3) Successful completion of the Office approved Fire Prevention Officer course or provide proof of equivalent courses.
  - 4) Successful completion of the State Fire Prevention Officer examination. Prerequisite for taking State written examination is Firefighter II certification or successful completion of the Bypass Examination.
- b) Funding Hours. A maximum of 162 hours is available for reimbursement funding. The Office will fund this level of education only one time. No funding is available for repeat courses. Candidates must be certified as a Firefighter II or have successfully completed the Firefighter Bypass Examination to qualify for reimbursement funding.
- c) Equivalent courses.
  - 1) See Section 140.18 Course Approval Equivalency.

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- 2) See Section 140.70(c) for requirements.
- Equivalent course must meet the performance objectives in NFPA 1031, 1033, and 1035 (1993) hereby incorporated by reference.
- When courses are evaluated as equivalent, the individual will be allowed to take the State written examination one time. Failure of the State written examination will invalidate the equivalency evaluation and require the individual to successfully complete the Fire Prevention Officer program prior to taking the State written examination a second time.
- 5) Equivalent courses are not eligible for reimbursement.
- d) Instructor Requirements. The Fire Prevention Officer program must be taught under the auspices of instructors who are recognized and approved by an educational institution and/or fire service organization which has the approval of the Office. The instructor qualifications are flexible in that no specific discipline or degree is required.
- e) Facility Certification and Delivery Systems. Educational institutions and fire service organizations desiring to offer the Fire Prevention Officer program will be required to receive facility certification. Such certification requires:
  - 1) See Section 140.15 for Course Approval requirements.
  - 2) See Section 140.8 for State written examination requirements.
  - 3) See Section 140.16 for End-of-Course examination requirements.
  - 4) All courses will be delivered under the auspices of approved institutions identified as follows:
    - A) Fire Prevention Officer, Public Fire and Life Safety Educator II, Fire Inspector II, Public Fire and Life Safety Educator III and Fire Inspector III courses may be delivered by any accredited college or university in Illinois.
    - B) Fire service organizations may receive approval to deliver

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specialized courses. The organizations are identified as:

- i) The Illinois Fire Chief's Association (IFCA).
- ii) The Illinois Fire Inspector's Association (IFIA).
- iii) The Illinois Society of Fire Service Instructors (ISFSI).
- iv) The Illinois Firefighter's Association (IFA).
- v) The Associated Firefighters of Illinois (AFFI).
- vi) The Illinois Association of Fire Protection Districts (IAFPD).
- vii) The Illinois Professional Firefighters Association (IPFA).
- viii) The Illinois Fire Service Alliance (IFSA).
- ix) The Illinois Fire Prevention Education Association (IFPEA).
- 5) All organizations and institutions desiring to offer programs and/or courses will be required to meet all rules and regulations established by the Office regarding curricula, student control, examinations, financial records maintenance and instructor's qualifications.
- f) Curriculum shall consist of course or courses covering knowledge and skill objectives and depth of coverage listed in NFPA 1031, Professional Qualifications for Fire Inspector (1993), NFPA 1033 Professional Qualifications for Fire Investigator (1993), and NFPA 1035 Professional Qualifications for Public Fire Educator (1993).
- g) State Certification Written Examination. To be certified as a Fire Prevention Officer, candidates must take and pass the State examination. (See Section 140.8)

# Section 140.180 Public Fire and Life Safety Educator II

Professional qualifications for Public Fire and Life Safety Educator II are identified in the NFPA

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1035 (1993), hereby incorporated by reference. The Office defines the Public Fire and Life Safety Educator II as an individual serving in a fire department or allied agency with primary responsibility for the development and dissemination of fire prevention education materials and programs.

- a) Prerequisites. Public Fire and Life Safety Educator II certification is granted to those individuals who have achieved the following:
  - 1) Certification as a Fire Prevention Officer.
  - 2) Attainment of three years of documented fire prevention experience.
  - 3) Successful completion of course or courses meeting the objectives in NFPA 1035 (1993), Chapter 4. This standard is incorporated by reference and includes no later editions or amendments.
- b) Funding Hours. A maximum of 80 hours is available for reimbursement funding. The Office will fund this level of education only one time. Candidates must be certified as a Fire Prevention Officer to qualify for reimbursement funding.
- c) Equivalent courses. (See Section 140.70(c) and Section 140.18 Course Approval Equivalency for requirements)
- d) Instructor Requirements. (See Section 140.171(d))
- e) Facility Certification and Delivery Systems. See Section 140.171(e).
- f) The curriculum shall consist of a course or courses covering knowledge and skill objectives and depth of coverage listed in NFPA 1035, Professional Qualifications for Public Fire Educator (1993), Chapter 4.

# Section 140.185 Public Fire and Life Safety Educator III

Professional qualifications for Public Fire and Life Safety Educator III are identified in NFPA 1035 (1993), Chapter 5, hereby incorporated by reference. The Office defines the Public Fire and Life Safety Educator III as a person serving in a fire department or allied agency assigned supervisory and administrative responsibilities within a public fire education program.

a) Prerequisites. Public Fire and Life Safety Educator III certification is granted to

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those individuals who have met the following qualifications:

- 1) Certification as a Public Fire and Life Safety Educator II.
- 2) Attainment of five years of documented fire prevention experience with two years in fire education.
- 3) Successful completion of the course or courses required for Public Fire and Life Safety Educator III certification meeting the objectives in NFPA 1035 (1993), Chapter 5.
- b) Funding Hours. A maximum of 80 hours is available for reimbursement funding. The Office will fund this level of education only one time. No funding is available for repeat courses. Candidates must be certified as a Public Fire and Life Safety Educator II prior to taking these courses to qualify for reimbursement funding.
- c) Equivalent Courses. (See Section 140.70(c) and Section 140.18 Course Approval Equivalency for requirements)
- d) Instructor Requirements. (See Section 140.171(d))
- e) Facility Certification and Delivery Systems. (See Section 140.171(e))
- f) The curriculum shall consist of a course or courses covering knowledge and skill objectives and depth of coverage listed in NFPA 1035, Professional Qualifications for Public Fire and Life Safety Educator (1993), Chapter 5.

# **Section 140.190 Bypass Examination**

a) This examination is provided for special fire and police personnel and allied field agencies who are charged with duties governing fire prevention, fire inspection, fire investigation, and arson investigation, but who do not have, or will not be assigned fire suppression duties and/or responsibilities. Recognition of this condition in the State Training and Certification Program is accomplished through the implementation of the Bypass Examination. Firefighter Bypass Examination is limited to personnel identified as fire protection non-sworn personnel and the law enforcement personnel seeking Arson Investigator certification. This examination does not provide State certification as a Firefighter, but provides a

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method for individuals who have not received Firefighter II certification to participate in the Fire Prevention Officer, Fire Investigator, and Arson Investigator programs for certification and funding by the Office.

- b) Examination Procedures
  - 1) All State written examinations will be given by the Office of the State Fire Marshal.
  - 2) At least 30 days prior to the anticipated day for testing, the Fire or Police Chief will submit to the Office of the State Fire Marshal a "Request for Examination" form. The Office of the State Fire Marshal will endeavor to schedule examinations throughout the State as requested.
- c) The Bypass Examination will consist of multiple-choice items in the following subject areas:
  - 1) Fire Behavior
  - 2) Portable Fire Extinguishers
  - 3) Personal Safety
  - 4) Water Supply
  - 5) Building Construction
  - 6) Communications
  - 7) Sprinkler Systems
  - 8 Fire Inspections.
- d) Individuals choosing to take this examination must:
  - 1) Sign the Request for Examination form submitted to the Office of the State Fire Marshal by the respective Chief.
  - 2) Plan to enter into the training program for Fire Prevention Officer, Fire

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Investigator or Arson Investigator.

e) No funding is provided for salary, travel, lodging or other expenses associated with the study for or the taking of this examination.

# **Section 140.200 Fire Investigator**

The Illinois program does not recognize rank as equivalent to the various levels of Fire Investigator because it is not possible to insure that every rank used by local fire departments or allied field agencies to identify persons serving as Fire Investigators would be consistent throughout the State. The Office of the State Fire Marshal defines Fire Investigator as an individual, serving in an agency or a fire department, specifically responsible for the investigation of fire incidents. The term synonymous with Fire Investigator is Technical Specialist.

- a) Prerequisites for Certification as Fire Investigator. Individuals wishing to be certified as a Fire Investigator must:
  - 1) be a Certified Firefighter II (see Section 140.50), or successfully complete the Bypass Examination (see Section 140.190).
  - 2) successfully complete the Fire Investigator course consisting of three Modules, or Modules I & II and the Arson Investigator Course (see Section 140.210).
- b) Funding Hours. A maximum of 120 hours is available for reimbursement funding. All programs can be funded only one time. No funding is available for repeat courses. All persons for whom reimbursement is sought must be Certified as a Firefighter II or above or have successfully completed the Bypass Examination prior to commencement of the program's courses, and must be employed as fire protection personnel by a participating local governmental agency.
- c) Curriculum. The Fire Investigator course is based upon three modules. Modules I and II must be taken consecutively. Module III is designed for those persons who do not intend to take the Arson Investigator Course. Topics of the course and outline are contained in the book entitled Fire Arson Investigation published by the Illinois Fire Service Institute, University of Illinois, Urbana, Illinois 61801, in cooperation with the Illinois Office of the State Fire Marshal, the University of

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Illinois Police Training Institute and the Illinois Local Government Law Enforcement Officer's Training Board (1996) or the Office of the State Fire Marshal, Division of Personnel Standards and Education, approved course.

- d) Instructor Requirements. (See Section 140.15(c)) Because of the specialty topics in this course, it shall be required that knowledgeable instructors in each special topic be utilized to teach in their area of expertise.
- e) Facility Certification and Delivery Systems.
  - 1) Courses will be approved if they meet all rules and regulations established by the Office of the State Fire Marshal regarding curricula, student control, examinations, financial records maintenance and instructor qualifications and have the physical resources necessary for the course.
  - 2) Due to the sensitive nature of the material, no approval for course or facilities will be given if the course is offered to persons other than fire or allied field agencies.
- f) State Certification Written Examination See Section 140.70(g).
- g) Fire Investigator Equivalent Courses See Section 140.18.

## **Section 140.210 Arson Investigator**

The Illinois program does not recognize rank as equivalent to the various levels of Arson Investigator because it is not possible to insure that every rank used by local fire and police departments or allied field agencies to identify persons serving as Arson Investigators would be consistent throughout the State. The Office of the State Fire Marshal defines Arson Investigator as an individual, who is a full-time paid and sworn employee of the Office or a local governmental agency, specifically responsible for the investigation of suspected arson fire incidents.

- a) Prerequisites for Certification as an Arson Investigator. Individuals wishing to be certified as an Arson Investigator must:
  - 1) Meet the requirement in Section 140.200(a)(1);
  - 2) Have successfully completed Modules I and II of the Fire Investigator

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Course, or equivalent course; (See Section 140.200 (g); and

- 3) Successfully complete an Arson Investigator course approved by the Office of the State Fire Marshal and the Illinois Local Governmental Law Enforcement Officer's Training Board, or provide proof of equivalent courses to be evaluated by the Executive Director of the Illinois Local Governmental Law Enforcement Officer's Training Board;
- 4) Be employed full-time by a local governmental agency, the Office, or other organization investigating fires and explosions believed to be arson.
- b) Funding Hours. A maximum of 260 hours is available for reimbursement funding for fire protection personnel. All courses can be funded only one time. No funding is available for repeat courses. All persons for whom reimbursement funding is sought must be certified as a Fire Investigator or have completed Modules I and II or an equivalent course prior to commencement of the program's courses.
- c) Curriculum Subject Headings.
  - 1) Legal Issues
  - 2) Human Behavior
  - 3) Police Functions
  - 4) Case Processing and Development
  - 5) Investigations
  - 6) Firearms and Physical Training
- d) Instructor Requirements. Instructors must have demonstrated experience and education in the technical areas to be taught and must be approved by the Office of the State Fire Marshal and the Illinois Local Governmental Law Enforcement Officer's Training Board prior to the course offering.
- e) Facility Certification and Delivery Systems. (See Section 140.200(e)).

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- f) Examination Procedures.
  - 1) See Section 140.200(f) for written examinations.
  - 2) Upon successful completion of the Firearms and Physical Training portion of the Arson Investigator program, records of completion should be forwarded to the Illinois Local Governmental Law Enforcement Officer's Training Board for personnel of fire and police departments or allied field agencies who will review the records and issue appropriate firearms training certificates.
- g) The Office will issue the Arson Investigator certificate upon receipts of firearms, physical training, and all other documents from the Illinois Dept. of State Police, or the Illinois Local Governmental Law Enforcement Officers Training Board.

# **Section 140.215 Fire Inspector II**

Professional qualifications for Fire Inspector II are identified in the NFPA 1031 (1993), hereby incorporated by reference and containing no later amendments or editions. The Office defines the Fire Inspector II as a person serving in a fire department or allied agency assigned fire inspection and supervisory responsibilities. The term synonymous with Fire Inspector II is Senior Technician.

- a) Prerequisites. Fire Inspector II certification is granted to those individuals who have met the following qualifications:
  - 1) Fire Prevention Officer certification.
  - 2) Attainment of three years of documented experience in fire inspection.
  - 3) Successful completion of a course or courses meeting the objectives specified in NFPA 1031 (1993), Chapter 4.
  - 4) Successful completion of the State written examination.
  - 5) Prerequisite for taking Inspector II Examination is successful completion of Fire Prevention Officer State written examination.
- b) Funding Hours. A maximum of 80 hours is available for reimbursement funding.

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The Office will fund this level of education only one time. No funding is available for repeat courses. Candidates must be certified as a Fire Prevention Officer to qualify for reimbursement funding.

- c) Equivalent Courses. See Sections 140.18 and 140.70(c) for requirements.
- d) Instructor Requirements. See Section 140.15(c).
- e) Facility Certification and Delivery Systems. See Section 140.171 (e).
- f) The curriculum shall consist of a course or courses covering knowledge and skill objectives and depth of coverage listed in NFPA 1031 (1993), Chapter 4.
- g) State Certification Written Examination.
  - To be certified as a Fire Inspector II, candidates must take and pass the State examination. See Section 140.8.
  - 2) Certification as a Fire Prevention Officer is a prerequisite to taking the written examination.

## Section 140.220 Fire Inspector III

Professional qualifications for Fire Inspector III are identified in the NFPA 1031 (1993), Chapter 5, hereby incorporated by reference. The Office defines the Fire Inspector III as a person serving in a fire department or allied agency assigned primarily supervisory and administrative responsibilities within a fire prevention bureau.

- a) Prerequisites. Fire Inspector III certification is granted to those individuals who have met the following qualifications:
  - 1) Certification as a Fire Inspector II.
  - 2) Attainment of five years of documented experience in fire inspection.
  - 3) Successful completion of the courses required for Inspector III.
- b) Funding Hours. A maximum of 80 hours is available for reimbursement funding with no more than 54 hours allowed for any one of the 6 courses in Section

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140.90(a)(3). The Office will fund this level of education only one time. No funding is available for repeat courses. Candidates must be certified as a Fire Inspector II to qualify for reimbursement funding.

- c) Equivalent Courses. (See Section 140.70(c) for requirements)
- d) Instructor Requirements. (See Sections 140.171(d) and 140.200)
- e) Facility Certification and Delivery Systems. (See Section 140.171 (e))
- f) The curriculum shall consist of a course or courses covering knowledge and skill objectives and depth of coverage listed in NFPA 1031, Professional Qualifications for Fire Inspector (1993), Chapter 5.

# Section 140.225 Hazardous Materials First Responder-Awareness

- a) Hazardous Materials First Responder-Awareness personnel are fire personnel trained to the level of awareness as defined in 29 CFR 1910.120 (1990) or the United States Environmental Protection Agency (EPA), 40 CFR 311 (1990), whichever is appropriate for their jurisdiction.
- b) Fire protection personnel at this level of certification are persons who are likely to witness or discover a hazardous substance release or potential release and who have been trained to initiate an emergency response sequence by notifying the proper authorities (local, State, federal, or private resources) of the release.
- c) Professional qualifications for Hazardous Materials First Responder-Awareness are identified in NFPA 472 (1992) Standard for Professional Competence of Responders to Hazardous Materials Incidents, hereby incorporated by reference and containing no later standards or reference.
  - 1) Prerequisites Hazardous Materials First Responder-Awareness Certification is granted to those persons who have met the following qualifications:
    - A) Certification as a Firefighter II.
    - B) Successful completion of a course consisting of First Responder Awareness meeting NFPA 472 (1992), including passage of local

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testing including practical and State written exam.

- C) See Section 140.50(a).
- D) See Section 140.50(1)(1).
- 2) Finding. A maximum of 16 hours is available for reimbursement funding. The Office will fund this level of training only one time.
- 3) Instructor Requirements. Certified Fire Service Instructor I who has been certified at any level of Hazardous Materials.
- 4) Facility Certification and delivery system. Educational institutions and fire departments desiring to offer the Hazardous Materials First Responder-Awareness program will be required to:
  - A) File Course Approval forms. See Section 140.15.
  - B) Use a facility which has a classroom and the equipment needed to complete the Student Performance Objectives.
- 5) State Certification Written Examination. To be certified in Hazardous Materials First Responder-Awareness, candidates must supply proof of passage (class completion roster, transcript or certificate) or locally administered written and practical exams and pass the State written examination. See Section 140.8.
- 6) State Certification Practical Skills Examination.
  - A) The State practical skill examination consists of a series of evolutions determined from NFPA 472, contained in a document published by the Office of the State Fire Marshal, Division of Personnel Standards and Education, entitled Practical Skill Examination for Hazardous Materials First Responder-Awareness. The Instructor should contact the Office for this practical skill examination.
  - B) After the practical examination is completed and scored by the Instructor, a copy of the evaluation checklist must be sent to the

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Office for inclusion in the student's file. Certificates are held until practical exam scores are submitted.

- 7) Objectives for Hazardous Materials First Responder-Awareness are identical to Objectives for Awareness in Firefighter II.
- 8) Refresher Training Awareness Level.
  - A) Refresher training should be accomplished on a minimum of an annual basis to insure that the employer can certify that the Awareness Level Responders meet CFR 1910.120 (1993) and the guidelines of the Office of the State Fire Marshal for First Responder Awareness Level training. The training should include identification of hazardous materials, local response plans, and other areas as directed by the employer.
  - B) Funding for refresher training is covered under Section 140.236 Hazardous Materials Refresher Training.

# Section 140.230 Hazardous Materials First Responder-Operations

- a) First responders, for the purpose of this level of certification, are fire protection personnel trained to the levels of "First Responder Awareness" and "First Responder Operations" as defined in 29 CFR 1910.120. First Responders shall be trained to meet requirements of the United States Department of Labor, Occupational Safety and Health Administration (OSHA), 29 CFR 1910.120 (1990) or the United States Environmental Protection Agency (EPA), 40 CFR 311 (1990), whichever is appropriate for their jurisdiction.
- b) Fire protection personnel at this level of certification are both:
  - Persons who are likely to witness or discover a hazardous substance release or potential release and who have been trained to initiate an emergency response sequence by notifying the proper authorities (local, State, federal, or private resources) of the release; and
  - 2) Persons who respond to releases or potential releases of hazardous substances as part of the initial response to the site for the purpose of protecting nearby persons, property, or the environment from the effects of

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the release. They are trained to respond in a defensive fashion without actually trying to stop the release. Their function is to contain the release from a safe distance, keep it from spreading, and prevent exposures.

- c) Professional qualifications for Hazardous Materials First Responder-Operations are identified in NFPA 472 (1992) Standard for Professional Competence of Responders to Hazardous Materials Incidents, hereby incorporated by reference and containing no later standard or reference.
- d) Hazardous Materials First Responder-Operations is designed as the introductory step in the acquisition of all knowledge and skills required to safely mitigate a release or potential release of hazardous substances and is defined as meeting the requirement for fire protection personnel under 29 CFR 1910.120.
  - 1) Prerequisites Hazardous Materials First Responder Operations Certification is granted to those persons who have met the following qualifications.
    - A) Certification as a Firefighter II.
    - B) Successful completion of a course consisting of First Responder Operations, including passage of local testing including practical and State written examination.
    - C) Prerequisite for taking the State written exam is Firefighter II certification.
    - D) See Section 140.50(a).
    - E) Certification as Hazardous Materials Awareness.
    - F) See Section 140.60(m)(1).
  - 2) Funding. A maximum of 56 hours is available for reimbursement funding. The Office will fund this level of training only one time.
  - 3) Instructor Requirements.

Certified Fire Service Instructor I and Certified Hazardous Materials First

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Responder-Operations or higher.

- 4) Facility Certification and delivery system. Educational institutions and fire departments desiring to offer the First Responder program will be required to:
  - A) File Course Approval forms. (See Section 140.15)
  - B) Use a facility which has a classroom and the equipment needed to complete the Student Performance Objectives.
- 5) Course description. The course is described as a specialized course to provide those persons whose duties include responding to the scene of emergencies that may involve hazardous materials with competencies to respond safely to hazardous materials incidents. Course objectives are identified in NFPA 472, Standard for Professional Competence of Responders to Hazardous Materials Incidents (1992), hereby incorporated by reference and including no later standards or amendments. Objectives in this course are identical to the Hazardous Materials Operations objectives in Firefighter III. See Section 140.18 Course Approval Equivalency.
- 6) State Certification Written Examination. To be Certified as a Hazardous Materials First Responder-Operations, candidates must supply proof of passage (class completion roster, transcript or certificate) of locally administered written and practical exams and pass the State written examination. (See Section 140.8)
- 7) State Certification Practical Skill Examination.
  - A) The State practical skill examination consists of a series of evolutions determined from NFPA 472, contained in a document published by the Office of the State Fire Marshal, Division of Personnel Standards and Education, entitled Practical Skill Examination for Hazardous Materials First Responder-Operations. The instructor should contact the Office for this practical skill examination.
  - B) After the practical examination is completed and scored by the

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Instructor, a copy of the practical examination key must be sent to the Office for inclusion in the student's file. Certificates are held until practical exam scores are submitted.

- 8) Refresher Training First Responder/Operations Level.
  - A) Refresher training should be accomplished on a minimum of an annual basis to insure that the employer can certify that the First Responder Operations level responders meet CFR 1910.120 (1993) and the guidelines of the Office of the State Fire Marshal for First Responder-Operations Level training. The training should include all the recurrence training for Awareness Level and in addition, methods and procedures for evaluating and controlling a hazardous materials incident, guidelines and principles for protecting the health and safety of response personnel, fundamentals of response team organizations and operations, proper use of chemical protective clothing and direct reading instruments, defensive confinement techniques, evaluation considerations and methods of communicating the status of the planned response, and any other areas as directed by the employer.
  - B) Funding for refresher training is covered under Section 140.236 Hazardous Materials Refresher Training.

#### **Section 140.232 Hazardous Materials Technician**

- a) Hazardous Materials Technician is a series of two courses designed for the training and development of Hazardous Materials Response Team Members.
   Hazardous Materials Technicians are individuals who respond to releases or potential releases for the purpose of stopping the release. They assume a more aggressive role than a first responder at the operations level in that they will approach the point of release in order to plug, patch or otherwise stop the release of a hazardous substance.
- b) Hazardous Materials Technician A involves procedures for and entry into the "hot zone."
- c) Hazardous Materials Technician B involves the thought processes, rescue procedures and tactics and strategy.

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- d) Both Hazardous Materials Technician A and Technician B are required to satisfy the requirements in NFPA 472, Standard for Professional Competence of Responders to Hazardous Materials Incidents (1992). Technicians are trained to meet requirements of the United States Department of Labor, Occupational Safety and Health Administration (OSHA), 29 CFR 1910.120 (1990), or the United States Environmental Protection Agency (EPA), 40 CFR 311 (1990), whichever is appropriate for their jurisdiction. This program is designed to meet the requirements of 29 CFR 1910.120.
- e) Prerequisites Hazardous Materials Technician A is granted to those persons who have met the following qualifications:
  - 1) Certification as a Hazardous Materials First Responder-Operations.
  - 2) Successful completion of the Hazardous Material Technician A course.
  - 3) Be a Certified Firefighter III.
  - 4) The individual must be a certified Firefighter III and Certified Hazardous Materials-Operations to take State written and practical exam.
  - 5) See Section 140.50(a).
- f) Prerequisites Hazardous Materials Technician B is granted to those persons who have met the following qualifications:
  - 1) Certification as a Hazardous Materials First Responder-Operations.
  - 2) Successful completion of the Hazardous Materials Technician A and Hazardous Materials Technician B courses.
  - 3) Be a Certified Firefighter III.
  - 4) The individual must be certified Firefighter III, Certified Hazardous Materials Operations, and have successfully completed a Hazardous Materials Technician A course before taking the State exam.
  - 5) See Section 140.50(a).

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- g) Funding. A minimum of 40 hours and a maximum of 56 hours is available for reimbursement funding for Technician A and a minimum of 40 hours and maximum of 56 hours is available for reimbursement funding for Technician B. The Office will fund this level of training only one time.
- h) Instructor Requirements. Certified Fire Service Instructor II and certified to the level the individual is teaching.
- i) Facility Certification and delivery systems. Educational institutions and fire departments desiring to offer the Hazardous Materials Technician A and Technician B programs will be required to:
  - 1) File Course Approval forms. (See Section 140.15)
  - 2) Use a facility which has a classroom and have the equipment which meets the Office approved course.
- j) State Certification Practical Skill Examination.
  - The State practical skill examination consists of a series of evolutions determined from NFPA 472, contained in a document published by the Office of the State Fire Marshal, Division of Personnel Standards and Education, entitled Practical Skill Examination for Hazardous Materials Technician.
  - 2) Instructors should contact the Office for this practical skill examination.
  - 3) All practical skill examinations must be administered by a Certified Hazardous Materials Technician.
  - 4) After the Practical examination is completed and scored by the Instructor, a copy of the evaluation checklist must be sent to the Office for inclusion in the student's file.
- k) State Certification Written Examination. To be certified as a Hazardous Materials Technician A and Technician B, candidates must take and pass the State written examinations for each module. See Section 140.8. Request for exam must be signed by a Fire Service Instructor II who is also a Certified Hazardous Materials

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Technician. Prerequisite for taking the State examination is certification as a Hazardous Materials First Responder-Operations.

# **Section 140.234 Chemistry of Hazardous Materials**

- a) Chemistry of Hazardous Materials is a course designed to give first responders a broader understanding of the chemistry and toxicology of hazardous materials.
- b) Professional qualifications for the course are identified in NFPA 472 (1989) Standard for Professional Competence of Responders to Hazardous Materials Incidents, Chapters 3 and 4, hereby incorporated by reference and containing no later standard or reference.
  - 1) Funding. A maximum of 80 hours is available for reimbursement funding. The Office will fund this level of training only one time. The individual must be a certified Hazardous Materials First Responder-Operations prior to taking this course to claim for reimbursement funding and must successfully complete this course.
  - 2) Instructor requirements (must have two instructors).
    - A) Option one: two National Fire academy Instructors.
    - B) Option two: One individual who is a Fire Service Instructor II, and certified Hazardous Materials Technician AND one expert in Chemistry (a college level chemistry instructor or a person with a minimum of a bachelor's degree).

# **Section 140.236 Hazardous Materials Refresher Training**

- a) Annual refresher training shall comply with United States Department of Labor, Occupational Safety and Health Administration, 29 CFR 1910.120.
- b) Because of the uniqueness of this type of training, refresher training in hazardous materials will be funded for specific training meeting any of the objectives in NFPA 472 (1992) Standard for Professional Competence of Responders to Hazardous Materials Incidents, hereby incorporated by reference and including no later standard or edition or the objectives listed in the certification course for First Responder Operations, Technician, or the Chemistry course.

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- c) Funding.
  - 1) Prerequisites Hazardous Materials First Responder-Operations certification
  - 2) Funding a maximum of 40 hours is available for reimbursement funding annually.
  - Funding claimed for Hazardous Materials refresher training cannot be claimed for any other certification, or refresher training.
  - 4) Records required by 29 CFR 1910.120 and Sections 140.12 and 140.325 of this Part must be maintained and established procedures followed.

#### **Section 140.238 Hazardous Materials Incident Command**

- a) Hazardous Materials Incident Command is designed for the training of Certified Hazardous Materials First Responders and Technicians to manage a Hazardous Materials emergency incident. An Incident Command System fixes Command on one particular individual or a group of individuals throughout the incident to ensure a strong visible direct command. If the incident is above the level of training of the Incident Commander, management of all tactical operations shall be delegated to an appropriately trained individual. This does not relieve the Incident Commander of the responsibilities outlined in OSHA 1910.120(q)(3).
- b) This course has been developed to meet the requirements of the United States Department of Labor Occupational Safety and Health Administration (OSHA), 29 CFR 1910.120 (1990), or the United States Environmental Protection Agency (USEPA), 40 CFR 311 (1990), whichever is appropriate for the jurisdiction.
- c) Professional qualifications for Incident Command are identified in NFPA 472 (1992) Standard for Professional Competence of Responders to Hazardous Materials Incidents, hereby incorporated by reference and containing no later editions or amendments.
  - 1) Prerequisites Hazardous Materials Incident Command certification is granted to those persons who have met the following qualifications:

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- A) Certification as a Firefighter II;
- B) Certification as a Hazardous Materials First Responder-Operations;
- C) Successful completion of the Hazardous Materials Incident Command course:
- D) The individual must be a Certified Firefighter II and Certified Hazardous Materials First Responder-Operations to take the exam;
- E) See Section 140.50(a).
- 2) Funding. A maximum of 24 hours is available for reimbursement funding. The Office will fund this level of training only one time.
- 3) Instructor Requirements. Certified Fire Service Instructor II, certified Hazardous Materials First Responder-Operations, and certified Hazardous Materials Incident Command.
- 4) Facility certification and delivery systems. Educational institutions and fire departments desiring to offer the Hazardous Materials Incident Command program will be required to:
  - A) File Course Approval forms. (See Section 140.15)
  - B) Use a facility which has a classroom and the equipment needed to complete the student performance objectives.
- 5) State Certification Written Examination.
  - A) To be certified in Hazardous Materials Incident Command, candidates must take and pass the State Written examination. (See Section 140.8)
  - B) Request for exam must be signed by a Fire Service Instructor II who has been certified in Hazardous Materials First Responder-Operations and Incident Command.

# Section 140.240 Rescue Specialist-Roadway Extrication

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- a) Extrication Specialists, for the purpose of this level of certification, are firefighters trained to the level specified in the Division of Personnel Standards and Education Instructor Reference Manual (1992), hereby incorporated by reference.
- b) Persons who respond to incidents that require the specialty training for rescue specialist will be trained in the basic skills to perform this operation. This course is designed as the introductory step in the acquisition of all knowledge and skills required in the various specialties of extrication. Rescue Specialist-Roadway Extrication certification is required before proceeding to other specialties of extrication.
- c) Prerequisites Rescue Specialist-Roadway Extrication certification is granted to those persons who have completed a minimum 40 student contact hour course and met the following qualification:
  - 1) Certification as a Firefighter II.
  - 2) Successful completion of the course, including passage of local testing and State written and practical examinations.
  - 3) Prerequisite for taking the written exam is Firefighter II certification.
  - 4) See Section 140.50(a).
- d) Funding. A maximum of 56 hours is available for reimbursement funding. The Office will fund this level of training only one time.
- e) Instructor Requirements. Certified Fire Service Instructor II and Certified Rescue Specialist-Roadway Extrication. Successful completion of required courses for Rescue Specialist-Roadway Extrication is prerequisite.
- f) Facility certification and delivery system. Educational institutions and fire departments desiring to offer the Rescue Specialist-Roadway Extrication program will be required to:
  - 1) File Course Approval forms. See Section 140.15

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- 2) Use a facility which has a classroom and the equipment needed to complete the Student Performance Objectives. The equipment is listed in the Office Instructor Reference Manual for Rescue Specialist-Roadway Extrication.
- g) State Certification Written Examination.

To be certified as a Rescue Specialist-Roadway Extrication, candidates must supply proof of passage (class completion roster or transcript) of locally administered written and practical exams and must pass the State written examination. (See Section 140.8)

- h) State Certification Practical Skill Examination.
  - 1) The State practical skill examination consists of a series of evolutions contained in a document published by the Division of Personnel Standards and Education, entitled Practical Skill Examination for Rescue Specialist-Roadway Extrication. The Certified instructor should contact the Office for this practical examination.
  - 2) After the practical examination is completed and scored by the Certified Instructor, a copy of the practical examination key must be sent to the Office for inclusion in the student's file. Certificates will not be released by the Office until practical scores are received.
- i) Equivalent Courses
  - 1) See Section 140.70(c) for requirements.
  - 2) An equivalent course must meet the performance objectives listed in Office Instructor Reference Manual.
  - When a course or courses are evaluated as equivalent, the individual will be allowed to take the State written and practical exam one time.

Failure on either the written or practical exams will invalidate the equivalency evaluation and require the individual to successfully complete the Extrication Specialist program prior to taking the State written and practical exam a second time.

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- 4) Equivalent courses are not eligible for reimbursement.
- j) An individual with an Emergency Rescue Technician Certificate issued by the Illinois Department of Transportation shall be allowed to take the State Written and Practical Examination one time without taking the course, if the individual:
  - 1) Meets the requirement of fire protection personnel. (See Section 140.50(a))
  - 2) Is certified at the Firefighter II level, or above.
  - 3) Completes a refresher course on the subject areas that were not covered in the earlier course.
  - 4) The individual must take the entire course if either of the exams is not passed on the first attempt.

No reimbursement funding is available for the refresher course or examination.

## Section 140.241 Confined Space/Trench Rescue Awareness

- a) Confined Space/Trench Rescue Awareness is designed to give fire personnel a basic awareness of requirements, hazards and techniques of rescue in confined spaces and trenches.
- b) Training will meet rulings of federal, State and local jurisdictions; OSHA 29 CFR 1910.146 (1993); OSHA 29 CFR 1926 Subpart P; and Illinois Department of Labor (56 Ill. Adm. Code 350.280).
- c) Professional qualifications require completion of the Office approved Confined Space/Trench Rescue Awareness course.
- d) Confined Space/Trench Rescue Awareness is designed as the introductory step in the acquisition of knowledge and skills required to safely perform a rescue.
  - 1) Prerequisites Confined Space/Trench Rescue Awareness Certification is granted to those persons in the fire service who have met the following qualifications:

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- A) Certification as a Firefighter II.
- B) Successful completion of a course consisting of Confined Space/Trench Rescue Awareness, including written exams.
- C) Prerequisite for taking State examination is Firefighter II certification.
- D) See Section 140.50(a).
- 2) Funding. A maximum of 12 hours is available for reimbursement funding. The Office will fund this level of training only one time.
- 3) Instructor Requirements. Instructor of Record shall be an Instructor I and certified in Confined Space/Trench Rescue Awareness.
- 4) Facility Certification and Delivery System. Educational institutions and fire departments desiring to offer the Confined Space/Trench Rescue Awareness program will be required to:
  - A) File Course Approval forms annually. See Section 140.15.
  - B) Use a facility which has a classroom and the equipment needed to complete the Student Performance Objectives.
- 5) State Certification Written Examination. To be certified in Confined Space/Trench Rescue Awareness, candidates must supply proof of passage (class completion roster, transcript or certificate) of locally administered written exam and pass the State written examination. See Section 140.8.
- e) Equivalent courses. Only approved courses will be acceptable for certification.

## Section 140.242 Rescue Specialist – Confined Space

a) Rescue Specialist – Confined Space is designed to give fire service personnel the basic knowledge and skills to safely perform confined space rescue as defined by the Illinois Department of Labor (56 Ill. Adm. Code 350.280) and OSHA 29 CFR 146 (1993).

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## b) Prerequisites.

Rescue Specialist – Confined Space certification is granted to those individuals who have completed a minimum 40 student contact hour course and meet the following qualifications:

- 1) Certification as a Firefighter II, Confined Space/Trench Rescue Awareness and Vertical I/Ropes and Rigging.
- 2) Successful completion of Office approved course, including passage of local testing and State written and practical examinations.
- 3) Prerequisite for taking the course is:
  - A) Successful completion of Confined Space/Trench Rescue Awareness and Vertical I/Ropes and Rigging.
  - B) Prerequisite for taking State written and practical examination is certification as Firefighter II.
- 4) See Section 140.50(a).

## c) Funding.

A maximum of 56 hours is available for reimbursement funding. The Office will fund this level of training only one time.

- d) Instructor Requirements.
  - 1) Instructor of Record shall be an Instructor II, certified in Confined Space/Trench Rescue Awareness, Rescue Specialist Confined Space.
  - 2) Instructor of Record shall recertify annually.
  - 3) Instructor recertification shall consist of a method of refresher and evaluation in Office approved course.
  - 4) There shall be a minimum of two instructors per course, one of whom is

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an Instructor of Record, and one instructor for each additional six students.

- 5) All other instructors on site shall be a minimum of Instructor I and certified to the level they are teaching.
- e) Facility Certification and Delivery System.

Educational institutions and fire departments desiring to offer the Rescue Specialist - Confined Space program will be required to:

- 1) File Course Approval Forms annually (see Section 140.15).
- 2) Use a facility which has been pre-approved by the Office before each course delivery and which meets the requirements specified by the Office.
- 3) Notify the Office prior to each course delivery.
- f) State Certification Written Examination.

To be certified as a Rescue Specialist – Confined Space, candidates must supply proof of passage (class completion roster or transcript) of locally administered written and practical exams and must pass the State written and practical examination (see Section 140.8).

- g) State Certification Practical Skill Examination.
  - 1) The State practical skill examination consists of a series of evolutions contained in an Office approved course. The school shall inform the Office of the date of the State practical examination to allow for Office staff or delegate to observe.
  - 2) The State practical skill examination shall be administered by an Instructor of Record who is certified as a Fire Service Instructor II, Confined Space/Trench Rescue Awareness and Rescue Specialist Confined Space.
  - 3) See Section 140.8(1)(1), (2) and (3).
- h) Equivalent courses.

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- 1) See Section 140.70(c)(2) and (3).
- 2) An equivalent course must meet the performance objectives listed in the Office approved course. See Section 140.18.
- When a course or courses are evaluated as equivalent, the individual will be allowed to take the State written and practical exam one time. Failure of either the written or practical exam will invalidate the equivalency evaluation and require the individual to take and successfully complete an Office approved program prior to taking the State written and practical exam a second time.
- 4) Equivalent courses are not eligible for reimbursement funding.
- 5) Requests for equivalency course will not be accepted after June 30, 1998.

# Section 140.243 Rescue Specialist - Trench I

- a) Rescue Specialist Trench I is designed to give fire service personnel the basic knowledge and skills to safely perform trench stabilization, shoring equipment placement, and excavation and freeing of the victim from engulfment, as defined by the Illinois Department of Labor (56 Ill. Adm. Code 350.280) and OSHA (29 CFR 1926, Subpart P).
- b) Prerequisites.

Rescue Specialist – Trench I certification is granted to those individuals who have completed a minimum 16 student contact hour course and meet the following qualifications:

- 1) Certification as a Firefighter II and Confined Space/Trench Rescue Awareness.
- 2) Successful completion of Office approved course, including passage of local testing and State written and practical examinations.
- 3) Prerequisite for taking the course is successful completion of Confined Space/Trench Rescue Awareness.

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- 4) Prerequisite for taking State written and practical examination is certification as Firefighter II.
- 5) See Section 140.50(a).
- c) Funding.

A maximum of 24 hours is available for reimbursement funding. The Office will fund this level of training only one time.

- d) Instructor Requirements.
  - 1) Instructor of Record shall be an Instructor II, certified in Confined Space/Trench Awareness, and Rescue Specialist Trench I.
  - 2) An Instructor of Record shall recertify annually.
  - 3) Instructor recertification shall consist of a refresher and evaluation of Office approved course.
  - 4) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record, and one instructor for each additional six students.
  - 5) All other instructors on site shall be a minimum of Instructor I and certified to the level they are teaching.
- e) Facility Certification and Delivery System.

Educational institutions and fire departments desiring to offer the Rescue Specialist – Trench I program will be required to:

- 1) File Course Approval Forms annually (See Section 140.15).
- 2) Use a facility which has been pre-approved by the Office before each course delivery and which meets the specifications Office approved course.
- 3) Notify the Office before each course delivery.

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f) State Certification Written Examination.

To be certified as a Rescue Specialist – Trench I, candidates must supply proof of passage (class completion roster or transcript) of locally administered written and practical exams and must pass the State written and practical examinations. (See Section 140.8).

- g) State Certification Practical Skill Examination.
  - 1) The State practical skill examination consists of a series of evolutions contained in the Office approved course.
  - 2) The State practical skill examination shall be administered by an Instructor of Record, who is certified as a Fire Service Instructor II, Confined Space/Trench Rescue Awareness and Rescue Specialist Trench I. The school shall inform the Office of the date of the State practical examination to allow for Office staff or delegate to observe.
  - 3) See Section 140.8(1)(1), (2) and (3).
- h) Equivalent courses.
  - 1) See Section 140.70(c)(2) and (3).
  - 2) An equivalent course must meet the performance objectives listed in the Office approved course. See Section 140.18.
  - When a course or courses are evaluated as equivalent, the individual will be allowed to take the State written and practical examination one time. Failure of either the written or practical examination will invalidate the equivalency evaluation and require the individual to successfully complete an Office approved program prior to taking the State written and practical examination a second time.
  - 4) Equivalency courses are not eligible for reimbursement funding.
  - 5) Requests for equivalency course will not be accepted after June 30, 1998.

## Section 140.245 Rescue Specialist – Vertical I/Ropes and Rigging

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- a) Rescue Specialist Vertical I/Ropes and Rigging is designed to give fire service personnel the basic knowledge and skills to safely perform ropes and rigging for vertical rescue as defined by NFPA 1983 (1990 edition) and OSHA (29 CFR 1910.146 (1993)).
- b) Prerequisites.

Rescue Specialist – Vertical I/Ropes and Rigging is granted to those individuals who have completed a minimum 40 student contact hour course and meet the following qualifications:

- 1) Certification as a Firefighter II, Confined Space/Trench Rescue Awareness.
- 2) Successful completion of Office approved course, including passage of local testing, and State written and practical examinations.
- 3) Prerequisite for taking the course is: Successful completion of Confined Space/Trench Rescue Awareness.
- 4) Prerequisite for taking State written and practical examination is certification as Firefighter II.
- 5) See Section 140.50(a).
- c) Funding.

A maximum of 56 hours is available for reimbursement funding. The Office will fund this level of training only one time.

- d) Instructor Requirements.
  - 1) Instructor of Record shall be an Instructor II, certified in Confined Space/Trench Awareness, and Rescue Specialist Vertical II/High Angle.
  - 2) Instructor of Record shall recertify annually.
  - 3) Instructor recertification shall consist of a method of refresher and

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evaluation as prescribed in the Office approved course.

- 4) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record, and one instructor for each additional six students.
- 5) All other instructors on site shall be a minimum of Instructor I and certified to the level they are teaching.
- e) Facility Certification and Delivery System.

Educational institutions and fire departments desiring to offer the Rescue Specialist – Vertical I/Ropes and Rigging program will be required to:

- 1) File Course Approval Forms annually (see Section 140.15).
- 2) Use a facility which has been pre-approved by the Office before each course delivery and which meets the requirements specified in the Office approved course.
- 3) Notify the Office prior to any course delivery.
- f) State Certified Written Examination.

To be certified as a Rescue Specialist – Vertical I/Ropes and Rigging, candidates must supply proof of passage (class completion roster or transcript) of locally administered written and practical exams.

- g) State Certification Practical Skill Examination.
  - 1) All practical skill examinations are supplied by the Division. The examination package consists of the lists of evolutions to be completed and the Practical Examination Key. The evaluation package contains an attestation by the Fire Chief or School Director and Certified Instructor that the tasks have been 100% successfully completed. The school shall inform the Office of the date of the State practical examination to allow for Office staff or delegate to observe.
  - 2) The State practical skill examination shall be administered by an Instructor of Record who is certified as a Fire Service Instructor II, Rescue Specialist

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Vertical I/Ropes and Rigging and Vertical II/High Angle.

- 3) See Section 140.8(1)(1), (2) and (3).
- h) Equivalent courses.
  - 1) See Section 140.70(c)(2) and (3).
  - 2) An equivalent course must meet the performance objectives listed in the Office approved course. See Section 140.18.
  - When a course or courses are evaluated as equivalent, the individual will be allowed to take the State written and practical examination one time. Failure of either the written or practical examination will invalidate the equivalency evaluation and require the individual to take and successfully complete an Office approved program prior to taking the State written and practical examination a second time.
  - 4) Equivalency courses are not eligible for reimbursement funding.
  - 5) Requests for equivalency course will not be accepted after June 30, 1998.

# Section 140.246 Rescue Specialist – Vertical II/High Angle

- a) Rescue Specialist Vertical II/High Angle is designed to give fire service personnel the basic knowledge and skills to safely perform advanced high angle and below grade rescues as defined by NFPA 1983 (1990 edition) and OSHA (29 CFR 1910.146 (1993)).
- b) Prerequisites.

Rescue Specialist – Vertical II/High Angle certification is granted to those individuals who have completed a minimum 40 student contact hour course and meet the following qualifications:

- 1) Certification as a Firefighter II and Rescue Specialist Vertical I/Ropes and Rigging.
- 2) Successful completion of Office approved course, including passage of

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local testing and State written and practical examinations.

- 3) Prerequisite for taking the course is successful completion of Confined Space/Trench Rescue Awareness.
- 4) Prerequisite for taking State written and practical examination is certification as Firefighter II.
- 5) See Section 140.50(a).
- c) Funding.

A maximum of 56 hours is available for reimbursement funding. The Office will fund this level of training only one time.

- d) Instructor Requirements.
  - 1) Instructor of Record shall be an Instructor II, certified in Confined Space/Trench Awareness, and Rescue Specialist Vertical II High Angle.
  - 2) Instructor of Record shall recertify annually.
  - 3) Instructor recertification shall consist of a method of refresher and evaluation as prescribed in the Office approved course.
  - 4) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record, and one instructor for each additional six students.
  - 5) All other instructors on site shall be a minimum of Instructor I and certified to the level they are teaching.
- e) Facility Certification and Delivery System.

Educational institutions and fire departments desiring to offer the Rescue Specialist - Vertical II/High Angle program will be required to:

1) File Course Approval Forms annually (see Section 140.15).

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- 2) Use a facility which has been pre-approved by the Office before each course delivery and which meets the requirements specified in the Office approved course.
- 3) Notify the Office prior to each course delivery.
- f) State Certification Written Examination.

To be certified as a Rescue Specialist – Vertical II/High Angle, candidates must supply proof of passage (class completion roster or transcript) of locally administered written and practical exams and must pass the State written and practical examinations. (See Section 140.8)

- g) State Certification Practical Skill Examination.
  - 1) The State practical skill examination consists of a series of evolutions contained in the Office approved course. The school shall inform the Office of the date of the State practical examination to allow for Office staff or delegate to observe.
  - The State practical skill examination shall be administered by an Instructor of Record who is certified as a Fire Service Instructor II, Rescue Specialist Vertical I/Ropes and Riggings and Rescue Specialist Vertical II/High Angle.
  - 3) See Section 140.8(1)(1), (2), and (3).
- h) Equivalent Course.

Only approved courses will be approved for certification.

## **Section 140.260 Chemistry of Hazardous Materials**

- a) Chemistry of Hazardous Materials is a course designed to give first responders a broader understanding of the chemistry and toxicology of hazardous materials.
- b) Professional qualifications for the course are identified in NFPA 472 (1989) Standard for Professional Competence of Responders to Hazardous Materials Incidents, Chapters 3 and 4, hereby incorporated by reference and containing no

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later standard or reference.

- 1) Funding. A maximum of 80 hours is available for reimbursement funding. The Office will fund this level of training only one time. The individual must be a certified Hazardous Materials First Responder prior to taking this course to claim for reimbursement funding and must successfully complete this course.
- 2) Instructor requirements (must have two instructors)
  - A) Option one: two National Fire academy Instructors
  - B) Option two: One individual who is a Fire Service Instructor II, and certified Hazardous Materials Specialist AND one expert in Chemistry (a college level chemistry instructor or a person with a minimum of a bachelor's degree)

## **Section 140.290 Hazardous Materials Refresher Training**

- a) Annual refresher training shall comply with United States Department of Labor, Occupational Safety and Health Administration, 29 CFR 1910.120.
- b) Because of the uniqueness of this type of training, refresher training in hazardous materials will be funded for specific training meeting any of the objectives in NFPA 472, (1989) Standard for Professional Competence of Responders to Hazardous Materials Incidents, hereby incorporated by reference and including no later standard or edition or the objectives listed in the certification course for First Responder, Technician, Specialist or the Chemistry course.
- c) Funding
  - 1) Prerequisites Hazardous Materials First Responder certification
  - 2) Funding a maximum of 40 hours is available for reimbursement funding annually.
  - 3) Funding claimed for Hazardous Materials refresher training cannot be claimed for any other certification.

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4) Records required by 29 CFR 1910.120, and Sections 140.12 and 140.325 of this Part must be maintained and established procedures followed.

## Section 140.300 Rules and Regulations for Reimbursement Funding

- a) The Illinois Fire Protection Training Act (the Act) [50 ILCS 740] mandates the Office to reimburse local governmental agencies or individuals participating in the training program in an amount equaling 1/2 of the sum total paid by them during the period established by the Office for tuition at training schools, salary of trainees while in school, necessary travel expenses and room and board for each trainee. In addition to reimbursement for trainees, the Office in each year shall reimburse the local governmental agencies participating in the training program for permanent fire protection personnel in the same manner as trainees for each such training program. (Section 10 of the Act)
- b) Section 10 of the Act also specifies that in the event that the annual appropriation for the reimbursement funding is insufficient to pay reimbursement in full (i.e., at the 50 percent level), the appropriation shall be apportioned among the participating local governmental agencies. Further, no local government agency which shall alter or change in any manner any of the training programs as promulgated under this Act or fail to comply with rules and regulations promulgated under this Act shall be entitled to receive any matching funds under this Act.
- c) Section 9 of the Act mandates that local governmental agencies shall require by ordinance that a trainee complete a basic course approved by the Office, and pass the State test for certification at the basic level within the probationary period as established by the local governmental agency as a prerequisite to participate for reimbursement funding. A certified copy of the ordinance must be on file with the Office.

## Section 140.305 Prerequisites for Participation for Reimbursement Funding

a) Local governmental agencies electing to participate for reimbursement funding under paragraphs 539 and 540 of the Act shall so provide by local ordinance. A sample ordinance may be obtained from the office. To apply for reimbursement funding the local governmental agency must also agree to abide by all rules and regulations for the training of firefighter.

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- b) Individuals who are employed by local governmental agencies as firefighters meeting the requirements in the Act which have elected to participate for reimbursement funding, and where the individual and the local government agency have abided by all the rules and regulations as promulgated by the Office, may submit claims for reimbursement funding for expenses incurred by them during the training period. Reimbursement for individuals is limited to tuition, travel expenses and room and board. Reimbursable expenses are subject to the requirements and limitations covered in this Part and Travel Regulations as promulgated by the Governor's Travel Control Board (80 Ill. Adm. Code 2800).
- c) Payments to individuals, units of local government, and governmental agencies are limited to reimbursement.

## **Section 140.310 Requirements**

The Office defines the minimum basic requirement to be completed by a recruit or trainee prior to becoming a permanent member of the fire department to be the Certified Firefighter II level. All local governmental agencies electing to participate for reimbursement funding must have firefighter trainees certified at the Firefighter II level by the end of their probationary period. The probationary period will be determined by the local governmental agency. The failure of any trainee to complete Firefighter II certification within the required probationary period will render that individual and local governmental agency ineligible for reimbursement funding for basic training for that individual in the calendar year in which his probationary period ends. The individual may later become certified without reimbursement.

## Section 140.315 Claim Forms

Forms upon which to claim reimbursement for training expenses are available from the Office, Division of Personnel Standards and Education. These forms require local governmental agencies to prove costs of transportation, room and board, tuition, and salary for the training of firefighters. Receipts must be attached evidencing such claims. Each firefighter must have a separate Claim for Reimbursement Form, indicating the number of hours, wages and amounts expended, for each level of certification. Facsimiles which have the same format as Office forms with prior approval will be accepted.

#### Section 140.320 Claim Deadline

Municipalities, Fire Protection Districts and individuals are encouraged to submit claims as soon as possible after the successful completion of any reimbursable training.

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- a) Claims for reimbursement can be made only for training within dates specified by the Office and may not include prior or subsequent training.
- b) For a calendar year (January 1 December 31), the deadline for the receipt of claim forms is 5:00 p.m. on February 28.
- c) In the event that February 28 falls on a Saturday or Sunday, the claim forms must be received by the Office by 5:00 p.m. on the next business day.
- d) Claim forms must be received by the Office, and not mailed, by the specified dates. Any claim forms arriving after the deadline date will not be processed for reimbursement and will be denied.
- e) Claims for training from July 1, 1997 to December 31, 1997 must be submitted by March 2, 1998.

## **Section 140.325 Amount of Reimbursement**

The Office will reimburse up to 50 percent of the following costs:

- a) Salary
  - Salary, according to an opinion from the Attorney General, is that sum actually paid to a trainee while in school by the employer (Local Governmental Agency). It does not include employer contributions to insurance and pension programs, but does include contributions deducted from a trainee's salary for insurance and retirement.
  - 2) The formula for computing a trainee's hourly salary is yearly salary divided by the number of hours for which paid. It is realized that hourly shifts may vary from 40 to 56 or more hours per week. In such instances, the formula should be adjusted to most nearly reflect the above definition.
  - 3) If there is a variation in an individuals salary or between firefighters of equal grade, the claimant should explain these variations on the claim form, e.g.,
    - A) Promotion 100 hours @ \$4.65

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50 hours @\$5.28

OR

B)  $1\frac{1}{2}$  time overtime 100 hours @ \$4.65

50 hours @ \$6.98

- b) Tuition and/or registration fees.
- c) Food, not to exceed State Travel Regulations as promulgated by the Governor's Travel Control Board.
- d) Transportation costs, not to exceed State Travel Regulations as promulgated by the Governor's Travel Control Board.
  - 1) If the course is five or more consecutive days and lodging is available (availability means that hotels, motels, dormitories, or other sleeping facilities may be hired for overnight lodging in the nearby vicinity), the Office will reimburse for one round trip to the training center per week for the duration of the course.
  - 2) If the course is offered on non-consecutive days, the Office will reimburse round trip transportation costs for each day.
  - 3) If the course is offered on consecutive days (e.g., Saturday and Sunday) and overnight lodging is available, the Office will reimburse for only one round trip per consecutive day period (e.g., six round trips for a course offered on six consecutive weekends).
    - A) If the travel distance is less than 50 miles, the Office will only reimburse for each round trip.
    - B) If the travel distance is 50 miles or greater and lodging is available, the Office will reimburse for one round trip and lodging costs per consecutive day period.
  - 4) When more than one person from a department shares a ride to a training location with another individual, reimbursement will be provided for only one vehicle (i.e., reimbursement for mileage will only be provided for one

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person when two or more persons travel together, as in car pools).

- e) Lodging, not to exceed lodging costs approved by the State Travel Regulations as promulgated by the Governor's Travel Control Board.
- f) The Office will reimburse for other expenses in the amount authorized for Office employees by the State Travel Regulations as promulgated by the Governor's Travel Control Board, or the amount requested by the local governmental agency or individual whichever is less.
- g) For the figures in effect for a given calendar year, individuals, municipalities and Fire Protection Districts should contact the Office for a copy of the applicable travel regulations promulgated by the Department of Central Management Services and approved by the Governor's Travel Control Board (80 Ill. Adm. Code 2800), or their successors, for the calendar year.

## **Section 140.350 Appropriations**

In accordance with the enabling legislation, in the event that the appropriation for reimbursement for training of fire protection personnel is insufficient to meet all claims at the 50 percent level, all claims will be reduced proportionately and all claims will be paid in the same proportion. No more than 50 percent of the reimbursements distributed to local governmental agencies in any calendar year shall be distributed to local governmental agencies of more than 500,000 persons.

## Section 140.360 Advanced Training Programs

Municipalities may participate in training programs beyond the Firefighter II level at their option. Municipalities participating in these advanced training programs will be subject to the applicable rules and regulations for advanced training, and are eligible for reimbursement funding for those courses.

# Section 140.380 Prerequisites Necessary to Qualify an Individual for Reimbursement Funding

Before a local governmental agency can participate in Office firefighter training programs for reimbursement funding, the local governmental agency must pass an ordinance which complies with paragraph 540 of the Act. Further, in order to qualify an individual for reimbursement funding under any programmatic area, an individual must meet the following requirements:

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## a) Certified Firefighter I

- 1) An individual must be employed in Illinois as a fire protection person or trainee.
- 2) Volunteer and paid-on-call personnel qualify at this level for two years from the date of initial employment if employed after passage of ordinance.
- 3) Individuals employed by a fire department or fire protection district prior to the date of passage of the ordinance qualify for two years from the date of passage of the ordinance.

## b) Certified Firefighter II

- 1) An individual must be employed in Illinois as a fire protection person or trainee.
- 2) Volunteer and paid-on-call personnel qualify at this level for three years from the date of initial employment if employed after passage of ordinance.
- 3) Individuals employed by a fire department or fire protection district prior to the date of passage of the ordinance qualify for three years from the date of passage of the ordinance.
- c) Airport Firefighter. Certification as a Firefighter II

## d) Certified Firefighter III

- 1) Certification as a Firefighter II
- 2) Claims for this level will be honored until the individual has passed all areas of the Firefighter III examination, or up to 600 hours maximum.
- 3) If an individual passes the Firefighter III examination before having three years in the fire service, the individual is not eligible for further Firefighter III funding.

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- e) Firefighter III Refresher
  - 1) Certification as a Firefighter III
  - 2) Individuals who have received certification as a Fire Officer I, Fire Service Instructor II or Fire Prevention Officer I are not eligible for Firefighter III refresher reimbursement.
- f) Certified Fire Apparatus Engineer. Certification as a Firefighter II for the purpose of funding only. Also see Section 140.65(b).
- g) Fire Officer I. Certification as a Firefighter III.
- h) Fire Officer II. Certification as a Fire Officer I or provisionally qualified Fire Officer I.
- i) Fire Officer III. Certification as a Fire Officer II or a provisionally qualified Fire Officer II.
- j) Fire Service Instructor I. Certification as a Firefighter II.
- k) Fire Service Instructor II. Certification as a Fire Service Instructor I.
- 1) Fire Service Instructor III. Certification as a Fire Service Instructor II.
- m) Fire Service Instructor IV. Certification as a Fire Service Instructor III.
- n) Fire Prevention Officer I
  - 1) Certification as a Firefighter II for the purpose of funding only.
  - 2) Certification through a Firefighter Bypass Examination. Entrance into the Fire Prevention Officer program through a Firefighter Bypass examination is limited to:
    - A) Office personnel.
    - B) Personnel employed by fire departments and fire protection districts in fire prevention areas who are prohibited from work in

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fire suppression.

- o) Fire Prevention Education Officer II. Certification as a Fire Prevention Officer I.
- p) Fire Prevention Education Officer III. Certification as a Fire Prevention Education Officer II.
- q) Fire Prevention Inspector II. Certification as a Fire Prevention Officer I.
- r) Fire Prevention Inspector III. Certification as a Fire Prevention Inspector II.
- s) Fire Investigator. Certification as a Firefighter II or successful completion of Firefighter Bypass examination.
- t) Arson Investigator. Certification as Fire Investigator or have completed Modules I and II or 80 hour Basic Arson Investigator course prior to January 1, 1983.
- u) Hazardous Materials I. Certification as a Firefighter III.
- v) Hazardous Materials II. Certification as a Hazardous Materials I.
- w) Hazardous Materials III. Certification as a Hazardous Materials II.

## **Section 140.390 Advisory Committees**

- a) The purpose of this rule is to establish standing committees and to provide for the establishment of ad hoc committees from time to time to advise the Office on training programs.
  - Ad hoc committees shall be made up of members of each of the organizations which have ex-officio members on the Illinois Fire Advisory Commission (as provided in Ill. Rev. Stat. 1991, ch. 127½, par. 3 [20 ILCS 2905/3]) and the Illinois Community College Board.
    - A) Each organization shall have at least one member.
    - B) The chairman, President or head of each of the identified organizations listed under subsection (1) shall be contacted as to whom he or she desires to have serve on any given committee. In

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the case of the Illinois Fire Service Institute it will be the Director who will be solicited for persons to serve.

- i) Because each committee will deal with specific areas of expertise, the solicitation will be based on specific qualifications. Committee members shall also be solicited from various geographical areas of the state consistent with geographical distribution of expertise to insure input reflecting a general consensus of the total fire service.
- ii) In considering member appointments to committees, the Division will not rely on an individual's rank in a given department, but will concentrate on that individual's area of responsibility and his or her level of certification.
- C) Committees shall not exceed 25 members, excluding employees of the State of Illinois.
- D) Committees shall be established by the Deputy State Fire Marshal of the Division of Personnel Standards & Education when a problem or issue arises in an area of firefighter training not covered by a standing committee, or when creation of a new program is under consideration. Each committee shall be designated a specific duty and area of responsibility.
- 2) The following standing committees are hereby created with the following duties:
  - A) Fire Officers Committee review applications and programs for Fire Officer certification:
  - B) Technical Review Committee review curriculum and proposals for new programs and evaluate existing ones;
  - C) Hazardous Materials Committee develop and evaluate Hazardous Materials training program;
  - D) Item Review Committee develop and evaluate exams for all levels of firefighter (II and III);

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- E) Instructors Committee establish criteria and curriculum for all levels of Instructors:
- F) Fire Prevention and Investigation Committee develop curriculum for these areas of certification;
- G) Airport Firefighter Committee develop curriculum for Airport Firefighters;
- H) Fire Apparatus Engineer Committee develop curriculum and study guide for this field;
- I) Rescue Specialist develop curriculum for Rescue Specialist training program.
- b) Committees shall advise the Deputy State Fire Marshal of Personnel Standards & Education on programs, procedures, courses, and other matters relating to the Illinois Fire Protection Training Act within the duties and areas designated. The committees are advisory only, and advice will be:
  - 1) Subject to review and analysis by personnel of the Office prior to decision making.
  - 2) The committees and their individual members shall not have the authority to bind the Office or make determinations that would confer a benefit or impose a duty upon the Office, the State of Illinois, any employee thereof, nor upon any other person or governmental body.
  - 3) Committees shall meet only at the direction of Deputy State Fire Marshal, Personnel Standards & Education.
- c) Committee members shall be deemed independent contractors and shall not be paid for their services, but shall be reimbursed for their travel in the amount allowed by the Governor's Travel Control Board, 80 Ill. Adm. Code 2800.

## Section 140.400 Invalidation of a Student's State Examination Score

a) When the examination proctor observes an individual looking at unauthorized

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notes or reference materials, obviously looking directly at another person's answer sheet or talking during the examination, the proctor shall immediately confiscate and invalidate the individual's examination.

- 1) The proctor shall also confiscate any unauthorized notes or reference materials.
- 2) The proctor shall submit a written explanation of the facts involved in the invalidation of the student's examination with any confiscated materials to the Head of the Division of Personnel Standards and Education.
- b) Confiscated materials will be retained by the Office.
- c) When the Office determines that evidence involved in the invalidation of an individual's state examination is accurate, then:
  - 1) the individual will not be permitted to take another State examination for 120 days.
  - 2) the Office shall inform the individual's Chief of the invalidation.
- d) Any individual whose examination paper is confiscated under Section 140.400(a), above, may request a hearing within 20 days of the event. Such hearings will be governed by the Appeal process (see 41 Ill. Adm. Code 140.420).
- e) In case of the failure to have or maintain records of training before individuals take examinations or claim for reimbursement funding, the individual's exam grades for that subject will be invalidated.

## **Section 140.420 Appeal Process**

The appeal process for the Division will be in accordance with 41 Ill. Adm. Code 210.

## Section 140.430 Reciprocity

a) Reciprocity. The Office agrees to examine the certification credentials of individuals certified by other entities accredited by the International Fire Service Accreditation Congress to determine which level of certification, if any, is applicable. See Section 140.18.

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b) When a course or courses are evaluated as equivalent, the individual will be allowed to take the State written and practical exam one time. Failure of either the written or practical exams will invalidate the equivalency evaluation and require the individual to successfully complete the Office approved program prior to taking the State written and practical exam a second time.

## Section 140.500 Fees

The Office hereby adopts the following fee schedule for the Division of Personnel Standards and Education:

\$ 0.00
0.00
0.00
0.00
0.00
300.00

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1) <u>Heading of the Part</u>: Policy and Procedures Manual for Fire Protection Personnel

2) Code Citation: 41 Ill. Adm. Code 141

3)	Section Numbers:	Proposed Action:
	141.10	New
	141.15	New
	141.20	New
	141.30	New
	141.40	New
	141.50	New
	141.60	New
	141.100	New
	141.110	New
	141.115	New
	141.120	New
	141.125	New
	141.200	New
	141.210	New
	141.220	New
	141.230	New
	141.240	New
	141.300	New
	141.302	New
	141.304	New
	141.306	New
	141.308	New
	141.310	New
	141.312	New
	141.314	New
	141.316	New
	141.318	New
	141.320	New
	141.322	New
	141.324	New
	141.326	New
	141.328	New
	141.330	New
	141.332	New

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141.334	New
141.336	New
141.338	New
141.340	New
141.342	New
141.344	New
141.346	New
141.348	New
141.350	New
141.352	New
141.354	New
141.356	New
141.358	New
141.360	New
141.362	New
141.364	New
141.366	New
141.367	New
141.368	New
141.370	New
141.372	New
141.380	New
141.400	New
141.405	New
141.410	New
141.415	New
141.420	New
141.425	New
141.450	New
141.460	New
141.500	New
141.505	New

5)

- 4) <u>Statutory Authority</u>: Sections 8 and 11 of the Illinois Fire Protection Training Act [50 ILCS 740/8 and 11] and the Peace Office Fire Investigation Act [20 ILCS 2910]
- is intended to update the training requirements to the current national standards, add new certification levels, and repeal certain certifications for lack of participation and

A Complete Description of the Subjects and Issues Involved: This proposed rulemaking

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consolidation with other certifications. The proposed rule making is intended to recodify the existing rules into a format that is easier for the user to reference.

- Published Studies or Reports, and sources of underlying data used to compose this rulemaking: The sources of underlying data used to compose this rulemaking consists of statutes and rules currently in effect in Illinois along with the text of the codes adopted by reference in these rules. Copies of those codes are available in the Office of the State Fire Marshal, 1035 Stevenson Drive, Springfield, IL. 62703
- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) <u>Does this rulemaking contain incorporations by reference</u>? Yes
- 10) Are there any other proposed rules pending on this Part? No
- 11) <u>Statement of Statewide Policy Objectives</u>: These rules have no impact on local government.
- 12) <u>Time, Place, and Manner in which interested persons may comment on this proposed rulemaking</u>: Persons wishing to comment on this proposed rulemaking may submit comments no later than 45 days after the publication of this Notice to:

Susie Alwerdt, Division Manager Division of Career Development & Public Education Office of the State Fire Marshal 1035 Stevenson Dr. Springfield, IL 62703-4259

Facsimile: 217/782-1062

- 13) Initial Regulatory Flexibility Analysis:
  - A) Types of small businesses, small municipalities and not for profit corporations affected: All fire departments in Illinois.
  - B) Reporting, bookkeeping or other procedures required for compliance: The fire departments must maintain certain training records for compliance with the rules.

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- C) Types of Professional skills necessary for compliance: None
- Regulatory Agenda on which this rulemaking was summarized: This rulemaking was not included on either of the 2 most recent regulatory agendas because: 31 Ill. Reg. 1927(c) January 16, 2007. The agenda reports amendments to Part 140 only because the creation of Part 141 was not contemplated at the time. Part 140 is being repealed in a separate filing and the original amendments intended for Part 140 are not in the Part 141 Proposed Rules

The full text of the Proposed Rules begins on the next page:

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TITLE 41: FIRE PROTECTION CHAPTER I: OFFICE OF THE STATE FIRE MARSHAL

# PART 141 POLICY AND PROCEDURES MANUAL FOR FIRE PROTECTION PERSONNEL

## SUBPART A: GENERAL

Section 141.10 141.15 141.20 141.30 141.40 141.50 141.60	Purpose Definitions Incorporations by Reference Advisory Committees Requirements for Participation in Training, Certification and Reimbursement Appeal Process Reciprocity			
SUBPART B: TRAINING FACILITIES				
Section				
141.100	Resources Required for Certification as a Provisionally Approved Training Facility			
141.110	Resources Required for Certification as an Unlimited Training Facility or Regional Training Center			
141.115	Course Approval			
141.120	Course Approval Equivalency			
141.125	Course Approval Standards			
SUBPART C: EXAMINATION				
Section				
141.200	State Examinations			
141.210	Invalidation of a Student's State Examination Score			
141.220	Certificates Earned by Bypass Examination			
141.230	Examination Procedures for End-of-Course Examinations Not Administered by the Office			
141.240	Bypass Examination			

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# SUBPART D: CERTIFICATION

Section	
141.300	Firefighter II
141.302	Airport Firefighter
141.304	Firefighter III
141.306	Fire Apparatus Engineer
141.308	Fire Officer I
141.310	Fire Service Executive Support
141.312	Fire Department Incident Safety Officer
141.314	Fire Officer II
141.316	Fire Officer III
141.318	Fire Service Instructor I
141.320	Fire Service Instructor II
141.322	Fire Service Instructor III
141.324	Training Program Manager
141.326	Fire Prevention Officer
141.328	Juvenile Firesetter Intervention Specialist
141.330	Public Fire and Life Safety Educator II
141.332	Public Fire and Life Safety Educator III
141.334	Fire Investigator
141.336	Arson Investigator
141.338	Fire Inspector II and Plan Examiner I
141.340	Fire Inspector III and Plan Examiner II
141.342	Hazardous Materials Awareness
141.344	Hazardous Materials First Responder - Operations
141.346	Hazardous Materials Technician
141.348	Hazardous Materials Incident Command
141.350	Technical Rescue Awareness
141.352	Rescue Specialist – Confined Space
141.354	Trench Operations
141.356	Trench Technician
141.358	Rescue Specialist – Vertical II
141.360	Structural Collapse Operations
141.362	Structural Collapse Technician
141.364	Vehicle and Machinery Operations
141.366	Vehicle and Machinery Technician
141.367	Rope Operations
141 368	Motorsports Safety Technician

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141.370	Fire Service Vehicle Operator
141.372	Water Operations
141.380	Invalidation of Certification
	CUDDADE E. DEIMBUDGEMENE
	SUBPART E: REIMBURSEMENT
Section	
141.400	Rules and Regulations for Reimbursement
141.405	Prerequisites for Participation for Reimbursement
141.410	Requirements
141.415	Claim Forms
141.420	Claim Deadline
141.425	Amount of Reimbursement
141.450	Appropriations
141.460	Advanced Training Programs
	SUBPART F: FEES
Section	
141.500	Fees

AUTHORITY: Implementing and authorized by Sections 8 and 11 of the Illinois Fire Protection Training Act [50 ILCS 740/8 and 11] and the Peace Officer Fire Investigation Act [20 ILCS 2910].

SOURCE: Adopted at 31 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_

Waiver of Fees

## SUBPART A: GENERAL

## Section 141.10 Purpose

141.505

a) The Illinois Fire Protection Training Act [50 ILCS 740] requires the Office of the State Fire Marshal to establish training programs and to assist the development of training of firefighters throughout the State. Section 1 of the Act requires the Office, in a Division of Personnel Standards and Education, to *encourage and aid municipalities, counties and other local governmental agencies of this State in their efforts to raise the level of local fire protection by upgrading and maintaining a high level of training for fire protection personnel. It is declared to* 

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be the responsibility of the Office of the State Fire Marshal to encourage the participation of local governmental units in the programs established by the Office and to aid in the establishment of adequate training facilities.

b) Subpart D of this Part specifies the requirements to obtain State certification from the Office and Subpart E describes the opportunities for local governments to request reimbursement for training expenses.

#### **Section 141.15 Definitions**

Unless the context requires otherwise, the following terms have the meanings ascribed in this Section:

"Accredit" means to supply with credentials or authority or authorize or certify as meeting a prescribed standard.

"Accreditation" means the act of accrediting or the state of being accredited, especially the granting of approval to an institution of learning by an official review board after the school has met specific requirements.

"Act" means the Illinois Fire Protection Training Act [50 ILCS 740].

"Application for Certification/Validation-Attestation" means the official document submitted to the Office, signed by the employing Fire Chief and qualified instructor, verifying successful completion of a series of prerequisites as required by this Part.

"Certification" means the official documentation awarded by the Office to eligible firefighters/allied field agency personnel after successful completion of a series of assigned tasks, both cognitive and psychomotor. This certificate is the award for the completion of tasks, such as a school diploma, and, as such, remains in the possession of the individual.

"Division" means the Office's Division of Personnel Standards and Education.

"Fire Brigade" means an entity, privately owned, possessing those resources necessary for fire suppression in its own premises.

"Fire Department" or "Department" means an entity, public or private, possessing

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those resources necessary for fire administration, fire prevention, fire suppression, fire education and arson investigation.

"Fire Protection Personnel" and "Firefighter" mean any person engaged in fire administration, fire prevention, fire suppression, fire education and arson investigation, including any permanently employed trainee or volunteer firefighter, whether or not such person, trainee or volunteer is compensated for all or any fraction of his/her time. (Section 2 of the Act)

"Fire Service Experience" means fire suppression training, fire administration, fire investigation or fire prevention experience in a fire department, including executive support.

"Fire Service Organizations" means the organizations listed in Section 141.308(e)(4)(C).

"Member" means an individual engaged by a fire department or fire brigade to carry out assigned duties, whether or not that person is compensated for all or any fraction of his/her time.

"NFPA" means National Fire Protection Association, Batterymarch Park, Quincy MA 02269; pamphlet or standard number will appear after the abbreviation and the edition will appear in parentheses.

"Office" means the Office of the State Fire Marshal.

"Request for Examination Form" means the form provided by the Office that a fire department or school will complete and send to the Office requesting the Office to schedule a date for an examination.

"School" means any school located within the State of Illinois, whether privately or publicly owned, that offers a course in fire protection training or related subjects and that the Office has approved.

"Standards" means the applicable NFPA standard.

"Student Performance Objectives" means the course objectives that have been established and implemented by the Office.

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"Trainee" means a recruit firefighter required to complete initial minimum basic training at an approved training facility to be eligible for permanent employment as a firefighter.

"Training Facility" means an organization, institution, fire department, school, college or other entity certified by the Office, under Section 141.100 or 141.110, to provide the training of fire protection personnel required by this Part.

## **Section 141.20 Incorporations by Reference**

- a) All incorporations by reference in this Section are incorporated as of the date specified and contain no later editions or amendments.
- b) The following national standards and regulations are incorporated by reference in this Part:
  - National Fire Protection Association
     Batterymarch Park
     Quincy MA 02169-7471
     www:nfpa.org

NFPA 472: Professional Competence of Responders to Hazardous

Materials Incidents (2002)

NFPA 610: Guide for Emergency and Safety Operations at Motorsports

Venues (2003)

NFPA 921: Guide for Fire and Explosion Investigations (2004)

NFPA 1001: Fire Fighter Professional Qualifications (2002)

NFPA 1002: Fire Apparatus Driver/Operator Professional Qualifications

(2003)

NFPA 1003: Airport Firefighter Professional Qualifications (2005)

NFPA 1021: Fire Officer Professional Qualifications (2003)

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NFPA 1031: Professional Qualifications for Fire Inspector and Plan Examiner (2003)

NFPA 1033: Professional Qualifications for Fire Investigator (2003)

NFPA 1035: Professional Qualifications for Public Fire and Life Safety

Educator (2005)

NFPA 1041: Fire Service Instructor Professional Qualifications (2002)

NFPA 1403: Live Fire Training Evolutions (2002)

NFPA 1451: Fire Service Vehicle Operations Training Program (2002)

NFPA 1500: Fire Department Occupational Safety and Health Program

(2007)

NFPA 1521: Fire Department Safety Officer (2002)

NFPA 1670: Operations and Training for Technical Rescue Incidents

(2004)

NFPA 1901: Automotive Fire Apparatus (2003)

NFPA 1971: Protective Ensembles for Structural Firefighting and

Proximity Firefighting (2007)

NFPA 1983: Fire Service Life Safety Rope and Equipment for

Emergency Services (2006)

## 2) Code of Federal Regulations

A) US Department of Labor - Occupational Safety and Health Administration

29 CFR 1910.120 (2006)

29 CFR 1910.146 (1998)

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29 CFR 1926, subpart P (1994)

B) US Environmental Protection Agency

40 CFR 311 (1990)

# **Section 141.30 Advisory Committees**

- a) The purpose of this Section is to establish standing committees and to provide for the establishment of ad hoc committees from time to time to advise the Office on training programs.
  - 1) Ad hoc committees shall be made up of members of each of the organizations that have ex-officio members on the Illinois Fire Advisory Commission (as provided in 20 ILCS 2905/3) and individuals assigned by the Office who are deemed to have expertise in the training programs.
    - A) Each organization shall have at least one member and one alternate member.
    - B) The head of each of the organizations identified under subsection (a)(1) shall be contacted as to whom he or she desires to have serve on any given committee. In the case of the Illinois Fire Service Institute, the Director will be solicited for persons to serve.
      - i) Because each committee will deal with specific areas of expertise, the solicitation will be based on specific qualifications. Committee members shall also be solicited from various geographical areas of the State consistent with geographical distribution of expertise to insure input reflecting a general consensus of the total fire service.
      - ii) In considering appointment of members to committees, the Division will not rely on an individual's rank in a given department, but will concentrate on that individual's area of responsibility and his or her level of certification.
    - C) Committees shall be established by the Division Manager of Personnel Standards and Education when a problem or issue arises

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in an area of firefighter training not covered by a standing committee or when creation of a new program is under consideration. Each committee shall be designated a specific duty and area of responsibility. Subcommittees shall be established by the Division Manager when additional expertise or personnel are needed.

- 2) The following standing committees are created with the following duties:
  - A) Fire Officer Committee review applications and develop and evaluate curriculum, examinations and proposals for all levels of Fire Officer certification and evaluate the existing ones;
  - B) Technical Review Committee develop curriculum, examinations and proposals for Firefighter II and III and evaluate the existing ones;
  - C) Hazardous Materials Committee develop and evaluate curriculum, examinations and proposals for all levels of hazardous materials and evaluate the existing ones;
  - D) Instructor Committee develop and evaluate curriculum, examinations and proposals for all levels of Fire Service Instructor and evaluate the existing ones;
  - E) Fire Prevention and Investigation Committee develop and evaluate curriculum, examinations and proposals for these areas of certification and evaluate the existing ones;
  - F) Airport Firefighter Committee develop and evaluate curriculum, examinations and proposals for Airport Firefighter and evaluate the existing ones;
  - G) Fire Apparatus Engineer Committee develop and evaluate curriculum, examinations and proposals for Fire Apparatus Engineer and evaluate the existing ones;
  - H) Rescue Committee develop and evaluate curriculum, examinations and proposals for all levels of Rescue and evaluate

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the existing ones; and

- I) Juvenile Firesetter Intervention Specialist Committee develop and evaluate curriculum, examinations and proposals for Juvenile Firesetter Intervention Specialist and evaluate the existing ones.
- b) Committees shall advise the Division Manager of Personnel Standards and Education on programs, procedures, courses, and other matters relating to the Act within the duties and areas designated. The committees are advisory only. Committees shall meet only at the direction of the Division Manager.
- c) Committee members shall not be paid a salary for their services, but may be reimbursed for their necessary travel expenses and room and board according to the requirements and limitations of this Part and 80 Ill. Adm. Code 2800 (Travel).

# Section 141.40 Requirements for Participation in Training, Certification and Reimbursement

All local governmental agencies and individuals may elect to participate in the training and certification program of the Office, subject to this Part. Units of local government and individuals may elect to participate for certification only, or for certification and reimbursement for training expenses as described in the Illinois Fire Protection Training Act [50 ILCS 740].

- a) The local government agency must pass an ordinance agreeing to participate if reimbursement funding is to be sought.
  - 1) The Office will provide the governing body with a copy of a model ordinance upon request.
  - 2) For participation for reimbursement, each local governmental agency must pass an ordinance requiring trainees to be certified at the Firefighter II level by the end of the probationary period. The ordinance must state the length of the probationary period. A certified copy of the required ordinance must be sent to the Office.
    - A) Local governmental agencies under the Illinois Municipal Code [65 ILCS 5] are limited to probationary periods not to exceed one year for all firefighters except those having paramedic duties.

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- B) All local governmental agencies that participate for reimbursement and file a certified copy of the required ordinance shall be eligible for reimbursement from the date a certified copy of the ordinance is received by the Office. Reimbursement for trainees and permanent fire protection personnel will be paid only for courses begun after the date of the receipt of the certified copy of the ordinance.
- C) Failure of any trainee to complete the prescribed basic training and certification within the required period will render that individual and local governmental agency ineligible for reimbursement for basic training for that individual in the year in which his/her probationary period ends. The individual may later become certified without reimbursement.
- Personnel who are department members prior to the date of the ordinance are not required by the Office to become certified as Firefighter II but may do so on a voluntary basis. Reimbursement is available for such training for three years from the date that a certified copy of the ordinance is filed with the Office.
- 4) Individuals may receive reimbursement for training costs if employed by a unit of local government that participates for reimbursement and the individual is otherwise eligible. Such reimbursement is limited to out-of-pocket expenses not paid or reimbursed, in whole or in part, by a local governmental agency.
- 5) Individual firefighters and departments may participate in all aspects of the programs for certification without passage of a local governmental ordinance. The ordinance is required, however, to qualify an agency and its individual firefighters to receive reimbursement.
- The Board of Police and Fire Commissioners, or the Civil Service Commission, or the local department of personnel or any other department or commission charged with the authority to make rules and regulations concerning Firefighter II certification must file with the Office a copy of its rules requiring certification prior to commencing regular employment as a firefighter. Any subsequent changes to the rules must be sent to the Office.

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# b) Facility Approval

- 1) A training facility must have a Provisionally Approved Training Facility to offer Firefighter II, Hazardous Materials First Responder Operations, Fire Service Vehicle Operator and all Awareness training. (See Section 141.100.)
- 2) A training facility must have an Unlimited Approved Training Facility to offer all other levels of training. (See Section 141.110.)
- 3) A training facility must have a Facility Approval to operate as a regional training center.
- 4) A training facility may use the facilities of a regional training center or the Illinois Fire Service Institute for approved firefighter training.
- c) Instructor Certification. The Fire Service Instructors must meet the requirements of Section 141.115(c).
- d) Course Approval. Courses must be approved in accordance with Section 141.115 of this Part.

# Section 141.50 Appeal Process

The appeal process for the Division will be governed by 41 Ill. Adm. Code 210.

# Section 141.60 Reciprocity

The Office will examine the certification credentials of individuals certified by other entities to determine which level of Illinois certification, if any, is applicable according to Section 141.120.

# SUBPART B: TRAINING FACILITIES

# Section 141.100 Resources Required for Certification as a Provisionally Approved Training Facility

Any training facility may, upon submitting an application and an inventory to the Office, be certified as an approved training facility for conducting training required by this Part.

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- a) The administrator of a training facility may request, in writing, a re-evaluation of the facility's level of certification at any time. The Office will cause such a review to be conducted and, when justified, re-certify the facility at the appropriate level.
- b) A training facility at which all of the listed resources are not readily available may be certified as a Provisional Facility for conducting training in those subject areas for which adequate resources are available. Specific requirements for Provisional Facility approval are:
  - 1) A fire station that serves as a classroom or access to a classroom facility;
  - 2) A pumper apparatus equipped in accordance with NFPA 1901;
  - 3) A current set of applicable reference manuals;
  - 4) Improvise to provide all learning experiences required in the Firefighter II, Hazardous Materials First Responder Operations, Fire Service Vehicle Operator and all Awareness courses; and
  - 5) Keep the complete approved training records required by Section 141.115(g).

# Section 141.110 Resources Required for Certification as an Unlimited Training Facility or Regional Training Center

In order to qualify for Unlimited Facility Certification, a training facility must possess, or have readily available for use, the following facilities, apparatus, equipment, reference material, established records, procedures and staff:

# a) Facilities

- 1) Training tower, not less than two stories in height, for use as a training structure for ladder evolutions, rescue drills, hose advancement and rope work;
- 2) Classroom with adequate environmental control and seating capacity for the anticipated trainee population (not adequate means obvious

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unsuitability, complaints received and other factors deemed relevant by the Office);

- Forcible entry and ventilation drill facilities, including a means of providing the trainee an opportunity to practice opening a variety of doors, windows, roofs, floors and partitions that are representative of the type and construction found in the community;
- 4) A smoke and fire room or building suitable for containing, and equipped for simulating, fire atmospheres and conditions. Any or all of these facilities may be combined into one structure; and
- 5) Facilities for conducting live fire training (by permission and within restrictions of environmental control agencies and in accordance with NFPA 1403) and rescue that must include:
  - A) Structural fires;
  - B) Flammable liquid fires;
  - C) LP and natural gas fires; and
  - D) Automobile fires.
- b) Apparatus
  Pumper apparatus, fully equipped as prescribed in NFPA 1901.
- c) Equipment
  - 1) All current types and classes of portable fire extinguishers;
  - 2) Forcible entry tools such as: pry-axe, pick head axe, pike pole, wrecking bar, hatchet, wire and bolt cutters, claw and Kelly tool, crow bar, Halligan tool, manual and power saws and jacks;
  - 3) Ropes of assorted lengths, which can be used for rescue, rappeling and practicing knots and lashings;
  - 4) All equipment specified by NFPA 1901;

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- 5) Salvage and overhaul equipment, including covers, carry-alls, cleaning and patching equipment and sprinkler kits;
- 6) Self-contained breathing equipment in sufficient numbers to enable each student to wear the equipment for at least the life of one canister or breathing air tank during his/her training;
- 7) Standard first-aid supplies for the teaching of the Standard American Red Cross first aid course or its equivalent;
- 8) Electronic audio, video and projection equipment;
- 9) Standard classroom equipment: chalk board, speaker's rostrum;
- 10) Protective clothing, in accordance with NFPA 1971 (one full set for each student), including the structural helmet with a face shield. (Students should provide their own clothing while training at a facility other than their duty station);
- Any equipment needed to complete the subject objectives established by the Office; and
- Other instructional aids as may be needed, such as cutaways of equipment, models, flip charts.

# **Section 141.115 Course Approval**

All training facilities wishing to offer courses leading to certification must submit a Course Approval Form to the Office according to the following schedule:

- a) Fire Departments
  - 1) Fire departments must submit a Course Approval Form every five years. New forms must be submitted if:
    - A) A new Fire Chief or Training Facility Director is employed; or
    - B) Additional courses are added to the training schedule.

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- 2) Forms are due January 1. The Office will not reimburse a department or trainee for a course until the course approval is renewed.
- 3) Approvals will be granted on a calendar year basis.
- b) Training Facilities
  - 1) End-of-course examinations, course syllabi and content shall be correlated to the Office established objectives. A Course Approval Form must be submitted every five years, along with the following materials:
    - A) Appropriate course correlation form;
    - B) Syllabi and course content; end-of-course examination; name and credentials of instructor.
  - 2) If the required course curriculum is changed due to a change in the applicable standards or rules before the course approval cycle has ended, the curriculum and end-of-course examinations for the course must be resubmitted for approval.
- c) Instructor Requirements. Approval will be granted upon proof of the following: certification, experience, education and/or training indicating competence in the technical area to be taught. In making the determination of competency, the Office shall consider, but is not limited to, transcripts, certificates, job descriptions or other evidence of experience and training.
  - 1) Instructor qualifications for Firefighter II, Hazardous Materials
    Awareness, Hazardous Materials First Responder Operations, Fire
    Service Vehicle Operator, and Technical Rescue Awareness require
    certifications as Instructor I and the level being taught, except in specialty
    certifications where noted.
  - 2) Instructor qualifications for all other certifications require certification as Instructor II and the level being taught, except in specialty certifications where noted.

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- 3) Instructors of Juvenile Firesetter Intervention Specialist (JFIS) courses must, in addition to meeting all other applicable requirements of this Section, have three year's experience as a JFIS.
- 4) Instructors of Arson Investigator courses must, in addition to meeting all other requirements of this Section, have demonstrated experience in education in the technical areas to be taught and must be approved by the Office and the Illinois Law Enforcement Training and Standards Board prior to the course offering.
- d) When updating a certification program to a new edition of NFPA or updated reference materials as specified in the applicable certification program or any other reason, instructor quality is critical to the success of training. Due to the expanded skills in the courses, instructors should have to demonstrate competency, both written and practical, to teach a new course. The Office is committed to providing professional development to the instructors in this area by providing standardized measurable objectives and minimum course outline. For course quality reasons, only those instructors who can demonstrate knowledge of the course materials should be certified to teach the course. All job performance requirements for any level of fire service instructor shall be performed to a level of competence that shall be established by the authority having jurisdiction. The training facility shall:
  - 1) Select instructors who have appropriate subject matter skill, knowledge and ability.
  - 2) Establish a policy and procedure that identifies and verifies the minimum experience and training requirements necessary to instruct a topic or subject matter.
- e) Validation-Attestation and course completion rosters must be submitted to the Office listing individuals who successfully complete courses.
- f) The Office reserves the right to monitor and evaluate the delivery of all approved courses. Training facilities shall:
  - 1) Provide records of student attendance (i.e., a minimum of 80% is required) and student evaluations of the course.

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- 2) Maintain all financial records for a minimum of five years after the conclusion of the course.
- 3) The length of time required to retain training records shall be determined by the local government based on its records retention schedule, but shall be retained for at least five years for audit purposes.
- 4) Maintain complete student records of course completion and test scores for at least five years.
  - A) If a course involves college credit, the student's transcript is the complete student record.
  - B) If a course is non-credit, the training facility shall obtain a written student waiver-of-privacy and shall provide complete student records to the Division at the completion of the course.
- g) Records and Established Procedures
  An established system of records maintenance that includes:
  - Training records that reflect who was trained, objectives of subject taught referenced in the applicable Instructor Reference Manual, hours trained, by whom, how, when and where conducted.
  - 2) A system of evaluating the effectiveness of the class, the instructor and all participants, including:
    - A) Testing technique utilized: oral, written, practical or combination; and
    - B) Performance appraisal and evaluation, such as ranking, factor comparison, grading, graphic rating scale, checklist.
  - 3) Individual training records that show when each person began training in each subject area, the instructor to whom he/she is responsible, the objective of his/her training, intermediate goals, performance criteria, ultimate goal and estimated completion date.
  - 4) Records of Training. A training facility shall maintain and submit to the

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Office training records that contain the following:

- A) Location of training.
- B) Dates of training.
- C) Hours of training and hours trained.
- D) Name of instructor printed and signature.
- E) Name of trainee printed and signature.
- F) Academic/practical training record.
- G) Subject training record correlated to objectives.
- H) Receipts of training expenses.
- I) Training facility documentation of training.
- 5) Computerized records of training. The Office accepts computerized training records as long as they are printed (individually for each student) and signed off by instructors and students at least once per month. This monthly printout must contain items listed in subsections (g)(4)(A)-(G) and be filed in the department at a location readily available for Office review.
- h) The Office may revoke course approvals if an agency is found to be in violation of this Part. In determining whether to revoke, the Office shall consider the seriousness and frequency of the offenses.

# **Section 141.120 Course Approval Equivalency**

Courses not having prior Office approval, but that correlate with the content areas of the required courses by meeting the performance objectives required of the applicable NFPA Standard, may be granted equivalent status by the Office. The course must conclude with an evaluation of the individual's retention of course materials and will be approved for certification purposes only, not for training cost reimbursement. Equivalency for an approved course will be granted if the following conditions are met:

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- a) Complete course outline with measurable objectives is submitted to the Office for review. College catalog descriptions of a paragraph or less are not sufficient documentation for review. The request for equivalency must be accompanied by a fee of \$100. This fee is non-refundable and must be in the form of a certified check or money order made payable to the Office of the State Fire Marshal. No personal checks are accepted. A minimum of 80% of the course requirements must relate to the measurable objectives as illustrated by a checklist that may be requested from the Office.
- b) Courses will be audited by a member of the Division staff or another person designated by the Office.
- c) If a course is approved, a completion roster must be submitted, along with a record of attendance (hours).
- d) When a course is granted equivalency, the course participant will be allowed to take the State written and practical skills examinations one time. Failure of either the written or practical skills examination will invalidate the equivalency determination and require the course participant to successfully complete the Office approved program prior to taking the State written and practical examinations a second time.

# Section 141.125 Course Approval Standards

In determining whether to grant approval of courses, instructors, or tests, the Office will consider, but is not limited to, whether the applicant for approval:

- a) Is prepared to maintain the records required by this Part.
- b) Has appropriate staff, facilities and equipment to teach all required topics of the course.
- c) Is prepared to utilize end-of-course testing methods approved by the Office in compliance with Section 141.230 of this Part.
- d) Will utilize instructors approved by the Office under Section 141.115(c).

SUBPART C: EXAMINATION

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#### **Section 141.200 State Examinations**

Except as otherwise noted in this Part, all State written examinations will be developed, provided, and administered by Office personnel. Local instructors desiring to schedule State examinations should contact the Office to establish a time and place for the examination. While the Office will endeavor to schedule examinations at sites throughout the State as requested, the number of examination requests may necessitate delays and regional testing. The training facility requesting the State examinations be given should have facilities for the examination. When large numbers of persons are to be tested, Office personnel may request additional assistance of the facility or fire department in monitoring the administration of a test.

- a) Class rooms, lecture rooms, municipal and fire protection department training rooms shall be acceptable facilities provided that space is available for the number of persons requesting to take the examination; desks or tables and chairs shall be provided by the examination center. The room in which the examination is to be given shall be a room customarily used for quiet activities and not subject to loud noise or other activities nearby that might interfere with taking the written examinations. Students must be spaced to ensure that they cannot readily observe another's answer sheet. The following specifications for the facility and the administration of the examination must be adhered to:
  - 1) Candidates not present in the room at the time the proctor starts the examination will be disqualified from taking the examination.
  - 2) There can be nothing on the walls at the test site that could pertain to examination questions.
  - 3) The test administrator must be provided a table at least 6 feet in length.
  - 4) Loudspeakers, monitors, portable radios, cell phones and beepers must be turned off.
  - 5) The department hosting the test must supply a representative from the department at the test site during the examination. This will be the only representative of the department allowed in the test room at the time of the test.
  - 6) The test site must have toilet facilities in proximity in the same building.

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- 7) All candidates must be in clear view of the proctor's table.
- 8) The test site must have temperature control for comfort of candidates.
- b) All State written examinations will require a 70% overall passing rate. In the case of modular examinations, a 70% overall passing rate for each module is required.
- c) Examination results will be sent to the individual taking the examination through the employing fire department, the chief of the individual's fire department and, when applicable, the training facility that provided training. The Office will maintain these scores and shall use them internally for statistical and/or employment purposes. Otherwise, the Office will not release the examination scores of any individual without the prior written approval of the individual.
- d) State certifications for qualified fire service personnel may be awarded to individuals employed by local governmental agencies and fire brigades and to State of Illinois employees, after successful completion of all requirements. It is the responsibility of the Fire Chief, Chief Executive Officer or designee to sign off, verifying completion of all requirements, on all Requests for Examination and Applications for Certification/Validation-Attestation. If the Fire Chief or Chief Executive Officer refuses to attest to the verification, the individual applying for certification may petition the office for a waiver by submitting in writing all pertinent facts. Upon investigation and verification by the Office, a waiver of attestation may be granted by the Director.
- e) Prerequisites. A candidate for Firefighter II examination must be engaged in firefighting in an organized Illinois fire department as a fire protection person or trainee according to the Act as attested to by the employing Illinois Fire Chief of the individual seeking certification.
- f) Procedure to Request State Administered Certification Examination
  - At least 30 days prior to the anticipated day for testing at a given training facility, the Office shall be in receipt of a completed form entitled Request for Examination, signed by the Fire Chief or training facility Director, or his/her designee, and the Certified Instructor that will attest to the fact that each individual has:

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- A) A documented learning experience in each of the subject areas of the course required;
- B) Satisfactory scores on all local examinations; and
- C) Demonstrated the proficiency required by each skill requirement for the level of certification by having been observed and evaluated by a Certified Instructor (of the proper level) and an officer of the fire department or his/her designee in the accomplishment of these skills, and that local records are maintained that contain copies of the evaluator's checklists and evaluation sheets for each individual.
- 2) In the case of all State required practical examinations, Application for Certification/Validation-Attestation must be submitted to the Division before certifications may be issued.
- g) No person will be allowed to take the written examination for State certification without having completed all of the above requirements. End-of-course written examinations conducted by approved training facilities that show satisfactory completion of the learning experience requirements are acceptable.
- h) Persons who have not met all prerequisites listed in subsection (e) will not be examined, nor will persons who are ill, persons on duty who may be called out during the examination, or persons obviously under the influence of drugs or alcohol. In making the determination of drug or alcohol impairment, the Office will consider, but is not limited to, observation of demeanor, slurred speech, odor of alcohol, general behavior and other considerations that would help in making the determination.
- i) The proctor will not be permitted to discuss or answer questions regarding any questions on the examination. No one will be permitted to enter once the examination has started. Students are not permitted to have notes or reference material in their possession, including calculators and slide rules. The only paper allowed in the test center will be distributed in single sheets by the proctor according to the examination directions. There will be no scheduled breaks during examination. Persons should be advised at the beginning of the examination that no one will be allowed to leave the room before completing the examination except in an emergency, and then only one at a time. The examination begins after the proctor has read the instructions, at which time all

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discussion will cease. Candidates will not be permitted to speak to each other or to the proctor, and all instructions to the candidates contained in the proctor instructions will be followed. The proctor will begin the examination with the words "you may begin" and the examination will end with the proctor announcing "you are to stop now".

- j) Results of examinations taken for the purpose of State certification will be retained in the Office. All participants who examine and receive certification will have notification sent to their employing department.
- k) Re-examination
  - 1) No person shall be re-examined without further documented learning experiences in each of the subject areas.
  - 2) The Request for Examination form contains an attestation that proof exists that the individual has had the required additional learning experience before re-examination.
  - 3) There is no limit set by the Office for the number of times that an individual may take the written or practical portion of a State certification examination, except when the examinations are allowed under Section 141.120.
  - 4) The battery of examinations to be given will be determined by the Office.
- l) Practical skills examinations required by the Office for all certifications other than Firefighter II and III remain valid for 12 months. If an individual has not passed the written examination within 12 months after the practical skills evolutions, the candidate will be required to retake the State practical skills examinations.
  - 1) Passing rate of the Fire Apparatus Engineer practical skills examinations is 70%.
  - 2) Passing rate of all other practical skills examinations is 100%.
- m) State required practical skills evolutions for Firefighter II and III may be administered at any time during the course of training. It is the responsibility of the Certified Instructor to follow the Office's standards and assure currency of

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skills.

- n) If firefighters from a given fire department experience excessive or repeated failures of a firefighter examination, the Fire Chief and appropriate officers of the department are encouraged to contact the Office for assistance.
- o) Certification will not be granted until the State written examination is successfully passed and the course completion documentation and the Application for Certification/Validation-Attestation for the practical skills examinations is submitted to the Office.
- p) If the completion of the State written examination is more than 10 years old and a certification was not awarded at the time even though all other prerequisites were met, the individual will be required to successfully complete the current State practical skills examinations. Certification will be awarded when receipt of passage for the current practical skills examinations is received.

# Section 141,210 Invalidation of a Student's State Examination Score

- a) When the examination proctor observes an individual looking at unauthorized notes or reference materials, obviously looking directly at another person's answer sheet or talking during the examination, the proctor shall immediately confiscate and invalidate the individual's examination.
  - 1) The proctor shall also confiscate any unauthorized notes or reference materials.
  - 2) The proctor shall submit a written explanation of the facts involved in the invalidation of the student's examination with any confiscated materials to the Division Manager of Personnel Standards and Education
- b) Confiscated materials will be retained by the Office.
- c) When the Office determines that evidence involved in the invalidation of an individual's State examination is accurate, then:
  - 1) The individual will not be permitted to take another State examination for 120 days.

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- 2) The Office shall inform the individual's Chief of the invalidation.
- d) Any individual whose examination paper is confiscated under subsection (a) may request a hearing within 20 days after the event. The hearings will be governed by Section 141.50.
- e) In case of a training facility's failure to maintain records of training and to produce those records before the individual takes the examination or claims reimbursement for training costs, the individual's exam grades for that subject will be invalidated.

# **Section 141.220 Certificates Earned by Bypass Examination**

- a) Certification at the level of Fire Prevention Officer, Juvenile Firesetter Intervention Specialist, Fire Service Executive Support, Fire Investigator or Arson Investigator may be achieved after successful completion of a Bypass Examination in lieu of meeting the prerequisite of Firefighter II or Firefighter III. The use of the Bypass Examination is limited to personnel employed for the specific positions identified above and will not have fire suppression responsibilities within the fire department. No person employed by a local governmental agency who has current fire suppression responsibilities as a firefighter, fire officer, or fire service instructor shall be able to take a Bypass Examination.
- b) If an individual is assigned to fire suppression duties, he/she must take and pass the Firefighter II examination before proceeding with advanced certifications.

# Section 141.230 Examination Procedures for End-of-Course Examinations Not Administered by the Office

Certification of personnel is contingent upon the successful completion of competency-based examinations. Only those courses that conclude with a prescribed written, practical, or practice teaching examination, as required, will be approved. A minimum of one question per cognitive objective, but not fewer than 50 written examination questions is required at the end of the course. The end-of-course examination must be submitted to the Office, notated with the correct answer and correlated to both the applicable Office objective and reference source. Questions are to be developed by the training facility. All questions are to be keyed directly to the material contained in the course outline and should be constructed in such a manner as to test the student's knowledge and retention of the material to which the student has been exposed in the course. A

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70% score is required to pass. Facility authorities are required to submit end-of-course examinations to the Office for approval, prior to administration. Any changes in approved examinations must be submitted to the Office for review and approval prior to administration. A current copy of each approved end-of-course examination will be kept on file at the Office. The Office reserves the right to rescind approval of any or all approved end-of-course examinations without recourse. Since the purpose of the written examination is to test retention, open book and other similar examinations are not acceptable. The end-of-course examinations must use objective test items and be kept secure.

# **Section 141.240 Bypass Examination**

This examination is provided for special fire and police personnel and allied field agencies who are charged with duties governing fire prevention, fire inspection, fire investigation, and arson investigation, but who do not have, or will not be assigned, fire suppression duties and/or responsibilities. Recognition of this condition in the State Training and Certification Program is accomplished through the implementation of the Bypass Examination. The Bypass Examination is limited to personnel identified as fire protection non-sworn personnel and the law enforcement personnel seeking Arson Investigator certification. This examination does not provide State certification as a firefighter, but provides a method for individuals who may not receive Firefighter II or Firefighter III certification to participate in the Fire Prevention Officer, Juvenile Firesetter Intervention Specialist, Fire Service Executive Support, Fire Investigator, and Arson Investigator programs.

- a) Passage of the State written examination (see Section 141.200).
- b) Individuals choosing to take the Bypass Examination must plan to enter into or have completed the training program for Fire Prevention Officer, Juvenile Firesetter Intervention Specialist, Fire Service Executive Support, Fire Investigator or Arson Investigator.

## SUBPART D: CERTIFICATION

# Section 141.300 Firefighter II

An Illinois Firefighter II program meets or exceeds the level identified in NFPA 1001. The term synonymous with Firefighter II is Operative Firefighter and identifies the expected level of supervision.

a) Prerequisites. A candidate for Firefighter II certification must be engaged in

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firefighting in an organized Illinois fire department as a fire protection person or trainee according to the Act as attested to by the employing Fire Chief of the individual seeking certification.

- b) Reimbursement may be received for training costs for a Firefighter II (see Subpart E).
- c) No specific hours of training or fire service experience is required; however, no person may take the State written examination for Firefighter II certification until the appropriately certified Fire Service Instructor (see Section 141.115(c)) and employing Fire Chief or his/her designee sign the Request for Examination Form.
- d) The Fire Service Instructor must meet the requirements of Section 141.115(c).
- e) The course and facility must be approved by the Office as provided in Sections 141.100 and 141.115.
- f) Modular Training

Firefighter II training can be taken in a series of modules or as a complete course. The State written examination (see Section 141.200) can be taken by module or by taking the complete examination. When an individual takes the examination by modules, the passed modules will be kept on file until all modules are passed. If an individual is training by module, and then enters a training facility or a college program that instructs the complete program mode, any previously passed modules cannot be used to exempt any portion of the examination; the complete examination must be taken. If an individual fails the complete examination, he or she may elect to then test using the modular system. When an individual elects to be trained using the modular system, he or she may select the order of any module and its examination. (See Section 141.200.)

# g) Firefighter II Certification

For certification as a Firefighter II, the firefighter trainee shall meet the job performance requirements in NFPA 1001, including requirements defined in Chapter 2, Competencies for the First Responder at the Awareness Level of NFPA 472, and NFPA 1500, as it applies to Firefighter II.

- h) Education and Training
  - 1) Employing fire department officials will determine when the education

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and training are to be received by the Firefighter II candidate.

- 2) Employing fire department officials will determine when education, training and experience requirements have been met to be awarded the Firefighter II certificate.
- All requirements of the Office shall be met for each certification level before certificates will be issued. This includes passing the State written examination and State practical skills examinations and submission of the course completion documentation and Application for Certification/Validation-Attestation to the Office.
- i) State Certification Practical Skills Examination
  - 1) Training facilities are responsible for administering the practical skills examinations prepared by the Office.
  - 2) Records and documented proof of such tests must be maintained by the training facility/employing fire department for audit purposes. Training facilities are to acquire the identified equipment or to improvise where specific equipment is not available in the fire department or the mutual aid area to provide parallel learning experiences.
  - 3) Practical Skills Examinations
    - A) All practical skills examinations are supplied by the Division. The examination package consists of the lists of evolutions to be completed and the Practical Skills Examination Key. The evaluation package contains an attestation by the employing Fire Chief or Training Facility Director and certified instructor that all tasks have been successfully completed, with each task requiring a score of 100%.
    - B) The Application for Certification/Validation-Attestation shall be submitted to the Division before certification will be issued.
    - C) The practical skills examinations and key shall be retained in the employing fire department's trainee files for at least five years.

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i) State Certification Written Examination. To be certified as a Firefighter II, candidates must take and pass the State written examination. (See Section 141.200.)

# Section 141.302 Airport Firefighter

Professional qualifications for Airport Firefighter are identified in NFPA 1003. The Illinois program does not recognize Airport Firefighter as a rank because it is not possible to insure that every rank used by local fire or allied field agencies to identify employees would be consistent throughout the State. The Office defines the Airport Firefighter as a certified individual who has the required airport fire protection and prevention experience.

- a) Prerequisites
  - 1) Certification as a Firefighter II (see Section 141.300).
  - 2) Attainment of one year of experience in airport fire protection.
  - 3) Successful completion of the Airport Firefighter course.
  - 4) Passage of the State written examination (see Section 141.200).
  - 5) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
  - 6) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act as attested to by the employing Fire Chief of the individual seeking certification.
  - 7) Application for Certification/Validation-Attestation.
  - 8) Credit for equivalent course may be available in accordance with Section 141.120.
- b) Reimbursement may be received for training costs for an Airport Firefighter (see Subpart E).
- c) The instructor must meet the requirements of Section 141.115(c).

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d) The course and facility must be approved by the Office as provided in Sections 141.100, 141.110 and 141.115.

# Section 141.304 Firefighter III

The Office recognizes the Firefighter III level as equivalent to or exceeding the Firefighter II level identified in NFPA 1001. The term synonymous with Firefighter III is Journeyman Firefighter and identifies the expected level of supervision.

- a) Prerequisites
  - 1) Certification as a Firefighter II (see Section 141.300) and Hazardous Materials First Responder Operations (see Section 141.230).
  - 2) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act as attested to by the employing Fire Chief of the individual seeking certification.
  - 3) Attainment of three years cumulative fire service experience in a fire department that may include any combination of full-time, paid-on-call, volunteer, and military service (if a person's primary responsibility was fire protection). Proof is required. Job descriptions and personnel records are examples of adequate proof.
  - 4) Successful completion of the Firefighter III course.
  - 5) Passage of the State written examination (see Section 141.200).
  - 6) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
- b) Reimbursement may be received for training costs for a Firefighter III (see Subpart E).
- c) The instructor of a Firefighter III course must meet the requirements of Section 141.115(c).
- d) The course and facility must be approved by the Office as provided in Sections 141.110 and 141.115.

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# e) Modular Training

Firefighter III training can be taken in a series of modules. The State written examination can be taken by module or by taking the complete examination. When an individual takes the examination by modules, the passed modules will be kept on file until all modules are passed. If an individual is training by module, and then enters a training facility or a college program that instructs the complete program mode, any previously passed modules cannot be used to exempt any portion of the examination; the complete examination must be taken. When an individual elects to be trained using the modular system, he or she may select the order of any module and its examination. (See Section 141.200.)

# f) Firefighter III Certification

For certification as a Firefighter III, the candidate shall meet the job performance requirements in NFPA 1001, including requirements defined in Chapter 3, Competencies of Responders to Hazardous Materials Incidents, and NFPA 1500 as it applies to Firefighter III.

# g) Education and Training

- 1) Fire department officials will determine when the education and training are to be received by the Firefighter III candidate.
- 2) Fire department officials will determine when the education, training and experience of a candidate are adequate for the officials to sign a Request for Examination form authorizing the Firefighter III candidate to take the State examinations.
- 3) All requirements of the Office shall be met for each certification level before certificates will be issued. This includes passing the State written examination and practical skills examinations and submission of the Validation-Attestation to the Office.

# h) Refresher Training

The Firefighter III is considered by the Office to be the senior technical level in the fire suppression career ladder and, therefore, is not required to progress to another level in order to maintain certification. In order to insure that Firefighter III personnel maintain their proficiency, they are encouraged to keep abreast of the state of the art by participating in refresher training reflecting applicable

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objectives.

# **Section 141.306 Fire Apparatus Engineer**

The Fire Apparatus Engineer program is designed to meet a specialty need within the fire service. The designation equals or exceeds the requirements of NFPA 1002 and NFPA 1500.

- a) Prerequisites
  - 1) Certification as a Firefighter II (see Section 141.300).
  - 2) Engagement in firefighting in an organized Illinois fire department as a fire protection person or trainee according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
  - 3) Successful completion of the Fire Apparatus Engineer course of two modules: pumper operations and apparatus driving.
  - 4) Passage of the State written examination (see Section 141.200).
  - 5) Passage of the State practical skills examinations (see Section 141.200 and subsection (e) of this Section).
  - 6) Possession of the appropriate class of driver's license in accordance with the Illinois Vehicle Code [625 ILCS 5].
  - Application for Certification/Validation-Attestation, which shall include driving validation by the employing Fire Chief that all practical driving skills as specified in NFPA 1002 have been taught. This application and driving validation must be sent to the Office before the certification is granted.
- b) Reimbursement may be received for training costs for a Fire Apparatus Engineer (see Subpart E).
- c) Instructor Qualifications. The instructor must meet the requirements of Section 141.115(c).
- d) Facility Certification and Delivery Systems. The course and facility must be

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approved by the Office as provided in Sections 141.110 and 141.115.

- e) State Certification Practical Skills Examinations
  - 1) The State practical skills examinations consist of a series of evolutions covering pumper operations and apparatus driving.
  - 2) All practical skills examinations must be administered by an Instructor II and Fire Apparatus Engineer and observed by two additional persons assigned by the Fire Chief.
  - 3) The driving validation practical skills examinations and Application for Certification/Validation-Attestation must be sent to the Office. A copy shall be retained in the employing fire department files.

#### Section 141.308 Fire Officer I

The Office recognizes three levels of Fire Officer: Fire Officer I, II, and III. These three levels meet and exceed the four levels of Fire Officer identified in NFPA 1021. The Office does not recognize Fire Officer as a rank because it is not possible to insure that every rank used by local fire or allied field agencies to identify employees would be consistent throughout the State. The Office defines the Fire Officer I as an individual having the responsibilities of Company Officer.

# a) Prerequisites

- 1) Certification as Firefighter III (see Section 141.304) and as Fire Service Instructor I (see Section 141.318).
- 2) Engagement in firefighting in an organized Illinois fire department as a fire protection person or trainee according to the Act as attested to by the employing Fire Chief of the individual seeking certification.
- 3) Attainment of four years minimum current fire service experience in a fire department or as current full time staff instructor of the Fire Service Institute.
- 4) Successful completion of the required three-semester credit (40 student-contact hours minimum) courses or equivalent (see Section 141.120). A course taken for certification credit of 40 student contact hours (minimum)

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can be used for only one area of career hierarchy.

# 5) Experience Requirements

- A) Candidates for Fire Officer I certification must have a minimum of one year current experience as a Provisional Fire Officer I (see subsection (a)(5)(B)) or Fire Officer I trainee. The Office defines a Fire Officer I trainee as a person possessing Firefighter III certification assigned to supervise one or more companies (a company is a crew of fire protection personnel). The Certified Instructor and employing Fire Chief must document this current experience as a Provisional Fire Officer I or Fire Officer I trainee.
- B) Until such time as the experience requirement is satisfied, the Fire Officer I candidate will receive a certificate attesting to his/her Provisional Qualification as a Fire Officer I. Provisional Qualification can only be given after completion of all required courses. Provisionally qualified status allows the individual to participate in Fire Officer II courses and training. Provisionally qualified status does not certify the individual as a Fire Officer I.
- C) For purposes of figuring the year of experience, the applicant must have been assigned to Fire Officer I duties at least 80% of the time based on a 120 workday period (i.e., 96 days of 120 days).
- 6) Application for Certification/Validation-Attestation.
- b) Reimbursement may be received for training costs for a Fire Officer I (see Subpart E).
- c) Credit for equivalent courses may be available in accordance with Section 141.120.
- d) Instructors for Fire Officer I courses must meet the requirements of Section 141.115(c).
- e) Facility Certification and Delivery Systems
  Educational institutions and fire service organizations desiring to offer the Fire
  Officer program will be required to receive approval from the Office. Approval

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# requires:

- 1) Facility approval in accordance with Sections 141.100 and 141.110.
- 2) Course approval in accordance with Section 141.115.
- 3) End-of-course examinations in accordance with Section 141.230.
- 4) All courses will be delivered under the auspices of approved institutions, which are identified as follows:
  - A) All Fire Officer I and II courses may be delivered by any accredited college or university in Illinois.
  - B) All Fire Officer III courses may be delivered by colleges or universities accredited in Illinois to offer baccalaureate degrees.
  - C) The following fire service organizations may receive approval from the Office to deliver specialized courses:
    - i) The Illinois Fire Chiefs Association (IFCA).
    - ii) The Illinois Fire Inspectors Association (IFIA).
    - iii) The Illinois Society of Fire Service Instructors (ISFSI).
    - iv) The Illinois Firefighters Association (IFA).
    - v) The Associated Firefighters of Illinois (AFFI).
    - vi) The Illinois Association of Fire Protection Districts (IAFPD).
    - vii) The Illinois Professional Firefighters Association (IPFA).
    - viii) The Illinois Fire Safety Alliance (IFSA).
- 5) All organizations and institutions desiring to offer programs and/or courses shall meet all requirements established by the Office regarding

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curricula, student control, examinations, financial records maintenance and instructor's qualifications in Sections 141.100, 141.110, 141.115, 141.125 and 141.230.

- f) State Certification Written Examination
  To be certified as a Fire Officer I, one of the following means of examination
  must be successfully passed, with proof of course completion and passage
  submitted to the Office:
  - 1) Written examination administered by the training facility. Examinations must be approved by the Office as meeting the criteria in Sections 141.115 and 141.230.
  - 2) Written examination administered by the Office in accordance with Section 141.200.
  - 3) Examinations shall be taken either by subject area or entire certification requirements.

# **Section 141.310 Fire Service Executive Support**

Professional qualifications for Fire Service Executive Support are identified in NFPA 1021. The Office recognizes the level of Fire Service Executive Support for those individuals who perform administrative duties in support of fire departments.

# a) Prerequisites

- 1) Successful completion of Bypass Examination. (See Sections 141.220 and 141.240.)
- 2) Attainment of three years minimum experience in a fire department.
- 3) Successful completion of Fire Service Executive Support courses.
- 4) Validation of skills, completed and attested to by the employing Fire Chief/Administrator and submitted to the Office.
- 5) Application for Certification/Validation-Attestation.

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- b) The instructor must meet the requirements of Section 141.115(c).
- c) The course and facility must be approved by the Office in accordance with Section 141.308(e).
- d) The end-of-course examination shall be approved in accordance with Section 141.308(f).

# Section 141.312 Fire Department Incident Safety Officer

Professional Qualifications for Fire Department Incident Safety Officer are identified in NFPA 1521. Fire Department Incident Safety Officer is defined as an individual appointed to respond to or assigned at an incident scene by the incident commander to perform the duties and responsibilities as designated in this standard and NFPA 1500, whose duties entail promotion of the incident action plan, including the overall incident strategy, tactics, risk management, and member safety. This individual can also be the health and safety officer or it can be a separate function.

# a) Prerequisites

- 1) Certification as a Fire Officer I (see Section 141.308), Hazardous Materials First Responder Operations (see Section 141.344), and Technical Rescue Awareness (see Section 141.350).
- 2) Successful completion of the Fire Department Incident Safety Officer course.
- 3) Successful completion of an approved Strategy and Tactics II course.
- 4) A candidate for Fire Department Incident Safety Officer certification must be engaged in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- 5) Passage of the State written examination (see Section 141.200).
- 6) Application for Certification/Validation-Attestation.

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- b) Reimbursement may be received for training costs for Fire Department Incident Safety Officer (see Subpart E).
- c) The instructor must meet the requirements in Section 141.115(c).
- d) The course and facility must be approved by the Office in accordance with Section 141.308(e).

#### Section 141.314 Fire Officer II

The Office recognizes three levels of Fire Officer: Fire Officer I, II, and III. These three levels meet and exceed the four levels of Fire Officer identified in NFPA 1021. The Office defines Fire Officer II as a person having the responsibilities above Company Officer, but less than the responsibilities of the Fire Administrator, Fire Chief, head of the department, etc.

# a) Prerequisites

- 1) Certification as a Fire Officer I (see Section 141.308) and as a Fire Service Instructor II (see Section 141.320).
- 2) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- 3) Attainment of five years minimum current fire service experience in a fire department or as a current fulltime staff instructor of the Fire Service Institute.
- 4) Successful completion of the identified 3-semester credit courses (40 student contact hours minimum) or equivalent, according to Section 141.120. A course taken for certification credit of 40 student contact hours (minimum) can be used for only one area in the career hierarchy.

# 5) Experience Requirements

A) Candidates for Fire Officer II certification must have a minimum of one year current experience as a Provisional Fire Officer II (see subsection (a)(5)(B)) or a Fire Officer II trainee. The Office defines a Fire Officer II trainee as a person possessing Fire Officer

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I certification assigned to Fire Officer II duties. The Certified Instructor and Fire Chief must document this current experience as a Provisional Fire Officer II or Fire Officer II trainee.

- B) Until such time as the experience requirement is met, the Fire Officer II candidate will receive a certificate attesting to his/her Provisional Qualification as a Fire Officer II. Provisionally qualified status allows the individual to participate in Fire Officer III courses. Provisionally qualified status does not certify the individual as a Fire Officer II. Provisional Qualification can only be given after completion of all required courses.
- C) An individual must be a certified Fire Officer I to receive a Provisional Fire Officer II certification.
- D) For purposes of figuring the year of experience, the applicant must have been assigned to Fire Officer II duties at least 80% of the time based on a 120 workday period (i.e., 96 days of 120 days).
- 6) Application for Certification/Validation-Attestation.
- b) Reimbursement may be received for training costs for a Fire Officer II (see Subpart E).
- c) Credit for equivalent courses may be available in accordance with Section 141.120.
- d) Instructors of Fire Officer II courses must meet the requirements of Section 141.115(c).
- e) A State written examination must be administered in accordance with Section 141.308(f).
- f) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).

# Section 141.316 Fire Officer III

The Office recognizes three levels of Fire Officer: Fire Officer I, II, and III. These three levels

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meet and exceed the four levels of Fire Officer identified in NFPA 1021. The Office identifies the Fire Officer III as a person who has administrative responsibilities, the authority to affect practices, policies and procedures of the department, and is, or reports directly to, the Fire Chief, Fire Administrator or head of department.

# a) Prerequisites

- 1) Certified as a Fire Officer II (see Section 141.314).
- 2) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- 3) Attainment of ten years minimum fire service experience in a fire department.
- 4) Successful completion of the Fire Officer III required courses.
- 5) Experience Requirements
  - A) The applicant must have current experience in performing administrative duties for a minimum of two years to be certified. Individuals applying with prior experience shall be evaluated individually.
  - B) Documentation of work experience as a Provisional Fire Officer III (see subsection (a)(5)(C)) shall consist of:
    - i) Completion of a specified Office checklist showing completion of work experience related to objectives.
    - ii) The official job description of the applicant signed by the Fire Chief or, in the case of the applicant being the Fire Chief, the Supervisor of the Fire Chief (such as Mayor, President of Trustees, or similar official).
    - iii) An official, legible, definitive department organization chart on fire department letterhead, signed by the Fire Chief or, in the case of the applicant being the Fire Chief, the

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Supervisor of the Fire Chief (such as Mayor, President of Trustees, or similar official).

- C) Until such time as the experience requirement is met, the Fire Officer III candidate will receive a certificate attesting to his/her Provisional Qualification as a Fire Officer III. Provisionally qualified status does not certify the individual as a Fire Officer III. Provisional qualification can only be given after completion of all formal courses.
- D) A person possessing a certificate as a Provisional Fire Officer II may take and be reimbursed for Fire Officer III courses (see Subpart E). However, an individual must be certified as a Fire Officer II to receive Provisional Fire Officer III certification.
- 6) Application for certification and documentation of work experience.
- b) A State Written Examination must be administered in accordance with Section 141.308(f).
- c) Reimbursement may be received for training costs for Fire Officer III (see Subpart E).
- d) Credit for equivalent courses may be available in accordance with Section 141.120.
- e) Instructors for Fire Officer III courses must meet the requirements in Section 141.115(c).
- f) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).

# **Section 141.318 Fire Service Instructor I**

Professional qualifications for Fire Service Instructor I are identified in NFPA 1041. Fire Service Instructor I is defined as an instructor who has demonstrated the knowledge of and ability to deliver instruction effectively from a prepared lesson plan, including instructional aids and evaluation instruments; adapt lesson plans to the unique requirements of the students and authority having jurisdiction; organize the learning environment so that learning is maximized;

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and meet the record keeping requirements of the authority having jurisdiction.

- a) Prerequisites
  - 1) Certification as a Firefighter II (see Section 141.300).
  - 2) Attainment of three years of documented cumulative fire service experience in a fire department.
  - 3) Successful completion of the Fire Service Instructor I course. A current State Teacher's Certification Board Certificate is deemed equivalent to the Fire Service Instructor I course. A copy of the teacher's certificate must be submitted with the Request for Examination form.
  - 4) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act as attested to by the employing Fire Chief of the individual seeking certification.
  - 5) Application for Certification/Validation-Attestation.
- b) Reimbursement may be received for training costs for a Fire Service Instructor I (see Subpart E).
- c) The instructor of a Fire Service Instructor I course must meet the requirements in Section 141.115(c).
- d) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# **Section 141.320 Fire Service Instructor II**

Professional qualifications for Fire Service Instructor II are identified in NFPA 1041. The Illinois program does not recognize Fire Service Instructor as a rank because it is not possible to insure that every rank used by local fire or allied field agencies to identify employees would be consistent throughout the State. Fire Service Instructor II is a fire service instructor who, in addition to meeting Fire Service Instructor I qualifications, has demonstrated the knowledge and

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ability to coordinate other instructors and who is capable of using a variety of teaching strategies to develop lesson plans and instructional aids based on a task analysis.

# a) Prerequisites

- 1) Certification as a Firefighter III (see Section 141.304) and as a Fire Service Instructor I (see Section 141.318).
- 2) Attained five years of documented fire service experience in a fire department.
- 3) Successful completion of the Fire Service Instructor II course.
- 4) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- 5) Application for Certification/Validation-Attestation.
- b) Reimbursement may be received for training costs for a Fire Service Instructor II (see Subpart E).
- c) Instructors of a Fire Service Instructor II course must meet the requirements of Section 141.115(c).
- d) Credit for equivalent courses may be available in accordance with Section 141.120.
- e) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).

## Section 141.322 Fire Service Instructor III

Professional qualifications for Fire Service Instructor III are in NFPA 1041. The Illinois program does not recognize Fire Service Instructor as a rank because it is not possible to insure that every rank used by local fire or allied field agencies to identify employees would be consistent throughout the State. The Fire Service Instructor III is a certified individual serving in a fire department or allied field agency who, in addition to meeting Fire Service Instructor II qualifications, has demonstrated the knowledge and ability to develop comprehensive training

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curricula and programs for use by single or multiple organizations; conduct organization needs analysis; and develop training goals and implementation strategies.

# a) Prerequisites

- 1) Certification as a Fire Service Instructor II (see Section 141.320).
- 2) Attained a minimum of three years in the capacity of a Fire Service Instructor.
- 3) Successful completion of the Fire Service Instructor III course.
- 4) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- 5) Application for Certification/Validation-Attestation.
- b) Reimbursement may be received for training costs for a Fire Service Instructor III (see Subpart E).
- c) The instructor of a Fire Service Instructor III course must meet the requirements in Section 141.115(c).
- d) Credit for equivalent courses may be available in accordance with Section 141.120.
- e) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).

# **Section 141.324 Training Program Manager**

Professional qualifications for a Training Program Manager are identified in NFPA 1041. The Illinois program does not recognize Training Program Manager as a rank because it is not possible to insure that every rank used by local fire or allied field agencies to identify employees would be consistent throughout the State. The Training Program Manager is a certified individual serving in a fire department or allied field agency who, in addition to meeting Fire Service Instructor II qualifications, has demonstrated the knowledge and ability to administer

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and manage a fire service training program, including budget preparation, personnel management, maintenance of positive public relations and organizational goal setting.

# a) Prerequisites

- 1) Certification as a Fire Service Instructor II (see Section 141.320).
- 2) Attained a minimum of five years in the capacity of a Fire Service Instructor II or training officer.
- 3) Successful completion of the Training Program Manager course.
- 4) Application for Certification/Validation-Attestation.
- 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for a Training Program Manager (see Subpart E).
- c) The instructor of a Training Program Manager course must meet the requirements in Section 141.115(c).
- d) Credit for equivalent courses may be available in accordance with Section 141.120.
- e) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).

# **Section 141.326 Fire Prevention Officer**

Professional qualifications for Fire Prevention Officer, except firefighter qualifications, are identified in NFPA 1031, 1033 and 1035. A Fire Prevention Officer is a person serving in a fire department or allied agency whose primary duties are inspections of a variety of structures, reporting inspection results of fire safety conditions, conducting basic fire investigation, and performing basic fire prevention education activities. The term synonymous with Fire Prevention Officer is Technical Specialist.

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# a) Prerequisites

- 1) Certification as a Firefighter III (see Section 141.304) or successful completion of the Bypass Examination (see Sections 141.220 and 141.240). Entrance into this program through the Bypass Examination is limited to:
  - A) Office personnel.
  - B) Persons employed by fire departments and fire protection districts in fire prevention areas who are prohibited from work in fire suppression.
- 2) Attainment of three years cumulative fire service experience, which must include one year of experience in fire prevention.
- 3) Successful completion of the Fire Prevention Officer course.
- 4) Successful completion of the State written examination (see Section 141.200).
- 5) Application for Certification/Validation-Attestation.

# b) Modular Training

Fire Prevention Officer training can be taken in a series of modules or as a complete course. The State written examination (see Section 141.200) can be taken by module or by taking the complete examination. When an individual takes the examination by modules, the passed modules will be kept on file until all modules are passed. If an individual is training by module, and then enters a training facility or a college program that instructs the complete program mode, any previously passed modules cannot be used to exempt any portion of the examination; the complete examination must be taken. If an individual fails the complete examination, he or she may elect to then test using the modular system. When an individual elects to be trained using the modular system, he or she may select the order of any module and its examination. (See Section 141.200.)

c) Reimbursement may be received for training costs for a Fire Prevention Officer (see Subpart E).

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- d) Credit for equivalent courses may be available in accordance with Section 141.120.
- e) The instructor of a Fire Prevention Officer course must meet the requirements in Section 141.115(c).
- f) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).

# Section 141.328 Juvenile Firesetter Intervention Specialist

Professional qualifications for a Juvenile Firesetter Intervention Specialist (JFIS) are identified in NFPA 1035. A JFIS is an individual who has the knowledge, skills and responsibility to serve in a fire department or allied field agency to facilitate case management of identified juvenile firesetters for assessment, education and referral purposes.

- a) Prerequisites
  - 1) Certification as Firefighter II (see Section 141.300) or successful completion of the Bypass Examination (see Sections 141.220 and 141.240).
  - 2) Achievement of the following:
    - A) Basic knowledge of educational methods and types of interventions, interagency protocols and abuse, neglect and legal issues as they apply.
    - B) High school diploma or equivalent.
  - 3) The authority having jurisdiction shall attest that the applicant has the required knowledge, skills, education and experience. Application for Certification/Validation-Attestation must be submitted to the Office before the certification is granted.
  - 4) Successful completion of the Juvenile Firesetter Intervention Specialist course.

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- 5) Successful completion of the State written examination (see Section 141.200).
- b) Instructor Requirements
  - 1) See Section 141.115(c).
  - 2) Attainment of three years experience as a Juvenile Firesetter Intervention Specialist.
- c) Credit for equivalent courses may be available in accordance with Section 141.120.
- d) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).

# Section 141.330 Public Fire and Life Safety Educator II

Professional qualifications for Public Fire and Life Safety Educator II are identified in NFPA 1035. A Public Fire and Life Safety Educator II is an individual serving in a fire department or allied agency with primary responsibility for the development and dissemination of fire prevention education materials and programs.

- a) Prerequisites
  - 1) Certification as a Fire Prevention Officer (see Section 141.326).
  - 2) Attainment of three years of documented fire prevention experience.
  - 3) Successful completion of the Public Fire and Life Safety Educator II course.
  - 4) Application for Certification/Validation-Attestation.
- b) Reimbursement may be received for training costs for a Public Fire and Life Safety Educator II (see Subpart E).
- c) Credit for equivalent courses may be available in accordance with Section 141.120.

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- d) The instructor of a Public Fire and Life Safety Educator II course must meet the requirements of Section 141.115(c).
- e) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).

# Section 141.332 Public Fire and Life Safety Educator III

Professional qualifications for Public Fire and Life Safety Educator III are identified in NFPA 1035. A Public Fire and Life Safety Educator III is a person serving in a fire department or allied agency assigned supervisory and administrative responsibilities within a public fire education program.

- a) Prerequisites
  - 1) Certification as a Public Fire and Life Safety Educator II (see Section 141.330).
  - 2) Attainment of five years of documented fire prevention experience with two years in fire education.
  - 3) Successful completion of the Public Fire and Life Safety Educator III course.
  - 4) Application for Certification/Validation-Attestation.
- b) Reimbursement may be received for training costs for a Public Fire and Life Safety Educator III (see Subpart E).
- c) Credit for equivalent courses may be available in accordance with Section 141.120.
- d) The instructor of a Public Fire and Life Safety Educator III course must meet the requirements of Section 141.115(c).
- e) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).

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# **Section 141.334 Fire Investigator**

Professional qualifications for Fire Investigator are identified in NFPA 1033 and NFPA 921. The Illinois program does not recognize Fire Investigator as a rank because it is not possible to insure that every rank used by local fire departments or allied field agencies to identify persons serving as Fire Investigators would be consistent throughout the State. A Fire Investigator is an individual, serving in an agency or a fire department, specifically responsible for the investigation of fire incidents. The term synonymous with Fire Investigator is Technical Specialist.

# a) Prerequisites

- 1) Certification as a Firefighter II (see Section 141.300) or successful completion of the Bypass Examination (see Sections 141.220 and 141.240).
- 2) Successful completion of the Fire Investigator course consisting of three Modules, or Modules I and II and the Arson Investigator course (see Section 141.336).
- 3) Application for Certification/Validation-Attestation.
- 4) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for a Fire Investigator (see Subpart E).
- c) The instructor of a Fire Investigator course or an Arson Investigator course must meet the requirements of Section 141.115(c).
- d) Credit for equivalent courses may be available in accordance with Section 141.120.
- e) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).
- f) Re-certification requirements:

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- 1) Certifications expire four years after issuance date.
- 2) Certification as a Fire Investigator.
- Ompletion of refresher training following the established course objectives identified in NFPA 1033 and NFPA 921, totaling 100 points over a four-year period, attested to by the employing Fire Chief, based on the divisional point system. Point dissemination will be determined by the applicable ad hoc committee. Records of the training will be retained in the fire department records.
- 4) Application for re-certification, signed by employing Fire Chief, verifying completion of training points.
- 5) If certification is not renewed within 90 days after the expiration date, the individuals will be required to complete an approved Fire Investigator Course.

# **Section 141.336 Arson Investigator**

The Illinois program does not recognize Arson Investigator as a rank because it is not possible to insure that every rank used by local fire and police departments or allied field agencies to identify persons serving as Arson Investigators would be consistent throughout the State. An Arson Investigator is an individual who is a full-time paid and sworn employee of the Office or a local governmental agency specifically responsible for the investigation of suspected arson fire incidents.

# a) Prerequisites

- 1) Certification as a Firefighter II (see Section 141.300) or successful completion of the Bypass Examination (see Sections 141.220 and 141.240).
- 2) Successful completion of Modules I and II of the Fire Investigator Course (see Section 141.334) or equivalent course (see Section 141.120).
- 3) Successful completion of an Arson Investigator course approved by the Office and the Illinois Law Enforcement Training and Standards Board, or

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provide proof of equivalent courses to be evaluated by the Executive Director of the Illinois Law Enforcement Training and Standards Board.

- 4) Be employed full-time by a local governmental agency, the Office, or other organization investigating fires and explosions believed to be arson.
- 5) Application for Certification/Validation-Attestation.
- b) Reimbursement may be received for training costs for an Arson Investigator (see Subpart E).
- c) Instructor Requirements. Instructors must have demonstrated experience and education in the technical areas to be taught and must be approved by the Office and the Illinois Law Enforcement Training and Standards Board prior to the course offering.
- d) Firearms Training. Upon successful completion of the Firearms and Physical Training portion of the Arson Investigator program, records of completion shall be forwarded to the Illinois Law Enforcement Training and Standards Board for personnel of fire and police departments or allied field agencies. The Board will review the records and issue appropriate firearms training certificates.
- e) The Office will issue the Arson Investigator certificate upon receipt of firearms training, physical training, and all other documents from the Illinois Law Enforcement Training and Standards Board.
- f) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).
- g) Credit for equivalent courses may be available in accordance with Section 141.120.
- h) Re-certification requirements:
  - 1) Certifications expire four years after issuance date.
  - 2) Certification as an Arson Investigator.

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- Ompletion of refresher training following the established course objectives identified in NFPA 1033 and NFPA 921, totaling 100 points over a four-year period, attested to by the employing Fire Chief, based on the divisional point system. Point dissemination will be determined by the applicable ad hoc committee. Records of the training will be retained in the fire department records.
- 4) Application for re-certification, signed by the employing Fire Chief, verifying completion of training points.
- 5) If certification is not renewed within 90 days after the expiration date, the individuals will be required to complete an approved Fire Investigator course.

# Section 141.338 Fire Inspector II and Plan Examiner I

Professional qualifications for Fire Inspector II and Plan Examiner I are identified in NFPA 1031. A Fire Inspector II and Plan Examiner I is a person serving in a fire department or allied agency assigned fire inspection and supervisory responsibilities. The term synonymous with Fire Inspector II and Plan Examiner I is Senior Technician.

- a) Prerequisites
  - 1) Certification as a Fire Prevention Officer (see Section 141.326).
  - 2) Attainment of three years of documented experience in fire inspection.
  - 3) Successful completion of the Fire Inspector II and Plan Examiner I course.
  - 4) Application for Certification/Validation-Attestation.
  - 5) Passage of the State written examination (see Section 141.200).
- b) Reimbursement may be received for training costs for a Fire Inspector II and Plan Examiner I (see Subpart E).
- c) Credit for equivalent courses may be available in accordance with Section 141.120.

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- d) An instructor of a Fire Inspector II and Plan Examiner I course must meet the requirements of Section 141.115(c).
- e) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).

# Section 141.340 Fire Inspector III and Plan Examiner II

Professional qualifications for Fire Inspector III and Plan Examiner II are identified in NFPA 1031. A Fire Inspector III and Plan Examiner II is a person serving in a fire department or allied agency assigned primarily supervisory and administrative responsibilities within a fire prevention program.

- a) Prerequisites
  - 1) Certification as a Fire Inspector II and Plan Examiner I (see Section 141.338).
  - 2) Attainment of five years of documented experience in fire inspection.
  - 3) Successful completion of the Fire Inspector III and Plan Examiner II course.
  - 4) Application for Certification/Validation-Attestation.
  - 5) Passage of the State written examination (see Section 141.200).
- b) Reimbursement may be received for training costs for a Fire Inspector III and Plan Examiner II (see Subpart E).
- c) Credit for equivalent courses may be available in accordance with Section 141.120.
- d) An instructor of a Fire Inspector III and Plan Examiner II course must meet the requirements of Section 141.115(c).
- e) All fire service organizations and educational institutions desiring to offer programs and/or courses shall meet the requirements of Section 141.308(e).

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## **Section 141.342 Hazardous Materials Awareness**

Hazardous Materials Awareness personnel are fire personnel trained to the level of awareness defined by the U.S. Department of Labor, Occupational Safety and Health Administration (OSHA) at 29 CFR 1910.120 or the United States Environmental Protection Agency (USEPA) at 40 CFR 311, whichever is appropriate for their jurisdiction. Fire protection personnel at this level of certification are persons who are likely to witness or discover a hazardous substance release or potential release and who have been trained to initiate an emergency response sequence by notifying the proper authorities (local, State, federal, or private resources) of the release. Professional qualifications for Hazardous Materials Awareness personnel are identified in NFPA 472.

- a) Prerequisites
  - 1) Certification as a Firefighter II (see Section 141.300).
  - 2) Successful completion of a Hazardous Materials Awareness course.
  - 3) Passage of the State written examination (see Section 141.200).
  - 4) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for Hazardous Materials Awareness personnel (see Subpart E).
- c) An instructor of a Hazardous Materials Awareness course must meet the requirements of Section 141.115(c).
- d) The course and facility must be approved by the Office as provided in Sections 141.100, 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# **Section 141.344 Hazardous Materials First Responder – Operations**

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First responders, for the purpose of this level of certification, are fire protection personnel trained to the levels of Awareness and First Responder – Operations as defined in 29 CFR 1910.120. First Responders shall be trained to meet requirements of OSHA (29 CFR 1910.120) or USEPA (40 CFR 311), whichever is appropriate for their jurisdiction. Fire protection personnel at this level of certification are both: persons who are likely to witness or discover a hazardous substance release or potential release and who have been trained to initiate an emergency response sequence by notifying the proper authorities (local, State, federal, or private resources) of the release; and persons who respond to releases or potential releases of hazardous substances as part of the initial response to the site for the purpose of protecting nearby persons, property, or the environment from the effects of the release. They are trained to respond in a defensive fashion without actually trying to stop the release. Their function is to contain the release from a safe distance, keep it from spreading, and prevent exposures. Hazardous Materials First Responder – Operations is designed as the introductory step in the acquisition of knowledge and skills required to safely mitigate a release or potential release of hazardous substances and is defined as meeting the requirement for fire protection personnel under 29 CFR 1910.120. Professional qualifications for Hazardous Materials First Responder – Operations are identified in NFPA 472.

# a) Prerequisites

- 1) Certification as a Firefighter II (see Section 141.300).
- 2) Successful completion of a Hazardous Materials First Responder Operations course.
- 3) Passage of a State practical skills examination (see Sections 141.200 and 141.300(g)).
- 4) Passage of the State written examination (see Section 141.200).
- 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for a Hazardous Materials First Responder Operations (see Subpart E).
- c) An instructor of a Hazardous Materials First Responder Operations course must meet the requirements of Section 141.115(c).

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- d) The course and facility must be approved by the Office as provided in Sections 141.100, 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

## **Section 141.346 Hazardous Materials Technician**

Hazardous Materials Technician is a series of two courses designed for the training and development of Hazardous Materials Response Team Members. Hazardous Materials Technicians are individuals who respond to releases or potential releases for the purpose of stopping the release. They assume a more aggressive role than a First Responder at the operations level in that they will approach the point of release in order to plug, patch or otherwise stop the release of a hazardous substance. Hazardous Materials Technician A involves procedures for and entry into the "hot zone". Hazardous Materials Technician B involves rescue procedures, tactics and strategy. Both Hazardous Materials Technician A and Technician B are required to satisfy the requirements in NFPA 472. Technicians are trained to meet requirements of OSHA (29 CFR 1910.120) or USEPA (40 CFR 311), whichever is appropriate for their jurisdiction. This program is designed to meet the requirements of 29 CFR 1910.120.

- a) Prerequisites Hazardous Materials Technician A
  - 1) Certification as a Hazardous Materials First Responder Operations (see Section 141.344).
  - 2) Successful completion of the Hazardous Materials Technician A course.
  - 3) Passage of the State written examination (see Section 141.200).
  - 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
  - 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Prerequisites Hazardous Materials Technician B

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- 1) Certification as a Hazardous Materials Technician A (see subsection (a)).
- 2) Successful completion of the Hazardous Materials Technician B course.
- 3) Passage of the State written examination (see Section 141.200).
- 4) Passage of the State practical skills examination (see Sections 141.200 and 141.300(g)).
- 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- c) Reimbursement may be received for training costs for a Hazardous Materials Technician (see Subpart E).
- d) An instructor of a Hazardous Materials Technician A or B course must meet the requirements of Section 141.115(c).
- e) The course and facility must be approved by the Office as provided in Sections 141.100, 141.110 and 141.115.
- f) Credit for equivalent courses may be available in accordance with Section 141.120.

# **Section 141.348 Hazardous Materials Incident Command**

Hazardous Materials Incident Command is designed for the training of Certified Hazardous Materials First Responders and Technicians to manage a hazardous materials emergency incident. An Incident Command System fixes command on one particular individual or a group of individuals throughout the incident to ensure a strong visible direct command. If the incident is above the level of training of the Incident Commander, management of all tactical operations shall be delegated to an appropriately trained individual. This does not relieve the Incident Commander of the responsibilities outlined in OSHA 1910.120(q)(3). This course has been developed to meet the requirements of OSHA (29 CFR 1910.120) or USEPA (40 CFR 311), whichever is appropriate for the jurisdiction. Professional qualifications for Incident Command are identified in NFPA 472.

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# a) Prerequisites

- 1) Certification as a Hazardous Materials First Responder Operations (see Section 141.344).
- 2) Successful completion of the Hazardous Materials Incident Command course.
- 3) Passage of the State written examination (see Section 141.200).
- 4) Passage of the State practical skills examination (see Sections 141.200 and 141.300(g)).
- 5) Application for Certification/Validation-Attestation.
- 6) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for a Hazardous Materials Incident Command (see Subpart E).
- c) An instructor of a Hazardous Materials Incident Command course must meet the requirements of Section 141.115(c).
- d) The course and facility must be approved by the Office as provided in Sections 141.100, 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

## Section 141.350 Technical Rescue Awareness

Technical Rescue Awareness is designed to give first due companies a basic awareness of requirements and hazards at technical rescue incidents. Training will meet standards of NFPA 1670. Professional qualifications require completion of the approved Technical Rescue Awareness course. Technical Rescue Awareness is designed as the introductory step in the acquisition of knowledge and skills required for first due company operations.

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- a) Prerequisites
  - 1) Certification as a Firefighter II (see Section 141.300).
  - 2) Successful completion of the Technical Rescue Awareness course.
  - 3) Successful completion of the State written examination (see Section 141.200).
  - 4) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for Technical Rescue Awareness (see Subpart E).
- c) An instructor of a Technical Rescue Awareness course must meet the requirements of Section 141.115(c).
- d) The course and facility must be approved by the Office as provided in Sections 141.100, 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# **Section 141.352 Rescue Specialist – Confined Space**

Rescue Specialist – Confined Space is designed to give fire service personnel the basic knowledge and skills to safely perform confined space rescue as defined by the Illinois Department of Labor (56 Ill. Adm. Code 350.280) and OSHA (29 CFR 1910.146).

- a) Prerequisites
  - 1) Certification as a Rescue Specialist Vertical I or Rope Operations (see Section 141.367).
  - Successful completion of the Confined Space course. Prerequisite for taking the course is successful completion of Confined Space/Trench Awareness or Technical Rescue Awareness course and Vertical I course.

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- 3) Passage of the State written examination (see Section 141.200).
- 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
- 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for a Rescue Specialist Confined Space (see Subpart E).
- c) Special Instructor Requirements
  - 1) Instructor of Record shall be certified as a Fire Service Instructor II (see Section 141.320) and Rescue Specialist Confined Space.
  - 2) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record. There shall be at least one instructor for each six students.
  - 3) All other instructors on site shall be a minimum of Fire Service Instructor I (see Section 141.318) and certified as a Rescue Specialist Confined Space.
- d) The course and facility must be approved by the Office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# **Section 141.354 Trench Operations**

Trench Operations is designed to give fire service personnel the basic knowledge and skills to safely perform trench stabilization, shoring equipment placement, and excavation and freeing of the victim from engulfment, as defined by NFPA 1670 and OSHA (29 CFR 1926, subpart P).

a) Prerequisites

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- 1) Certification in Technical Rescue Awareness (see Section 141.350) or Rescue Specialist Confined Space (see Section 141.352).
- 2) Successful completion of the Trench Operations course. Prerequisite for taking the course is successful completion of Confined Space/Trench Awareness or Technical Rescue Awareness course (see Section 141.350).
- 3) Passage of the State written examination (see Section 141.200).
- 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
- 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for Trench Operations (see Subpart E).
- c) Special Instructor Requirements
  - 1) Instructor of Record shall be certified as a Fire Service Instructor II (see Section 141.320) and certified in Trench Operations.
  - 2) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record. There shall be at least one instructor for each six students.
  - 3) All other instructors on site shall be a minimum of Fire Service Instructor I (see Section 141.318) and certified in Trench Operations.
- d) The course and facility must be approved by the Office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# Section 141.356 Trench Technician

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Trench Technician is designed to give fire service personnel the basic knowledge and skills to safely perform trench stabilization, shoring equipment placement, and excavation and freeing of the victim from engulfment as defined by NFPA 1670 and OSHA (29 CFR 1926, subpart P).

# a) Prerequisites

- 1) Certification in Trench Operations (see Section 141.354) or Rescue Specialist/Trench.
- 2) Successful completion of the Trench Technician course. Prerequisite for taking the course is successful completion of the Trench Operations (see Section 141.354) or Rescue Specialist/Trench course.
- 3) Passage of the State written examination (see Section 141.200).
- 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
- 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for Trench Operations (see Subpart E).
- c) Special Instructor Requirements
  - 1) Instructor of Record shall be certified as a Fire Service Instructor II (see Section 141.320) and certified in Trench Technician.
  - 2) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record. There shall be at least one instructor for each six students.
  - 3) All other instructors on site shall be a minimum of Fire Service Instructor I (see Section 141.318) and certified in Trench Technician.

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- d) The course and facility must be approved by the Office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# Section 141.358 Rescue Specialist – Vertical II

Rescue Specialist – Vertical II is designed to give fire service personnel the basic knowledge and skills to safely perform advanced high angle and below grade rescues as defined by NFPA 1983 and OSHA (29 CFR 1910.146).

- a) Prerequisites
  - 1) Certification as a Rescue Specialist Vertical I or Rope Operations (see Section 141.367).
  - 2) Successful completion of the Vertical II approved course. Prerequisite for taking the Vertical II course is successful completion of the Rescue Specialist Verticle I or Rope Operations (see Section 141.367) course.
  - 3) Passage of the State written examination (see Section 141.200).
  - 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
  - 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for a Rescue Specialist Vertical II (see Subpart E).
- c) Special Instructor Requirements
  - 1) Instructor of Record shall be certified as a Fire Service Instructor II (see Section 141.320) and Rescue Specialist Vertical II.
  - 2) There shall be a minimum of two instructors per course, one of whom is

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an Instructor of Record. There shall be at least one instructor for each six students.

- 3) All other instructors on site shall be a minimum of Fire Service Instructor I (see Section 141.318) and certified in Rescue Specialist Vertical II.
- d) The course and facility must be approved by the Office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# **Section 141.360 Structural Collapse Operations**

Structural Collapse Operations is designed to give fire service personnel the basic knowledge and skills to safely perform structural collapse rescues as defined by NFPA 1670.

- a) Prerequisites
  - 1) Certification in Technical Rescue Awareness (see Section 141.350).
  - 2) Successful completion of Structural Collapse Operations course. Prerequisite for taking the Structural Collapse Operations course is successful completion of the Technical Rescue Awareness (see Section 141.350) or Structural Collapse Awareness course.
  - 3) Passage of the State written examination (see Section 141.200).
  - 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
  - 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for Structural Collapse Operations (see Subpart E).
- c) Special Instructor Requirements

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- 1) Instructor of Record shall be certified as a Fire Service Instructor II (see Section 141.320) and in Structural Collapse Operations.
- 2) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record. There shall be at least one instructor for each six students.
- 3) All other instructors on site shall be a minimum of Fire Service Instructor I (see Section 141.318) and certified in Structural Collapse Operations.
- d) The course and facility must be approved by the office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# Section 141.362 Structural Collapse Technician

Structural Collapse Technician is designed to give fire service personnel the basic knowledge and skills to safely perform structural collapse rescues as defined by NFPA 1670.

- a) Prerequisites
  - 1) Certification in Structural Collapse Operations (see Section 141.360).
  - 2) Successful completion of Structural Collapse Technician course. Prerequisite for taking the Structural Collapse Technician course is successful completion of the Structural Collapse Operations (see Section 141.360) course.
  - 3) Passage of the State written examination (see Section 141.200).
  - 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
  - 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.

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- b) Reimbursement may be received for training costs for Structural Collapse Technician (see Subpart E).
- c) Special Instructor Requirements
  - 1) Instructor of Record shall be certified as a Fire Service Instructor II (see Section 141.320) and in Structural Collapse Technician.
  - 2) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record. There shall be at least one instructor for each six students.
  - 3) All other instructors on site shall be a minimum of Fire Service Instructor I (see Section 141.318) and certified as Structural Collapse Technician.
- d) The course and facility must be approved by the office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# **Section 141.364 Vehicle and Machinery Operations**

The Vehicle and Machinery Operations certification is designed to give fire service personnel the basic knowledge and skills to safely perform vehicle and machinery rescues as defined by NFPA 1670.

- a) Prerequisites
  - 1) Certification in Technical Rescue Awareness (see Section 141.350).
  - 2) Successful completion of the Vehicle and Machinery Operations course. Prerequisite for taking the Vehicle and Machinery Operations course is successful completion of the Technical Rescue Awareness (see Section 141.350).
  - 3) Passage of the State written examination (see Section 141.200).

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- 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
- 5) Engagement in fire fighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for Vehicle and Machinery Operations (see Subpart E).
- c) Special Instructor Requirements
  - 1) Instructor of Record shall be certified as a Fire Service Instructor II (see Section 141.320) and Vehicle and Machinery Operations.
  - 2) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record. There shall be at least one instructor for each eight students.
  - 3) All other instructors on site shall be a minimum of a Fire Service Instructor I (see Section 141.318) and certified in Vehicle and Machinery Operations.
- d) The course and facility must be approved by the Office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# **Section 141.366 Vehicle and Machinery Technician**

The Vehicle and Machinery Technician certification is designed to give fire service personnel the basic knowledge and skills to safely perform vehicle and machinery rescues as defined by NFPA 1670.

a) Prerequisites

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- 1) Certification in Vehicle Machinery Operations (see Section 141.364), Hazardous Materials First Responder Operations (see Section 141.344) and Rope Operations (see Section 141.367).
- 2) Successful completion of the Vehicle and Machinery Technician course. Prerequisite for taking the Vehicle and Machinery Technician course is successful completion of the Vehicle and Machinery Operations course (see Section 141.364).
- 3) Passage of the State written examination (see Section 141.200).
- 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
- 5) Engagement in fire fighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for Vehicle and Machinery Technician (see Subpart E).
- c) Special Instructor Requirements
  - 1) Instructor of Record shall be certified as a Fire Service Instructor II (see Section 141.320) and Vehicle and Machinery Technician.
  - 2) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record. There shall be at least one instructor for each eight students.
  - 3) All other instructors on site shall be a minimum of a Fire Service Instructor I (see Section 141.318) and certified as Vehicle and Machinery Technician.
- d) The course and facility must be approved by the Office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

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# **Section 141.367 Rope Operations**

The Rope Operations certification is designed to give fire service personnel the basic knowledge and skills to safely perform rope rescues as defined by NFPA 1670.

- a) Prerequisites
  - 1) Certification in Rescue Specialist/Confined Space/Trench Awareness or Technical Rescue Awareness (see Section 141.350).
  - 2) Successful completion of the Rope Operations course. Prerequisite for taking the Rope Operations course is successful completion of the Rescue Specialist/Confined Space/Trench Awareness or Technical Rescue Awareness course (see Section 141.350).
  - 3) Passage of the State written examination (see Section 141.200).
  - 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
  - 5) Engagement in fire fighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for Rope Operations (see Subpart E).
- c) Special Instructor Requirements
  - 1) Instructor of Record shall be certified as a Fire Service Instructor II (see Section 141.320) and Rope Operations.
  - 2) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record. There shall be at least one instructor for each eight students.
  - 3) All other instructors on site shall be a minimum of a Fire Service Instructor II (see Section 141.318) and certified as Rope Operations.

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- d) The course and facility must be approved by the Office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# **Section 141.368 Motorsports Safety Technician**

Motorsports Safety Technician is designed to address planning, training, personnel, equipment and facilities as they relate to emergency and safety operations at motorsports venues as defined by NFPA 610.

- a) Prerequisites
  - 1) Certification in Firefighter II (see Section 141.300).
  - 2) Successful completion of Motorsports Safety Technician course.
  - 3) Passage of State written examination (see Section 141.200).
  - 4) Passage of State practical examinations (see Section 141.300(g)).
  - 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for Motor Sports Fire and Rescue (see Subpart E).
- c) Instructor Requirements
  - 1) Instructor of Record shall be a certified Instructor II and Motorsports Safety Technician.
  - 2) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record, and one instructor for each additional six students.

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- 3) All other instructors on site shall be a minimum of Instructor I and certified Motorsports Safety Technician.
- d) The course and facility must be approved by the Office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalency courses may be available in accordance with Section 141.120.

# **Section 141.370 Fire Service Vehicle Operator**

Fire Service Vehicle Operator is designed to give fire service personnel the basic knowledge and skills to safely perform fire service vehicle operations as defined by NFPA 1451.

- a) Prerequisites
  - 1) Certification as Firefighter II (see Section 141.300) for full certification. If individual is not certified as a Firefighter II, a provisional certification will be awarded until Firefighter II certification is achieved.
  - 2) Successful completion of Fire Service Vehicle Operator course.
  - 3) Passage of the State written examination (see Sections 141.200).
  - 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
  - 5) Completion of an additional 8 hours of documented driving of the vehicles in use by the employing authority having jurisdiction.
  - 6) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for Fire Service Vehicle Operator (see Subpart E).
- c) An instructor of a Fire Service Vehicle course must meet the requirements of Section 141.115(c).

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- d) The course and facility must be approved by the Office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# **Section 141.372 Water Operations**

Water Operations is designed to give fire service personnel the basic knowledge and skills to safely perform water rescues as defined by NFPA 1670.

- a) Prerequisites
  - 1) Certification in Technical Rescue Awareness (see Section 141.350) and Rope Operations (see Section 141.367).
  - 2) Successful completion of Water Operations course. Prerequisite for taking the Water Operations course is successful completion of the Technical Rescue Awareness course (see Section 141.350) and Rope Operations course (see Section 141.367).
  - 3) Passage of the State written examination (see Section 141.200)
  - 4) Passage of the State practical skills examinations (see Sections 141.200 and 141.300(g)).
  - 5) Engagement in firefighting in an organized Illinois fire department as a fire protection person according to the Act, as attested to by the employing Fire Chief of the individual seeking certification.
- b) Reimbursement may be received for training costs for Water Operations (see Subpart E).
- c) Special Instructor Requirements
  - 1) Instructor of Record shall be certified as a Fire Service Instructor II (see Section 141.320) and Water Operations.

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- 2) There shall be a minimum of two instructors per course, one of whom is an Instructor of Record. There shall be at least one instructor for each six students.
- 3) All other instructors on site shall be a minimum of Fire Service Instructor I (see Section 141.318) and certified in Water Operations.
- d) The course and facility must be approved by the Office as provided in Sections 141.110 and 141.115.
- e) Credit for equivalent courses may be available in accordance with Section 141.120.

# Section 141.380 Invalidation of Certification

- a) Invalidation of certification will be processed when:
  - Certification was awarded based on falsified office documentation, i.e., Application for Certification/Validation-Attestation, Request for Examination, etc.
  - 2) Certification was awarded based on falsified training records.
  - 3) The individual has been convicted of a felony, crime of moral turpitude, or criminal act arising out of employment as a firefighter according to applicable State of Illinois regulation.
  - 4) Cheating on the State examination, including obtaining the exam prior to the examination date.
- b) Records of invalidation of certifications will be retained by the Office.
- c) An individual whose certification is invalidated under subsection (a) may request a hearing within 20 days after the event. Section 141.50 will govern appeal hearings.

# SUBPART E: REIMBURSEMENT

# **Section 141.400 Rules and Regulations for Reimbursement**

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- a) The Illinois Fire Protection Training Act [50 ILCS 740] mandates the Office to reimburse local governmental agencies or individuals participating in the training program in an amount equaling ½ of the sum total paid by them during the period established by the Office for tuition at training facilities, salary of trainees while in school, necessary travel expenses and room and board for each trainee. In addition to reimbursement for trainees, the Office in each year shall reimburse the local governmental agencies participating in the training program for permanent fire protection personnel in the same manner as trainees for each such training program. (Section 10 of the Act)
- b) Section 10 of the Act also specifies that in the event that the annual appropriation for the reimbursement funding is insufficient to pay reimbursement in full (i.e., at the 50% level), the appropriation shall be apportioned among the participating local governmental agencies. Further, no local government agency which shall alter or change in any manner any of the training programs as promulgated under the Act or fail to comply with rules and regulations promulgated under the Act shall be entitled to receive any matching funds under the Act.
- c) Section 9 of the Act mandates that local governmental agencies *shall require by* ordinance that a trainee complete a basic course approved by the Office, and pass the State test for certification at the basic level within the probationary period as established by the local governmental agency, as a prerequisite to reimbursement. A certified copy of the Ordinance must be on file with the Office.

# Section 141.405 Prerequisites for Participation for Reimbursement

- a) Local governmental agencies electing to participate for reimbursement under the Act shall so provide by local ordinance. A sample ordinance may be obtained from the Office. To apply for reimbursement, the local governmental agency must also agree to abide by all rules and regulations for the training of firefighters.
- b) Individuals who are employed as firefighters meeting the requirements in the Act by local governmental agencies that have elected to participate for reimbursement may submit claims for reimbursement for expenses incurred during the training period. Reimbursement for individuals is limited to tuition, travel expenses and room and board. Reimbursable expenses are subject to the requirements and limitations of this Part and 80 Ill. Adm. Code 2800 (Travel).

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c) Payments to individuals, units of local government, and governmental agencies are limited to reimbursement.

# **Section 141.410 Requirements**

The Office defines the minimum basic requirement to be completed by a recruit or trainee prior to becoming a permanent member of the fire department to be the Firefighter II level. All local governmental agencies electing to participate for reimbursement must have all firefighter trainees certified at the Firefighter II level by the end of their probationary period. The probationary period will be determined by the local governmental agency. The failure of any trainee to complete Firefighter II certification within the required probationary period will render that individual and local governmental agency ineligible for reimbursement funding for basic training for that individual in the calendar year in which his probationary period ends. The individual may later become certified without reimbursement.

## Section 141.415 Claim Forms

Forms upon which to claim reimbursement for training expenses are available from the Office, Division of Personnel Standards and Education. These forms require local governmental agencies to prove costs of transportation, room and board, tuition, and salary for the training of firefighters. Receipts must be attached evidencing the claims. Facsimiles that have the same format as Office forms will be accepted, with prior approval.

## **Section 141.420 Claim Deadline**

Municipalities, fire protection districts and individuals are encouraged to submit claims as soon as possible after the successful completion of any reimbursable training.

- a) Claims for reimbursement can be made only for training within dates specified by the Office and may not include prior or subsequent training.
- b) For a calendar year (January 1-December 31), the deadline for the receipt of claim forms is 5:00 p.m. on February 28.
- c) In the event that February 28 falls on a Saturday or Sunday, the claim forms must be received by the Office by 5:00 p.m. on the next business day.
- d) Claim forms must be received by the Office, and not mailed, by the specified

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dates. Any claim forms arriving after the deadline date will not be processed for reimbursement and will be denied.

## Section 141.425 Amount of Reimbursement

The Office will reimburse up to 50% of the following costs:

- a) Salary
  - Salary, according to an opinion from the Attorney General, is that sum actually paid to a trainee while in school by the employer (local governmental agency). It does not include employer contributions to insurance and pension programs, but does include contributions deducted from a trainee's salary for insurance and retirement.
  - 2) The formula for computing a trainee's hourly salary is yearly salary divided by the number of hours for which paid. It is realized that hourly shifts may vary from 40 to 56 or more hours per week. In such instances, the formula should be adjusted to most nearly reflect the above definition.
  - 3) If there is a variation in an individual's salary or between firefighters of equal grade, the claimant should explain these variations on the claim form, e.g.:

A) Promotion 100 hours @ \$4.65

50 hours @ \$5.28

OR

B)  $1\frac{1}{2}$  time overtime 100 hours @ \$4.65

50 hours @ \$6.98

- b) Tuition and/or registration fees.
- c) Food, not to exceed amounts allowed by 80 Ill. Adm. Code 2800.
- d) Transportation costs, not to exceed amounts allowed by 80 III. Adm. Code 2800.
  - 1) If the course is five or more consecutive days and lodging is available

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(availability means that hotels, motels, dormitories, or other sleeping facilities may be hired for overnight lodging in the nearby vicinity), the Office will reimburse for one round trip to the training center per week for the duration of the course.

- 2) If the course is offered on non-consecutive days, the Office will reimburse round trip transportation costs for each day.
- 3) If the course is offered on consecutive days (e.g., Saturday and Sunday) and overnight lodging is available, the Office will reimburse for only one round trip per consecutive day period (e.g., six round trips for a course offered on six weekends).
  - A) If the travel distance is less than 50 miles, the Office will only reimburse for each round trip.
  - B) If the travel distance is 50 miles or greater and lodging is available, the Office will reimburse for one round trip and lodging costs per consecutive day period.
- 4) Reimbursement for mileage will only be provided for one person when two or more persons travel together, as in car pools.
- e) Lodging, not to exceed lodging costs approved under 80 Ill. Adm. Code 2800.
- f) The Office will reimburse for other expenses in the amount authorized for Office employees by 80 Ill. Adm. Code 2800 or the amount requested by the local governmental agency or individual, whichever is less.
- g) Applicants for reimbursement can contact the Office for a list of current reimbursement limits prescribed by 80 Ill. Adm. Code 2800.
- h) The following are the maximum hours for which reimbursement may be claimed:

1) Firefighter II 450 hours

2) Airport Firefighter 54 hours

3) Firefighter III 450 hours

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4)	Fire Apparatus Engineer	54 hours
5)	Fire Officer I	324 hours
6)	Fire Department Incident Safety Officer	54 hours
7)	Fire Officer II	324 hours
8)	Fire Officer III	400 hours
9)	Fire Service Instructor I	54 hours
10)	Fire Service Instructor II	54 hours
11)	Fire Service Instructor III	54 hours
12)	Training Program Manager	54 hours
13)	Fire Prevention Officer	120 hours
14)	Public Fire and Life Safety Educator II	40 hours
15)	Public Fire and Life Safety Educator III	40 hours
16)	Fire Investigator	120 hours
17)	Arson Investigator	200 hours
18)	Fire Inspector II and Plan Examiner I	120 hours
19)	Fire Inspector III and Plan Examiner II	40 hours
20)	Hazardous Materials Awareness	450 hours
21)	Hazardous Materials First Responder - Operations	450 hours
22)	Hazardous Materials Technician A and B	108 hours
23)	Hazardous Materials Incident Command	24 hours
24)	Technical Rescue Awareness	12 hours
25)	Rescue Specialist - Confined Space	54 hours
26)	Trench Operations	24 hours
27)	Trench Technician	32 hours
28)	Rescue Specialist - Vertical II	54 hours

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29)	Structural Collapse Operations	54 hours
30)	Structural Collapse Technician	54 hours
31)	Vehicle and Machinery Operations	54 hours
32)	Vehicle and Machinery Technician	54 hours
33)	Motor Sports Fire and Rescue	16 hours
34)	Fire Service Vehicle Operator	12 hours
35)	Water Operations	32 hours

i) Reimbursement is not available for repeat courses.

## Section 141.450 Appropriations

In accordance with the enabling legislation, in the event that the appropriation for reimbursement for training of fire protection personnel is insufficient to meet all claims at the 50% level, all claims will be reduced proportionately and all claims will be paid in the same proportion. No more than 50% of the reimbursements distributed to local governmental agencies in any calendar year shall be distributed to local governmental agencies of more than 500,000 persons.

## **Section 141.460 Advanced Training Programs**

Municipalities may participate in training programs beyond the Firefighter II level at their option. Municipalities participating in these advanced training programs will be subject to the applicable rules and regulations for advanced training, and are eligible for reimbursement funding for those courses.

## SUBPART F: FEES

#### Section 141.500 Fees

The Office hereby adopts the following fee schedule for the Division of Personnel Standards and Education:

Approval Review of Facilities (Sections 141.100 and 141.110)	\$0
Review of Equivalency Courses (Section 141.120)	\$100
Review of Course Approval Requests (Section 141.115)	\$0
Fee for Certificates (all training levels)	\$0

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Fee for Special Examination Sites Not on Regular Schedule

\$400

## Section 141.505 Waiver of Fees

The Office, at its sole discretion, may waive fees for special examinations given to small fire departments that are unable to pay for, or that are otherwise unable to attend, regularly scheduled examinations. The Office may waive fees for the Illinois Fire Service Institute Cornerstone Program and for local fire departments if the following circumstances are demonstrated, to the satisfaction of the Division Director:

- a) The department is volunteer or paid on call;
- b) There is no fee charged any attendee for the class, not including instructional materials;
- c) Attendance at the examination by department personnel would reduce the level of available responders below minimum safety standards;
- d) Attendance at a regularly scheduled examination would cause detrimental effects on attendees' full-time job responsibilities;
- e) The department budget is less than 100 times the cost of the examination; and
- f) The department makes a specific request and provides justification for an exemption.

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- 1) Heading of the Part: Newborn Metabolic Screening and Treatment Code
- 2) Code Citation: 77 Ill. Adm. Code 661

3)	Section Numbers:	<b>Proposed Action:</b>
	661.10	Amendment
	661.15	Amendment
	661.20	Amendment
	661.30	Amendment
	661.35	Amendment
	661.40	Amendment
	661.50	Amendment
	661.70	Amendment

- 4) <u>Statutory Authority</u>: Phenylketonuria Testing Act [410 ILCS 240]
- A Complete Description of the Subjects and Issues Involved: These amendments will mandate testing of all infants born in Illinois for cystic fibrosis. Qualifications for the physician consultants who will be providing follow up for these infants are defined. Also included are requirements for retesting of infants in NICUs. The fee collected for newborn screening will increase from \$47 to \$59 to cover the laboratory and follow-up costs for CF testing.
- 6) <u>Published studies or reports, and sources of underlying data, used to compose this rulemaking</u>: The following groups have all strongly recommended that states implement comprehensive programs to incorporate universal routine newborn screening for CF:
  - National Cystic Fibrosis Foundation "Routine Nationwide Newborn Screening Recommended for Cystic Fibrosis", Public Announcement, August, 2006.
  - The American College of Medical Genetics (ACMG) "Newborn Screening: Toward a Uniform Screening Panel and System > Report for Public Comment"; United States Department of Health and Human Services; Federal Register; March 8, 2005 (Volume 70, Number 44).
  - Centers for Disease Control and Prevention Morbidity and Mortality Weekly Reports, "Newborn Screening for Cystic Fibrosis - Evaluation of the Benefits and Risks and Recommendations for State Newborn Screening Programs", United States Government Printing Office, October 15, 2004 / 53 (RR13), 1-36.
  - March of Dimes Birth Defects Foundation "Newborn Screening Tests" 09-409-00, April, 2005 www.marchdimes.com/printableArticles/14332\_1200.asp.

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- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? No
- 10) Are there any other proposed rulemakings pending on this Part? No
- 11) <u>Statement of Statewide Policy Objectives</u>: This rulemaking does not impose or expand a State Mandate.
- 12) <u>Time, Place and Manner in which interested persons may comment on this proposed rulemaking</u>: Interested persons may present their comments concerning this rulemaking within 45 days after the publication of this issue of the *Illinois Register* to:

Susan Meister Division of Legal Services Illinois Department of Public Health 535 W. Jefferson St., 5<sup>th</sup> floor Springfield, Illinois 62761

217/782-2043

e-mail: rules@idph.state.il.us

- 13) Initial Regulatory Flexibility Analysis:
  - A) Types of small businesses, small municipalities and not for profit corporations affected: Birthing hospitals in Illinois
  - B) Reporting, bookkeeping or other procedures required for compliance: The same as now exist for other disorders covered by newborn screening.
  - C) <u>Types of professional skills necessary for compliance</u>: The same as now exist for other disorders covered by newborn screening.
- 14) Regulatory Agenda on which this rulemaking was summarized: July 2006

The full text of the Proposed Amendments begins on the next page:

## NOTICE OF PROPOSED AMENDMENTS

TITLE 77: PUBLIC HEALTH CHAPTER I: DEPARTMENT OF PUBLIC HEALTH SUBCHAPTER i: MATERNAL AND CHILD HEALTH

## PART 661 NEWBORN METABOLIC SCREENING AND TREATMENT CODE

Section	
661.10	Responsibility
661.15	Definitions
661.20	Collection of Blood and Submission of Specimens
661.30	Interpretation of Results
661.35	Designation of Consultants
661.40	Reports
661.50	Diagnosis and Treatment
661.60	Exemption
661.70	Fee Assessment and Payment

AUTHORITY: Implementing and authorized by the Phenylketonuria Testing Act [410 ILCS 240].

SOURCE: Adopted December 14, 1973; emergency rules at 3 Ill. Reg. 28, p. 224, effective June 28, 1979, for a maximum of 150 days; rules repealed and new rules adopted at 3 Ill. Reg. 48, p. 42, effective November 20, 1979; amended at 5 Ill. Reg. 4593, effective April 15, 1981; amended and codified at 8 Ill. Reg. 19041, effective September 26, 1984; amended at 11 Ill. Reg. 12921, effective August 1, 1987; amended at 13 Ill. Reg. 15079, effective October 1, 1989; amended at 14 Ill. Reg. 13292, effective August 15, 1990; amended at 17 Ill. Reg. 13609, effective August 1, 1993; amended at 19 Ill. Reg. 15720, effective November 1, 1995; expedited correction at 20 Ill. Reg. 3590, effective November 1, 1995; amended at 22 Ill. Reg. 20639, effective November 10, 1998; amended at 26 Ill. Reg. 10676, effective July 1, 2002; amended at 26 Ill. Reg. 18412, effective January 1, 2003; amended at 31 Ill. Reg. \_\_\_\_\_\_\_, effective

# Section 661.10 Responsibility

a) The physician in attendance at or immediately after the birth of the newborn infant shall have primary responsibility for seeing that a specimen of the infant's blood is screened in accordance with this Part. Newborn screening includes tests for the following disorders: classical phenylketonuria (PKU) and certain other

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amino acid, organic acid, and fatty acid oxidation disorders; primary hypothyroidism; classical galactosemia; congenital adrenal hyperplasia due to 21-hydroxylase deficiency; biotinidase deficiency; sickle cell disease/trait; and cystic fibrosis.and sickle cell disease/trait. Specific diseases in the categories of amino acid, organic acid, and fatty acid oxidation will be determined by the Director. For a current list of disorders refer to the Illinois Department of Public Health Newborn Screening Practitioner's Manual. A single-blood specimen meeting the requirements for testing shall suffice for all tests (see Section 661.20). The physician may delegate this responsibility to the hospital administrator or to the administrator's designated representative, such as a member of the pediatrics staff, the laboratory director, the obstetrical supervisor, or other hospital official.

- b) If the infant is not born in or admitted to a hospital or when there is no physician in attendance at or immediately after the birth, the physician caring for the infant during the first month of life shall be the individual responsible for seeing that a blood specimen for newborn screening is submitted. When there is no physician caring for such an infant during this period, the parents or guardian isare responsible. Local health authorities or the Department shall assist the parents or guardian in having a blood specimen submitted for testing.
- c) All specimens collected pursuant to this Part shall be submitted for testing to the Newborn Screening Section, Division of Laboratories, Illinois Department of Public Health, 2121 West Taylor Street, Chicago, Illinois 60612 (see Section 661.20).
- d) When a retest is determined to be necessary pursuant to Section 661.30 of this Part, the Illinois Department of Public Health shall notify the physician or his or her designee who is responsible for obtaining another specimen and having the specimen tested.

(Source:	Amended at 31 Ill. Reg.	, effective

## **Section 661.15 Definitions**

"Act" means the Phenylketonuria Testing Act [410 ILCS 240].

"Advisory Committee" means the Genetic and Metabolic Diseases Advisory Committee appointed by the Director.

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"CF" means cystic fibrosis.

"CFF" means Cystic Fibrosis Foundation.

"CLSI" means Clinical and Laboratory Standards Institute.

"Department" or "DPH" means the Department of Public Health.

"Director" means the Director of the Department of Public Health.

"Newborn screening" or "testing" means the testing of a blood sample for classical phenylketonuria (PKU) and certain other amino acid, organic acid, and fatty acid oxidation disorders, primary hypothyroidism, classical galactosemia, congenital adrenal hyperplasia due to 21-hydroxylase deficiency, biotinidase deficiency, sickle cell disease/trait and cystic fibrosis.and sickle cell disease/trait. At times, variant forms of some disorders, or related conditions, may also be identified.

"PKU" means classical phenylketonuria.

"Tandem mass spectrometry" means use of a tandem mass spectrometer and associated software to test a newborn screening sample.

"MS/MS" means Tandem Mass Spectrometry.

"Using accepted statistical techniques" means using techniques that have been published in peer reviewed scientific literature.

(Source: Amended at 31 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_)

## Section 661.20 Collection of Blood and Submission of Specimens

For detailed and specific information regarding collection of blood and submission of specimens, refer to the current Illinois Department of Public Health Newborn Screening Practitioner's Manual. Newborn Screening shall be performed on a single blood specimen that meets the following requirements for testing:

a) All newborn infants shall have a blood specimen collected for testing as soon as possible after 24 hours of age. Every infant regardless of age shall have a

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specimen collected prior to discharge from the hospital. If an infant is transferred to a second health care facility before the specimen is collected, it is the responsibility of the first facility to inform the second facility of this fact and delegate the responsibility for collecting and submitting the specimen.

- b) Infants who leave the hospital before they are at least 24 hours of age shall have a blood specimen collected prior to discharge. The attending physician, or his or her designee, shall collect a second blood specimen for testing by 24 to 48 hours of age.drawn for testing before discharge. A second blood specimen for testing shall be obtained on such infants by the 5th day of life by the attending physician or his designee as provided in Section 661.10(d).
- c) If at all possible, every infant transferred to a second health care facility prior to 24 hours of age shall have a blood specimen collected prior to transfer. If an infant is transferred before a specimen is collected, it is the responsibility of the first facility to inform the second facility of this fact and delegate the responsibility for collecting and submitting the specimen. Specimens shall be collected as soon as possible after 24 hours of age from those infants not discharged before 24 hours of age.
- d) Infants admitted to a neonatal intensive care unit (NICU) or special care nursery shall have a blood specimen collected at 24 to 48 hours of age. A second specimen shall be collected at 14 days of age or prior to discharge from the NICU or special care nursery, whichever situation precedes. Specimens from infants requiring parenteral feeding or from premature infants should be obtained as soon as possible after their condition has stabilized as determined by the attending physician, but before the 7th day of life.
- e) In the event that an infant requires a blood transfusion prior to 24 hours of age, if at all possible, a blood specimen shall be collected prior to the transfusion. If the initial specimen was collected post-transfusion, a second specimen shall be collected 48 hours post-transfusion, and a third specimen shall be collected three months following the last transfusion.
- For infants not born in hospitals or not admitted to a hospital during the neonatal period (under 28 days of age), a blood specimen shall be collected as soon as possible, but no earlier than 24 hours after birth.
- g) The completed collection form (see See Section 661.40) with a blood specimen

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shall be submitted for testing to the Newborn Screening Section, Division of Laboratories, Illinois Department of Public Health, 2121 West Taylor Street, Chicago, Illinois 60612.

Due to the nature and severity of some metabolic and endocrine disorders, prompt collection and submission of specimens is critical. Submission of specimens within 24 hours after collection is highly recommended, using the courier or mailing service designated by the Department. Blood specimens shall be examined by the Department within five days after receipt.

	(Source:	Amended at 31 Ill. Reg.	, effective
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## **Section 661.30 Interpretation of Results**

Although the majority of infants affected by disorders included in the newborn screening panel will be identified by this screening, due to genetic variabilities and variations in health status, specimen quality, and timing of specimen collection, not all infants affected by such a disorder may be identified. As with any laboratory test, false positive and negative results are possible. Newborn screening test results are insufficient information on which to base diagnosis or treatment.

- a) Phenylketonuria
  - 1) Normal phenylalanine levels shall be established using accepted statistical techniques.
  - When the blood phenylalanine level is deemed to be abnormal, the Department shall recommend a repeat newborn screening test or referral of the infant to a designated consultant for a quantitative phenylalanine determination and other diagnostic studies as determined by the consultant.
- b) Primary Hypothyroidism
  - 1) Neonatal levels for thyroid stimulating hormone (TSH) vary with gestational age, birthweight, time of collection and in response to concurrent medical problems. Normal TSH and normal thyroxine (T4) levels shall be established using accepted statistical techniques.

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When the TSH level or the T4 level is deemed to be abnormal, the Department shall recommend a repeat newborn screening test or referral of the infant to a designated pediatric endocrinologist for further evaluation for primary hypothyroidism and additional serum testing for thyroid function.

## c) Galactosemia

- Laboratory tests for galactosemia may be performed by testing for total galactose (galactose and galactose-1-phosphate) or a deficiency of the galactose-l-phosphate uridyl transferase enzyme. Normal test results indicate a normal level of total galactose or the presence of the enzyme. Test results are abnormal when the level of total galactose is above the normal range or the presence of the enzyme is not detected. Normal ranges shall be established using accepted statistical techniques.
- When the galactose or enzyme levels are deemed abnormal, recommendations may be given to change the diet of the infant to a galactose free diet. The Department shall recommend a repeat newborn screening test or referral of the infant to a designated consultant for further diagnostic studies.
- d) Congenital Adrenal Hyperplasia (secondary to 21-hydroxylase deficiency)
  - 1) Neonatal levels for 17-hydroxyprogesterone vary with gestational age, birthweight, time of collection and in response to concurrent medical problems. Normal 17-hydroxyprogesterone levels shall be established using accepted statistical techniques.
  - 2) When the 17-hydroxyprogesterone level is deemed to be abnormal, the Department shall recommend a repeat newborn screening test or referral of the infant to a designated pediatric endocrinologist for further evaluation for congenital adrenal hyperplasia.

## e) Biotinidase Deficiency

1) Laboratory tests for biotinidase deficiency are designed to detect a deficiency of the biotinidase enzyme. Normal test results indicate the presence of the enzyme. Test results are abnormal when the presence of

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the enzyme is not detected.

- 2) When the determination of the enzyme is deemed abnormal, the Department shall recommend a repeat newborn screening test or referral of the infant to a designated consultant for a quantitative determination of the biotinidase enzyme and further diagnostic studies.
- f) Sickle Cell Disease/Trait and Other Hemoglobinopathies Qualitative testing will determine the presence of A, F, S, C and other hemoglobins.
  - 1) When F and S hemoglobins, but no A hemogolobin, are detected on the same specimen, the Department shall recommend referral to a designated consultant for follow-up and genetic counseling.
  - 2) When F, S and C hemoglobins, but no A hemogolobin, are detected on the same specimen, the Department shall recommend referral to a designated consultant for follow-up and genetic counseling.
  - When F, A and C hemo globins or F, A and S hemoglobins are detected on the same specimen, the Department shall recommend parental testing and genetic counseling by the attending physician or another qualified counselor.
  - 4) When A hemoglobin is detected as the predominant hemoglobin, and the specimen was collected at less than 2 months of age, it will be assumed that the infant received a blood transfusion and a report indicating such will be made. A repeat newborn screening specimen should be drawn from all such infants 3 months post transfusion.
- g) Phenylketonuria (PKU) and other amino acid, organic acid, and fatty acid oxidation disorders

(Note: PKU testing is described in Section 661.30(a)).

1) Analysis shall be performed by MS/MS. The patient metabolite distribution patterns shall be compared to normal populations. Pattern analysis, and internal metabolite ratios relative to normal populations, shall be calculated using accepted statistical techniques.

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When blood levels or ratios are found to be abnormal, indicating the possibility of a metabolic condition harmful to the infant, the Department shall recommend a repeat newborn screening test or referral of the infant to a designated consultant for appropriate definitive testing and diagnostic studies.

## h) Cystic Fibrosis (CF)

- 1) CF is indicated by elevated neonatal levels of immunoreactive trypsinogen (IRT) that can be detected in dried blood spots by immunoassay or other techniques. The normal IRT range shall be established using accepted statistical techniques.
- When elevated levels of IRT are detected, testing by genetic mutation analysis shall be performed in order to decrease false positive results.

  Because there are over 1,000 mutations in the CF transmembrane conductance regulator (CFTR) gene, testing will yield only 90 to 95 percent sensitivity.
- When IRT levels and/or mutation analysis are found to be abnormal, thus indicating the possibility of CF, the Department shall recommend referral of the infant to a designated consultant for appropriate definitive testing and diagnostic studies.
- To establish normal IRT range and validate the mutation analysis, the Department shall conduct, for a six month period, a phase-in project requiring CF screening of all babies born at all Illinois birthing hospitals certified by the CFF: Advocate Lutheran General Children's Hospital, Advocate Hope Children's Hospital, Prentice Women's Hospital, Carle Hospital Foundation, Loyola University Medical Center/Foster McGaw Hospital, Rush University Medical Center, University of Chicago Hospitals, St. Francis Medical Center, Methodist Medical Center, Proctor Hospital, St. John's Hospital, and Memorial Medical Center. At the conclusion of the phase-in project, all specimens submitted to the DPH Newborn Screening Laboratory will be tested for CF.

(Source: Amended at 31 Ill. Reg.	, effective
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- a) The Chief of the Division of Health Assessment and Screening, with the advice of the Director of the University of Illinois at Chicago, Division of Specialized Care for Children, and the Advisory Committee, shall designate qualified professionals to serve as Consultants to specified subprograms within the Newborn Screening Program.
- b) Equivalency in all qualifications specified in this Section shall be determined by the Chief of the Division of Health Assessment and Screening, with the advice of the Director of the University of Illinois at Chicago, Division of Specialized Care for Children, and the Chairman of the Advisory Committee.
- c) The minimum qualifications required for designation as a consultant are a license to practice medicine in all its branches in Illinois, or licensure in the state of practice, certification by the American Board of Pediatrics or equivalent board from another country and employment or affiliation with a major-medical center or medical school setting. In addition, to be designated to serve specified subprograms, Consultants shall also have the following qualifications:
  - Phenylketonuria (PKU) and all other disorders of amino acid and organic acid metabolism: certification by the American Board of Medical Genetics in Clinical Biochemical Genetics with at least one year experience post training in diagnosis and treatment of amino acid and organic acid disorders; or certification by the American Board of Medical Genetics in Clinical Genetics, with extensive experience in the diagnosis and treatment of amino acid and organic acid disorders. The consultant shall have the capacity to provide a multidisciplinary approach to care, including the availability on-site of specially trained metabolic dieticians and a biochemical genetics laboratory; for citrullinemia and argininosuccinic aciduria, should have on-site availability of required medical therapies, such as hemodialysis, that are necessary for the treatment of patients with these disorders.
  - 2) Primary Hypothyroidism: training in pediatric endocrinology with membership in the Lawson Wilkins Pediatric Endocrinology Society or certification of special competence in Pediatric Endocrinology by the American Board of Pediatrics with at least one year experience post training in diagnosis and treatment of endocrine disorders.

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- Galactosemia: certification by the American Board of Medical Genetics in Clinical Biochemical Genetics with at least one year of experience post training in diagnosis and treatment of children with galactosemia and inborn errors of metabolism or certification by the American Board of Medical Genetics in Clinical Genetics with extensive experience in the diagnosis and treatment of galactosemia and inborn errors metabolism. Consultants should have the capacity to provide a mulitidisciplinary approach to care, including the availability on-site of specially trained metabolic dieticians.
- 4) Congenital Adrenal Hyperplasia: training in Pediatric Endocrinology with membership in the Lawson Wilkins Pediatric Endocrinology Society or certification of special competence in Pediatric Endocrinology by the American Board of Pediatrics with at least one year experience post training, in diagnosis and treatment of endocrine disorders.
- 5) Biotinidase Deficiency: certification by the American Board of Medical Genetics in Clinical Biochemical Genetics with at least one year of experience post training in the diagnosis and treatment of children with biotinidase deficiency and inborn errors of metabolism or certification by the American Board of Medical Genetics in Clinical Genetics with extensive experience in the diagnosis and treatment of biotinidase deficiency and inborn errors of metabolism. Consultants should have the capacity to provide a multidisciplinary approach to care, including the availability on-site of specially trained metabolic dieticians.
- 6) Sickle Cell Disease: training in pediatric hematology and certification of special competence in Pediatric Hematology-Oncology by the American Board of Pediatrics with at least one year experience post training in diagnosis and treatment of hematological disorders.
- 7) Fatty Acid Oxidation Disorders: certification by the American Board of Medical Genetics in Clinical Biochemical Genetics with at least one year of experience post training in the diagnosis and treatment of fatty acid oxidation disorders or certification by the American Board of Medical Genetics in Clinical Genetics with extensive experience in the diagnosis and treatment of fatty acid oxidation disorders. Consultants should have the capacity to provide a multidisciplinary approach to care, including the availability on-site of specially trained metabolic dieticians.

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Pediatric Pulmonology or Pediatric Gastroenterology and an appointment at a hospital based program accredited by the Cystic Fibrosis Foundation.

Consultants should provide prompt access to quantitative pilocarpine iontopheresis sweat chloride testing in a laboratory that meets all CLSI standards. Consultants should provide a multidisciplinary approach to care, including the availability of on-site genetic counselors, dieticians, respiratory therapists and social workers in accordance with CFF guidelines. Consultants should provide access to microbiology laboratories that use CF-specific protocols for detection of respiratory tract infection.

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## Section 661.40 Reports

- a) Only collection forms with attached filter paper blood collectors supplied by the Division of Laboratories, Illinois Department of Public Health, 2121 West Taylor Street, Chicago, Illinois 60612 are to be used in submitting blood specimens for newborn screening.
- b) Any hospital performing the required newborn screening tests in addition to submitting specimens to the Illinois Department of Public Health Laboratory shall comply with all requirements of this Part, and shall notify the Department immediately by telephone whenever testing on an infant indicates that:
  - 1) phenylalanine levels are abnormal;
  - 2) T4 determinations are abnormal or TSH determinations are abnormal;
  - 3) total galactose or galactose-1-phosphate uridyl transferase determinations are abnormal;
  - 4) 17-hydroxyprogesterone determinations are abnormal;
  - 5) biotinidase enzyme determinations are abnormal;
  - 6) abnormal hemoglobin patterns are detected;

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7)	abnormal amino acid or acylcarnitine patterns have been identified;
<u>8)</u>	abnormal determinations that may indicate cystic fibrosis have been identified.
(Source: Am	ended at 31 Ill. Reg, effective)

## **Section 661.50 Diagnosis and Treatment**

The Department shall also maintain a registry to record the results of diagnosis and treatment for all diagnosed cases identified. It is imperative to perform ongoing evaluation of the newborn screening program. This process includes outcome evaluation of children diagnosed through newborn screening. The Department shall request, from the consultant or primary care provider, updated information annually, concerning developmental milestones, for each child diagnosed with a disorder for which the Department screens. The Department at all times shall maintain confidentiality with regard to patient information.

- a) Phenylketonuria. Medical management by a designated consultant is required. Dietary therapy shall not be instituted, until a complete amino acid analysis to corroborate the positive screening test has been performed under the direction of a designated consultant, to establish the diagnosis of phenylketonuria. The necessary medically prescribed treatment formulas shall be supplied by the Department for diagnosed cases as long as medically indicated. Long-term follow-up of children with phenylketonuria or hyperphenylalaninemia is necessary to adjust diet and to assess growth and development.
- b) Primary Hypothyroidism. Medical management by a designated pediatric endocrinologist is highly recommended. Replacement therapy with thyroid hormone is required. Long-term follow-up of children with primary hypothyroidism is necessary in order to adjust medication and to assess growth and development.
- c) Galactosemia. Medical management by a designated consultant is highly recommended. Therapy with a galactose free diet is required. Long-term follow-up of children with galactosemia is necessary in order to ensure proper growth and development.
- d) Congenital Adrenal Hyperplasia. Medical management by a designated pediatric

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endocrinologist is highly recommended. Replacement therapy with glucocorticoids and, in some cases, mineralocorticoids is required. Long-term follow-up of children with congenital adrenal hyperplasia is necessary in order to adjust medications and to assess growth and development. Other medications may also be necessary.

- e) Biotinidase Deficiency. Medical management by a designated consultant is highly recommended. Therapy with pharmacological doses of biotin is required. Long-term follow-up of children with biotinidase deficiency is necessary in order to ensure proper growth and development.
- f) Sickle Cell Disease/Trait. Medical management by a designated pediatric hematologist-oncologist is highly recommended. Antibiotic prophylaxis and immunization to prevent pneumococcal infections are required after a definitive diagnosis has been made of a sickling disease by a designated consultant. Longterm follow-up of children with sickle cell disease is necessary in order to assess growth and development. For families of infants with sickle cell trait every effort shall be made to assure referral for parental testing and genetic counseling is available.
- g) Other Amino Acid, Organic Acid and Fatty Acid Oxidation Disorders. Medical management by a designated consultant is required. Many of these disorders can be properly and supportively managed by dietary therapy. Ongoing care of the se children will require long-term follow-up by the consultant to ensure proper development. The necessary medically prescribed treatment formulas shall be supplied by the Department for diagnosed cases as long as medically indicated. Long-term follow-up of children with metabolic disorders is necessary to adjust diet and to assess growth and development.
- h) Cystic Fibrosis. Medical management by a designated consultant is required.

  Prompt evaluation of exocrine pancreatic status coupled with nutritional counseling is required after diagnostic confirmation. Close follow-up by consultants is necessary to monitor and treat changes in nutrition and respiratory infection status.

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Section 661.70 Fee Assessment and Payment

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- a) Each <u>institution or person submitting who submits</u> to the Department any sample for newborn screening shall be assessed a fee of \$47 through August 31, 2007, after which time this fee shall be increased to \$59. for such analysis. When the Director makes a determination to add screening for any additional disorders in the categories of amino acid, organic acid or fatty acid oxidation, pursuant to Section 661.10, this fee may be increased by \$2 for each disorder.
- b) Statements of fee assessment shall be mailed on a monthly basis to facilities submitting specimens for analysis.
- c) Payment shall be rendered to the Department upon receipt of the monthly statement of fee assessment.

(Source. Amended at 51 III. Reg effective	(Source:	Amended at 31 Ill. Reg.	. effective
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1) Heading of the Part: African-American HIV/AIDS Response Code

2) Code Citation: 77 Ill. Adm. Code 691

3)	Section Numbers:	Proposed Action:
	691.100	New
	691.200	New
	691.300	New
	691.400	New
	691.500	New
	691.600	New
	691.700	New
	691.800	New
	691.900	New
	691.1000	New
	691.1100	New
	691.1200	New
	691.1300	New
	691.1400	New

- 4) <u>Statutory Authority</u>: Implementing and authorized by the African-American HIV/AIDS Response Act [410 ILCS 303] and Sections 2310-10 and 2310-580 of the Civil Administrative Code of Illinois [20 ILCS 2310/2310-10 and 2310-580]
- A Complete Description of the Subjects and Issues Involved: This proposed rulemaking will enable the Illinois Department of Public Health to implement the provisions of P.A. 094-0797, the African-American HIV/AIDS Response Act. In 2006, the African-American HIV/AIDS Response Act was amended to add grant provisions and a special State fund the African-American Response Fund to provide an appropriation for implementing the Act. The proposed rulemaking will establish an administrative process for awarding grants for programs to prevent the transmission of HIV/AIDS and develop other programs and activities consistent with the purposes of this Act, the creation of community-based HIV/AIDS service delivery systems, and the administration of the Act.
- 6) <u>Published studies or reports, and sources of underlying data, used to compose this rulemaking</u>: CDC Guidelines for National Human Immunodeficiency Virus Case Surveillance, Including Monitoring for Human Immunodeficiency Virus Infection and Acquired Immunodeficiency Syndrome, Centers for Disease Control and Prevention

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(CDC) Morbidity and Mortality Weekly Report (MMWR), December 10, 1999; vol. 48, no. RR-13.

Revised Recommendations for HIV Testing of Adults, Adolescents, and Pregnant Women in Health Care Settings, Centers for Disease Control and Prevention (CDC) Morbidity and Mortality Weekly Report (MMWR), Vol. 55, No. RR-14, September 22, 2006, Atlanta, Georgia 30333.

Advancing HIV Prevention: New Strategies for a Changing Epidemic – United States 2003, Centers for Disease Control and Prevention (CDC) Morbidity and Mortality Weekly Report (MMWR), Vol. 52, No. 15, April 18, 2003, Atlanta, Georgia 30333.

- 7) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 8) Does this rulemaking contain an automatic repeal date? No
- 9) Does this rulemaking contain incorporations by reference? Yes
- 10) Are there any other proposed rulemakings pending on this Part? No
- 11) <u>Statement of Statewide Policy Objectives</u>: This rulemaking does not create or expand any State mandate on units of local government.
- 12) <u>Time, Place, and Manner in which interested persons may comment on this proposed rulemaking</u>: Written or e-mail comments may be submitted within 45 days after this issue of the *Illinois Register* to:

Susan Meister Division of Legal Services Illinois Department of Public Health 535 West Jefferson, Fifth Floor Springfield, Illinois 62761

217/782-2043

(E-mail: rules@idph.state.il.us)

13) Initial Regulatory Flexibility Analysis:

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- A) Types of small businesses, small municipalities and not for profit corporations affected: Community-based organizations providing health services to persons with HIV/AIDS.
- B) Reporting, bookkeeping or other procedures required for compliance: There are no new requirements for reporting, bookkeeping or other procedures required for compliance.
- C) Types of Professional skills necessary for compliance: None
- 14) Regulatory Agenda on which this rulemaking was summarized: January 2007

The full text of the Proposed Rules begins on the next page:

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# TITLE 77: PUBLIC HEALTH CHAPTER I: DEPARTMENT OF PUBLIC HEALTH SUBCHAPTER k: COMMUNICABLE DISEASE CONTROL AND IMMUNIZATIONS

## PART 691 AFRICAN-AMERICAN HIV/AIDS RESPONSE CODE

## SUBPART A: GENERAL PROVISIONS

Section			
691.100	Definitions		
691.200	Incorporated and Referenced Materials		
SUBPART B: AFRICAN-AMERICAN HIV/AIDS RESPONSE ACT GRANTS			
5051			
Section			
691.300	Eligibility for Grant Award		
691.400	Grant Requirements		
691.500	Application Procedures		
691.600	Review of Applications		
691.700	Use of Grant Funds		
691.800	Termination of Grant Award		
691.900	Denial, Suspension, Revocation or Termination of Grant Award		
691.1000	Grant Funds Recovery		
691.1100	Hearings		
	SUBPART C: TRAINING AND HIV TESTING PROTOCOLS		
g .:			
Section			

## SUBPART D: REMOVAL AND PROPER DISPOSAL OF HAZARDOUS WASTE

Section

691.1200

691.1300

Saction

691.1400 Removal and Proper Disposal of Hazardous Waste

HIV Testing Protocols

Training Requirements for HIV Test Counseling

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AUTHORITY: Implementing and authorized by the African-American HIV/AIDS Response Act [410 ILCS 303] and Sections 2310-10 and 2310-580 of the Civil Administrative Code of Illinois [20 ILCS 2310/2310-10 and 2310-580].

SOURCE: Adopted at 31 Ill. Reg. \_\_\_\_\_, effective \_\_\_\_\_.

## SUBPART A: GENERAL PROVISIONS

#### Section 691.100 Definitions

For the purpose of this Part, the following shall be the accepted definitions of the terms used in this Part:

"Act" means the African-American HIV/AIDS Response Act [410 ILCS 303].

"AIDS" means acquired immunodeficiency syndrome. (Section 3(b) of the AIDS Confidentiality Act)

"African-American community-based organization" means an organization that is in good standing with the State of Illinois and:

Has a Board of Directors, the majority of the members of which are African-American;

Provides services to individuals or families who are or have been impacted by HIV;

Is physically located in the community to be served;

Provides HIV/AIDS services in communities that are primarily populated by individuals and families that identify themselves as African-American; and

Has been in existence for at least one year.

"Department" means the Illinois Department of Public Health.

"Director" means Director of the Illinois Department of Public Health.

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"Fund" means the African-American HIV/AIDS Response Fund as established by the Act.

"Funding period" means the time (usually 12 months coinciding with the Department's fiscal year) during which money is to be spent by award recipients.

"High-risk community" means a community that has a high prevalence of HIV, defined as equal to or greater than one percent of the population of the community.

"High-traffic facility" means a high-traffic facility as defined by the State agency operating the facility. (Section 15 of the Act)

"HIV" means the Human Immunodeficiency Virus or any other identified causative agent of AIDS. (Section 3(c) of the AIDS Confidentiality Act)

"Potentially infectious medical waste" or 'PIMW" means waste generated in connection with:

Diagnosis, treatment (i.e., provision of medical services), or immunization of human beings or animals;

Research pertaining to the provision of medical services; or

Production or testing of biological waste.

"Rapid HIV Antibody Test" means a federal Food and Drug Administrationapproved screening test to detect antibodies to HIV, which can be collected and processed within a short interval of time (under 60 minutes).

"State agency" means any department of State Government created under Section 5-15 of the Departments of Government Law of the Civil Administrative Code of Illinois or the Office of the Secretary of State. (Section 15 of the Act)

"Test" or "HIV Test" means a test to determine the presence of the antibody or antigen to HIV, or the presence of HIV infection. (Section 3(g) of the AIDS Confidentiality Act)

## **Section 691.200 Incorporated and Referenced Materials**

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The following materials are incorporated or referenced in this Part:

- a) Illinois Statutes
  - 1) African-American HIV/AIDS Response Act [410 ILCS 303]
  - 2) AIDS Confidentiality Act [410 ILCS 305]
  - 3) HIV/AIDS Registry Act [410 ILCS 310]
  - 4) Communicable Disease Prevention Act [410 ILCS 315]
  - 4) The Civil Administrative Code of Illinois [20 ILCS 2310]
  - 5) Environmental Protection Act [415 ILCS 5]
  - 6) Illinois Grant Funds Recovery Act [30 ILCS 705]
- b) State of Illinois Administrative Rules
  - 1) HIV/AIDS Confidentiality and Testing Code (77 Ill. Adm. Code 697)
  - 2) Control of Sexually Transmitted Diseases Code (77 Ill. Adm. Code 693)
  - 3) Rules of Practice and Procedure in Administrative Hearings (77 Ill. Adm. Code 100)
- c) Federal Guidelines
  - Centers for Disease Control and Prevention (CDC)
     1600 Clifton Rd.
     Atlanta, Georgia 30333
     www.cdc.gov/mmwr/
    - A) CDC Guidelines for National Human Immunodeficiency Virus Case Surveillance, Including Monitoring for Human Immunodeficiency Virus Infection and Acquired

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Immunodeficiency Syndrome, Morbidity and Mortality Weekly Report (MMWR), December 10, 1999; Vol. 48, No. RR-13.

- B) Revised Recommendations for HIV Testing of Adults, Adolescents, and Pregnant Women in Health Care Settings, Morbidity and Mortality Weekly Report (MMWR), Vol. 55, No. RR-14, September 22, 2006.
- C) Advancing HIV Prevention: New Strategies for a Changing Epidemic United States 2003, Morbidity and Mortality Weekly Report (MMWR), Vol. 52, No. 15, April 18, 2003.
- 2) Office of Management and Budget 725 17<sup>th</sup> Street, NW Washington, D.C. 20503 www.whitehouse.gov/omb/circulars/index.html

OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments, May 10, 2004.

d) All incorporations by reference of federal guidelines refer to the guidelines on the date specified and do not include any amendments or editions subsequent to the date specified.

## SUBPART B: AFRICAN-AMERICAN HIV/AIDS RESPONSE ACT GRANTS

## Section 691.300 Eligibility for Grant Award

In order to be eligible to receive a grant from the Fund, the applicant must meet all of the following criteria:

- a) Be in good standing with the State of Illinois;
- b) Have a Board of Directors, the majority of members of which are African-American;
- c) Provide services to individuals or families impacted by HIV;
- d) Be physically located within the community to be served;

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- e) Provide HIV/AIDS services, including, but not limited to, HIV prevention, case management and treatment services, in communities primarily populated by individuals and families that identify themselves as African-American;
- f) Be in existence for at minimum one year prior to applying for a grant award.

## **Section 691.400 Grant Requirements**

- a) Grant recipients must comply with all applicable provisions of the African-American HIV/AIDS Response Act, AIDS Confidentiality Act, HIV/AIDS Registry Act, Communicable Disease Prevention Act, Environmental Protection Act, HIV/AIDS Confidentiality and Testing Code, and Control of Sexually Transmitted Diseases Code and shall comply as provided by the guidelines of the Centers for Disease Control and Prevention for HIV prevention, testing and surveillance (see Section 691.200).
- b) Grants shall be awarded for *programs to prevent the transmission of HIV and other programs and activities consistent with the purposes of the Act, including, but not limited to, preventing and treating HIV/AIDS* and the creation of an HIV/AIDS service delivery system. (Section 27 of the Act)
- c) The grant funds shall provide resources for communities in Illinois to create an HIV/AIDS service delivery system that reduces the disparity of HIV infection and AIDS cases between African-Americans and other population groups in Illinois that may be impacted by the disease. (Section 27 of the Act)
- d) Grant recipients shall address the following objectives:
  - 1) Developing, implementing and maintaining a comprehensive, culturally sensitive HIV Prevention Plan targeting communities that are identified as high-risk in terms of the impact of the disease on African-Americans. The HIV Prevention Plan shall be reviewed and revised annually.
  - 2) Developing, implementing and maintaining a stable HIV/AIDS service delivery infrastructure in Illinois communities that will meet the needs of African-Americans statewide.

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- 3) Developing, implementing, and maintaining a statewide HIV/AIDS testing program.
- 4) Providing funding for HIV/AIDS social and scientific research to improve prevention and treatment.
- 5) Providing comprehensive technical and other assistance to African-American community service organizations that are involved in HIV/AIDS prevention and treatment.
- 6) Developing, implementing and maintaining an infrastructure for African-American community service organization to make them less dependent on government resources.
- 7) Creating and maintaining at least 17 one-stop shopping HIV/AIDS facilities across the State. (Section 27 of the Act)

## **Section 691.500 Application Procedures**

The Department will provide written application instructions and forms to potential applicants.

a) Applications may be submitted to the Department at the following address:

African-American Response Act Grant Illinois Department of Public Health HIV/AIDS Program 525 W. Jefferson St., 1<sup>st</sup> Floor Springfield IL 62761-0001

- b) All applications shall be submitted on the form prescribed by the Department and shall include, at minimum, the following:
  - 1) Name of the primary contact for the applicant, address, e-mail, and telephone, fax and teletypewriter (TTY) numbers, if available;
  - 2) Name of the African-American community-based organization for which the application is being submitted, and the address, telephone, fax and teletypewriter (TTY) numbers, if available;

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- 3) Federal Tax Identification Number:
- 4) Resume or curriculum vitae of the principal investigator;
- 5) One-page non-technical abstract that describes the scope of the project for which funding is being sought;
- 6) List of members of the Board of Directors, position, term, and race;
- 7) Certificate of Good Standing from the Office of the Secretary of State;
- 8) Description and location of high-risk community to be served;
- 9) Work plan for the funding period, including a list of objectives as described in Section 691.400, and an approximate timetable for completion;
- 10) Detailed budget for the funding period, documenting sufficient resources to complete the objectives addressed in the work plan. The budget shall be by line item category and shall provide sufficient detail to justify the use of grant funds to support project activities. The applicant shall indicate the amount of the total funding requested from the Department and sources of other funding available to support the project objectives, if applicable. Grant recipients shall limit administrative costs included in the project budget to no more than ten percent of total funds requested;
- 11) The signature of the organization official authorized to certify the application; and
- Description of evaluation methods to measure progress in achieving objectives and a plan for monitoring the overall project.

## **Section 691.600 Review of Applications**

a) The Department will accept applications for grant award March 1 through April 30 of each year. Funding will be awarded for the subsequent fiscal year. Applications for grant award shall be made annually and are not renewable.

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- b) Applications will be reviewed by the Department for compliance with the requirements of this Part. During the course of its review, the Department may contact the applicant for additional information if the information provided is incomplete, inconsistent or unclear.
- c) Applicants whom the Department determines to be not eligible for grant funds will be notified in writing of this decision.
- d) Distribution of grants is dependent on available funding. Available grant funds will be distributed based on the applicant's application and compliance with Sections 691.400 and 691.500.
- e) Applicants must submit a new application for each State fiscal year in order to be considered for funding. Applications are only applicable to the State fiscal year for which the applications were received.

## Section 691.700 Use of Grant Funds

- a) Grant awards will be issued in an amount not less than \$25,000. Funds shall be used only for the direct cost of administering, operating and maintaining a project or service in accordance with the requirements of Section 691.400. The grant funds may not be used for organizational or community-based overhead costs, indirect costs, legal fees or levies. Grant funds shall be used as provided by the guidelines of OMB Circular A-87 for allowable expenditures (see Section 691.200). The following direct costs are examples of those that may be incurred when specified in the grant application budget.
  - 1) Personal services costs, including gross salaries and employer paid fringe benefits for full-time and part-time employees of the program being funded.
  - 2) Contractual services costs, including, but not limited to, medical services and printing of educational materials.
  - 3) Supplies/commodities as required in the operation of the program or provision of services that are directly related to its operation, which may include medical supplies and equipment supplies costing less than \$100.

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- 4) Equipment directly related to the operation of the project. (Equipment is defined as items costing over \$100 each, with a useful life of more than one year. Equipment costs shall include all freight and installation costs.) Purchase of equipment items, other than those included in the approved budget, require prior written approval from the Department.
- Payments to the grantee shall be made on a reimbursement basis.

  Grantees may request up to three months funding to be paid upon execution of the grant award. These requests shall be made at the time of application for grant award and will be reviewed and approved by the Department. Grantees shall provide the Department with a detailed accounting of how funding was expended.
- The grantee shall use the Reimbursement Certification Form provided by the Department or a reasonable facsimile of that form to request reimbursement for expenditures.
- 7) The grantee shall document actual expenditures incurred for the purchase of goods and services necessary for conducting program activities or services.
- 8) To be reimbursable, expenditures must be in accordance with the terms of the grant award and the program budget on file with the Department and must meet the following general criteria:
  - A) Be necessary and reasonable for proper and efficient administration of the program and not be a general expense required to carry out the overall responsibilities of the agency.
  - B) Be authorized or not prohibited under federal, State or local laws or regulations.
  - C) Follow the application of generally accepted accounting principles appropriate to the project being funded.
  - D) Not be allocable to or included as a cost of any other State or federally financed program in either the current or a prior period.

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4) The grantee shall submit requests for reimbursement monthly throughout the period of the grant. The final request for reimbursement shall be submitted within 30 calendar days after the end of the funding period.

#### Section 691.800 Termination of Grant Award

- a) Except as otherwise provided in this Section, the grant agreement may be terminated by either party upon 30 calendar days written notice to the other party, as specified in the grant agreement.
- b) The grant agreement may be terminated immediately, without penalty of further payment required, if the General Assembly fails to appropriate or otherwise make available sufficient funds for the award, or if sufficient funds are not available in the Fund.
- c) The Department may immediately suspend funding or terminate the grant agreement of any grantee that has substantially failed or continually failed to comply with the Act, this Part, or the grant agreement.

## Section 691.900 Denial, Suspension, Revocation or Termination of Grant Award

- a) The Director may suspend or revoke the grant agreement of a grantee when finding substantial or continued failure to comply with the Act, this Part or the grant agreement. Except as provided in subsection (b), the Director shall provide notice and an opportunity for the grant applicant or grantee to request a hearing before executing the denial, suspension, or revocation.
- b) If the Director finds that the public interest, health, safety, or welfare requires emergency action, then the Director may immediately order summary suspension of a grant agreement pending proceedings for revocation. The Director's order shall be written and shall include the reasons for the findings. The proceedings shall be promptly instituted and promptly determined.
- c) The notice of denial shall be made by regular mail. The notice of suspension or revocation shall be made by certified mail or personal service. These notices shall state the reasons for the proposed action and shall provide the opportunity to request a hearing. If a written hearing request is not received within 10 business days after receipt of the notice, then the right to a hearing is deemed waived.

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d) A denial or termination due to the General Assembly's failure to appropriate funds is not subject to this Section.

## Section 691.1000 Grant Funds Recovery

Grants made under this Part are subject to the Illinois Grant Funds Recovery Act. If a provision of this Part conflicts with a provision of the Illinois Grant Funds Recovery Act, then the provision of the Illinois Grant Funds Recovery Act controls.

## Section 691.1100 Hearings

The Department's Rules of Practice and Procedure in Administrative Hearings apply to the proceedings conducted under this Part. Where the terms "license" and "licensing" are used in those rules, those terms shall be expanded to include a grant applicant, a grantee awarded funds, and a grant agreement executed under this Part.

## SUBPART C: TRAINING AND HIV TESTING PROTOCOLS

## Section 691.1200 Training Requirements for HIV Test Counseling

HIV counseling and testing services shall be administered by persons who have completed a course of training provided or approved by the Department. Persons providing HIV counseling and testing services shall comply with the provisions of the HIV/AIDS Confidentiality Act.

## **Section 691.1300 HIV Testing Protocols**

- a) HIV antibody testing shall be completed in accordance with Section 697.100 of the AIDS Confidentiality and Testing Code.
- b) All persons seeking counseling and HIV testing at an HIV testing site operated by a Department funded African-American community-based organization shall be offered a rapid HIV Antibody Test. If the HIV test counselor determines that rapid HIV antibody testing is not appropriate at the time of testing, the person shall be offered the option of another HIV antibody test.
- c) A patient record shall be created for each person tested at an HIV testing site operated by a Department funded African-American community-based organization. All patient records shall be maintained in accordance with the provisions of the HIV/AIDS Confidentiality Act.

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- d) No person may be subjected to an HIV antibody test unless written informed consent is first obtained from the test subject or the test subject's legally authorized representative in accordance with the AIDS Confidentiality and Testing Code.
- e) The HIV Counseling and Testing Centers shall provide counseling to the test subject prior to performing the test. The counseling shall include, but not necessarily be limited to, information about:
  - 1) HIV infection and HIV transmission;
  - 2) The difference between confidential and anonymous HIV testing; information about the meaning of the test and test results, such as the purpose, potential uses, and limitations of the test and test results and the statutory rights to anonymous testing and to confidentiality; and information about the availability of supplemental testing;
  - 3) The availability of referrals for further information or counseling; and
  - 4) Methods for prevention of transmission of HIV.
- f) Department funded African-American community-based organizations shall report all positive HIV test results to the appropriate local health authority in accordance with Section 693.30 of the Control of Sexually Transmissible Diseases Code.

#### SUBPART D: REMOVAL AND PROPER DISPOSAL OF HAZARDOUS WASTE

## Section 691.1400 Removal and Proper Disposal of Hazardous Waste

All Potentially Infectious Medical Waste (PIMW) generated through HIV testing shall be handled and disposed of in a safe and responsible manner. Department funded African-American community-based organizations should contact the local health authority or local waste removal company for the correct disposal method for PIMW. The PIMW shall be placed in containers that are:

a) Rigid;

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- Leak-resistant; b)
- Impervious to moisture; c)
- Sufficiently strong to prevent tearing or bursting under normal conditions of use d) and handling;
- Sealed to prevent leakage during transport; e)
- f) Puncture-resistant for packaging sharps and sharps with residual fluids; and
- Break-resistant and tightly lidded or stoppered for packaging fluids. g)

## DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

- 1) Heading of the Part: Illinois Public Accounting Act
- 2) Code Citation: 68 Ill. Adm. Code 1420
- 3) <u>Section Numbers</u>: <u>Adopted Action</u>: 1420.25 Amendment 1420.35 Amendment
- 4) Statutory Authority: Illinois Public Accounting Act [225 ILCS 450]
- 5) <u>Effective Date of Amendments</u>: February 15, 2007
- 6) <u>Does this rulemaking contain an automatic repeal date?</u> No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted amendments, including any material incorporated by reference, is on file in the agency's principal office and is available for public inspection.
- 9) <u>Date Notice of Proposal Published in Illinois Register</u>: November 17, 2006; 30 Ill. Reg. 18024
- 10) Has JCAR issued a Statement of Objection to these amendments? No
- 11) Differences between proposal and final version: None
- Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreement letter issued by JCAR? Yes
- Will this rulemaking replace any emergency rulemaking currently in effect? Yes, at 30 Ill. Reg. 16435; effective September 29, 2006.
- 14) Are there any amendments pending on this Part? No
- Summary and Purpose of Amendments: Section 9.1 of the Act provides for temporary practice in Illinois by CPAs licensed in other jurisdictions subject to notice to the Division in accordance with the Act and Rules; Section 1420.35 implements this notice provision. This rulemaking clarifies when and how out of state CPAs must provide notice and apply for a temporary permit. It further clarifies in subsection (b) that out of

## DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

## NOTICE OF ADOPTED AMENDMENTS

state CPAs providing professional services other than those constituting the practice of public accounting as defined in Section 8 of the Act (such as preparing or filing tax forms) need not obtain a temporary practice permit or obtain registration as a registered CPA if they are lawfully practicing in another state and the services are incidental to practice in another state.

## 16) Information and questions regarding these adopted amendments shall be directed to:

Department of Financial and Professional Regulation Attention: Craig Cellini 320 West Washington, 3<sup>rd</sup> Floor Springfield, Illinois 62786

217/785-0813 Fax: 217/557-4451

The full text of the Adopted Amendments begins on the next page:

## DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

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# TITLE 68: PROFESSIONS AND OCCUPATIONS CHAPTER VII: DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION SUBCHAPTER b: PROFESSIONS AND OCCUPATIONS

## PART 1420 ILLINOIS PUBLIC ACCOUNTING ACT

Section	
1420.10	Experience
1420.20	Application for Licensure as a Certified Public Accountant
1420.25	Application for Licensure as a Registered Certified Public Accountant
1420.30	Application for Licensure-Firm
1420.35	Temporary Practice
1420.40	Fees for the Administration of the Act
1420.50	Endorsement
1420.60	Restoration
1420.70	Continuing Professional Education
1420.80	Renewals
1420.90	Annual Report of the Committee
1420.100	Conduct of Hearings (Repealed)
1420.110	Granting Variances

AUTHORITY: Implementing the Illinois Public Accounting Act [225 ILCS 450] and authorized by Section 2105-15(7) of the Civil Administrative Code of Illinois [20 ILCS 2105/2105-15(7)].

SOURCE: Rules and Regulations for the Administration and Enforcement of the Provisions of the Illinois Public Accounting Act, effective June 30, 1975; codified at 5 Ill. Reg. 11058; amended at 5 Ill. Reg. 14171, effective December 3, 1981; emergency amendment at 6 Ill. Reg. 916, effective January 6, 1982, for a maximum of 150 days; amended at 6 Ill. Reg. 7748, effective June 15, 1982; emergency amendment at 7 Ill. Reg. 14548, effective October 13, 1983, for a maximum of 150 days; amended at 8 Ill. Reg. 6179, effective April 25, 1984; amended at 9 Ill. Reg. 5708, effective April 15, 1985; amended at 9 Ill. Reg. 8738, effective May 28, 1985; amended at 9 Ill. Reg. 13360, effective August 21, 1985; amended at 10 Ill. Reg. 20739, effective December 1, 1986; amended at 11 Ill. Reg. 18276, effective October 27, 1987; transferred from Chapter I, 68 Ill. Adm. Code 420 (Department of Registration and Education) to Chapter VII, 68 Ill. Adm. Code 1420 (Department of Professional Regulation) pursuant to P.A. 85-225, effective January 1, 1988, at 12 Ill. Reg. 2944; amended at 19 Ill. Reg. 16258, effective November 28, 1995; amended at 21 Ill. Reg. 15255, effective November 17, 1997; amended at 24 Ill. Reg. 14005, effective August 31, 2000; amended at 29 Ill. Reg. 9853, effective August 1,

### DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

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2005; emergency amendment at 30 III. Reg. 16435, effective September 29, 2006; amended at 31 III. Reg. 3475, effective February 15, 2007.

## Section 1420.25 Application for Licensure as a Registered Certified Public Accountant

- Any person seeking a license as a registered certified public accountant pursuant to Section 4 (Transitional Language) of the Act shall file an application with the Division postmarked no later than June 30, 2010 on forms provided by the Division. The application shall include the following:
  - Proof of a Certified Public Accountant certificate issued by the Illinois Board of Examiners or proof of similar certification from another jurisdiction with equivalent educational requirements and examination standards. The Division may rely on the determinations of the National Qualification Appraisal Service of the National Association of State Boards of Accountancy or any other qualification appraisal service, as it deems appropriate; and
  - <u>2</u>b) The required fee specified in Section 1420.40.
- b) Individuals providing professional services on a temporary basis, other than those services constituting the practice of public accounting as defined in Section 8 of the Act, shall not be required to obtain registration as a registered certified public accountant so long as practice is conducted in accordance with Section 1420.35(b).

(Source: Amended at 31 Ill. Reg. 3475, effective February 15, 2007)

## **Section 1420.35 Temporary Practice**

- a) Any person temporarily practicing public accounting (as defined in Section 8 of the Act) pursuant to this Section shall, within 30 days after commencing practice, file a notice with the Division, on forms prescribed by the Division, that shall include a self-certification stating the date the applicant's license was issued and the date of expiration, along with the fee required by Section 1420.40. This temporary permit shall be deemed a license and shall be valid for a period of one year.
- b) Incidental Practice

## DEPARTMENT OF FINANCIAL AND PROFESSIONAL REGULATION

## NOTICE OF ADOPTED AMENDMENTS

- 1) Individuals providing professional services, other than those services constituting the practice of public accounting as defined in Section 8 of the Act, shall not be required to obtain this temporary practice permit or obtain registration as a registered certified public accountant so long as:
  - A) the individual is lawfully practicing in another state;
  - B) the professional services provided in this State are incidental to practice in another state; and
  - <u>C</u>) the individual does not solicit Illinois clients, maintain a physical presence in Illinois, or maintain clients in Illinois that are not incidental to practice in another state.
- <u>Practice in this State is "incidental" to practice in another state if it is a continuation or extension of an engagement or client relationship originating in another state.</u>

(Source: Amended at 31 Ill. Reg. 3475, effective February 15, 2007)

## NOTICE OF ADOPTED AMENDMENTS

- 1) Heading of the Part: Sport Fishing Regulations for the Waters of Illinois
- 2) Code Citation: 17 Ill. Adm. Code 810

3)	Section Numbers:	Adopted Action:
	810.20	Amendment
	810.37	Amendment
	810.45	Amendment
	810.70	Amendment

- 4) <u>Statutory Authority</u>: Implementing and authorized by Sections 1-120, 1-125, 1-150, 5-5, 10-5, 10-10, 10-15, 10-20, 10-25, 10-30, 10-35, 10-45, 10-50, 10-60, 10-75, 10-90, 10-95, 15-50, 20-5, 20-35 and 25-5 of the Fish and Aquatic Life Code [515 ILCS 5/1-120, 1-125, 1-150, 5-5, 10-5, 10-10, 10-15, 10-20, 10-25, 10-30, 10-35, 10-45, 10-50, 10-60, 10-75, 10-90, 10-95, 15-50, 20-5, 20-35 and 25-5]
- 5) <u>Effective Date of Amendments</u>: February 16, 2007
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rulemaking, including all material incorporated by reference, is on file in the Department of Natural Resources' principal office and is available for public inspection.
- 9) Notice of Proposal Published in Illinois Register: 30 Ill. Reg. 16795; October 27, 2006
- 10) Has JCAR issued a Statement of Objection to this rulemaking? No
- 11) Differences between proposal and final version:

Section 810.20(b)(2) – following "No sorting" added "(i.e., catching more fish than is allowed and putting back some in order to keep larger ones)"

Section 810.45 – Mississippi River (between IL & IA), State of Illinois

Added language to the requirements for Paddlefish (at the end of the paragraph):

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"; no sorting allowed; once the daily limit of paddlefish has been reached, snagging must cease"

Section 810.45 – Mississippi River (between IL & MO), State of Illinois

Added language to the requirements for Paddlefish following "Illinois and Missouri":

"except for Dam 27 area at the Chain of Rocks;"

Added language to the requirements for Paddlefish following "limit is 2 fish":

"; sorting is permitted; every paddlefish greater than or equal to 24 inches in eye to fork length must be taken into immediate possession and included in the daily catch limit; paddlefish less than 24" eye to fork length must be returned immediately to the water; once the daily limit of paddlefish has been reached, snagging must cease"

- Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreements issued by JCAR? Yes
- 13) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 14) Are there any amendments pending on this Part? No
- Summary and Purpose of Rulemaking: This Part was amended to: define snagging and which fish species can be taken by this method, define individual site-specific fishing regulations referred to by numbers listed in parenthesis in Section 810.45, update the list of site specific regulations by water area, and update Free Fishing Days for 2007.
- 16) Information and questions regarding these adopted amendments shall be directed to:

Jack Price, Legal Counsel
Department of Natural Resources
One Natural Resources Way
Springfield IL 62702-1271

217/782-1809

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The full text of the Adopted Amendments begins on the next page:

## DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

## TITLE 17: CONSERVATION CHAPTER I: DEPARTMENT OF NATURAL RESOURCES SUBCHAPTER b: FISH AND WILDLIFE

## PART 810 SPORT FISHING REGULATIONS FOR THE WATERS OF ILLINOIS

Section	
810.10	Sale of Fish and Fishing Seasons
810.20	Snagging
810.30	Pole and Line Fishing Only (Repealed)
810.35	Statewide Sportfishing Regulations – Daily Catch and Size Limits
810.37	Definitions for Site Specific Sportfishing Regulations
810.40	Daily Catch and Size Limits (Repealed)
810.45	Site Specific Water Area Regulations
810.50	Bait Fishing
810.60	Bullfrogs (Repealed)
810.70	Free Fishing Days
810.80	Emergency Protective Regulations
810.90	Fishing Tournament Permit
810.100	Bed Protection

AUTHORITY: Implementing and authorized by Sections 1-120, 1-125, 1-150, 5-5, 10-5, 10-10, 10-15, 10-20, 10-25, 10-30, 10-35, 10-45, 10-50, 10-60, 10-75, 10-90, 10-95, 15-50, 20-5, 20-35 and 25-5 of the Fish and Aquatic Life Code [515 ILCS 5/1-120, 1-125, 1-150, 5-5, 10-5, 10-10, 10-15, 10-20, 10-25, 10-30, 10-35, 10-45, 10-50, 10-60, 10-75, 10-90, 10-95, 15-50, 20-5, 20-35 and 25-5].

SOURCE: Adopted at 5 Ill. Reg. 751, effective January 8, 1981; codified at 5 Ill. Reg. 10647; amended at 6 Ill. Reg. 342, effective December 23, 1981; amended at 6 Ill. Reg. 7411, effective June 11, 1982; amended at 7 Ill. Reg. 209, effective December 22, 1982; amended at 8 Ill. Reg. 1564, effective January 23, 1984; amended at 8 Ill. Reg. 16769, effective August 30, 1984; amended at 9 Ill. Reg. 2916, effective February 26, 1985; emergency amendment at 9 Ill. Reg. 3825, effective March 13, 1985, for a maximum of 150 days; emergency expired August 10, 1985; amended at 9 Ill. Reg. 6181, effective April 24, 1985; amended at 9 Ill. Reg. 14291, effective September 5, 1985; amended at 10 Ill. Reg. 4835, effective March 6, 1986; amended at 11 Ill. Reg. 4638, effective March 10, 1987; amended at 12 Ill. Reg. 5306, effective March 8, 1988; emergency amendment at 12 Ill. Reg. 6981, effective April 4, 1988, for a maximum of 150

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days; emergency expired September 1, 1988; emergency amendment at 12 Ill. Reg. 10525, effective June 7, 1988, for a maximum of 150 days; emergency expired November 4, 1988; amended at 12 Ill. Reg. 15982, effective September 27, 1988; amended at 13 Ill. Reg. 8419, effective May 19, 1989; emergency amendment at 13 Ill. Reg. 12643, effective July 14, 1989, for a maximum of 150 days; emergency expired December 11, 1989; emergency amendment at 13 Ill. Reg. 14085, effective September 4, 1989, for a maximum of 150 days; emergency expired February 1, 1990; emergency amendment at 13 Ill. Reg. 15118, effective September 11, 1989, for a maximum of 150 days; emergency expired February 8, 1990; amended at 14 Ill. Reg. 6164, effective April 17, 1990; emergency amendment at 14 III. Reg. 6865, effective April 17, 1990, for a maximum of 150 days; emergency expired September 19, 1990; amended at 14 Ill. Reg. 8588, effective May 21, 1990; amended at 14 Ill. Reg. 16863, effective October 1, 1990; amended at 15 Ill. Reg. 4699, effective March 18, 1991; emergency amendment at 15 Ill. Reg. 5430, effective March 27, 1991, for a maximum of 150 days; emergency expired August 24, 1991; amended at 15 Ill. Reg. 9977, effective June 24, 1991; amended at 15 Ill. Reg. 13347, effective September 3, 1991; amended at 16 Ill. Reg. 5267, effective March 20, 1992; emergency amendment at 16 Ill. Reg. 6016, effective March 25, 1992, for a maximum of 150 days; emergency expired August 22, 1992; amended at 16 Ill. Reg. 12526, effective July 28, 1992; amended at 17 Ill. Reg. 3853, effective March 15, 1993; emergency amendment at 17 Ill. Reg. 5915, effective March 25, 1993, for a maximum of 150 days; emergency expired August 22, 1993; amended at 17 Ill. Reg. 10806, effective July 1, 1993; amended at 18 Ill. Reg. 3277, effective February 28, 1994; emergency amendment at 18 III. Reg. 5667, effective March 25, 1994, for a maximum of 150 days; amended at 18 III. Reg. 12652, effective August 9, 1994; amended at 19 Ill. Reg. 2396, effective February 17, 1995; emergency amendment at 19 Ill. Reg. 5262, effective April 1, 1995, for a maximum of 150 days; amended at 19 Ill. Reg. 10614, effective July 1, 1995; amended at 20 Ill. Reg. 4640, effective March 6, 1996; recodified by changing the agency name from Department of Conservation to Department of Natural Resources at 21 Ill. Reg. 9389; amended at 21 Ill. Reg. 4709, effective April 1, 1997; emergency amendment at 21 Ill. Reg. 5590, effective April 15, 1997, for a maximum of 150 days; amended at 21 Ill. Reg. 12140, effective August 26, 1997; amended at 22 Ill. Reg. 4930, effective March 2, 1998; amended at 23 Ill. Reg. 3434, effective March 8, 1999; emergency amendment at 23 Ill. Reg. 7317, effective June 10, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 8406, effective July 7, 1999; amended at 24 Ill. Reg. 3736, effective February 25, 2000; amended at 25 Ill. Reg. 6296, effective March 26, 2001; emergency amendment at 25 Ill. Reg. 7947, effective June 16, 2001, for a maximum of 150 days; emergency amendment at 25 Ill. Reg. 9912, effective August 1, 2001, for a maximum of 150 days; amended at 25 Ill. Reg. 11386, effective August 14, 2001; emergency amendment at 25 Ill. Reg. 12122, effective September 15, 2001, for a maximum of 150 days; amended at 26 Ill. Reg. 1210, effective January 16, 2002; amended at 26 Ill. Reg. 4294, effective March 6, 2002; amended at 27 Ill. Reg. 3376, effective February 14, 2003; amended at 28 Ill. Reg. 4607, effective March 1, 2004; amended at 29 Ill. Reg. 3955,

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effective February 24, 2005; amended at 30 Ill. Reg. 4810, effective March 1, 2006; amended at 31 Ill. Reg. 3480, effective February 16, 2007.

## Section 810.20 Snagging

- a) Anglers are permitted only one pole and line device to which can be attached no more than two hooks.
- b) Species of Fish and Snagging Catch Limit.
  - 1) Only the following species of fish and catch limit may be taken by snagging:

Asian Carp (no live possession) – no catch limit

Carp - no catch limit

Buffalo - no catch limit

Freshwater Drum - no catch limit

Salmon (coho and chinook only) - 5 daily, of which not more than 3 may be of the same fish species

Paddlefish - 2 per day

Bowfin - no catch limit

Gizzard shad - no catch limit

Carpsuckers - no catch limit

Longnose gar - no catch limit

Shortnose gar - no catch limit

Suckers - no catch limit

2) No sorting (i.e., catching more fish than is allowed and putting back some

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in order to keep larger ones) of snagged salmon and paddlefish is permitted, except for paddlefish taken from the Mississippi River between Illinois and Missouri where sorting is permitted. Every salmon 10 inches in total length or longer and paddlefish snagged must be taken into immediate possession and included in the daily catch limit. Once the daily limit of salmon or paddlefish has been reached, snagging must cease.

- c) Waters Open to Snagging and Snagging Season.
  - 1) Snagging for fish is permitted from September 15 through December 15 and from March 15 through May 15 within a 300 yard downstream limit below all locks and dams of the Illinois River, except for the area below the Peoria Lock and Dam where snagging is permitted year round.
  - 2) Snagging for fish is permitted from September 15 through December 15 and from March 15 through May 15 within a 300 yard downstream limit below all locks and dams of the Mississippi River between Illinois and Missouri, except for Dam 27 at the Chain of Rocks. Snagging is permitted from January 1 through April 15 within a 500 yard downstream limit below locks and dams on the Mississippi River between Illinois and Iowa, except that the tailwaters of Lock and Dam 12 and 13 are closed to all fishing from December 1 through March 15.
  - 3) Snagging for fish is permitted year-round within a 100 yard limit upstream or downstream of the dam at Horseshoe Lake in Alexander County.
  - 4) Snagging for chinook and coho salmon only is permitted from the following Lake Michigan shoreline areas from October 1 through December 31; however, no snagging is allowed at any time within 200 feet of a moored watercraft or as posted:
    - A) Lincoln Park Lagoon from the Fullerton Avenue Bridge to the southern end of the Lagoon.
    - B) Waukegan Harbor (in North Harbor basin only).
    - C) Winnetka Power Plant discharge area.
    - D) Jackson Harbor (Inner and Outer Harbors).

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d) Disposition of Snagged Salmon and Paddlefish. All snagged salmon and paddlefish must be removed from the area from which they are taken and disposed of properly, in accordance with Article 5, Section 5-5 of the Fish and Aquatic Life Code.

(Source: Amended at 31 Ill. Reg. 3480, effective February 16, 2007)

## **Section 810.37 Definitions for Site Specific Sportfishing Regulations**

- a) Site Specific Regulations are listed by water area affected. The coverage of the regulation is dictated by the extent of the water area listed and not by the county. In some cases, regulations for a given water area or site may extend beyond the counties listed. The counties listed refer to the location of the dam or outfall for impoundments or mouths of small streams. Since large rivers or streams usually flow through many counties, the term "Multiple" is used rather than listing all counties where the large stream or river flows.
- b) The subsections listed below are referred to by number in Section 810.45. Each water area listed in Section 810.45 has numbers in parenthesis that explain all of the restrictions or special provisions in this Section that apply to that water area.
  - Anglers must not use more than 2 poles and each pole must not have more than 2 hooks or lures attached while fishing, except that legal size cast nets, (in accordance with subsection 810.50(a)(1)) shad scoops, and minnow seines may be used to obtain shad, minnows, and crayfish to use as bait, provided that they are not sold, and except that bullfrogs may be taken by hand, gig, pitchfork, spear, landing net, and hook and line during bullfrog season.
  - 2) All jugs set in a body of water shall be under the immediate supervision of the fisherman. Immediate supervision shall be defined as the fisherman being on the water where the jugs are set and readily available to identify jugs to law enforcement officers.
  - 3) All largemouth and smallmouth bass taken must be less than 12 inches in total length or greater than 15 inches in total length.
  - 4) Except that sport fishermen shall be allowed to use trotlines, jugs, and by

### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

hand, except that the use and aid of underwater breathing devices is prohibited. West of Wolf Creek Road, fishing from boats is permitted all year. Trotlines/jugs must be removed from sunrise until sunset from Memorial Day through Labor Day. East of Wolf Creek Road, fishing from boats is permitted from March 15 through September 30. Fishing from the bank is permitted all year only at the Wolf Creek and Route 148 causeways. On the entire lake, jugs and trotlines must be checked daily and must be removed on the last day they are used. It is illegal to use stakes to anchor any trotlines; they must be anchored only with portable weights and must be removed on the last day they are used. The taking of carp and buffalo with bow and arrow is permissible.

- 5) Except that sport fishermen may take carp, carpsuckers, buffalo, gar, bowfin and suckers by pitchfork, gigs, bow and arrow or bow and arrow devices.
- 6) Including the Fox River south of the Illinois-Wisconsin line to the Algonquin Dam and the Nippersink Creek upstream to the Wilmot Road Bridge.
- 7) Except that sport fishermen may take carp, buffalo, suckers and gar by bow and arrow or bow and arrow devices, gigs or spears during May and June.
- 8) Daily catch limit includes striped bass, white bass, yellow bass and hybrid striped bass either singly or in the aggregate.
- 9) Catch and Release Fishing Only means that fish (all or identified species) caught must be immediately released alive and in good condition back into the water from which they came.
- 10) It shall be illegal to possess trout during the period of October 1 to 5 a.m. on the third Saturday in October (both dates inclusive) that were taken during that period.
- 11) It shall be illegal to possess trout during the period of March 15 to 5 a.m. on the 1<sup>st</sup> Saturday in April (both dates inclusive) that were taken during that period.

### DEPARTMENT OF NATURAL RESOURCES

- Daily catch limit for largemouth or smallmouth bass, singly or in the aggregate, shall not exceed 6 fish per day, no more than one of which shall be greater than 15 inches in length and none of which shall be greater than 12 inches and less than or equal to 15 inches in length.
- Except that jug fishing is permitted from the hours of sunset to sunrise, and except that carp and buffalo may be taken by bow and arrow devices from May 1 through September 30. All jugs must have owner's/user's name and complete address affixed.
- Daily catch limit includes all fish species (either singly or in the aggregate) caught within each of the following fish groupings.
  - A) Largemouth or smallmouth bass
  - B) Walleye, sauger, or their hybrid
  - C) Bluegill, redear sunfish or pumpkinseed
  - D) Channel or blue catfish
- Daily catch limit includes white, black, or hybrid crappie either singly or in the aggregate.
- Daily catch limit includes striped bass, white bass and hybrid striped bass either singly or in the aggregate.
- Daily catch limit shall not exceed 10 fish daily, no more than 3 of which may be 17 inches or longer in length.
- Except that sport fishermen shall be allowed to use trotlines, jugs and bank poles in the portions of the lake that lie north of the Davenport Bridge and northeast of the Parnell Bridge. Sport fishermen may take carp, carpsuckers and buffalo by bow and arrow, bow and arrow devices, gigs and spears on the entire lake, but not within 200 yards of any developed recreation areas.
- 19) No fishing within 250 yards of an occupied waterfowl blind (within the hunting area) on all Department-owned or -managed sites.

- Carlyle Lake (including its tributary streams and those portions of the Kaskaskia River and Hurricane Creek up to the U.S. Army Corps of Engineers Carlyle Lake Project boundaries), U.S. Army Corps of Engineers, Bond, Clinton, and Fayette Counties. Does not include the tailwater.
- Lake Shelbyville (including its tributary streams and those portions of the West Okaw and Kaskaskia Rivers up to Lake Shelbyville Project boundaries including parts of the Lake Shelbyville Fish and Wildlife Management Area), U.S. Army Corps of Engineers, Shelby and Moultrie Counties. Does not include the tailwater except for the 48" total length and live bait rig requirement for muskellunge (see subsections (b)(40) and (43)).
- 22) Rend Lake (including its tributary streams and those portions of the Big Muddy and Casey Fork Rivers up to the Rend Lake Project boundaries), Rend Lake Project Ponds, U.S. Army Corps of Engineers, Franklin and Jefferson Counties. Does not include tailwaters, except that sport fishermen may not use a minnow seine, cast net, or shad scoop for bait collecting within 1,000 yards downstream of the Rend Lake Dam and Spillwaytailwater.
- Daily catch limit for black, white or hybrid crappies, singly or in the aggregate, shall not exceed 20 fish daily, no more than 10 of which can be below 10" in total length and no more than 10 of which can be 10" or longer in total length.
- 24) 15" minimum length limit for walleye with no possession of walleye greater than or equal to 20" and less than or equal to 27" in total length protected slot limit.
- Daily catch limit for largemouth or smallmouth bass, singly or in the aggregate, shall not exceed 3 fish per day, no more than one of which may be equal to or greater than 15 inches in total length and no more than 2 of which may be less than 15 inches in total length.
- 26) Lake Vermilion Trotline and jug fishing allowed north of Boiling Springs Road.

### DEPARTMENT OF NATURAL RESOURCES

- 27) Except that bank fishing is prohibited. Boat fishing is permitted May 1 through August 31 during the hours of 2:00 p.m. to 8:00 p.m. See site for additional regulations and exact opening and closing dates.
- 28) Except that trotlines may be set within 300 feet from shore.
- Except that carp, buffalo, suckers and carpsuckers may be taken by means of pitchfork and gigs (no bow and arrow devices).
- Fishing is permitted from March 15 through September 30, both dates inclusive, from sunrise to sunset. Fishing during all other times of the year is illegal and not permitted.
- Daily catch limit for largemouth or smallmouth bass, singly or in the aggregate, shall not exceed 3 fish daily, no more than one of which may be equal to or greater than 15 inches in total length and no more than 2 of which may be less than 12 inches in total length.
- Daily catch limit includes striped bass, white bass, yellow bass and hybrid striped bass, either singly or in the aggregate, no more than 4 of which may be 15 inches or longer in length.
- 33) It shall be unlawful to enter upon a designated waterfowl hunting area during the 7 days prior to the regular duck season, or to fish on such areas during the regular duck season except in areas posted as open to fishing. It shall be unlawful to enter upon areas designated as waterfowl rest areas or refuges from 2 weeks prior to the start of the regular duck season through the end of duck and Canada goose season.
- Except that sport fishermen may take carp, buffalo, suckers and gar by bow and arrow or bow and arrow devices, gigs, or spears from May 1 through August 31.
- Daily catch limit for walleye, sauger, or hybrid walleye, singly or in the aggregate, shall not exceed 3 fish daily, no more than one of which may be greater than 24 inches in total length and no more than 2 of which may be less than 18 inches in total length and greater than or equal to 14 inches in total length.

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Except that sportfishermen may not use a minnow seine, minnow trap, cast net, or shad scoop for bait collecting in the following water areas:

Cook County Forest Preserve District Waters (except in the Des Plaines River).

<u>Clinton Lake tailwaters from that portion of Salt Creek from the Clinton</u> Dam downstream to the Route 10 Bridge

- All smallmouth bass taken must be less than 12 inches in total length or greater than 18 inches in total length. Only 1 bass greater than 18 inches and 2 bass less than 12 inches may be taken in the creel daily.
- All largemouth and smallmouth bass taken must be less than 14 inches in total length or greater than 18 inches in total length. Only 1 bass greater than 18 inches and 5 bass less than 14 inches may be taken in the creel daily.
- 39) Powerton Lake shall be closed to boat traffic, except for legal waterfowl hunters, from one week prior to regular waterfowl season to February 15, and closed to all unauthorized entry during the regular goose and duck season.
- 40) The 48 inch total length limit on pure muskellunge applies to that body of water listed as well as any tailwaters as defined below:

Evergreen Lake (McLean County) – including the portion of Six Mile Creek below the Evergreen Lake Dam downstream to its confluence with the Mackinaw River.

Forbes State Lake (Marion County) - no tailwaters.

Fox Chain O' Lakes (Lake/McHenry Counties) – including the Fox River south of the Wisconsin-Illinois boundary to the Algonquin Dam and the Nippersink Creek upstream to the Wilmot Road Bridge.

Kinkaid Lake (Jackson County) – including the portion of Kinkaid Creek below the Kinkaid Lake Dam downstream to the Route 149 Bridge.

## NOTICE OF ADOPTED AMENDMENTS

Lake Mingo and Kennekuk Cove Park Ponds (Vermilion County) - no tailwaters.

Lake Shelbyville (Moultrie/Shelby Counties) – including the portion of the Kaskaskia River below the Lake Shelbyville Dam downstream to the State Route 128 Road Bridge near Cowden.

Otter Lake (Macoupin County) – including the portion of Otter Creek below Otter Lake Dam downstream to its confluence with East Otter Creek.

Pierce Lake (Winnebago County) – including the portion of Willow Creek below the Pierce Lake Dam downstream to Forest Hills Road.

Shabbona Lake (DeKalb County) – including that portion of Indian Creek below the Shabbona Lake Dam downstream to Shabbona Grove Road.

Spring Lakes (North and South) (Tazewell County) – no tailwaters.

- 41) It shall be unlawful to enter upon areas designated as waterfowl hunting areas during the 10 days prior to the start of the regular duck season, or to fish on such areas during the regular duck season except in areas posted as open to fishing. It shall be unlawful to enter upon areas designated as waterfowl rest areas or refuges from 10 days prior to the start of the regular duck season through the end of duck and Canada goose season.
- During duck season, walk-in only access for fishing from the bank is permitted after 1:00 p.m.
- When using live bait, all live bait in excess of 8" in total length shall be rigged with a quick set rig. The hook shall be immediately set upon the strike. A quick set rig is defined as follows: a live bait rig with up to 2 treble hooks attached anywhere on the live bait; single hooks are prohibited. This subsection (b)(43) does not apply to trotlines, jug lines, etc., if allowed on the lake.
- Except that sport fishermen may take carp from boat by bow and arrow and bow and arrow devices, but not within 100 feet of any developed

## DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

recreation areas.

(Source: Amended at 31 Ill. Reg. 3480, effective February 16, 2007)

## Section 810.45 Site Specific Water Area Regulations

Fishing regulations, including species of fish, fishing methods and daily catch limits are listed for each water area. The numbers in parenthesis refer to the corresponding numbered definitions in Section 810.37 of this Part. If a water area is not listed or if a specific species is not listed, then state-wide restrictions apply. Check the bulletin boards at the specific site for any emergency changes to regulations.

Anderson Lake Fish and Wildlife Area (33) Fulton County

Andover Lake, City of Andover

Henry County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Anna City Lake, City of Anna

**Union County** 

All fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

- 8" Minimum Length Limit

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

- 3 Fish Daily Creel Limit

Apple River and tributaries, State of Illinois

Jo Daviess County

All Fish - 2 Pole and Line Fishing Only (1)
Smallmouth Bass - 14" Minimum Length Limit
Trout - Spring Closed Season (11)

Argyle Lake, Argyle Lake State Park

McDonough County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

### DEPARTMENT OF NATURAL RESOURCES

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All Fish

Bluegill or Redear Sunfish (14)

Channel Catfish

Chyprid Walleye

Large or Smallmouth Bass (14)

- 2 Pole and Line Fishing Only (1)

- 25 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 3 Fish Daily Creel Limit

- 1 Fish > 15" and/or 5 < than 12"

Daily (12)

Trout - Fall Closed Season (10)
White, Black, or Hybrid Crappie (15) - 10 Fish Daily Creel Limit
White, Black, or Hybrid Crappie - 9" Minimum Length Limit

## Arrowhead Heights Lake, Village of Camp Point

**Adams County** 

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 6 Fish Daily Creel Limit

## Arrowhead Lake, City of Johnston City

Williamson County

All Fish

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

White, Black, or Hybrid Crappie (15)

- 2 Pole and Line Fishing Only (1)

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

- 3 Fish Daily Creel Limit

- 15 Fish Daily Creel Limit

## Ashland City Old Reservoir #4611, City of Ashland

Morgan County

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 6 Fish Daily Creel Limit

## Ashland City Reservoir, City of Ashland

Morgan County Cass County

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

## Auburn Park Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 4 Fish Daily Creel Limit

## NOTICE OF ADOPTED AMENDMENTS

Axehead Lake, Cook County Forest Preserve

Cook County

All Fish - 2 Pole and Line Fishing Only (1) (36)

Large or Smallmouth Bass - 14" Minimum Length

Trout - Fall Closed Season (10)

Trout - Spring Closed Season (11)

Bakers Lake, City of Peru

LaSalle County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Baldwin Lake, Baldwin Lake Conservation Area Randolph County

All Fish - 2 Pole and Line Fishing Only (1) (5)

Large or Smallmouth Bass
- 18" Minimum Length Limit
Striped, White, or Hybrid Striped Bass
- 18" Minimum Length Limit
- 3 Fish Daily Creel Limit

(16)

White, Black, or Hybrid Crappie (15)

- 25 Fish Daily Creel Limit

White, Black, or Hybrid Crappie

- 9" Minimum Length Limit

Banana Lake, Lake County Forest Preserve District

Lake County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass (14)

Large or Smallmouth Bass

- 36 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

- 15" Minimum Length Limit

- Fall Closed Season (10)

Trout

- Spring Closed Season (11)

Banner Marsh Lake & Ponds, Banner Marsh State Fish and Wildlife Area (33)

Peoria/Fulton Counties

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1) (34)

Channel Catfish - 6 Fish Daily Creel Limit

### DEPARTMENT OF NATURAL RESOURCES

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Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Large or Smallmouth Bass - 12"-18" Protected Slot Length Limit (no

possession)

Pure Muskellunge - 42" Minimum Length Limit White, Black, or Hybrid Crappie (15) - 25 Fish Daily Creel Limit White, Black, or Hybrid Crappie - 9" Minimum Length Limit

## Bass Lake, DuPage County Forest Preserve District

DuPage County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Channel Catfish

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

White, Black, or Hybrid Crappie

White, Black, or Hybrid Crappie (15)

- 3 Fish Daily Creel Limit

- 18" Minimum Length Limit

- 1 Fish Daily Creel Limit

- 9" Minimum Length Limit

- 15 Fish Daily Creel Limit

Batchtown Wildlife Management Area (33)

Calhoun County

Baumann Park Lake, City of Cherry Valley

Winnebago County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

## Beall Woods Lake, Beall Woods Conservation Area

Wabash County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Trout - Spring Closed Season (11)
Trout - Fall Closed Season (10)

Beaver Dam Lake, Beaver Dam State Park

Macoupin County

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish (14) - 25 Fish Daily Creel Limit

### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Trout

Trout

White, Black, or Hybrid Crappie (15)

White, Black, or Hybrid Crappie

White, Black, or Hybrid Crappie

- 6 Fish Daily Creel Limit

- 3 Fish Daily Creel Limit

- Fall Closed Season (10)

- 10 Fish Daily Creel Limit

- 9" Minimum Length Limit

## Beck Lake, Cook County Forest Preserve District

Cook County

All Fish - 2 Pole and Line Fishing Only (1) (36)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Walleye, Sauger, or Hybrid Walleye - 18" Minimum Length Limit

Belk Park Pond, City of Wood River

Madison County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 18" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Belleau Lake, Cook County Forest Preserve District

Cook County

All Fish

- 2 Pole and Line Fishing Only (36)

Large or Smallmouth Bass

- 14" Minimum Length Limit

- Fall Closed Season (10)

Trout - Spring Closed Season (11)

Belvidere Ponds, City of Belvidere

**Boone County** 

Large or Smallmouth Bass (14)

- 1 Fish Daily Creel Limit

- 14" Minimum Length Limit

Bevier Lagoon, Waukegan Park District

Lake County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Big Rock Quarry, Kane County Forest Preserve District

### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Kane County

All Fish - 2 Pole and Line Fishing Only (1)
Trout - Spring Closed Season (11)

Bird Park Quarry, City of Kankakee

Kankakee County

Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Borah Lake, City of Olney

Richland County

All Fish - 2 Pole and Line Fishing Only (1)
Bluegill or Redear Sunfish (14) - 25 Fish Daily Creel Limit

Channel Catfish

Channel Catfish

Large or Smallmouth Bass

- 23 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

Boston Pond, Stephen A. Forbes State Park

Marion County

Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Bowen Lake, City of Washington

Tazewell County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Large or Smallmouth Bass - 12"-15" Protected Slot Length Limit (no

possession)

Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Braidwood Lake State Fish and Wildlife Area (41)

Will County

Recreational Use Restrictions - Braidwood Lake is closed to all fishing and

boat traffic, except for legal waterfowl hunters, from 10 days prior to duck season through the day before duck season and is closed to all fishing during waterfowl season commencing with regular duck season through the close of

the Canada goose and regular duck

### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

#### season

All Fish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Striped, White, or Hybrid Striped Bass

Striped, White, or Hybrid Striped Bass

Striped, White, or Hybrid Striped Bass

<del>(16)</del>

White, Black or Hybrid Crappie (15) - 10 Fish Daily Creel Limit

## Breeze JC's Park Pond, City of Breeze

Clinton County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

## Buckner City Reservoir, City of Buckner

Franklin County

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

## Bullfrog Lake, Cook County Forest Preserve District

Cook County

All Fish - 2 Pole and Line Fishing Only (1) (36)

Large or Smallmouth Bass - 14" Minimum Length Limit
Bluegill or Redear Sunfish - 8" Minimum Length Limit
Bluegill or Redear Sunfish (14) - 10 Fish Daily Creel Limit

Bunker Hill Lake, City of Bunker Hill

Macoupin County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Burrells Wood Park Pond

White County

Channel Catfish - 6 Fish Daily Creel Limit

Busse Lake, Cook County Forest Preserve

### DEPARTMENT OF NATURAL RESOURCES

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Cook County

All Fish

Bluegill or Redear Sunfish

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Change, Sauger, or Hybrid Walleye

- 2 Pole and Line Fishing Only (1)

- 8" Minimum Length Limit

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 18" Minimum Length Limit

Cache River State Natural Area (19)

Pulaski/Johnson Counties

All Fish - 2 Pole and Line Fishing Only (1)

All Fish - No Seines

Calhoun Point Wildlife Management Area (33)

Calhoun County

Calumet River Cook County

> Yellow Perch - 15 Fish Daily Creel Limit Yellow Perch - Closed During July

Campbell Pond Wildlife Management Area (19)

**Jackson County** 

Campus Lake – Southern Illinois University, State of Illinois

Jackson County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Campus Pond – Eastern Illinois University, State of Illinois

Coles County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Canton Lake, City of Canton

Fulton County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

## DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel or Blue Catfish (14)

- 6 Fish Daily Creel Limit

Large or Smallmouth Bass

- 15" Minimum Length Limit

- 3 Fish Daily Creel Limit

Carbondale City Reservoir, City of Carbondale

Jackson County

Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Carlinville Lake #1, City of Carlinville

Macoupin County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Carlinville Lake #2, City of Carlinville

Macoupin County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Carlton Silt Basin, State of Illinois

Whiteside County

All Fish - 2 Pole and Line Fishing Only (1)
Bluegill or Redear Sunfish - 8" Minimum Length Limit
Bluegill or Redear Sunfish (14) - 10 Fish Daily Creel Limit

Large or Smallmouth Bass - Catch and Release Fishing Only (9)

Carlyle Lake, U.S. Army Corps of Engineers (20) (33)

Clinton/Bond/Fayette Counties

Large or Smallmouth Bass - 14" Minimum Length Limit
White, Black, or Hybrid Crappie (15) - 10 Fish Daily Creel Limit
White, Black, or Hybrid Crappie - 10" Minimum Length Limit

Carthage Lake, City of Carthage

Hancock County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

## NOTICE OF ADOPTED AMENDMENTS

Casey Park Pond, City of Casey

Clark County

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish (14) - 15 Fish Daily Creel Limit of which only 5

fish over 8" are allowed

Channel Catfish - 6 Fish Daily Creel Limit Large or Smallmouth Bass - 18" Minimum Length Limit Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Cedar Lake, U.S. Forest Service and City of Carbondale

Jackson County (19)

All Fish - 2 Pole and Line Fishing Only (1) (5) Large or Smallmouth Bass

- 14"-18" Protected Slot Length Limit (no

possession)

- 5 Fish Under 14" and 1 Fish over 18" Daily Large or Smallmouth Bass (14)

Creel Limit (38)

- 17" Minimum Length Limit Striped, White, or Hybrid Striped Bass

Striped, White, or Hybrid Striped Bass - 3 Fish Daily Creel Limit

(16)

Centralia Foundation Park Catfish Pond, Centralia Park Foundation

Marion County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Centralia Lake, City of Centralia

**Marion County** 

Large or Smallmouth Bass - 15" Minimum Length Limit

Cermack Quarry, Cook County Forest Preserve District

Cook County

All Fish - 2 Pole and Line Fishing Only (1) (36)

Channel Catfish - 6 Fish Daily Creel Limit - 14" Minimum Length Limit Large or Smallmouth Bass

Champaign Park District Lakes (Kaufman Lake, Heritage Lake, and Mattis Lake), Champaign

Park District

Champaign County

All Fish - 2 Pole and Line Fishing Only (1)

## NOTICE OF ADOPTED AMENDMENTS

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Charleston Lower Channel Lake, City of Charleston

Coles County

All Fish - 2 Pole and Line Fishing Only (1)

Charleston Side Channel Lake, City of Charleston

Coles County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

Striped, White, or Hybrid Striped

Striped, White, or Hybrid Striped

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 17" Minimum Length Limit

- 3 Fish Daily Creel Limit

Bass(16)

White, Black or Hybrid Crappie (15) - 10 Fish Daily Creel Limit for Fish Under

10"; 10 Fish Daily Creel Limit for Fish

10" and Longer (23)

Charlie Brown Lake & Pond, City of Flora

Clay County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Charter Oak North – Peoria Park District Lake, Peoria Park District

Peoria County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Charter Oak South – Peoria Park District Pond, Peoria Park District

Peoria County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Chauncey Marsh (19) Lawrence County

Chautauqua Lake North Pool, U.S. Fish and Wildlife Service

**Mason County** 

Recreational Use Restrictions - Lake Chautauqua North Pool will be closed

to boat fishing from October 15 through

January 14

- Bank fishing will be allowed in selected

areas only

- Ice fishing will be allowed following the

January 15 reopening

Black, White, or Hybrid Crappie - 10" Minimum Length Limit (except, when

the Illinois River overflows the levee system of the North Pool, there is no

minimum length limit)

Black, White, or Hybrid Crappie (15) - 15 Fish Daily Creel Limit (except, when the

Illinois River overflows the levee system of the North Pool, there is no daily creel

limit)

Largemouth Bass - 15" Minimum Length Limit (12" minimum

length limit when the Illinois River overflows the levee system of the North

Pool)

Chenoa City Lake, City of Chenoa

McLean County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Chicago River (including its North Branch, South Branch, and the North Shore Channel)

Cook County

Yellow Perch - 15 Fish Daily Creel Limit

Yellow Perch - Closed During July

Christopher Old City Lake, City of Christopher

Franklin County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish	- 6 Fish Daily Creel Limit			
Citizen's Lake, City of Monmouth				
Warren County All Fish Bluegill or Redear Sunfish (14) Channel Catfish Large or Smallmouth Bass (14)	<ul> <li>2 Pole and Line Fishing Only (1)</li> <li>10 Fish Daily Creel Limit</li> <li>6 Fish Daily Creel Limit</li> <li>6 Fish Daily Creel Limit</li> <li>1 Fish Over 15" and 5 Fish under 12"</li> <li>Daily Creel Limit (12)</li> </ul>			
Trout	- Fall Closed Season (10)			
Clear Lake, Kickapoo State Park Vermilion County				
All Fish Channel Catfish	<ul><li>- 2 Pole and Line Fishing Only (1)</li><li>- 6 Fish Daily Creel Limit</li></ul>			
Large or Smallmouth Bass	- 14" Minimum Length Limit			
Large or Smallmouth Bass (14)	- 3 Fish Daily Creel Limit			
Trout	- Fall Closed Season (10)			
Trout	- Spring Closed Season (11)			
Clinton Lake, Clinton Lake State Recreation Area (19) DeWitt County				
All Fish	- 2 Pole and Line Fishing Only (1) (18) (36)			
Large or Smallmouth Bass	- 16" Minimum Length Limit			
Large or Smallmouth Bass (14)	- 3 Fish Daily Creel Limit			
Striped, White, or Hybrid Striped Bass (16)	- 10 Creel/3 Fish 17" or Longer Daily (17)			
White, Black, or Hybrid Crappie (15)	- 15 Fish Daily Creel Limit			
White, Black, or Hybrid Crappie	- 9" Minimum Length Limit			
Coffeen Lake, Coffeen Lake State Fish and Wildlife Area Montgomery County				
Channel Catfish	- All jugs must be attended at all times while fishing (2)			
Large or Smallmouth Bass	- 15" Minimum Length Limit			
Large or Smallmouth Bass (14)	- 3 Fish Daily Creel Limit			
White, Black, or Hybrid Crappie (15)	- 10 Fish Daily Creel Limit			
White, Black, or Hybrid Crappie	- 10" Minimum Length Limit			

## NOTICE OF ADOPTED AMENDMENTS

Striped, White, or Hybrid Striped Bass - 17" Minimum Length Limit Striped, White, or Hybrid Striped Bass - 3 Fish Daily Creel Limit

(16)

Coles County Airport Lake, Coles County Airport

Coles County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Coleta Trout Pond, State of Illinois

Whiteside County

Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Columbus Park Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 4 Fish Daily Creel Limit

Commissioners Park Pond, Alsip Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Conservation World Ponds, Illinois State Fairgrounds

**Sangamon County** 

Fishing by special permit only for senior groups, children's groups or group guests of the Director. Apply for permit by writing to: Department of Natural Resources, Division of Fisheries, One Natural Resources Way, Springfield IL 62702

Cook Co. F.P.D. Lakes, Cook County Forest Preserve District

Cook County

All Fish

Large or Smallmouth Bass

- 2 Pole and Line Fishing Only (1)

- 14" Minimum Length Limit

- 18" Minimum Length Limit

Coulterville City Lake, City of Coulterville

Randolph County

### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 6 Fish Daily Creel Limit

Crab Orchard National Wildlife Refuge Ann Manns Lake, U.S. Fish and Wildlife Service (19)

All Fish — 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

-6 Fish Daily Creel Limit

-15" Minimum Length Limit

Crab Orchard National Wildlife Refuge – Crab Orchard Lake, U.S. Fish and Wildlife Service (19)

Williamson County

All Fish - 2 Pole and Line Fishing Only (1) (4) Striped, White, or Hybrid Striped Bass - 10 Creel/3 Fish 17" or Longer Daily (17)

(16)

Large or Smallmouth Bass - 16" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Crab Orchard National Wildlife Refuge – Devil's Kitchen Lake, U.S. Fish and Wildlife Service (19)

Williamson County

All Fish - 2 Pole and Line Fishing Only (1)

Crab Orchard National Wildlife Refuge – Little Grassy Lake, U.S. Fish and Wildlife Service (19)

Williamson County

All Fish - 2 Pole and Line Fishing Only (1) (5)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 12-15" Slot Length Limit (3)

Crab Orchard National Wildlife Refuge. Refuge Ponds (except Visitor Pond), U.S. Fish and Wildlife Service

Williamson County

All Fish

Large or Smallmouth Bass

—2 Pole and Line Fishing Only (1)

—15" Minimum Length Limit

Crab Orchard National Wildlife Refuge. Visitor Pond, U.S. Fish and Wildlife Service Williamson County

All Fish (30) — 2 Pole and Line Fishing Only (1) (5)

## NOTICE OF ADOPTED AMENDMENTS

Large or Smallmouth Bass — 21" Minimum Length Limit

<u>Crab Orchard National Wildlife Refuge Ponds (30)</u>, plus Prairie Pond and Ann Manns Lake (19), U.S. Fish and Wildlife Service

Williamson County

<u>All Fish</u> <u>- 2 Pole and Line Fishing Only (1)</u>

Channel Catfish- 6 Fish Daily Creel LimitLarge or Smallmouth Bass- 15" Minimum Length Limit

Crawford Co. Cons. Area – Picnic Pond, Crawford County Conservation Area

Crawford County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Trout - Fall Closed Season (10)

Crawford Co. Cons. Area Ponds, Crawford County Conservation Area

Crawford County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

Crull Impoundment Wildlife Management Area (33)

Jersey County

Crystal Lake, Urbana Park District

Champaign County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 3 Fish Daily Creel Limit

Cypress Creek National Wildlife Refuge - All Ponds, U.S. Fish and Wildlife Service

Johnson/Pulaski/Union Counties

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

Cypress Creek National Wildlife Refuge - Cache River from Rt. 37 to Rt. 51 in Ullin, U.S.

Fish and Wildlife Service Johnson/Pulaski Counties

### DEPARTMENT OF NATURAL RESOURCES

### NOTICE OF ADOPTED AMENDMENTS

All Fish - 2 Pole and Line Fishing Only (1)

All Fish - No Seines

## Dawson Lake & Park Ponds, Moraine View State Park

McLean County

All Fish - 2 Pole and Line Fishing Only (1) (44)

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Valleye, Sauger, or Hybrid Walleye (14)

White, Black or Hybrid Crappie (15)

- 15 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 3 Fish Daily Creel Limit

- 10 Fish Daily Creel Limit

Decatur Park Dist. Ponds, City of Decatur

Macon County

All Fish

- 2 Pole and Line Fishing Only (1)

Channel Catfish

- 6 Fish Daily Creel Limit (except for

Fairview Park – Dreamland Pond, which

has a 3 Fish Daily Creel Limit)

## Deep Pit Lake, Boone County Conservation District

**Boone County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

## Deep Quarry Lake, DuPage County Forest Preserve District

DuPage County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Walleye, Sauger, or Hybrid Walleye

Walleye, Sauger, or Hybrid Walleye

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(14)

White, Black, or Hybrid Crappie - 9" Minimum Length Limit White, Black, or Hybrid Crappie (15) - 15 Fish Daily Creel Limit

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Defiance Lake, Moraine Hills State Park

McHenry County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 3 Fish Daily Creel Limit

Des Plaines River Basin (Hoffman Dam to 47<sup>th</sup> Street Bridge, including tributaries)

Cook County

Channel Catfish - 15" Minimum Length Limit
Channel Catfish - 6 Fish Daily Creel Limit

Large or Smallmouth Bass - Catch and Release Only - No Harvest

Permitted (9)

Northern Pike

Northern Pike

Northern Pike

1 Fish Daily Creel Limit

10 Fish Daily Creel Limit

11 Fish Daily Creel Limit

12 Fish Daily Creel Limit

(14)

Des Plaines River Conservation Area (19)

Will County

Diamond Lake, City of Mundelein

Lake County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Dog Island Wildlife Management Area (19)

Pope County

Dolan Lake, Hamilton County Conservation Area

**Hamilton County** 

All Fish - 2 Pole and Line Fishing Only (1)
All Fish - Catch and Release Fishing Only (9)

## NOTICE OF ADOPTED AMENDMENTS

Donnelley State Wildlife Area (33) Bureau County

Double "T" State Fish and Wildlife Area, State of Illinois

**Fulton County** 

Recreational Use Restrictions

- Waterfowl Refuge or Hunting Area (all use other than waterfowl hunting is prohibited from October 1 through the end of the central zone Canada goose

season)

- All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish (14)

Channel or Blue Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Pure Muskellunge

- 25 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 21" Minimum Length Limit

- 1 Fish Daily Creel Limit

- 42" Minimum Length Limit

White, Black, or Hybrid Crappie

- 10" Minimum Length Limit

White, Black, or Hybrid Crappie (15)

- 25 Fish Daily Creel Limit

Douglas Park Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 4 Fish Daily Creel Limit

DuPage County Forest Preserve District Lakes and Ponds (excluding Bass Lake, Deep Quarry Lake, and Grove Lake), DuPage County Forest Preserve District DuPage County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit Channel Catfish - 12" Minimum Length Limit - 15" Minimum Length Limit Large or Smallmouth Bass Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit Walleye, Sauger, or Hybrid Walleye - 16" Minimum Length Limit - 3 Fish Daily Creel Limit Walleye, Sauger, or Hybrid Walleye (14) White, Black or Hybrid Crappie - 9" Minimum Length Limit White, Black or Hybrid Crappie (15) - 15 Fish Daily Creel Limit

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

DuPage River – West Branch (between the dams located in the McDowell Grove Forest Preserve and the Warrenville Grove Forest Preserve)

DuPage County

Large or Smallmouth Bass - Catch and Release Fishing Only (9)

East Fork Lake, City of Olney

Richland County

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

White, Black, or Hybrid Crappie (15)

- 25 Fish Daily Creel Limit

- 15" Minimum Length Limit

- 25 Fish Daily Creel Limit

Eldon Hazlet State Park (19) (See Also Carlyle Lake) Clinton County

Elkville City Reservoir, City of Elkville

Jackson County

Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Elliott Lake, Wheaton Park District

DuPage County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Evergreen Lake, City of Bloomington

McLean County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1) (5)

Large or Smallmouth Bass - 15" Minimum Length Limit
Pure Muskellunge - 48" Minimum Length Limit (40)

White, Black, or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

Fairgrounds Pond – Fort Massac State Park, State of Illinois

Massac County

Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Fairview Park – Dreamland Pond, City of Decatur

Macon County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 3 Fish Daily Creel Limit

Ferne Clyffe Lake, Ferne Clyffe State Park

Johnson County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Flatfoot Lake, Cook County Forest Preserve District

Cook County

All Fish - 2 Pole and Line Fishing Only (1) (36)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Foli Park Pond, Village of Plano

Kendall County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Forbes State Lake, Stephen A. Forbes State Park (19)

**Marion County** 

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1) (5)

Channel Catfish

Large or Smallmouth Bass

Pure Muskellunge

Striped, White, or Hybrid Striped Bass

Striped, White, or Hybrid Striped Bass

- 6 Fish Daily Creel Limit

- 48" Minimum Length (40)

- 17" Minimum Length Limit

- 3 Fish Daily Creel Limit

(16)

Forbes State Park Ponds, Stephen A. Forbes State Park (19)

**Marion County** 

All Fish - 2 Pole and Line Fishing Only (1) (5)

#### DEPARTMENT OF NATURAL RESOURCES

### NOTICE OF ADOPTED AMENDMENTS

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Forest Park Lagoon, City of Shelbyville

Shelby County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Fort de Chartres Historic Site (19)

Randolph County

Four Lakes, Winnebago County Forest Preserve

Winnebago County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Fox Chain O'Lakes (including the Fox River south of the Wisconsin-Illinois boundary to the Algonquin Dam and the Nippersink Creek upstream to the Wilmot Road Bridge) (6) (Applies to Grass Lake and Nippersink Lake State Managed Blind Areas Only (19)), State of Illinois Lake and McHenry Counties

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1) only on

State Park property bordering the Fox

River and Grass Lake

Large or Smallmouth Bass - 14" Minimum Length Limit (6)

Large or Smallmouth Bass (14) - 6 Fish Daily Creel Limit of which no more

than 3 can be Smallmouth Bass

Pure Muskellunge - 48" Minimum Length Limit (40)

Smallmouth Bass - All fish must be immediately released

between April 1 and June 15

Walleye, Sauger, or Hybrid Walleye - 14" Minimum Length Limit with an 18-24"

Protected Slot Length Limit (no

possession) (6)

Walleye, Sauger, or Hybrid Walleye (14) - 2 Fish = 14" and <18" &/or 1 Fish >24"

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Daily Creel Limit (35)

Fox Ridge State Park (see also Hurricane Pond, Wilderness Pond and Ridge Lake) (19) Coles County

Fox River, Algonquin Dam to confluence with the Illinois River, including tributaries, State of Illinois

**Multiple Counties** 

Smallmouth Bass - 1 Fish 12" or over and 2 Fish under 12"

Daily Creel Limit

Fox River (at Moraine Hills State Park and Dam and on Bolger Lock and Dam Properties), State of Illinois

McHenry County

<u>All Fish</u> - 2 Pole and Line Fishing Only (1) on

Moraine Hills State Park and Dam property and on property at the Bolger Lock and Dam along the Fox River

Frank Holten Lakes, Frank Holten State Park

St. Clair County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

- Fall Closed Season (10)

Trout

- Spring Closed Season (11)

Franklin Creek (within the boundaries of Franklin Creek State Natural Area)

Lee County

All Fish - 2 Pole and Line Fishing Only (1)

Franklin Creek Mill Pond - Franklin Creek State Park, State of Illinois

Lee County

All Fish - 2 Pole and Line Fishing Only (1)
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Fuller Lake (19) Calhoun County

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Fulton County Camping and Recreation Area Waters, Fulton County Board

Fulton County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Large or Smallmouth Bass - 12"-15" Protected Slot Length Limit (no

possession)

Large or Smallmouth Bass (14)

- 3 Fish Daily Creel Limit

Blue gill or Redear Sunfish (14)

- 25 Fish Daily Creel Limit

Gages Lake, Wildwood Park District

Lake County

Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit
Walleye, Sauger, or Hybrid Walleye (14) - 3 Fish Daily Creel Limit
- 3 Fish Daily Creel Limit

Garfield Park Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 4 Fish Daily Creel Limit

Gebhard Woods Ponds, Gebhard Woods State Park

**Grundy County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Trout - Spring Closed Season (11)

Germantown Lake, City of Germantown

Clinton County

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

- 15 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 18" Minimum Length Limit

- 1 Fish Daily Creel Limit

Giant City Park Ponds, Giant City State Park

Jackson and Union Counties

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 6 Fish Daily Creel Limit
Largemouth and Spotted Bass - 15" Minimum Length Limit

Gillespie New City Lake, City of Gillespie

Macoupin County

All Fish
Channel Catfish
- 2 Pole and Line Fishing Only (1)
- 6 Fish Daily Creel Limit
- 6 Fish Daily Creel Limit
- 12-15" Slot Length Limit (3)
- 3 Fish Daily Creel Limit

Gillespie Old City Lake, City of Gillespie

Macoupin County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Glades -12 Mile Island Wildlife Management Area (33) Jersey County

Gladstone Lake, Henderson County Conservation Area

Henderson County

All Fish

Bluegill or Redear Sunfish (14)

Channel or Blue Catfish (14)

- 2 Pole and Line Fishing Only (1)

- 10 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

Large or Smallmouth Bass (14) -1 Fish = 15" and/or 2 <12" Daily (31)

Glen Oak Park Lagoon, Peoria Park District

Peoria County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Glen Shoals Lake, City of Hillsboro

Montgomery County

Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit
Striped, White, or Hybrid Striped Bass
Striped, White, or Hybrid Striped Bass - 15" Minimum Length Limit
- 17" Minimum Length Limit
- 3 Fish Daily Creel Limit

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

(16)

Godar-Diamond/Hurricane Island Wildlife Management Area (33) Calhoun County

Gompers Park Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 4 Fish Daily Creel Limit

Gordon F. More Park Lake, City of Alton

**Madison County** 

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

- 25 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

- 3 Fish Daily Creel Limit

Governor Bond Lake, City of Greenville

**Bond County** 

Channel Catfish - All jugs must be attended at all times while

fishing (2)

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Striped, White, or Hybrid Striped Bass

Striped, White, or Hybrid Striped Bass

Striped, White, or Hybrid Striped Bass

- 15" Minimum Length Limit
- 17" Minimum Length Limit
- 3 Fish Daily Creel Limit

(16)

White, Black, or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

Grayslake Park District (Grayslake and Park Ponds)

Lake County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Greenfield City Lake, City of Greenfield

Greene County

All Fish - 2 Pole and Line Fishing Only (1)

## NOTICE OF ADOPTED AMENDMENTS

Bluegill or Redear Sunfish (14) - 25 Fish Daily Creel Limit
Channel Catfish - 6 Fish Daily Creel Limit

Large or Smallmouth Bass - 12"-15" Protected Slot Length Limit (no

possession)

Large or Smallmouth Bass - 5 Fish Under 12" and 1 Fish Over 15" Daily

Creel Limit

## Greenville Old City Lake, Kingsbury Park District

Bond County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Trout

- 6 Fish Daily Creel Limit

- Fall Closed Season (10)

Large or Smallmouth Bass

- 15" Minimum Length Limit

Large or Smallmouth Bass (14)

- 3 Fish Daily Creel Limit

## Grove Lake, DuPage County Forest Preserve District

DuPage County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

White, Black, or Hybrid Crappie

White, Black, or Hybrid Crappie (15)

- 3 Fish Daily Creel Limit

- 18" Minimum Length Limit

- 1 Fish Daily Creel Limit

- 9" Minimum Length Limit

- 15 Fish Daily Creel Limit

## Hanover Lake – Apple River Canyon State Park, State of Illinois

Jo Daviess County

All Fish

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

- 2 Pole and Line Fishing Only (1)

- 25 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 1 Fish Daily Creel Limit

## Harrisburg New City Reservoir, City of Harrisburg

Saline County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish
- 6 Fish Daily Creel Limit
- 17" Minimum Length Limit
- 3 Fish Daily Creel Limit
- 3 Fish Daily Creel Limit

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

(16)

Heidecke Lake, Heidecke Lake State Fish and Wildlife Area

Grundy County (41)

Recreational Use Restrictions - Heidecke Lake shall be closed to all fishing

and boat traffic except for legal

waterfowl hunters from 10 days prior to duck season through the day before duck season and is closed to all fishing during waterfowl season commencing with regular duck season through the close of the Canada goose and regular duck

season

- All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

- 3 Fish Daily Creel Limit

Striped, White, or Hybrid Striped Bass - 10 Creel/3 Fish 17" or Longer Daily (17)

(16)

Walleye, Sauger, or Hybrid Walleye
- 16" Minimum Length Limit
Walleye, Sauger, or Hybrid Walleye (14)
- 3 Fish Daily Creel Limit

Helmbold Slough (19) Calhoun County

Hennepin Canal – Mainline & Feeder, Hennepin Canal Parkway State Park Multiple Counties

All Fish - 2 Pole and Line Fishing Only (1) (13)

Large or Smallmouth Bass - 14" Minimum Length Limit
Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Hennepin-Hopper Lakes, The Wetlands Initiative Putnam County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Black, White or Hybrid Crappie - 9" Minimum Length Limit

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Black, White or Hybrid Crappie (15)

- 25 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

- 3 Fish Daily Creel Limit

- 42" Minimum Length Limit

- 18" Minimum Length Limit

- 3 Fish Daily Creel Limit

Herrin Lake #1, City of Herrin

Williamson County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Herrin Lake #2, City of Herrin

Williamson County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Hidden Springs State Forest Pond, Hidden Springs State Forest

**Shelby County** 

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

- 10 Fish Daily Creel Limit

- 18" Minimum Length Limit

- 1 Fish Daily Creel Limit

Highland Old City Lake, City of Highland

**Madison County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Trout - Fall Closed Season (10)

Hillsboro Old City Lake, City of Hillsboro

Montgomery County

All Fish - 2 Pole and Line Fishing Only (1)

### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 12-15" Slot Length Limit

# Homer Guthrie Pond – Eldon Hazlet State Park, State of Illinois

Clinton County

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 6 Fish Daily Creel Limit
Bluegill or Redear Sunfish (14) - 10 Fish Daily Creel Limit
Large or Smallmouth Bass - 18" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

## Homer Lake, Champaign County Forest Preserve District

Champaign County

All Fish

Bluegill or Redear Sunfish

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

- 2 Pole and Line Fishing Only (1)

- 8" Minimum Length Limit

- 10 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

## Hormel Pond, Donnelly State Fish and Wildlife Area

Bureau County

All Fish - 2 Pole and Line Fishing Only (1) (5)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

## Horseshoe Lake-Alexander Co., Horseshoe Lake Conservation Area

Alexander County

Recreational Use Restrictions - Only trolling motors in refuge from October

5-March 1

All Fish - 2 Pole and Line Fishing Only (1) (5)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

## Horseshoe Lake-Madison County, Horseshoe Lake State Park (33)

**Madison County** 

All Fish - 2 Pole and Line Fishing Only (1) (28) (34)

Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit
White, Black or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

## NOTICE OF ADOPTED AMENDMENTS

Horsetail Lake, Cook County Forest Preserve District

Cook County

All Fish - 2 Pole and Line Fishing Only (1) (36)

Large or Smallmouth Bass - 14" Minimum Length Limit
Trout - Fall Closed Season (10)

Horton Lake, Nauvoo State Park

Hancock County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Humbolt Park Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 4 Fish Daily Creel Limit

Hurricane Pond, Fox Ridge State Park

Coles County

Recreational Use Restrictions - Waterfowl Refuge and Hunting Area (19)

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

- 5 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 18" Minimum Length Limit

- 1 Fish Daily Creel Limit

Illinois & Michigan Canal, State of Illinois

Grundy/LaSalle/ Will Counties

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Trout - Spring Closed Season (11)

Illinois Beach State Park Ponds, Illinois Beach State Park

Lake County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Illinois Department of Transportation Lake, State of Illinois

## NOTICE OF ADOPTED AMENDMENTS

Sangamon County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

- Fall Closed Season (10)

Trout

- Spring Closed Season (11)

Illinois River – Pool 26 (19)

Calhoun County

Illinois River - Starved Rock and Marseilles Pools

LaSalle and Grundy Counties

Large or Smallmouth Bass

- 18" Minimum Length Limit

- 1 Fish Daily Creel Limit

- 10 Fish Daily Creel Limit

(16)

White, Black or Hybrid Crappie (15) - 10 Fish Daily Creel Limit

Illinois River – State of Illinois

**Multiple Counties** 

Large or Smallmouth Bass - 12" Minimum Length Limit

Indian Boundary South Pond, Frankfort Square Park District

Will County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Iroquois & Kankakee Rivers and their Tributaries, State of Illinois

**Multiple Counties** 

Walleye, Sauger and Hybrid Walleye

- 16" Minimum Length Limit

- 3 Fish Daily Creel Limit

Jackson Park (Columbia Basin) Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 4 Fish Daily Creel Limit

Jim Edgar/Panther Creek Fish and Wildlife Area, All Lakes and Ponds, Jim Edgar/Panther Creek Fish and Wildlife Area

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Cass County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit
Pure Muskellunge - 48" Minimum Length Limit

Jim Edgar/Panther Creek Fish and Wildlife Area, Gurney Road Pond, Jim Edgar/Panther Creek Fish and Wildlife Area

**Cass County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Trout

- 6 Fish Daily Creel Limit

- 3 Fish Daily Creel Limit

- 3 Fish Daily Creel Limit

- Spring Closed Season (11)

Johnson Sauk Trail Lake & Pond, Johnson Sauk Trail State Park

Henry County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Johnston City Lake, City of Johnston City

Williamson County

All Fish — 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

-6 Fish Daily Creel Limit

-15" Minimum Length Limit

-3 Fish Daily Creel Limit

Jones Lake Trout Pond, Saline County Conservation Area

Saline County

Trout - Fall Closed Season (10)

Jones Park Lake, City of East St. Louis

St. Clair County

## DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Jones State Lake, Saline County Conservation Area

Saline County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Large or Smallmouth Bass - 14"-18" Protected Slot Length Limit (no

possession) (38)

Large or Smallmouth Bass - 5 Fish under 14" and 1 Fish over 18" Daily

Creel Limit

Jubilee College State Park Pond, Jubilee College State Park

Peoria County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Kankakee-Iroquois Rivers and their Tributaries, State of Illinois

Multiple Counties

Walleye, Sauger, and Hybrid Walleye
- 16" Minimum Length Limit
Walleye, Sauger, and Hybrid Walleye
- 3 Fish Daily Creel Limit

(14)

Kankakee River, from the Kankakee Dam to the mouth of the Kankakee River, including tributaries, State of Illinois

**Multiple Counties** 

Smallmouth Bass - 12"-18" Protected Slot Length Limit (no

possession)

Smallmouth Bass - 1 Fish over 18" and 2 Fish under 12" Daily

Creel Limit (37)

Kankakee River State Park (19)

Kankakee/Will Counties

Kaskaskia River Fish and Wildlife Area (19)

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

## St.Clair/Randolph/Monroe Counties

Kaskaskia River Fish and Wildlife Area – Doza Creek Wildlife Management Area (33) St.Clair County

Kendall Co. Lake #1, Kendall County Forest Preserve District

Kendall County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 3 Fish Daily Creel Limit

Kent Creek

Winnebago County

Trout - Spring Closed Season (11)

Kickapoo State Park Lakes & Pond, Kickapoo State Park

**Vermilion County** 

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

-10 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 3 Fish Daily Creel Limit

Kincaid City Reservoir, City of Kincaid

**Christian County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

Kinkaid Lake, Kinkaid Lake State Fish and Wildlife Area (19)

**Jackson County** 

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

Large or Smallmouth Bass - 16" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Pure Muskellunge - 48" Minimum Length Limit (40)
White, Black, or Hybrid Crappie - 9" Minimum Length Limit

White, Black, or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

## NOTICE OF ADOPTED AMENDMENTS

Kinmundy Reservoir, City of Kinmundy

Marion County

All Fish - 2 Pole and Line Fishing Only (1) (5)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

- 1 Fish Daily Creel Limit

Kishwaukee River and South Branch of Kishwaukee River and Tributaries, State of Illinois Multiple Counties

Smallmouth Bass - 14" Minimum Length Limit

Lake Atwood, McHenry County Conservation District

McHenry County

All Fish - 2 Pole and Line Fishing Only (1) Channel Catfish - 6 Fish Daily Creel Limit

Large or Smallmouth Bass - 15" Minimum Length Limit

Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Trout - Spring Closed Season (11)

Lake Bloomington, City of Bloomington

McLean County

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish

Bluegill or Redear Sunfish (14)

Large or Smallmouth Bass

Striped, White, or Hybrid Striped Bass

Striped, White, or Hybrid Striped Bass

- 8" Minimum Length Limit

- 15" Minimum Length Limit

- 17" Minimum Length Limit

- 3 Fish Daily Creel Limit

(16)

White, Black or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

Lake Carlton, Morrison-Rockwood State Park

Whiteside County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Pure Muskellunge - 36" Minimum Length Limit White, Black, or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

Lake Co. Forest Preserve District Lakes (except Independence Grove Lake), Lake County Forest Preserve District

Lake County

All Fish

Channel Catfish

Channel Catfish

Large Smallmouth Bass (14)

- 2 Pole and Line Fishing Only (1)

- 36 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

Large or Smallmouth Bass - 15" Minimum Length Limit Walleye, Sauger, or Hybrid Walleye - 16" Minimum Length Limit

Lake Decatur, City of Decatur

Macon County

All Fish - 2 Pole and Line Fishing Only (1)(29)

White, Black, or Hybrid Crappie

- 10" Minimum Length Limit

- 10 Fish Daily Creel Limit

- 14" Minimum Length Limit

Lake Depue Fish and Wildlife Area (33)

Bureau County

Lake Eureka, City of Eureka

Woodford County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit
- 1 Fish Daily Creel Limit

Lake George, Loud Thunder Forest Preserve Rock Island County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

Pure Muskellunge

Striped, White, or Hybrid Striped Bass

Striped, White, or Hybrid Striped Bass

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 17" Minimum Length Limit

- 17" Minimum Length Limit

- 1 Fish Daily Creel Limit

### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

(16)

White, Black, or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

## Lake Jacksonville, City of Jacksonville

Morgan County

All Fish

Channel Catfish

Large or Smallmouth Bass

- 2 Pole and Line Fishing Only (1)

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

- 17" Minimum Length Limit

Striped, White, or Hybrid Striped Bass - 17" Minimum Length Limit Striped, White, or Hybrid Striped Bass - 3 Fish Daily Creel Limit

(16)

White, Black, or Hybrid Crappie (15)

- 25 Fish Daily Creel Limit

White, Black, or Hybrid Crappie

- 9" Minimum Length Limit

## Lake Kakusha, City of Mendota

LaSalle County

All Fish

Bluegill or Redear Sunfish

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

White, Black, or Hybrid Crappie (15)

- 2 Pole and Line Fishing Only (1)

- 8" Minimum Length Limit

- 10 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 3 Fish Daily Creel Limit

- 25 Fish Daily Creel Limit

# Lake Le-Aqua-Na, Lake Le-Aqua-Na State Park

**Stephenson County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass (14)

Large or Smallmouth Bass

- 6 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 10 Fish Daily Creel Limit

Lake Mendota, City of Mendota

LaSalle County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Large or Smallmouth Bass (14) -1 Fish = 15" &/or 2 <12" Daily (31)

Lake Michigan (Illinois Portion), State of Illinois

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Lake/Cook Counties

Trout and Salmon - 10" Minimum Length Limit

Trout and Salmon - No more than 5 fish of any one species daily,

except for Lake Trout

Lake Trout - 2 Fish Daily Creel Limit Yellow Perch - 15 Fish Daily Creel Limit

Yellow Perch - Closed During July (exception: 10 fish daily

limit during July for youth under age 16)

Large or Smallmouth Bass - 21" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Lake Milliken, Des Plaines Conservation Area

Will County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Trout - Spring Closed Season (11)

Lake Mingo & Kennekuk Cove Park Ponds, Vermilion County Conservation Area

**Vermilion County** 

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Pure Muskellunge - 48" Minimum Length Limit (40)

Lake Murphysboro, Lake Murphysboro State Park

Jackson County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Lake Nellie, City of St. Elmo

Fayette County

All Fish - 2 Pole and Line Fishing Only (1) (5)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

## NOTICE OF ADOPTED AMENDMENTS

Large or Smallmouth Bass - 14" Minimum Length Limit

Lake of the Woods & Elk's Pond, Champaign County Forest Preserve District

Champaign County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Trout

- 8" Minimum Length Limit

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

- 1 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

- Spring Closed Season (11)

Lake Owen, Hazel Crest Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Lake Paradise, City of Mattoon

Coles County

All Fish - 2 Pole and Line Fishing Only (1)
Large or Smallmouth Bass - 14" Minimum Length Limit

Lake Paradise Shadow Ponds, City of Mattoon

Coles County

All Fish - 2 Pole and Line Fishing Only (1)
Large or Smallmouth Bass - 14" Minimum Length Limit
Channel Catfish - 6 Fish Daily Creel Limit

Lake Sara, City of Effingham

Effingham County

Large or Smallmouth Bass - 14" Minimum Length Limit White, Black, or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

Lake Shelbyville (21), U.S. Army Corps of Engineers

Moultrie/Shelby Counties

Recreational Use Restrictions - During the regular waterfowl season, no bank

or boat fishing shall be permitted on the

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Kaskaskia River from the Strickland Boat Access north to the Illinois Central Railroad Bridge from one-half hour before

sunrise to 1 p.m.

- All live bait in excess of 8" must be rigged

with a quick set rig (43)

Large or Smallmouth Bass - 14" Minimum Length Limit
Pure Muskellunge - 48" Minimum Length Limit (40)

White, Black, or Hybrid Crappie (15)

- 10 Fish Daily Creel Limit

White, Black, or Hybrid Crappie

- 10" Minimum Length Limit

Lake Shelbyville – U.S. Army Corps of Engineers Project Ponds and Wood Lake, and Lake Shelbyville State Fish and Wildlife Management Area Ponds (33) Moultrie/Shelby Counties

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

White, Black, or Hybrid Crappie

White, Black, or Hybrid Crappie (15)

- 6 Fish Daily Creel Limit

- 10" Minimum Length Limit

- 10 Fish Daily Creel Limit

Lake Shermerville, Northbrook Park District

Cook County

All Fish - 2 Pole and Line Fishing Only Channel Catfish - 6 Fish Daily Creel Limit

Lake Sinnissippi (19) Whiteside County

Lake Springfield, City of Springfield

Sangamon County

All Fish

Large or Smallmouth Bass

White, Black, or Hybrid Crappie (15)

White, Black, or Hybrid Crappie

- 2 Pole and Line Fishing Only (1)

- 15" Minimum Length Limit

- 10 Fish Daily Creel Limit

- 10" Minimum Length Limit

Lake Storey, City of Galesburg

**Knox County** 

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

## NOTICE OF ADOPTED AMENDMENTS

All Fish - 2 Pole and Line Fishing Only (1) Bluegill and Redear Sunfish (14) - 25 Fish Daily Creel Limit - 6 Fish Daily Creel Limit Channel or Blue Catfish (14) Large or Smallmouth Bass (14) - 1 Fish > 15" and/or 5 < 12" Daily (12)

- 42" Minimum Length Limit Pure Muskellunge

Walleye, Sauger, or Hybrid Walleye - 3 Fish Daily Creel Limit

Lake Strini, Village of Romeoville

Will County

(14)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Lake Sule, Flagg-Rochelle Park District Ogle County

> Recreational Use Restrictions - All live bait in excess of 8" must be rigged with a quick set rig (43)

- 2 Pole and Line Fishing Only (1) All Fish

- 5 Fish Daily Creel Limit Bluegill or Redear Sunfish (14) Channel Catfish - 6 Fish Daily Creel Limit - 14" Minimum Length Limit Large or Smallmouth Bass Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit - 36" Minimum Length Limit Pure Muskellunge

White, Black or Hybrid Crappie (15) - 10 Fish Daily Creel Limit

Lake Taylorville, City of Taylorville

**Christian County** 

Large or Smallmouth Bass - 15" Minimum Length Limit White, Black, or Hybrid Crappie - 9" Minimum Length Limit White, Black, or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

Lake Vandalia, City of Vandalia

Fayette County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit - 14" Minimum Length Limit Large or Smallmouth Bass - 17" Minimum Length Limit Striped, White, or Hybrid Striped Bass Striped, White, or Hybrid Striped Bass - 3 Fish Daily Creel Limit

(16)

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Lake Vermilion, Vermilion County Conservation District Vermilion County

All Fish - 2 Pole and Line Fishing Only (1) (26) (except

that sport fishermen may take carp, carpsuckers, buffalo, gar, bowfin, and suckers by pitchfork, gigs, bow and arrow or bow and arrow devices north of Boiling

Springs Road, but not within 300 feet around the wetland boardwalk

Large or Smallmouth Bass

- 15" Minimum Length Limit

White, Black, or Hybrid Crappie

- 9" Minimum Length Limit

- 25 Fish Daily Creel Limit

Lake Victoria, City of South Beloit

Winnebago County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Lake Williamsville, City of Williamsville

Sangamon County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

LaSalle Lake, LaSalle Lake State Fish and Wildlife Area

LaSalle County

Recreational Use Restrictions - Waterfowl refuge or hunting area; LaSalle

Lake is closed to all fishing and boating from October 16 until March 15; during October and March, the lake is closed on

Mondays and Tuesdays

All Fish - 2 Pole and Line Fishing Only (1)

Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit
Large or Smallmouth Bass - 18" Minimum Length Limit

Striped, White, or Hybrid Striped Bass - 10 Creel/3 Fish 17" or Longer Daily (17)

(16)

## NOTICE OF ADOPTED AMENDMENTS

Levings Lake, Rockford Park District

Winnebago County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Lincoln Log Cabin Pond, Lincoln Log Cabin Historical Site

Coles County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Lincoln Park North Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 4 Fish Daily Creel Limit

Lincoln Park South Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 4 Fish Daily Creel Limit

Lincoln Trail Lake, Lincoln Trail State Park

Clark County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Large or Smallmouth Bass - 14-18" Slot Length Limit (14" to 18"

protected)

Large or Smallmouth Bass (14) - 4 Creel/1 Fish >18" Daily (daily Catch Limit

for large or smallmouth bass, singly or in the aggregate, shall not exceed 4 fish per day, no more than one of which shall be

greater than 18" in length)

Litchfield City Lake, City of Litchfield

**Montgomery County** 

Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit – 1 Fish 15" or Over

### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

and 2 Fish Under 15" Total Length (25)

White, Black or Hybrid Crappie (15) - 15 Fish Daily Creel Limit

Loami Reservoir, City of Loami

Sangamon County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

Lou Yeager Lake, City of Litchfield

Montgomery County

Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Lyerla Lake, Union County Conservation Area

Union County

All Fish - 2 Pole and Line Fishing Only (1)(5)

Channel Catfish - 6 Fish Daily Creel Limit

Mackinaw Ponds 1, 2, and 3, Mackinaw State Fish and Wildlife Area

Tazewell County

Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Macon County Conservation District Ponds (see also Rock Springs Pond and Rock Springs Bike Trail Pond), Macon County Conservation District

Macon County

All Fish - 2 Pole and Line Fishing Only (1)

Mallard Lake, DuPage County Forest Preserve District

DuPage County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Channel Catfish

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Walleye, Sauger, or Hybrid Walleye

Walleye, Sauger, or Hybrid Walleye

- 3 Fish Daily Creel Limit

(14)

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

White, Black, or Hybrid Crappie - 9" Minimum Length Limit White, Black, or Hybrid Crappie (15) - 15 Fish Daily Creel Limit

Maple Lake, Cook County Preserve District

Cook County

All Fish - 2 Pole and Line Fishing Only (1) (36)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Marissa City Lake, City of Marissa

St. Clair County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Marquette Park Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 4 Fish Daily Creel Limit

Marshall County Conservation Area (Fishing Ditch), Marshall County Conservation Area (33)

Marshall County

All Fish - 2 Pole and Line Fishing Only (1)

Marshall County Conservation Area – Sparland Unit (19)

Marshall County

Mascoutah Reservoir, City of Mascoutah

St. Clair County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Matthiessen Lake, Matthiessen State Park

LaSalle County

All Fish - 2 Pole and Line Fishing Only (1)(5)

Bluegill or Redear Sunfish (14)

- 10 Fish Daily Creel Limit

- 6 Fish Daily Creel limit

Large or Smallmouth Bass

- 14" Minimum Length Limit

## NOTICE OF ADOPTED AMENDMENTS

Mattoon Lake, City of Mattoon

Coles County

All Fish - 2 Pole and Line Fishing Only (1) - 14" Minimum Length Limit Large or Smallmouth Bass

Mautino Fish and Wildlife Area, Mautino Fish and Wildlife Area

Bureau County

All Fish - 2 Pole and Line Fishing Only (1) (34)

Bluegill or Redear Sunfish (14) - 10 Fish Daily Creel Limit - 6 Fish Daily Creel Limit Channel Catfish Large or Smallmouth Bass - 14" Minimum Length Limit Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Mauvaise Terre/Morgan Lake, City of Jacksonville

Morgan County

Large or Smallmouth Bass - 15" Minimum Length Limit

Mazonia Lakes & Ponds (excluding Ponderosa Lake), Mazonia State Fish and Wildlife Area (33)

Grundy/Kankakee/Will Counties

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit - 15" Minimum Length Limit Large or Smallmouth Bass Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit White, Black or Hybrid Crappie (15) - 10 Fish Daily Creel Limit

McCullom Lake, City of McHenry

McHenry County

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish (14) - 25 Fish Daily Creel Limit Channel Catfish - 6 Fish Daily Creel Limit Large or Smallmouth Bass - 15" Minimum Length Limit - 1 Fish Daily Creel Limit Large or Smallmouth Bass (14)

McKinley Park Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 4 Fish Daily Creel Limit

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

McLeansboro City Lakes, City of McLeansboro

**Hamilton County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Meredosia Lake – Cass County Portion Only (meandered waters only) (33)

**Cass County** 

Meredosia Lake, Cass County Portion

Cass County

Recreational Use Restrictions - M

- Meandered waters only; All boat traffic is prohibited from operating on meandered waters (except non-motorized boats may be used to assist in the retrieval of waterfowl shot from private land) from the period from one week before waterfowl season opens until the season closes; hunting and/or any other activity is prohibited during the period from one week before waterfowl season opens until the season

closes

Mermet State Lake, Mermet Lake Conservation Area (33)

Massac County

All Fish - 2 Pole and Line Fishing Only (1) (5)

Bluegill or Redear Sunfish
Bluegill or Redear Sunfish (14)
Channel Catfish
Large or Smallmouth Bass
White, Black, or Hybrid Crappie (15)

- 8" Minimum Length Limit
- 10 Fish Daily Creel Limit
- 6 Fish Daily Creel Limit
- 14" Minimum Length Limit
- 25 Fish Daily Creel Limit

Middle Fork Forest Preserve Pond, Champaign County Forest Preserve

Champaign County

All Fish

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

- 2 Pole and Line Fishing Only (1)

- 25 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

Middle Fork of the Vermilion River, Kickapoo State Park and Middle Fork Fish and Wildlife Area

**Vermilion County** 

All Fish - 2 Pole and Line Fishing Only (1)

Mill Creek Lake, Clark County Park District

Clark County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 12-15" Slot Length Limit (3)
Pure Muskellunge - 42" Minimum Length Limit

Mill Pond, Pearl City Park District

**Stephenson County** 

All Fish

Large or Smallmouth Bass

- 2 Pole and Line Fishing Only (1)

- 14" Minimum Length Limit

- 1 Fish Daily Creel Limit

Mill Race Ponds, Belvidere Park District

Boone County

<u>All Fish</u> - 2 Pole and Line Fishing Only (1)

<u>Channel Catfish</u>
Trout

- 6 Fish Daily Creel Limit
- Spring Closed Season (11)

Miller Park Lake, City of Bloomington

McLean County

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 6 Fish Daily Creel Limit
Trout - Spring Closed Season (11)

Mineral Springs Park Lagoon, City of Pekin

Tazewell County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Trout - Fall Closed Season (10)

Mississippi River Pools 16, 17, 18, 21, 22, 24, 25, 26 (19)

#### NOTICE OF ADOPTED AMENDMENTS

## **Multiple Counties**

Mississippi River (between IL & IA), State of Illinois Multiple Counties

**Recreational Use Restrictions** 

 Any tagged sport fishing device may not be left unattended for more than 24 hours or must be completely removed

- Tailwaters of Lock and Dam 12 (down to Mill Creek, an Iowa tributary located at River Mile 556.0) and tailwaters of Lock and Dam 13 (down to the downstream end of Stamp Island, River Mile 521.5) closed to all fishing from December 1 through March 15)

- Anglers must not use more than 2 poles and each pole must not have more than 2 hooks or lures while trolling

- 25 Fish Daily Creel Limit singly or in aggregate

14" Minimum Length Limit5 Fish Daily Creel Limit5 Fish Daily Creel Limit

Snagging for paddlefish is permitted from
January 1 through April 15 within a 500 yard
downstream limit below locks and dams on
the Mississippi River between Illinois and
Iowa except the tailwaters of Lock and Dam
12 and 13 are closed to all fishing from
December 1 through March 15; daily catch
limit is 2 fish; no sorting allowed; once the
daily limit of paddlefish has been reached,
snagging must cease

- 25 Fish Daily Creel Limit

 25 Fish Daily Creel Limit singly or in aggregate – statewide regulation limiting daily creel to 3 fish 17" or longer is not in effect on the Mississippi River between Illinois and Iowa

- 6 Fish Daily Creel Limit with no more than 1

All Fish

Bluegill or Pumpkinseed Sunfish

Large or Smallmouth Bass Large or Smallmouth Bass (14) Northern Pike Paddlefish

Rock Bass

Striped, White, Yellow or Hybrid Striped Bass

Walleye and Sauger (14)

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

walleye greater than 27" in total length

Walleye - 15" Minimum Length Limit with a 20-27"

Protected Slot Length Limit (24)

White, Black or Hybrid Crappie (15) - 25 Fish Daily Creel Limit singly or in

aggregate

Yellow Perch - 25 Fish Daily Creel Limit

Mississippi River (between IL & MO), State of Illinois Multiple Counties

Recreational Use Restrictions

 Boating prohibited on refuge area (Ellis Bay) immediately upstream of Melvin Price Lock and Dam 26 overflow dike from October 15-April 15

- Any tagged sport fishing device may not be left unattended for more than 24 hours or

must be completely removed - 100 Total Fish Daily Creel Limit

All Nongame Species Combined
(Excludes endangered and threatened species and the following game species: Crappie, Channel/Blue/Flathead Catfish, Rock Bass, Warmouth, White/Yellow/Striped/Hybrid Striped Bass, Trout, Largemouth/Smallmouth/Spotted Bass, Muskellunge, Northern Pike, Chain/Grass Pickeral, Walleye, Sauger, Paddlefish)

Channel or Blue Catfish (14)

Flathead Catfish

Largemouth, Smallmouth, Spotted Bass

Northern Pike

**Paddlefish** 

Paddlefish

- 20 Fish Daily Creel Limit

- 10 Fish Daily Creel Limit

- 12" Minimum Length Limit or

- 1 Fish Daily Creel Limit

- 24" Eye to Fork Minimum Length Limit

 Snagging for paddlefish is permitted from September 15 though December 15 and March 15 through May 15 within a 300 yard downstream limit below locks and dams on the Mississippi River between Illinois and Missouri except for Dam 27 area at the Chain of Rocks; daily catch limit

#### DEPARTMENT OF NATURAL RESOURCES

## NOTICE OF ADOPTED AMENDMENTS

is 2 fish; sorting is permitted; every paddlefish greater than or equal to 24 inches in eye to fork length must be taken into immediate possession and included in the daily catch limit; paddlefish less than 24" eye to fork length must be returned immediately to the water; once the daily limit of paddle fish has been reached, snagging must cease

Striped, White, Yellow or Hybrid Striped Bass - 30 Fish Daily Creel Limit singly or in aggregate – statewide regulation limiting daily creel to 3 fish 17" or longer is not in effect on the Mississippi River between Illinois and Missouri

Walleye and Sauger (14)

- 8 Fish Daily Creel Limit

White, Black or Hybrid Crappie (15)

- 30 Fish Daily Creel Limit

Monee Reservoir, Will County Forest Preserve District

Will County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

Montrose Lake, City of Montrose

**Cumberland County** 

All Fish

- 2 Pole and Line Fishing Only (1)

Channel Catfish

- 6 Fish Daily Creel Limit

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Mt. Olive City Lakes (Old and New), City of Mt. Olive

**Macoupin County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Mt. Pulaski Park District Lake, Mt. Pulaski Park District Logan County

## NOTICE OF ADOPTED AMENDMENTS

All Fish - 2 Pole and Line Fishing Only (1)

Mt. Sterling Lake, City of Mt. Sterling

**Brown County** 

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 12-15" Slot Length Limit (3)

Mt. Vernon City Park Lake, City of Mt. Vernon

Jefferson County

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Mt. Vernon Game Farm Pond, Mt. Vernon Game Farm

Jefferson County

All Fish - 2 Pole and Line Fishing Only (1)

Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Mundelein Park District Ponds, City of Mundelein

Lake County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Nashville City Lake, City of Nashville

Washington County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 18" Minimum Length Limit

Newton Lake, Newton Lake State Fish and Wildlife Area (41)

Jasper County

Recreational Use Restrictions - The cold water arm of Newton Lake shall be

closed to all fishing and boat traffic except

for legal waterfowl hunters during

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

waterfowl season commencing with regular duck season through the close of the Canada goose and regular duck season

- 2 Pole and Line Fishing Only (1) (5)

Large or Smallmouth Bass

- 18" Minimum Length Limit

- 3 Fish Daily Creel Limit

White, Black, or Hybrid Crappie (15)

White, Black, or Hybrid Crappie

- 10" Minimum Length Limit

- 10" Minimum Length Limit

Norris City Reservoir, City of Norris City

White County

All Fish

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

North Marcum Campground Pond, U.S. Army Corps of Engineers

Franklin County

Recreational Use Restrictions - Fishing permitted only by persons under 16

years of age

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Oakford Conservation Area (Menard County) (19)

Menard County

Oakland City Lake, City Lake, City of Oakland

Coles County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Oblong Lake, City of Oblong

Crawford County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Ohio River (between Illinois & Kentucky), State of Illinois

Multiple Counties (19)

Large or Smallmouth Bass

Northern Pike

No Length or Creel Limit

No Length or Creel Limit

Paralle Sauger, or Hybrid Walleye(14)

No Length or Creel Limit

The Sauger of Hybrid Walleye(14)

No Length or Creel Limit

The Sauger of Hybrid Walleye(14)

The Sauger of Hybrid Crappie (15)

Sauger of Minimum Length Limit

The Sauger of Hybrid Creel Limit

The Sauger of Hybrid Crappie (15)

The Sauger of Minimum Length Limit

The Sauger of Minimum Length Limit

The Sauger of Hybrid Creel Limit

The Sauger of Hybrid Crappie (15)

Striped, White, Yellow or Hybrid - 30 Creel/4 Fish 15" or Longer Daily (32)

Striped Bass

Ohio River – Smithland Pool Tributary Streams (in Pope/Hardin/Gallatin Counties, excluding Wabash River and Saline River Above Route 1 Bridge) (19)

**Multiple Counties** 

Large and Smallmouth Bass - 12" Minimum Length Limit

Old Kinmundy Reservoir, City of Kinmundy

Marion County

All Fish - 2 Pole and Line Fishing Only (1)(5)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

Olsen Lake, Rock Cut State Park

Winnebago County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Omaha City Reservoir, City of Omaha

Gallatin County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Otter Lake, Otter Lake Water Commission

Macoupin County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

#### NOTICE OF ADOPTED AMENDMENTS

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Striped, White, or Hybrid Striped Bass - 10 Creel/3 Fish 17" or Longer Daily (17)

(16)

Pure Muskellunge - 48" Minimum Length Limit (40)

Palmyra – Modesto Water Commission Lake, Palmyra/Modesto Water Commission

Macoupin County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Pana Lake, City of Pana

Shelby and Christian Counties

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Paris East & West Lakes, City of Paris

Edgar County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Peabody River King, Pit #3 Lakes and Ponds, River King State Conservation Area (see also Willow Lake for additional regulations)

St Clair County

All Fish - 2 Pole and Line Fishing Only (1) (34)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

White, Black, or Hybrid Crappie (15)

- 6 Fish Daily Creel Limit

- 3 Fish Daily Creel Limit

- 25 Fish Daily Creel Limit

- 25 Fish Daily Creel Limit

- 9" Minimum Length Limit

#### NOTICE OF ADOPTED AMENDMENTS

Pecatonica River and Tributaries, State of Illinois

Winnebago/Stephenson Counties

Smallmouth Bass - 14" Minimum Length Limit

Pekin Lake

Tazewell County

Perry Farm Pond, Bourbonnais Park District

Kankakee County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Piasa (19)

Madison/Jersey Counties

Pierce Lake, Rock Cut State Park

Winnebago County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1) (7)

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass (14)

Large or Smallmouth Bass

- 14" Minimum Length Limit

Pure Muskellunge

- 10 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

White, Black, or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

Pike County Conservation Area (19)

Pike County

Pinckneyville Lake, City of Pinckneyville

Perry County

Large or Smallmouth Bass - 18" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Pine Creek Ogle County

Trout - Spring Closed Season (11)

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Pine Creek (within the boundaries of White Pines Forest State Park)

Ogle County

All Fish

- 2 Pole and Line Fishing Only (1)

Trout

- Spring Closed Season (11)

Pine Lake, Village of University Park

Will County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Piscasaw Creek McHenry County

Trout - 9" Minimum Length Limit
Trout - Spring Closed Season (11)

Pittsfield City Lake, City of Pittsfield

Pike County

All Fish - 2 Pole and Line Fishing Only (1) (7)

Large or Smallmouth Bass - 14" Minimum Length Limit
Striped, White, or Hybrid Striped Bass
Striped, White, or Hybrid Striped Bass - 3 Fish Daily Creel Limit

(16)

Pocahontas Park Ponds, City of Pocahontas

**Bond County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Ponderosa Lake, Mazonia State Fish and Wildlife Area (33)

**Grundy County** 

All Fish

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

White, Black or Hybrid Crappie (15)

- 2 Pole and Line Fishing Only (1)

- 10 Fish Daily Creel Limit

- 5 Fish Daily Creel Limit

- 3 Fish Daily Creel Limit

- 10 Fish Daily Creel Limit

Powerton Lake, Powerton Lake Fish and Wildlife Area (39)

#### NOTICE OF ADOPTED AMENDMENTS

Tazewell County

Recreational Use Restrictions - Powerton Lake shall be closed to boat traffic

> except for legal waterfowl hunters from one week prior to regular waterfowl season to February 15, and closed to all unauthorized entry during regular Canada goose and

duck season

All Fish - 2 Pole and Line Fishing Only (1)

- 6 Fish Daily Creel Limit Channel or Blue Catfish (14) - 18" Minimum Length Limit Large or Smallmouth Bass Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Striped, White, or Hybrid Striped Bass - 10 Creel/3 Fish 17" or Longer Daily (17)

(16)

Walleye, Sauger, or Hybrid Walleye (14) - 3 Fish Daily Creel Limit - 18" Minimum Length Limit Walleye, Sauger, or Hybrid Walleye

Prospect Pond, City of Moline

Rock Island County

Trout - Fall Closed Season (10)

Pyramid State Park – Blue Wing Lake, Green Wing Lake and Goldeneye Lake, Pyramid State Park

Perry County

Recreational Use Restrictions - Waterfowl Hunting Area (from October 28

> through February 28 fishing is permitted in designated areas only, and fishing hours are from ½ hour before sunrise to 2 p.m.)

- 2 Pole and Line Fishing Only (1) All Fish

Bluegill or Redear Sunfish (14) - 25 Fish Daily Creel Limit Channel Catfish - 6 Fish Daily Creel Limit Large or Smallmouth Bass - 18" Minimum Length Limit Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit White, Black, or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

Pyramid State Park - Captain, Denmark, Galum and East Conant Areas - All Lakes and Ponds except Blue Wing Lake, Green Wing Lake and Goldeneve Lake, Pyramid State Park Perry County

Recreational Use Restrictions - Waterfowl Refuge or Hunting Area (all use other than waterfowl hunting prohibited

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

from October 28 through February 28)

All Fish

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Channel Catfish

- 2 Pole and Line Fishing Only (1)

- 25 Fish Daily Creel Limit

- 18" Minimum Length Limit

- 1 Fish Daily Creel Limit

- 25 Fish Daily Creel Limit

- 25 Fish Daily Creel Limit

Pyramid State Park Lakes & Ponds (excluding Captain, Denmark and Galum Areas), Pyramid

State Park Perry County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Ramsey Lake, Ramsey Lake State Park

Fayette County

All Fish

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

White, Black, or Hybrid Crappie (15)

- 2 Pole and Line Fishing Only (1)

- 25 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 10 Fish Daily Creel Limit

- 9" Minimum Length Limit

Ramsey Lake State Park Ponds, Ramsey Lake State Park

Fayette County

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Randolph County Lake, Randolph County Conservation Area

Randolph County

All Fish

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Trout

- 2 Pole and Line Fishing Only (1)

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 3 Fish Daily Creel Limit

- Fall Closed Season (10)

Red Hills Lake, Red Hills State Park

Lawrence County

### NOTICE OF ADOPTED AMENDMENTS

All Fish - 2 Pole and Line Fishing Only (1) Bluegill or Redear Sunfish -8" Minimum Length Limit Bluegill or Redear Sunfish (14) -10 Fish Daily Creel Limit Channel Catfish - 6 Fish Daily Creel Limit Large or Smallmouth Bass - 15" Minimum Length Limit

Red's Landing Wildlife Management Area (19)

Calhoun County

(Walk-in area closed to trespassing 7 days prior to duck season)

Redwing Slough/Deer Lake (33)

Lake County

Rend Lake, U.S. Army Corps of Engineers (22) (33)

Franklin and Jefferson Counties

Channel Catfish - All jugs must be attended at all times while

fishing (2)

- 14" Minimum Length Limit Large or Smallmouth Bass

Striped, White, Yellow, or Hybrid Striped - 20 Creel/3 Fish 17" or Longer Daily

Bass (8)

White, Black or Hybrid Crappie (15) - 25 Creel/5 Fish 10" or Longer Daily

Rend Lake Project Ponds – Jackie Branch Pond, Ina N. Borrow Pit, Green Heron Pond, North Marcum Campground Pond, U.S. Army Corps of Engineers Franklin and Jefferson Counties

Recreational Use Restrictions - See kids only fishing regulations for North

Marcum Campground Pond

- 2 Pole and Line Fishing Only (1) All Fish

Bluegill or Redear Sunfish (14) - 10 Fish Daily Creel Limit Channel Catfish - 6 Fish Daily Creel Limit Large or Smallmouth Bass - 14" Minimum Length Limit Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Rice Lake Fish and Wildlife Area (33)

Fulton County

Ridge Lake, Fox Ridge State Park

**Coles County** 

Recreational Use Restrictions - Waterfowl Refuge or Hunting Area (19)

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

All Fish - 2 Pole and Line Fishing Only (1)
Channel Catfish - 14" Minimum Length Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Riis Park Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1) Channel Catfish - 4 Fish Daily Creel Limit

Riprap Landing (19) Calhoun County

River Bend Forest Preserve Lakes (Sunset Lake and Shadow Lake), Champaign County Forest Preserve District

Champaign County

All Fish - 2 Pole and Line Fishing Only (1)
Bluegill or Redear Sunfish - 8" Minimum Length Limit
Bluegill or Redear Sunfish (14) - 10 Fish Daily Creel Limit
Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Riverside Park Lagoon, Moline Park District

**Rock Island County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Rock Creek, State of Illinois

Kankakee County

Trout - Spring Closed Season (11)

Rock River, from the Sears and Steel Dam downstream to confluence of the Mississippi River, State of Illinois

Rock Island County

Walleye - 15" Minimum Length Limit with a 20-27"

Protected Slot Length Limit (24)

Walleye and Sauger (14) - 6 Fish Daily Creel Limit with no more than 1

walleye greater than 27" in total length

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Rock River, Wisconsin State Line downstream to confluence of the Mississippi River, including tributaries, State of Illinois

**Multiple Counties** 

Smallmouth Bass - 14" Minimum Length Limit

Rock Springs Bike Trail Pond, Macon County Conservation District

Macon County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Rock Springs Pond, Macon County Conservation District

Macon County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Trout

- 6 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

- Fall Closed Season (10)

Roodhouse Park Lake, City of Roodhouse

Green County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Route 154 Day Use Pond, State of Illinois

Randolph County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Sag Quarry East, Cook County Forest Preserve District

Cook County

Rainbow Trout - Spring Closed Season (11)

Sahara Woods Fish and Wildlife Area, State of Illinois

Saline County

All Fish - 2 Pole and Line Fishing Only (1)

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

White, Black, or Hybrid Crappie (15)

- 15 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

- 15 Fish Daily Creel Limit

St. Elmo South Lake, City of St. Elmo

Fayette County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Salem Reservoir, City of Salem

**Marion County** 

All Fish - 2 Pole and Line Fishing Only (1) (5)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Sam Dale Lake, Sam Dale Conservation Area

Wayne County

All Fish - 2 Pole and Line Fishing Only (1)(5)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Sam Dale Trout Pond, Sam Dale Conservation Area

Wayne County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Sam Parr Lake, Sam Parr State Park

Jasper County

All Fish - 2 Pole and Line Fishing Only (1) (5)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Sand Lake, Illinois Beach State Park

#### NOTICE OF ADOPTED AMENDMENTS

Lake County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit Large or Smallmouth Bass - 15" Minimum Length Limit - 1 Fish Daily Creel Limit Large or Smallmouth Bass (14) Trout - Fall Closed Season (10) Trout - Spring Closed Season (11)

Sanganois Conservation Area (33) (42) Mason/Cass/Schuyler/Menard Counties

Sangchris Lake, Sangchris Lake State Park Christian/Sangamon Counties

Recreational Use Restrictions

- Posted waterfowl refuge closed to all boat traffic during waterfowl season. Bank fishing along the dam shall be permitted. Fishing shall be prohibited in the east and west arms of the lake during the period from 10 days prior to the duck season through the end of the duck season. Fishing shall be prohibited in the west arm of the lake and the east arm of the lake south of the power lines during that portion of the Canada goose season that follows

the duck season

All Fish - 2 Pole and Line Fishing Only (1) (34)

- 15" Minimum Length Limit Large or Smallmouth Bass - 3 Fish Daily Creel Limit Large or Smallmouth Bass (14) White, Black, or Hybrid Crappie (15) - 10 Fish Daily Creel Limit White, Black, or Hybrid Crappie - 10" Minimum Length Limit

Sangchris Lake Park Ponds, Sangchris Lake State Park

Sangamon County

All Fish - 2 Pole and Line Fishing Only (1)

Schiller Pond, Cook County Forest Preserve District

Cook County

All Fish - 2 Pole and Line Fishing Only (1) (36)

Channel Catfish - 6 Fish Daily Creel Limit

#### NOTICE OF ADOPTED AMENDMENTS

Large or Smallmouth Bass - 14" Minimum Length Limit

Schuy-Rush Lake, City of Rushville

Schuyler County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
White, Black or Hybrid Crappie - 9" Minimum Length Limit

Senior Citizen's Pond, Kankakee River State Park

Kankakee County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Sesser City Lake, City of Sesser

Franklin County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

- 6 Fish Daily Creel Limit

- 18" Minimum Length Limit

- 1 Fish Daily Creel Limit

Shabbona Lake, Shabbona Lake State Park

DeKalb County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1) (7)

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass (14)

Large or Smallmouth Bass

Pure Muskellunge

Striped, White, or Hybrid Striped Bass

Striped, White, or Hybrid Striped Bass

- 10 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 48" Minimum Length Limit (40)

- 17" Minimum Length Limit

- 3 Fish Daily Creel Limit

(16)

Walleye, Sauger, or Hybrid Walleye
- 18" Minimum Length Limit
White, Black, or Hybrid Crappie (15)
- 10 Fish Daily Creel Limit

Shawnee National Forest Lakes and Ponds less than 10 acres, U.S. Forest Service Multiple Counties

All Fish - 2 Pole and Line Fishing Only (1)

#### NOTICE OF ADOPTED AMENDMENTS

Channel Catfish - 6 Fish Daily Creel Limit
Largemouth, Smallmouth or Spotted Bass - 15" Minimum Length Limit

Shawnee National Forest – Bay Creek Lake #5 and #8 (Sugar Creek Lake), U.S. Forest Service Pope County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Largemouth, Smallmouth and Spotted - 15" Minimum Length Limit

Bass

Shawnee National Forest – Dutchman Lake, U.S. Forest Service

Johnson County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Largemouth, Smallmouth or Spotted Bass - 15" Minimum Length Limit

Shawnee National Forest – Lake Glendale, U.S. Forest Service

Pope County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Largemouth, Smallmouth or Spotted Bass - 15" Minimum Length Limit

Shawnee National Forest – Little Cache #1, U.S. Forest Service

Johnson County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Largemouth or Smallmouth Bass - 15" Minimum Length Limit

Shawnee National Forest – Little Cedar Lake, U.S. Forest Service

**Jackson County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Largemouth, Smallmouth or Spotted Bass - 15" Minimum Length Limit

Shawnee National Forest – One Horse Gap Lake, U.S. Forest Service

Pope County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Largemouth, Smallmouth or Spotted Bass - 15" Minimum Length Limit

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Shawnee National Forest – Pounds Hollow Lake, U.S. Forest Service Gallatin County

Jallatin County

All Fish

Channel Catfish

Largemouth, Smallmouth or Spotted Bass

- 2 Pole and Line Fishing Only (1)

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

Shawnee National Forest – Tecumseh Lake, U.S. Forest Service

Hardin County

All Fish

Channel Catfish

Largemouth, Smallmouth or Spotted Bass

- 2 Pole and Line Fishing Only (1)

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

Shawnee National Forest – Turkey Bayou, U.S. Forest Service

Jackson County

All Fish

Channel Catfish

Channel Catfish

Largemouth, Smallmouth or Spotted Bass

- 2 Pole and Line Fishing Only (1)

- 6 Fish Daily Creel Limit

- 15" Minimum Length Limit

Shawnee National Forest – Whoopie Cat Lake, U.S. Forest Service

**Hardin Counties** 

All Fish
Channel Catfish
Channel Catfish
Largemouth, Smallmouth or Spotted Bass
- 2 Pole and Line Fishing Only (1)
- 6 Fish Daily Creel Limit
- 15" Minimum Length Limit

Sherman Park Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1) Channel Catfish - 4 Fish Daily Creel Limit

Siloam Springs Lake, Siloam Springs State Park

**Adams County** 

All Fish - 2 Pole and Line Fishing Only (1) (7)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 12-15" Slot Length Limit (3)
Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Siloam Springs State Park Buckhorn Unit Waters, Siloam Springs State Park

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

### **Brown County**

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Bluegill or Redear Sunfish

Bluegill or Redear Sunfish (14)

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

- 6 Fish Daily Creel Limit

- 10 Fish Daily Creel Limit

- 18" Minimum Length Limit

- 1 Fish Daily Creel Limit

### Silver Lake, DuPage County Forest Preserve District

### **Dupage County**

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 3 Fish Daily Creel Limit Channel Catfish - 12" Minimum Length Limit Large or Smallmouth Bass - 15" Minimum Length Limit - 3 Fish Daily Creel Limit Large or Smallmouth Bass (14) Trout - Spring Closed Season (11) - 16" Minimum Length Limit Walleye, Sauger, or Hybrid Walleye Walleye, Sauger, or Hybrid Walleye (14) - 3 Fish Daily Creel Limit White, Black or Hybrid Crappie - 9" Minimum Length Limit White, Black or Hybrid Crappie (15) - 15 Fish Daily Creel Limit

# Silver Springs S.P. (Big Lake) & Ponds, Silver Springs State Fish and Wildlife Area Kendall County

All Fish - 2 Pole and Line Fishing Only (1) Channel Catfish - 6 Fish Daily Creel Limit

Large or Smallmouth Bass - 15" Minimum Length Limit
Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

### Skokie Lagoons, Cook County Forest Preserve District

### Cook County

All Fish - 2 Pole and Line Fishing Only (1) (36)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Walleye, Sauger, or Hybrid Walleye - 18" Minimum Length Limit

### Small Pit Pond, Boone County Conservation District

Boone County

All Fish - 2 Pole and Line Fishing Only (1)

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Snakeden Hollow State Fish and Wildlife Area – McMaster Lake & Other Site Waters, State of Illinois

**Knox County** 

Recreational Use Restrictions - Waterfowl Refuge or Hunting Area (all use

other than waterfowl hunting prohibited from October 1 through the end of the

Canada goose season)

- All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish (14)

- 10 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit with only one fish

15" or longer

Pure Muskellunge - 42" Minimum Length Limit
Walleye, Sauger, or Hybrid Walleye (14) - 3 Fish Daily Creel Limit
White, Black, or Hybrid Crappie (15) - 5 Fish Daily Creel Limit

Sparta City Lakes, City of Sparta

Randolph County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

Sparta "T" Lake, City of Sparta

Randolph County

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish

Bluegill or Redear Sunfish (14)

Channel Catfish

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

White, Black, or Hybrid Crappie (15)

- 8" Minimum Length Limit

- 15 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 3 Fish Daily Creel Limit

- 9" Minimum Length Limit

- 9" Minimum Length Limit

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

# Sparta World Shooting and Recreational Complex Lakes, State of Illinois

Randolph County

<u>All Fish</u> <u>- 2 Pole and Line Fishing Only (1)</u>

Bluegill and Redear Sunfish (14)

Channel Catfish

Large and Smallmouth Bass

Large and Smallmouth Bass (14)

White, Black, or Hybrid Crappie (15)

- 15 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 18" Minimum Length Limit

- 1 Fish Daily Creel Limit

- 10" Minimum Length Limit

- 10 Fish Daily Creel Limit

### Spencer Lake, Boone County Conservation District

**Boone County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

### Sportsmans' Club Pond, Macon County Conservation District

Macon County

All Fish - 2 Pole and Line Fishing Only (1)
Trout - Spring Closed Season (11)

Spring Lake, City of Macomb

McDonough County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1) (5)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Striped, White, or Hybrid Striped Bass

Striped, White, or Hybrid Striped Bass

- 6 Fish Daily Creel Limit

- 3 Fish Daily Creel Limit

- 17" Minimum Length Limit

- 3 Fish Daily Creel Limit

(16)

### Spring Lakes (North & South), Spring Lake Conservation Area (33)

Tazewell County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1) (34)

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Pure Muskellunge - 48" Minimum Length Limit (40)
White, Black, or Hybrid Crappie (15) - 25 Fish Daily Creel Limit
White, Black, or Hybrid Crappie - 9" Minimum Length Limit

Spring Pond, Flagg-Rochelle Park District

Ogle County

All Fish - 2 Pole and Line Fishing Only (1)
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Starved Rock State Park (19)

LaSalle County

Staunton City Lake, City of Staunton

**Macoupin County** 

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Steven A. Forbes State Park (19) (see also Forbes State Lake and Forbes State Park Ponds) Marion County

Sterling Lake, Lake County Forest Preserve District Lake County

Recreational Use Restrictions - All live bait in excess of 8" must be rigged

with a quick set rig (43)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass (14)

Large or Smallmouth Bass

- 36 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

- 15" Minimum Length Limit

Pure Muskellunge

- 48" Minimum Length Limit

Walleye, Sauger, or Hybrid Walleye

- 16" Minimum Length Limit

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Storm Lake, DeKalb Park District

DeKalb County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Large or Smallmouth Bass - 1 Fish Daily Creel Limit

Stump Lake Wildlife Management Area (33)

Jersey County

Tampier Lake, Cook County Forest Preserve District

Cook County

All Fish - 2 Pole and Line Fishing Only (36)

Bluegill or Redear Sunfish

Bluegill or Redear Sunfish (14)

Channel Catfish

Channel Catfish

Large or Smallmouth Bass

Walleye, Sauger, or Hybrid Walleye

- 8" Minimum Length Limit

- 10 Fish Daily Creel Limit

- 6 Fish Daily Creel Limit

- 14" Minimum Length Limit

- 18" Minimum Length Limit

Taylorville Park District Pond, Taylorville Park District

**Christian County** 

All Fish - 2 Pole and Line Fishing Only (1)
Trout - Spring Closed Season (11)

Ten Mile Creek Lakes, Ten Mile Creek State Fish and Wildlife Area

Hamilton/Jefferson Counties (19)

(Areas designated as refuge are closed to all access during the Canada goose season)

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Tilton City Lake, City of Tilton

**Vermilion County** 

Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Toledo Reservoir, City of Toledo

**Cumberland County** 

All Fish - 2 Pole and Line Fishing Only (1)

#### NOTICE OF ADOPTED AMENDMENTS

Channel Catfish - 6 Fish Daily Creel Limit

Turkey Bluff Ponds, State of Illinois

Randolph County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large and Smallmouth Bass - 15" Minimum Length Limit
Large and Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Turner Lake, Chain O'Lakes State Park

Lake County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

Tuscola City Lake, City of Tuscola

**Douglas County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Union County Conservation Area

**Union County** 

(All fishing and boat traffic prohibited October 15-March 1)

Valley Lake, Wildwood Park District

Lake County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Valmeyer Lake, City of Valmeyer

Monroe County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Vanhorn Woods Pond, Plainfield Park District

Will County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Vernor Lake, City of Olney

Richland County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Villa Grove East Lake, City of Villa Grove

**Douglas County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Villa Grove West Lake, City of Villa Grove

**Douglas County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit
Trout - Fall Closed Season (10)

Virginia City Reservoir, City of Virginia

Cass County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

Waddams Creek Stephenson County

Trout - Spring Closed Season (11)

Walnut Point Lake, Walnut Point State Fish and Wildlife Area

**Douglas County** 

#### NOTICE OF ADOPTED AMENDMENTS

All Fish - 2 Pole and Line Fishing Only (1)

Bluegill or Redear Sunfish - 8" Minimum Length Limit

Bluegill or Redear Sunfish (14) - 15 Fish (either singly or in aggregate) Daily

Creel Limit, of which only 5 fish can be 8"

or longer<del>10 Fish Daily Creel Limit</del>

- 6 Fish Daily Creel Limit Channel Catfish - 12-15" Slot Length Limit (3) Large or Smallmouth Bass

Walton Park Lake, City of Litchfield

Montgomery County

All Fish - 2 Pole and Line Fishing Only (1) Bluegill or Redear Sunfish - 8" Minimum Length Limit - 10 Fish Daily Creel Limit Bluegill or Redear Sunfish (14) Channel Catfish - 6 Fish Daily Creel Limit - 15" Minimum Length Limit Large or Smallmouth Bass Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Wampum Lake, Cook County Forest Preserve District

Cook County

All Fish - 2 Pole and Line Fishing Only (1) (36)

Bluegill or Redear Sunfish - Catch and Release Only (9) Large or Smallmouth Bass - 14" Minimum Length Limit

Washington County Lake, Washington County Conservation Area

**Washington County** 

All Fish - 2 Pole and Line Fishing Only (1) (5)

- 6 Fish Daily Creel Limit Channel Catfish Large or Smallmouth Bass - 14" Minimum Length Limit Striped, White, or Hybrid Striped Bass - 17" Minimum Length Limit Striped, White, or Hybrid Striped Bass - 3 Fish Daily Creel Limit

(16)

Washington Park Lagoon, Chicago Park District

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 4 Fish Daily Creel Limit

Washington Park Pond, Springfield Park District

Sangamon County

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 3 Fish Daily Creel Limit
Trout - Fall Closed Season (10)
Trout - Spring Closed Season (11)

Waverly Lake, City of Waverly

Morgan County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit

Weinberg-King Pond, Weinberg-King State Park

Schuyler County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

Weldon Springs Lake, Weldon Springs State Park

**DeWitt County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

West Frankfort New City Lake, City of West Frankfort

Franklin County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

West Frankfort Old City Lake, City of West Frankfort

Franklin County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

West Salem Reservoir, City of West Salem

**Edwards County** 

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

#### NOTICE OF ADOPTED AMENDMENTS

Large or Smallmouth Bass - 14" Minimum Length Limit

White Hall City Lake, City of White Hall

Greene County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit

White Oaks Park Lake, City of Bloomington

McLean County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit
- 1 Fish Daily Creel Limit

Wilderness Pond, Fox Ridge State Park Coles County

Recreational Use Restrictions

All Fish

Bluegill or Redear Sunfish (14)

Channel Catfish

Large or Smallmouth Bass Large or Smallmouth Bass (14) - Waterfowl Refuge or Hunting Area (19)

- 2 Pole and Line Fishing Only (1)

5 Fish Daily Creel Limit6 Fish Daily Creel Limit

18" Minimum Length Limit1 Fish Daily Creel Limit

Wildlife Prairie State Park, State of Illinois Peoria County

All Fish

Channel Catfish

Large or Smallmouth Bass

- 2 Pole and Line Fishing Only (1)

- 6 Fish Daily Creel Limit

- 12-18" Protected Slot Length Limit (no possession allowed within the protected

slot length limit)

Large or Smallmouth Bass (14) - 3 Fish Daily Creel Limit

Bluegill or Redear Sunfish (14) - 25 Fish Daily Creel Limit

White, Black or Hybrid Crappie - 9" Minimum Length Limit

White, Black or Hybrid Crappie (15) - 25 Fish Daily Creel Limit

Pure or Hybrid Muskellunge - 42" Minimum Length Limit

William W. Powers Conservation Area (33)

Cook County

#### DEPARTMENT OF NATURAL RESOURCES

#### NOTICE OF ADOPTED AMENDMENTS

Willow Lake, Peabody River King State Conservation Area

St. Clair County

All Fish

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

White, Black, or Hybrid Crappie (15)

White, Black, or Hybrid Crappie

Trout

- 2 Pole and Line Fishing Only (1)

- 6 Fish Daily Creel Limit

- 3 Fish Daily Creel Limit

- 25 Fish Daily Creel Limit

- 9" Minimum Length Limit

- 9" Minimum Length Limit

- Fall Closed Season (10)

Wolf Lake, William W. Powers Conservation Area (33)

Cook County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 14" Minimum Length Limit

Woodford Co. Cons. Area (Fishing Ditch), Woodford County Conservation Area (33)

**Woodford County** 

All Fish - 2 Pole and Line Fishing Only (1)

Woodlawn Pond, Frankfort Square Park District

Will County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish - 6 Fish Daily Creel Limit
Large or Smallmouth Bass - 15" Minimum Length Limit
Large or Smallmouth Bass (14) - 1 Fish Daily Creel Limit

Wyman Lake, City of Sullivan

Moultrie County

All Fish - 2 Pole and Line Fishing Only (1)

Channel Catfish

Large or Smallmouth Bass

Large or Smallmouth Bass (14)

Trout

- 6 Fish Daily Creel Limit

- 1 Fish Daily Creel Limit

- Spring Closed Season (11)

Yellow Creek

**Stephenson County** 

Trout - Spring Closed Season (11)

### DEPARTMENT OF NATURAL RESOURCES

### NOTICE OF ADOPTED AMENDMENTS

(Source: Amended at 31 Ill. Reg. 3480, effective February 16, 2007)

# **Section 810.70 Free Fishing Days**

During the period of June <u>8, 9, 10 and 11, 20079, 10, 11 and 12, 2006</u>, it shall be legal for any person to fish in waters wholly or in part within the jurisdiction of the State, including the Illinois portion of Lake Michigan, without possessing a sport fishing license, salmon stamp or inland trout stamp.

(Source: Amended at 31 Ill. Reg. 3480, effective February 16, 2007)

#### DEPARTMENT OF REVENUE

#### NOTICE OF ADOPTED AMENDMENT

- 1) Heading of the Part: Retailers' Occupation Tax
- 2) Code Citation: 86 Ill. Adm. Code 130
- 3) <u>Section Number</u>: <u>Adopted Action</u>: New Section
- 4) Statutory Authority: 20 ILCS 2505/2505-25
- 5) Effective Date of Rulemaking: February 16, 2007
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) <u>Does this rulemaking contain incorporations by reference</u>? No
- 8) A copy of the adopted amendment, including any material incorporated by reference, is on file in the agency's principal office and is available for public inspection.
- 9) <u>Notice of Proposal Published in Illinois Register</u>: September 22, 2006; 30 Ill. Reg. 15099
- 10) <u>Has JCAR issued a Statement of Objection to this amendment?</u> No
- 11) <u>Differences between proposal and final version</u>: The only changes made were the ones agreed upon with JCAR. The changes made were grammar and punctuation or technical. No substantive changes were made.
- Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreements issued by JCAR? Yes
- 13) Will this rulemaking replace any emergency rulemaking currently in effect? No
- 14) Are there any amendments pending on this Part? No
- Summary and Purpose of Rulemaking: This rulemaking describes the exemption from tax for building materials incorporated into a redevelopment project area within an intermodal transfer facility area. The exemption was created by P.A. 94-0546, effective January 1, 2006. The exemption is administered in a manner similar to the exemption provided for building materials incorporated into enterprise zones (see Section 130.1951).

### DEPARTMENT OF REVENUE

### NOTICE OF ADOPTED AMENDMENT

16) <u>Information and questions regarding this adopted amendment shall be directed to:</u>

Terry D. Charlton Senior Counsel, Sales & Excise Taxes Illinois Department of Revenue 101 West Jefferson Springfield, Illinois 62794

217/782-2844

The full text of the Adopted Amendment begins on the next page:

### NOTICE OF ADOPTED AMENDMENT

# TITLE 86: REVENUE CHAPTER I: DEPARTMENT OF REVENUE

# PART 130 RETAILERS' OCCUPATION TAX

## SUBPART A: NATURE OF TAX

Section	
130.101	Character and Rate of Tax
130.105	Responsibility of Trustees, Receivers, Executors or Administrators
130.110	Occasional Sales
130.111	Sale of Used Motor Vehicles, Aircraft, or Watercraft by Leasing or Rental
	Business
130.115	Habitual Sales
130.120	Nontaxable Transactions
	SUBPART B: SALE AT RETAIL
Section	SUDFART B. SALE AT RETAIL
130.201	The Test of a Sale at Retail
130.201	Sales for Transfer Incident to Service
130.203	Sales of Tangible Personal Property to Purchasers for Resale
130.210	Further Illustrations of Sales for Use or Consumption Versus Sales for Resale
130.213	Sales to Lessors of Tangible Personal Property
130.225	Drop Shipments
130.223	Drop shipments
	SUBPART C: CERTAIN STATUTORY EXEMPTIONS
Section	
130.305	Farm Machinery and Equipment
130.310	Food, Drugs, Medicines and Medical Appliances
130.315	Fuel Sold for Use in Vessels on Rivers Bordering Illinois
130.320	Gasohol, Majority Blended Ethanol, Biodiesel Blends, and 100% Biodiesel
130.321	Fuel Used by Air Common Carriers in International Flights
130.325	Graphic Arts Machinery and Equipment Exemption
130.330	Manufacturing Machinery and Equipment
130.331	Manufacturer's Purchase Credit
130.332	Automatic Vending Machines
130.335	Pollution Control Facilities and Low Sulfur Dioxide Emission Coal-Fueled

# NOTICE OF ADOPTED AMENDMENT

	Devices
130.340	Rolling Stock
130.341	Commercial Distribution Fee Sales Tax Exemption
130.345	Oil Field Exploration, Drilling and Production Equipment
130.350	Coal Exploration, Mining, Off Highway Hauling, Processing, Maintenance and
	Reclamation Equipment
130.351	Aggregate Manufacturing
SUBPART D: GROSS RECEIPTS	
Section	
130.401	Meaning of Gross Receipts
130.405	How to Avoid Paying Tax on State or Local Tax Passed on to the Purchaser
130.410	Cost of Doing Business Not Deductible
130.415	Transportation and Delivery Charges
130.420	Finance or Interest Charges – Penalties – Discounts
130.425	Traded-In Property
130.430	Deposit or Prepayment on Purchase Price
130.435	State and Local Taxes Other Than Retailers' Occupation Tax
130.440	Penalties
130.445	Federal Taxes
130.450	Installation, Alteration and Special Service Charges
130.455	Motor Vehicle Leasing and Trade-In Allowances
	SUBPART E: RETURNS
Section	
130.501	Monthly Tax Returns – When Due – Contents
130.502	Quarterly Tax Returns
130.505	Returns and How to Prepare
130.510	Annual Tax Returns
130.515	First Return
130.520	Final Returns When Business is Discontinued
130.525	Who May Sign Returns
130.530	Returns Covering More Than One Location Under Same Registration – Separate
	Returns for Separately Registered Locations
130.535	Payment of the Tax, Including Quarter Monthly Payments in Certain Instances
130.540	Returns on a Transaction by Transaction Basis
130.545	Registrants Must File a Return for Every Return Period

	NOTICE OF ADOPTED AMENDMENT
130.550 130.551 130.552 130.555 130.560	Filing of Returns for Retailers by Suppliers Under Certain Circumstances Prepayment of Retailers' Occupation Tax on Motor Fuel Alcoholic Liquor Reporting Vending Machine Information Returns Verification of Returns
	SUBPART F: INTERSTATE COMMERCE
Section 130.601 130.605 130.610	Preliminary Comments Sales of Property Originating in Illinois Sales of Property Originating in Other States
	SUBPART G: CERTIFICATE OF REGISTRATION
Section 130.701 130.705 130.710 130.715 130.720 130.725 130.730 130.735 130.740 130.745	General Information on Obtaining a Certificate of Registration Procedure in Disputed Cases Involving Financial Responsibility Requirements Procedure When Security Must be Forfeited Sub-Certificates of Registration Separate Registrations for Different Places of Business of Same Taxpayer Under Some Circumstances Display Replacement of Certificate Certificate Not Transferable Certificate Required For Mobile Vending Units Revocation of Certificate
	SUBPART H: BOOKS AND RECORDS
Section	

Section	
130.801	General Requirements
130.805	What Records Constitute Minimum Requirement
130.810	Records Required to Support Deductions
130.815	Preservation and Retention of Records
130.820	Preservation of Books During Pendency of Assessment Proceedings
130.825	Department Authorization to Destroy Records Sooner Than Would Otherwise be
	Permissible

# NOTICE OF ADOPTED AMENDMENT

# SUBPART I: PENALTIES AND INTEREST

Section 130.901 130.905 130.910	Civil Penalties Interest Criminal Penalties SUBPART J: BINDING OPINIONS	
Section		
130.1001	When Opinions from the Department are Binding	
SUBP	ART K: SELLERS LOCATED ON, OR SHIPPING TO, FEDERAL AREAS	
Section 130.1101 130.1105 130.1110	Definition of Federal Area When Deliveries on Federal Areas Are Taxable No Distinction Between Deliveries on Federal Areas and Illinois Deliveries Outside Federal Areas	
SUBPA	SUBPART L: TIMELY MAILING TREATED AS TIMELY FILING AND PAYING	
Section 130.1201 130.1205	General Information Due Date that Falls on Saturday, Sunday or a Holiday	
S	UBPART M: LEASED PORTIONS OF LESSOR'S BUSINESS SPACE	
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130.2145	Vendors of Meals
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130.2500	Direct Payment Program
130.2505	Qualifying Transactions, Non-transferability of Permit
130.2510	Permit Holder's Payment of Tax
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130.ILLUSTRATION A Examples of Tax Exemption Card

130.ILLUSTRATION B Example of Notice of Revocation of Certificate of Registration

AUTHORITY: Implementing the Illinois Retailers' Occupation Tax Act [35 ILCS 120] and authorized by Section 2505-25 of the Civil Administrative Code of Illinois [20 ILCS 2505/2505-25].

SOURCE: Adopted July 1, 1933; amended at 2 Ill. Reg. 50, p. 71, effective December 10, 1978; amended at 3 Ill. Reg. 12, p. 4, effective March 19, 1979; amended at 3 Ill. Reg. 13, pp. 93 and 95, effective March 25, 1979; amended at 3 Ill. Reg. 23, p. 164, effective June 3, 1979; amended at 3 Ill. Reg. 25, p. 229, effective June 17, 1979; amended at 3 Ill. Reg. 44, p. 193, effective October 19, 1979; amended at 3 Ill. Reg. 46, p. 52, effective November 2, 1979; amended at 4 Ill. Reg. 24, pp. 520, 539, 564 and 57l, effective June 1, 1980; amended at 5 Ill. Reg. 818, effective January 2, 1981; amended at 5 Ill. Reg. 3014, effective March 11, 1981; amended at 5 Ill. Reg. 12782, effective November 2, 1981; amended at 6 Ill. Reg. 2860, effective March 3, 1982; amended at 6 Ill. Reg. 6780, effective May 24, 1982; codified at 6 Ill. Reg. 8229; recodified at 6 Ill. Reg. 8999; amended at 6 Ill. Reg. 15225, effective December 3, 1982; amended at 7 Ill. Reg. 7990, effective June 15, 1983; amended at 8 Ill. Reg. 5319, effective April 11, 1984; amended at 8 Ill. Reg. 19062, effective September 26, 1984; amended at 10 Ill. Reg. 1937, effective January 10, 1986; amended at 10 Ill, Reg. 12067, effective July 1, 1986; amended at 10 Ill. Reg. 19538, effective November 5, 1986; amended at 10 Ill. Reg. 19772, effective November 5, 1986; amended at 11 Ill. Reg. 4325, effective March 2, 1987; amended at 11 Ill. Reg. 6252, effective March 20, 1987; amended at 11 Ill. Reg. 18284, effective October 27, 1987; amended at 11 Ill. Reg. 18767, effective October 28, 1987; amended at 11 Ill. Reg. 19138, effective October 29, 1987; amended at 11 Ill. Reg. 19696, effective November 23, 1987;

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amended at 12 III. Reg. 5652, effective March 15, 1988; emergency amendment at 12 III. Reg. 14401, effective September 1, 1988, for a maximum of 150 days, modified in response to an objection of the Joint Committee on Administrative Rules at 12 Ill. Reg. 19531, effective November 4, 1988, not to exceed the 150 day time limit of the original rulemaking; emergency expired January 29, 1989; amended at 13 Ill. Reg. 11824, effective June 29, 1989; amended at 14 Ill. Reg. 241, effective December 21, 1989; amended at 14 Ill. Reg. 872, effective January 1, 1990; amended at 14 III. Reg. 15463, effective September 10, 1990; amended at 14 III. Reg. 16028, effective September 18, 1990; amended at 15 Ill. Reg. 6621, effective April 17, 1991; amended at 15 Ill. Reg. 13542, effective August 30, 1991; amended at 15 Ill. Reg. 15757, effective October 15, 1991; amended at 16 Ill. Reg. 1642, effective January 13, 1992; amended at 17 Ill. Reg. 860, effective January 11, 1993; amended at 17 Ill. Reg. 18142, effective October 4, 1993; amended at 17 Ill. Reg. 19651, effective November 2, 1993; amended at 18 Ill. Reg. 1537, effective January 13, 1994; amended at 18 Ill. Reg. 16866, effective November 7, 1994; amended at 19 Ill. Reg. 13446, effective September 12, 1995; amended at 19 Ill. Reg. 13568, effective September 11, 1995; amended at 19 Ill. Reg. 13968, effective September 18, 1995; amended at 20 Ill. Reg. 4428, effective March 4, 1996; amended at 20 Ill. Reg. 5366, effective March 26, 1996; amended at 20 Ill. Reg. 6991, effective May 7, 1996; amended at 20 Ill. Reg. 9116, effective July 2, 1996; amended at 20 Ill. Reg. 15753, effective December 2, 1996; expedited correction at 21 Ill. Reg. 4052, effective December 2, 1996; amended at 20 Ill. Reg. 16200, effective December 16, 1996; amended at 21 Ill. Reg. 12211, effective August 26, 1997; amended at 22 Ill. Reg. 3097, effective January 27, 1998; amended at 22 Ill. Reg. 11874, effective June 29, 1998; amended at 22 Ill. Reg. 19919, effective October 28, 1998; amended at 22 Ill. Reg. 21642, effective November 25, 1998; amended at 23 Ill. Reg. 9526, effective July 29, 1999; amended at 23 Ill. Reg. 9898, effective August 9, 1999; amended at 24 Ill. Reg. 10713, effective July 7, 2000; emergency amendment at 24 Ill. Reg. 11313, effective July 12, 2000, for a maximum of 150 days; amended at 24 Ill. Reg. 15104, effective October 2, 2000; amended at 24 Ill. Reg. 18376, effective December 1, 2000; amended at 25 Ill. Reg. 941, effective January 8, 2001; emergency amendment at 25 Ill. Reg. 1792, effective January 16, 2001, for a maximum of 150 days; amended at 25 Ill. Reg. 4674, effective March 15, 2001; amended at 25 Ill. Reg. 4950, effective March 19, 2001; amended at 25 Ill. Reg. 5398, effective April 2, 2001; amended at 25 Ill. Reg. 6515, effective May 3, 2001; expedited correction at 25 Ill. Reg. 15681, effective May 3, 2001; amended at 25 Ill. Reg. 6713, effective May 9, 2001; amended at 25 Ill. Reg. 7264, effective May 25, 2001; amended at 25 Ill. Reg. 10917, effective August 13, 2001; amended at 25 Ill. Reg. 12841, effective October 1, 2001; amended at 26 Ill. Reg. 958, effective January 15, 2002; amended at 26 Ill. Reg. 1303, effective January 17, 2002; amended at 26 Ill. Reg. 3196, effective February 13, 2002; amended at 26 Ill. Reg. 5369, effective April 1, 2002; amended at 26 Ill. Reg. 5946, effective April 15, 2002; amended at 26 Ill. Reg. 8423, effective May 24, 2002; amended at 26 Ill. Reg. 9885, effective June 24, 2002; amended at 27 Ill. Reg. 795, effective January 3, 2003; emergency amendment at 27 III. Reg. 11099, effective July 7, 2003,

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for a maximum of 150 days; emergency expired December 3, 2003; amended at 27 III. Reg. 17216, effective November 3, 2003; emergency amendment at 27 III. Reg. 18911, effective November 26, 2003, for a maximum of 150 days; emergency expired April 23, 2004; amended at 28 III. Reg. 9121, effective June 18, 2004; amended at 28 III. Reg. 11268, effective July 21, 2004; emergency amendment at 28 III. Reg. 15193, effective November 3, 2004, for a maximum of 150 days; emergency expired April 1, 2005; amended at 29 III. Reg. 7004, effective April 26, 2005; amended at 31 III. Reg. 3574, effective February 16, 2007.

# SUBPART S: SPECIFIC APPLICATIONS

# <u>Section 130.1953 Sales of Building Materials to be Incorporated into a Redevelopment Project Area within an Intermodal Terminal Facility Area</u>

a) Beginning on January 1, 2006, pursuant to P.A. 94-0546, each retailer that makes a qualified sale of building materials to be incorporated into real estate in a redevelopment project area within an intermodal terminal facility area in accordance with Section 11-74.4-3.1 of the Illinois Municipal Code by remodeling, rehabilitating, or new construction may deduct receipts from those sales when calculating the tax imposed by the Retailers' Occupation Tax Act. [35] ILCS 120/1p]

# b) Definitions

- 1) For purposes of this Section, "intermodal terminal facility" means land, improvements to land, equipment, and appliances necessary for the receipt and transfer of goods between one mode of transportation and another, at least one of which must be transportation by rail. [65 ILCS 5/11-74.4-3.1(c)]
- 2) For purposes of this Section, "qualified sale" means a sale of building materials that will be incorporated into real estate as part of an industrial or commercial project for which a Certificate of Eligibility for Sales Tax Exemption has been issued by the corporate authorities of the municipality in which the building project is located. [35 ILCS 120/1p]
- Qualifying Building Materials
   In order to qualify for the deduction, the materials being purchased must be building materials purchased for physical incorporation into real estate as part of an industrial or commercial project in a redevelopment project area within an

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intermodal terminal facility area certified by the corporate authorities of the municipality in which the building project is located. For example, gross receipts from sales of the following can qualify for the deduction:

- 1) Common building materials such as lumber, bricks, cement, windows, doors, insulation, roofing materials and sheet metal;
- 2) Any trackage, ties, ballast, spikes, plates, high mast lighting, and cranes that are physically incorporated into the redevelopment project area of the intermodal terminal facility;
- <u>Plumbing systems and their components such as bathtubs, lavatories, sinks, faucets, garbage disposals, water pumps, water heaters, water softeners and water pipes;</u>
- 4) Heating systems and their components such as furnaces, ductwork, vents, stokers, boilers, heating pipes and radiators;
- 5) Electrical systems and their components such as wiring, outlets and light fixtures that are physically incorporated into the redevelopment project area of the intermodal terminal facility;
- 6) Central air-conditioning systems, ventilation systems and their components that are physically incorporated into the redevelopment project area of the intermodal terminal facility;
- 7) Built-in cabinets and other woodwork that is physically incorporated into the building located in the redevelopment project area of the intermodal terminal facility;
- 8) Built-in appliances such as refrigerators, stoves, ovens and trash compactors that are physically incorporated into the building located in the redevelopment project area of the intermodal terminal facility;
- 9) Floor coverings such as tile, linoleum and carpeting that are glued or otherwise permanently affixed to the building in the redevelopment project area location by use of tacks, staples, or wood stripping filled with nails that protrude upward (sometimes referred to as "tacking strips" or "tack-down strips");

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- 10) Landscape products such as trees, shrubs, topsoil and sod that are physically incorporated (i.e., permanently transplanted) into the redevelopment project area within the intermodal terminal facility area.
- d) Non-Qualifying Building Materials

  Items that are not physically incorporated into an industrial or commercial project within the redevelopment project area within an intermodal terminal facility as certified by the corporate authorities of the municipality in which the redevelopment project area is located cannot qualify for the deduction. For example, gross receipts from sales of the following do not qualify for the deduction:
  - 1) Tools, machinery, equipment, fuel, forms and other items that may be used by a construction contractor at a redevelopment project area location, but are not physically incorporated into the redevelopment project area;
  - <u>Free standing appliances such as stoves, ovens, refrigerators, washing machines, portable ventilation units, window air conditioning units, lamps, clothes washers, clothes dryers, trash compactors and dishwashers that may be connected to and operate from a building's electrical or plumbing system, but do not become a component of those systems;</u>
  - 3) Floor coverings that are area rugs or that are attached to the structure using only two-sided tape;
  - 4) Mobile equipment, trucks or cranes not physically incorporated into the redevelopment project area of the intermodal terminal facility area.
- e) Records Required to Document Exemption
  To document the exemption allowed under this Section, the retailer must obtain
  from the purchaser a purchaser's statement and a copy of the Certificate of
  Eligibility for Sales Tax Exemption issued by the corporate authorities of the
  municipality in which the real estate into which the building materials will be
  incorporated is located.
  - 1) Purchaser's Statement Retailers must obtain a purchaser's statement from the purchaser that contains all of the following:

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- A) A statement that the building materials are being purchased for incorporation into real estate located in a redevelopment project area of an intermodal terminal facility area certified in accordance with Section 11-74.4-3.1 of the Illinois Municipal Code;
- B) The location or address of the real estate into which the building materials will be incorporated;
- <u>C)</u> The name of the intermodal terminal facility area in which that real estate is located;
- D) A description of the building materials being purchased; and
- E) The purchaser's signature and date of purchase.
- 2) Certificate of Eligibility for Sales Tax Exemption Retailers must keep among their books and records a copy of a Certificate of Eligibility for Sales Tax Exemption issued by the municipality that must include all of the following:
  - A) A statement that the commercial or industrial project identified in the Certificate meets all the requirements of the jurisdiction in which the project is located;
  - B) The location or address of the building project; and
  - C) The signature of the chief executive officer of the municipality in which the building project is located, or the chief executive officer's delegate.

(Source: Added at 31 III. Reg. 3574, effective February 16, 2007)

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- 1) Heading of the Part: The "Grow Your Own" Teacher Education Initiative
- 2) Code Citation: 23 Ill. Adm. Code 60
- 3) Section Numbers: Adopted Action:
  60.20 Amendment
  60.80 Repeal
  60.90 Amendment
  60.100 Amendment
- 4) Statutory Authority: 110 ILCS 48/90 and 105 ILCS 5/2-3.6
- 5) Effective Date of Rules: February 15, 2007
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted amendments, including any material incorporated by reference, is on file in the agency's principal office and is available for public inspection.
- 9) Notice of Proposal Published in Illinois Register: November 3, 2006; 30 Ill. Reg. 17219
- 10) Has JCAR issued a Statement of Objection to these amendments? No
- Differences between proposal and final version: The definitions of "hard-to-staff school" and "hard-to-staff teaching position" were revised to make it clear that only schools serving a substantial percentage of low-income students, and positions in such schools, would be considered for inclusion in this program. The definition of "year of service" was slightly revised to refer to the other definitions that were changed.
- Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreements issued by JCAR? Yes
- 13) Will these amendments replace any emergency amendments currently in effect? No
- 14) Are there any other amendments pending on this Part? No

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Summary and Purpose of Amendments: This rulemaking responds to Public Act 94-979, as well as incorporating some revisions that are based upon the agency's experience with implementing the "Grow Your Own" program. Several aspects of the program have been revised by the recent legislative action. In particular, the existing cap on the number of programs that could operate (10) has been removed. The amended Grow Your Own Teacher Education Act now also provides for partial forgiveness of the loans by which members of each cohort are enabled to complete teacher preparation programs. This necessitates some additional rule language to address partial completion of the five-year teaching commitment.

Further, the statute now includes a provision permitting ISBE to waive or defer individuals' obligation to repay amounts loaned. The new material in Section 60.100(f) lays out the circumstances in which waivers and deferrals will be available.

One additional change in the law was to eliminate the possibility for participants to be trained at the master's degree level. This restriction is reflected in the definition of "Cohort" set forth in Section 60.20.

The expenses that will be considered as part of candidates' loans will now be limited to "direct expenses". By virtue of the definition of that term, all these amounts will be incurred in a direct relationship with the participating institution of higher education, making it more feasible for them to be tracked and reported to ISBE. Along the same lines, provisions have been added to account for the role of two-year institutions.

16) Information and questions regarding these adopted amendments shall be directed to:

Linda Jamali Certification and Professional Development Illinois State Board of Education 100 North First Street Springfield, Illinois 62777-0001

217/782-7702

The full text of the Adopted Amendments begins on the next page:

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# TITLE 23: EDUCATION AND CULTURAL RESOURCES SUBTITLE A: EDUCATION CHAPTER I: STATE BOARD OF EDUCATION SUBCHAPTER b: PERSONNEL

# PART 60 THE "GROW YOUR OWN" TEACHER EDUCATION INITIATIVE

Section	
60.10	Purpose
60.20	Definitions
60.30	Eligible Applicants
60.40	Implementation Grants – Procedure and Content of Proposals
60.50	Implementation Grants – Criteria for the Review of Proposals
60.60	Implementation Grants – Allocation of Funds
60.70	Continuation of Implementation Funding
60.80	Implementation Funding for "Transitional Projects" (Repealed)
60.90	Planning Grants
60.100	Loans; Waiver or Deferral of Repayment

AUTHORITY: Implementing the Grow Your Own Teacher Education Act [110 ILCS 48] and authorized by Section 90 of the Act [110 ILCS 48/90].

SOURCE: Adopted at 30 III. Reg. 1850, effective January 24, 2006; amended at 31 III. Reg. 3589, effective February 15, 2007.

#### Section 60.20 Definitions

"Act" means the Grow Your Own Teacher Education Act [110 ILCS 48].

"Applicant" means a consortium or a potential consortium, as applicable, as described in Section 60.30 of this Part.

"Candidate" means a person working toward a <u>bachelor's</u> degree qualifying that individual for a teaching certificate who is assisted under a grant awarded to a consortium pursuant to this Part.

"Cohort" means a group of students preparing for a teaching certificate who, pursuant to Sections 20 and 25 of the Act, begin receiving assistance under this

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Part together. No member of any cohort may hold a bachelor's degree at the time of entry into the program, provided that this restriction shall not apply to members of cohorts for whose preparation funding was granted during Fiscal Year 2006.

"Consortium" means an entity to which the State Board can issue grants under this Part. A consortium shall be composed of at least one 4-year institution of higher education with an accredited teacher education program, at least one school district or group of schools, and one or more community organizations. The consortium may also include a 2-year institution of higher education and/or a school employee union. Eligible consortia are further defined in Section 20 of the Act. A consortium shall implement and manage a program of forgivable loans to cover any portion of tuition and direct expenses of students preparing for teaching certificates in excess of grants-in-aid and other forgivable loans received.

"Direct expenses" are an individual's tuition for coursework required for completion of the preparation program in which the candidate is or will be enrolled, fees related to participation in the preparation program or required coursework, and expenses for books and other necessary instructional materials.

"Hard-to-staff school" is an Illinois public school <u>serving a substantial percentage</u> <u>of low-income students</u> that ranks in the upper third among public schools of its type (e.g., elementary, middle, secondary) in terms of the rate of attrition among teachers.

"Hard-to-staff teaching position in a school serving a substantial percentage of low income students" is any position in a school serving a substantial percentage of low-income students, that is experiencing substantial teacher shortage or critical local need as discussed in Section 10 of the Act meets the criterion stated in Section 10 of the Act that is identified as hard to staff by the responsible official of the school district.

"Institution" means an institution of higher education.

"Potential consortium" is a group of entities that is eligible to submit a proposal for a planning grant in response to an RFP issued under this Part.

"Student with a non-traditional background" is either one who begins a baccalaureate program at a point in time other than immediately following graduation from high school or one who began a baccalaureate program after high

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school, did not complete it, and re-enters a baccalaureate program after some passage of time.

"Year of service" means full-time employment for at least half a school year, or an equivalent amount of part-time employment, in:

a public school that, at the time the individual becomes employed, is either one of the schools targeted by the program completed by the individual with assistance under this Part or another school that is defined as hard to staff <u>pursuant to this Section 10 of the Act</u>; or

a teaching position that, at the time the individual becomes employed, is hard to staff as defined in this Section 10 of the Act.

(Source: Amended at 31 Ill. Reg. 3589, effective February 15, 2007)

# Section 60.80 Implementation Funding for "Transitional Projects" (Repealed)

During Fiscal Year 2006, the State Superintendent of Education will invite proposals as described in Section 60.40 of this Part from existing entities already engaged in teacher preparation initiatives whose principal features are congruent with those required by Section 20 of the Act. One or more entities whose proposals demonstrate that an eligible consortium exists and that a cohort of eligible candidates is being prepared for service in hard to staff positions or schools may receive implementation funding in accordance with an approved proposal and budget, depending upon the preparation status of the candidates, the amounts requested, and the availability of funding.

(Source: Repealed at 31 Ill. Reg. 3589, effective February 15, 2007)

# **Section 60.90 Planning Grants**

In order to identify potential consortia with a substantial likelihood of establishing additional Grow Your Own programs, the State Superintendent of Education will issue an RFP for planning grants. The RFP may be issued repeatedly, contingent upon the availability of funding, until the maximum number of consortia permitted under Section 20 of the Act has been established. Each RFP shall indicate the maximum amount to be reserved for planning grants and the maximum available amount per grant. The RFP shall specify the information that proposals must include and a deadline for their submission, which shall provide no fewer than 30 calendar days in which to submit proposals.

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- a) Eligible applicants for planning grants shall be as set forth in Section 60.30 of this Part.
- b) Allowable uses of planning grant funds shall include:
  - 1) activities that are designed to secure the participation and commitment of the required members and the optional members of a consortium; and
  - 2) activities that are designed to attract or identify potential candidates for teacher preparation who are paraeducators or parent and community leaders as contemplated by the Act, including assistance that will permit potential candidates to complete developmental coursework that will verify their academic readiness for enrolling in teacher preparation; and
  - 3) activities that are designed to identify barriers to teacher certification for potential members of a given cohort and to identify strategies and resources for mitigating those barriers.
- c) Each proposal shall describe a plan of work for developing a consortium and a Grow Your Own program that will be eligible for an implementation grant under this Part. Each proposal shall include a budget for the planned activities, provided in a format specified in the RFP.
- d) Applicants may be asked to clarify aspects of their proposals.
- e) Each proposal that is submitted by an eligible applicant and meets the requirements of this Section shall be considered qualified for funding. Funding decisions shall be made in each cycle by balancing the goals of geographic distribution and accessibility with the level of need and the potential number of candidates to be served by the potential consortia.

(Source: Amended at 31 Ill. Reg. 3589, effective February 15, 2007)

# Section 60.100 Loans; Waiver or Deferral of Repayment

Any candidate in a program administered under this Part may receive a forgivable loan for tuition, fees, and other <u>direct</u> expenses <u>associated with completion of demonstrably related to his or her ability to enroll in or complete</u> the teacher preparation program, provided those

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expenditures are not otherwise paid for through grants-in-aid, other forgivable loans, or other resources of the consortium. Any amount expended for an individual's direct or related expenses (e.g., child care, transportation or "developmental" coursework)shall be considered a part of that individual's loan, regardless of how the payment is administered and regardless of whether the individual receives any actual payment of funds. The total amount of any candidate's loan shall not exceed \$25,000.

- a) Pursuant to Section 25 of the Act, loan funds provided to candidates as part of this program shall be fully forgiven if a graduate completes five years of service in a hard-to-staff schools school or hard-to-staff teaching positions, with partial forgiveness for shorter periods of service position. Forgiveness and repayment of loans shall be determined as provided in this Section.
- b) An individual may accrue the <u>five years of</u> service required for forgiveness of loans under this Part in one or more hard-to-staff schools or positions.
- c) If an individual has not assumed employment in a hard-to-staff school or position within two years after receiving a teaching certificate, the individual shall be required to begin the repayment of amounts loaned under this Part. No interest shall apply. An individual who drops out of the program shall be required to begin repaying the amounts loaned in the month following the month when it becomes evident that he or she will not be completing any of the program's requirements for two consecutive semesters. Responsibility to repay the loan is subject to the requirements of subsection (e) of this Section.
- d) If an individual has not completed five years of service within 10 years after receiving a teaching certificate, the individual shall be required to begin the repayment of amounts loaned under this Part. The amount due shall be the total amount borrowed, less a percentage reflecting the relationship that any time taught by the individual in hard-to-staff schools or positions bears to the total five-year commitment. Loan amounts shall be reduced in increments of 10 percent for each semester completed.
- e) Repayment of loans shall be made no in no more than 60 equal installments. The minimum monthly payment will be determined by dividing the total amount dueborrowed by 60. An individual may prepay the balance due on the loan in its entirety at any time or make payments in addition to the minimum amount owed each month without penalty. Individuals who provide written evidence of

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meeting one of the following conditions may have their loans deferred for a period not to exceed three years and/or have their loan term extended.

- 1) The individual has re-enrolled as a full-time student in an institution of higher education or in a program under this Part.
- 2) The individual is unemployed or is working less than 30 hours a week.
- 3) The individual is experiencing a financial hardship (e.g., receiving public assistance, the monthly loan payment is equal to 50 percent or more of the individual's monthly income).
- f) In addition to the loan forgiveness permitted under Section 25 of the Act, the State Superintendent may defer or waive an individual's obligation to repay an amount due as provided in this subsection (f).
  - 1) The State Superintendent shall waive the repayment obligation for an individual who is counseled out of a preparation program or found ineligible to continue, provided that the individual's exit from the program is not due to a violation of law or of applicable institutional policies.
  - 2) The State Superintendent shall waive the repayment obligation for an individual who drops out of a preparation program or demonstrates that he or she is unable to complete a portion of the required teaching service due to:
    - <u>A)</u> the onset or exacerbation of a disability;
    - B) the need to care for an immediate family member during serious illness or disability;
    - <u>C</u>) <u>destruction of the individual's residence; or</u>
    - <u>other circumstances that require the individual to assume</u>
      responsibilities that cannot be avoided without serious financial
      hardship or other family disruption (e.g., death of a spouse that
      results in the need to take a second job or assume operation of a
      business).

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- 3) The State Superintendent shall waive the repayment obligation for a candidate who does not complete a preparation program due to the unavailability of a State appropriation for this initiative for at least two consecutive years.
- 4) The State Superintendent shall defer the repayment obligation for a period of time specifically related to the circumstances when an individual:
  - <u>A)</u> is unemployed or is working for fewer than 30 hours per week;
  - B) is experiencing a financial hardship (e.g., receiving public assistance, earning an amount per month that is no greater than 200 percent of the amount of the loan payment, or experiencing circumstances such as those outlined in subsection (f)(2) of this Section); or
  - <u>C</u>) <u>has re-enrolled as a full-time student in an institution of higher education or in a program under this Part.</u>
- Each request for a waiver or deferral of repayment shall be submitted by a representative of the consortium under whose auspices the individual is or was enrolled in teacher preparation. Using a format specified by the State Superintendent, the representative and the affected individual shall describe the specific circumstances that apply. This description shall be accompanied by evidence such as a physician's statement, insurance claim, or other documentation of the relevant facts.
- when a teaching certificate is issued to an individual who received assistance under this Part, the certificate shall be accompanied by:
  - a statement indicating the total amount of the loan received by the individual and identifying the dates applicable to repayment under subsections (c) and (d) of this Section; and
  - a claim form that the individual may use to claim forgiveness of the loan amount, which shall require the individual to identify the periods of service completed in hard-to-staff schools or positions and the school administrators who can verify the individual's service.

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# h)g) Management of Loans

- 1) It shall be the responsibility of <u>each four-yearthe</u> institution of higher education, <u>and of any two-year institution that participates in aas part of each</u> consortium, to assist the State Board of Education with the forgivable loan process in the following manner:
  - A) by keeping records of the amounts provided to or on behalf of each individual for direct expenses; and
  - B) by keeping up-to-date contact information regarding the address and telephone number of each individual, both during the individual's preparation at that institution; and after the issuance of a certificate, until the individual has either qualified for forgiveness of the loan or repaid the full amount.
  - C) by notifying the State Superintendent within 30 days after a candidate fails to enroll in coursework as expected or otherwise ceases to participate in the program and informing the State Superintendent of the total amount of the candidate's loan for direct expenses as of that point in time.
- When a candidate leaves a two-year institution and enters a four-year institution to continue in a program under this Part, the two-year institution shall inform both the State Superintendent and the four-year institution of the total amount of the candidate's loan for direct expenses as of that point in time. Each two-year institution shall ensure that the affected four-year institution continues to receive any information that subsequently affects the amount of a candidate's loan.
- <u>3)2</u>) Each institution of higher education under this Part shall notify the State Superintendent as to who will be responsible for this information and shall provide contact information for the responsible individual within the institution.
- i)h) It shall be the responsibility of the State Superintendent to take such actions as may be necessary to secure repayment when necessary.

(Source: Amended at 31 Ill. Reg. 3589, effective February 15, 2007)

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- 1) Heading of the Part: Early Childhood Teacher Preparation Assistance Grant
- 2) Code Citation: 23 Ill. Adm. Code 70

3)	Section Numbers:	Adopted Action:
	70.10	New Section
	70.20	<b>New Section</b>
	70.30	<b>New Section</b>
	70.40	<b>New Section</b>
	70.50	<b>New Section</b>
	70.60	<b>New Section</b>
	70.70	<b>New Section</b>
	70.80	New Section

- 4) Statutory Authority: 105 ILCS 5/1C-5 and 105 ILCS 5/2-3.71
- 5) <u>Effective Date of Rules</u>: February 20, 2007
- 6) Does this rulemaking contain an automatic repeal date? No
- 7) Does this rulemaking contain incorporations by reference? No
- 8) A copy of the adopted rules, including any material incorporated by reference, is on file in the agency's principal office and is available for public inspection.
- 9) Notice of Proposal Published in Illinois Register: November 13, 2006; 30 Ill. Reg. 17716
- 10) Has JCAR issued a Statement of Objection to these rules? No
- 11) <u>Differences between proposal and final version</u>: The proposal and final version of the rules are identical.
- Have all the changes agreed upon by the agency and JCAR been made as indicated in the agreements issued by JCAR? JCAR did not issue any agreements for this rulemaking.
- 13) Will these rules replace any emergency rules currently in effect? Yes
- 14) Are there any amendments pending on this Part? No

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Summary and Purpose of Rules: P.A. 94-1054, effective July 25, 2006, amended Section 2-3.71 of the School Code [105 ILCS 5/2-3.71] to establish the Preschool for All Children program and provide funding for two years (i.e., 2006-07 and 2007-08). Preschool for All Children significantly expands the number of young children able to receive high-quality preschool education by focusing not only on children who are determined to be at risk of academic failure but also serving families of low to moderate income whose children are not considered to be at risk academically and other families that choose to participate.

One of the requirements of the Preschool for All Children program – that also applies to its predecessor, the Prekindergarten Program for Children at Risk of Academic Failure – is that any teacher of preschool children who is employed by the program must hold an Early Childhood teaching certificate (i.e., Type 04 certificate). Rapid growth over the past four years in preschool education programs funded by the Early Childhood Block Grant has fueled the demand for more Type 04 certified teachers, particularly bilingual and minority teachers who are willing to teach in State-funded preschool education programs that are offered in community-based settings and serve ethnically and linguistically diverse populations.

The goal of the Early Childhood Teacher Preparation Assistance Grant program is to address the shortages experienced by all State-funded preschool education programs of teachers holding Type 04 certificates by assisting individuals to enroll as candidates in and complete a teacher preparation program leading to an Initial Early Childhood teaching certificate. The program will include a loan program that will forgive the loans of candidates who teach in a State-funded preschool education program for at least five years.

Part 70 sets forth the application requirements and review criteria for planning and implementation grants and the requirements for the loan program, including procedures and conditions for waivers and deferrals.

16) Information and questions regarding these adopted rules shall be directed to:

Kay Henderson Early Childhood Division Illinois State Board of Education 100 North First Street, E-225 Springfield, Illinois 62777-0001

217/524-4835

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The full text of the Adopted Rules begins on the next page:

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# TITLE 23: EDUCATION AND CULTURAL RESOURCES SUBTITLE A: EDUCATION CHAPTER I: STATE BOARD OF EDUCATION SUBCHAPTER b: PERSONNEL

# PART 70 EARLY CHILDHOOD TEACHER PREPARATION ASSISTANCE GRANT

Section	
70.10	Purpose and Applicability
70.20	Eligible Applicants
70.30	Planning Grants – Procedures and Content of Proposals
70.40	Criteria for Review and Approval of Planning Proposals
70.50	Implementation Grants – Procedures and Content of Proposals
70.60	Criteria for Review and Approval of Implementation Proposals
70.70	Application Content and Approval Criteria for Continuation Programs
70.80	Loans; Waiver or Deferral of Repayment

AUTHORITY: Implementing Sections 1C-2 and 2-3.71 of the School Code [105 ILCS 5/1C-2 and 2-3.71] and authorized by Section 1C-5 of the School Code [105 ILCS 5/1C-5].

SOURCE: Emergency rule adopted at 30 Ill. Reg. 17952, effective October 24, 2006, for a maximum of 150 days; adopted at 31 Ill. Reg. 3599, effective February 20, 2007.

# Section 70.10 Purpose and Applicability

The goal of the Early Childhood Teacher Preparation Assistance Grant is to address the shortages experienced by preschool education programs funded under Section 2-3.71 of the School Code [105 ILCS 5/2-3.71] of teachers holding Early Childhood certificates issued pursuant to Section 21-2.1 of the School Code [105 ILCS 5/21-2.1] and State Board of Education rules governing Standards for All Illinois Teachers (23 Ill. Adm. Code 24), Certification (23 Ill. Adm. Code 25) and Standards for Certification in Early Childhood and in Elementary Education (23 Ill. Adm. Code 26).

a) This Part establishes the procedures and criteria for the approval of proposals submitted to the State Board of Education by eligible applicants for grants to establish programs to assist individuals employed in State-funded preschool education programs and other early childhood education programs to enroll as candidates in and complete a teacher preparation program leading to an Initial

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Early Childhood teaching certificate. The Early Childhood Teacher Preparation Assistance Grant program shall:

- 1) be designed to enroll a single group of individuals who will move through their coursework and educational experiences at the same time;
- offer the coursework necessary for individuals possessing a bachelor's degree to obtain an Initial Early Childhood teaching certificate or the coursework necessary for individuals possessing an associate's degree to obtain a bachelor's degree and an Initial Early Childhood teaching certificate; and
- 3) make a commitment to continue the program with the group of candidates so that those candidates will be able to successfully complete their education and teaching experiences in an amount of time that is commensurate with the amount of time it would take a candidate in the institution's regular program to complete the same course of study and experiences, provided that the program continues to receive State funding.
- b) The provisions of this Part shall not apply to a school district that receives funding for early childhood programs as part of its general education block grant pursuant to Section 1D-1 of the School Code [105 ILCS 5/1D-1] nor to any entity that receives a grant from that school district for early childhood programs funded under Section 1D-1 of the School Code.

# **Section 70.20 Eligible Applicants**

- a) An eligible applicant for the Early Childhood Teacher Preparation Assistance Grant shall be a partnership consisting of:
  - One or more educational entities serving elementary and secondary schools (e.g., school districts, private schools, Regional Offices of Education) and/or one or more community-based organizations that provide early childhood education programs or related services, such as technical assistance or professional development, to early childhood programs and practitioners; and
  - 2) One or more four-year institutions of higher education with an accredited teacher education program for early childhood education.

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- b) The partnership shall designate one entity to serve as the administrative agent for the grant.
- c) Preference for funding shall be provided to eligible applicants whose programs target individuals, as defined in Section 70.10(a) of this Part, who are:
  - from a bilingual or minority background and already possess bachelor's degrees and need only to complete coursework necessary for Early Childhood certification purposes; or
  - willing to work in State-funded preschool programs in geographic areas experiencing a shortage of teachers who hold Early Childhood teaching certificates. A shortage area is defined as one in which State-funded preschool education programs operating in that area are unable to enroll additional students in their programs due to a lack of properly certified teachers or the State Board of Education is unable to fund additional programs to meet the need of a particular area for preschool education due to a lack of properly certified teachers.

# **Section 70.30 Planning Grants – Procedures and Content of Proposals**

A planning grant shall be used to support costs associated with developing a plan for implementation of an Early Childhood Teacher Preparation Assistance Grant program, which shall include the identification and recruitment of the group of individuals to be enrolled in the program.

- a) When sufficient funding is available, the State Superintendent of Education shall issue a Request for Proposals (RFP) specifying the information that applicants shall include in their planning proposals, informing applicants of any bidders' conferences, and requiring that proposals be submitted no later than the date specified in the RFP. The RFP shall provide at least 30 calendar days in which to submit proposals.
- b) Each proposal submitted in response to an RFP shall include the following components.
  - 1) Demographic information about the area to be served by the program, which shall include statistics about number of programs funded under

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Section 2-3.71 of the School Code that serve the area and their need for certified teachers.

- 2) Demographic information about individuals employed by State-funded preschool education programs and other early childhood education programs who do not hold Early Childhood teaching certificates, including, but not limited to, their race/ethnicity, language (other than English) and cultural background, and educational attainment.
- 3) Descriptive information about each entity involved in the partnership:
  - A) the teacher preparation program must provide the specific information about the institution's success in preparing teachers for early childhood teaching positions, particularly in areas serving bilingual and minority children; and
  - B) the community-based or nonpublic educational organization must include its mission statement, organizational structure, and goals or policies regarding early childhood programs and services, including the applicant's existing competencies to provide early childhood education programs, if applicable, and a list of any early childhood accreditations that have been achieved.
- 4) A list of the persons, and their affiliations, who will be involved in the planning process.
- 5) A plan of work for the planning process that includes objectives, specific activities, timelines and responsible parties.
- 6) Budget information that corresponds to the categories of allowable expenditures identified in subsection (c) of this Section, completed on the forms provided and detailing each line item of expenditure.
- 7) Such certifications and assurances as the State Superintendent of Education may require.
- c) Allowable uses of planning grant funds shall include:

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- 1) activities that are designed to secure the participation and commitment of the required partners; and
- 2) activities that are designed to attract or identify individuals for teacher preparation who currently work in State-funded preschool education programs or other early childhood education programs and hold either a bachelor's degree or an associate's degree but do not have an Early Childhood teaching certificate; and
- 3) activities that are designed to identify barriers to teacher certification for the individuals to be enrolled and to identify strategies and resources for mitigating those barriers.

# Section 70.40 Criteria for Review and Approval of Planning Proposals

- a) Planning grant proposals shall be reviewed and ranked according to the following criteria.
  - 1) The applicant demonstrates that the area proposed to be served has unmet needs that could be effectively addressed by the Early Childhood Teacher Preparation Assistance Grant program. (40 points)
  - 2) The planning activities proposed respond to the needs identified and are directed at implementing a program that will enable individuals to successfully complete requirements necessary for obtaining an Initial Early Childhood teaching certificate. (40 points)
  - The activities proposed are cost-effective, as evidenced by the scope of the planning work to be conducted and the potential number of individuals proposed to be enrolled in the program. (20 points)
- b) The State Superintendent of Education shall determine the amount of individual grant awards. The final award amounts shall be based upon:
  - 1) the total amount of funds available for the Early Childhood Teacher Preparation Assistance Grant; and
  - 2) the resources requested in the top-ranked proposals, as identified pursuant to subsection (a) of this Section.

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# Section 70.50 Implementation Grants – Procedures and Content of Proposals

Implementation grants shall be offered in years when the level of available funding is such that one or more new partnerships can be funded, or for partnerships already funded, a new group of individuals can be supported in addition to the group of candidates already enrolled. Priority for funding shall be given in the initial implementation cycle (i.e., FY 2008) to grantees awarded funds under Section 70.40 of this Part that have successfully completed the planning process and are ready to implement an Early Childhood Teacher Preparation Assistance Grant program.

- a) When sufficient funding is available, the State Superintendent of Education shall issue a Request for Proposals (RFP) specifying the information that applicants shall include in their implementation proposals, informing applicants of any bidders' conferences, and requiring that proposals be submitted no later than the date specified in the RFP. The RFP shall provide at least 45 calendar days in which to submit proposals.
- b) Each proposal submitted in response to an RFP shall include the following components.
  - 1) Descriptive information about each entity involved in the partnership, including the roles and responsibilities of each partner.
    - A) The teacher preparation program must indicate specific information about the institution's success in preparing teachers for early childhood teaching positions, particularly in areas serving bilingual and minority children.
    - B) The community-based organization or nonpublic educational entity must include its mission statement, organizational structure, and goals or policies regarding early childhood programs and services, including the applicant's existing competencies to provide early childhood education programs, if applicable, and a list of any early childhood accreditations that have been achieved.
  - 2) The goals and objectives of the partnership in ensuring a program that is successful and sustainable.
  - 3) A description of the need for the program, which shall include:

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- A) Demographic information about the area to be served by the program, including statistics about number of programs funded under Section 2-3.71 of the School Code that serve the area and their need for certified teachers.
- B) Demographic information about individuals employed by Statefunded preschool education programs and other early childhood education programs in the area to be served who do not hold Early Childhood teaching certificates, including, but not limited to, their race/ethnicity, language (other than English) and cultural background, and educational attainment.
- 4) A description of the program to be implemented, to include:
  - A) the partnership's plans for recruiting and providing support to individuals enrolled in the program, including working with employers to ensure that the individuals can fully participate in the program;
  - B) strategies to be employed to ensure that individuals to be enrolled are adequately prepared to successfully progress through the program, which shall include but not be limited to assistance to ensure each individual's passage of the Basic Skills Test required for admittance to a teacher preparation program [105 ILCS 5/21-1a];
  - C) coursework and experiences needed to complete the program, to include the length of the program and sample schedules;
  - D) identification of sites where student teaching will occur; and
  - E) expectations for candidates' course completion rates or the performance levels needed to continue their participation in the program.
- 5) A plan for evaluating the impact of the proposed program and activities, which shall correspond to the applicable specifications set forth in the RFP.

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- Budget information that corresponds to the categories of allowable expenditures identified in the RFP, completed on the forms provided and detailing each line item of expenditure. The budget information shall cover the entire period of time during which the proposed group of candidates is expected to participate in the teacher preparation program.
  - A) Applicants shall be required to demonstrate that grant funds will supplement and not supplant amounts typically devoted by the institution of higher education to, and other resources available for, assisting teacher candidates.
  - B) Applicants shall be required to describe the steps that will be taken to decrease the need for external financial support for the partnership and its program over time.
- 7) Such certifications and assurances as the State Superintendent of Education may require.

# Section 70.60 Criteria for Review and Approval of Implementation Proposals

- a) Proposals for implementation grants shall be evaluated in accordance with the following criteria.
  - 1) Quality of Proposed Program (40 points)
    - A) The proposal demonstrates that:
      - coursework and experiences required for certification will be scheduled and located to be accessible to candidates in the program; and
      - ii) supportive services (e.g., counseling, tutoring, child care) that have been identified as necessary will be offered to enable candidates to progress through the program and attain certification.

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- B) The proposal establishes a timetable or performance level for candidates as a condition for their continued receipt of assistance under this program.
- C) The proposal includes plans for assisting candidates in tapping sources of financial aid beyond those made available under this Part and by the members of the partnership.
- D) The plan of work for the program includes effective strategies for overcoming known barriers faced by the candidates.
- E) The evaluation plan is designed to yield information that can be used both in judging the program's qualitative and quantitative impact and in identifying changes or new approaches that will improve the program's outcomes.

# 2) Program Need (30 points)

- A) The proposal clearly indicates that the area to be served has Statefunded preschool education programs that are experiencing a shortage of teachers with Early Childhood certificates.
- B) Criteria and indicators for identifying individuals to be enrolled in the program are clearly established and likely to target those individuals who have the greatest likelihood of successfully completing the program.
- C) The recruitment strategies that are proposed are likely to be effective in enrolling the individuals in the program, particularly individuals who reflect the diversity of the children participating in State-funded preschool education programs that serve the targeted area.
- 3) Experience and Qualifications (20 points)
  - A) The proposal demonstrates that the institution of higher education has the capacity (i.e., faculty and other resources) to serve the group of individuals to be enrolled in its approved teacher preparation program.

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- B) The proposed roles and responsibilities of each entity that is a member of the partnership are appropriate, given the entity's qualifications, experience with early childhood initiatives and services, and the resources each will devote to the program.
- C) The proposal demonstrates that the community organization or educational entity is familiar with the needs of early childhood education programs, in particular the needs of State-funded preschool education programs, located in the area proposed to be served and has the capacity to recruit individuals for and support them as they progress through the program.
- 4) Cost-Effectiveness (10 points)
  - A) The program is cost-effective as evidenced by the cost of proposed services in relation to the individuals to be enrolled and the services to be provided.
  - B) The proposal describes commitments on the part of all the partnership's members that will enable the partnership to sustain the program over time with a reduction in the need for external resources.
- b) Priority consideration may be given to proposals with specific areas of emphasis, as identified by the State Superintendent of Education in a particular RFP.
- c) The State Superintendent of Education shall determine the amount of individual grant awards. The final award amounts shall be based upon:
  - 1) the total amount of funds available for the Early Childhood Teacher Preparation Assistance Grant; and
  - 2) the resources requested in the top-ranked proposals, as identified pursuant to subsections (a) and (b) of this Section.

Section 70.70 Application Content and Approval Criteria for Continuation Programs

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- a) A partnership that has received implementation funding for a group of individuals shall be subject to the requirements of this Section with respect to continued funding for that group in subsequent years.
  - 1) The partnership shall submit an application for continued funding for the candidates enrolled in the program, using a format specified by the State Superintendent of Education.
  - 2) Each application shall contain a mid-year report on the current status of the program and the candidates, documenting the activities and support provided to date and describing the degree to which the candidates are achieving the program's objectives.
  - 3) Each application shall provide an updated narrative description of the objectives, activities, timelines, and evaluation procedures for the renewal year, relating the proposed plan of work to the results that have been achieved to date.
  - 4) Each application shall include updated budget information for the renewal year, including a detailed budget breakdown, that describes any needed variances from the budget proposed in the initial year of funding.
  - 5) Each application shall include such certifications and assurances as the State Superintendent of Education may require.
- b) The State Superintendent of Education shall, contingent upon appropriation of funds for this initiative, provide continuation funding to a partnership that demonstrates:
  - 1) success in providing the supports necessary to retain candidates in the program; and
  - 2) Either:
    - A) that a majority of the candidates in the group served has completed coursework or other requirements for certification during at least one semester of the preceding year; or

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B) that funds will be used to support only those candidates who have progressed toward certification and/or have identified steps to be taken toward certification in the academic year in which funding is requested.

# Section 70.80 Loans; Waiver or Deferral of Repayment

Any candidate in a program administered under this Part may receive a forgivable loan for direct expenses associated with completion of the Early Childhood teacher preparation program, provided those expenditures are not otherwise paid for through grants-in-aid, other forgivable loans, or other resources of the consortium. Any amount expended for an individual's direct expenses shall be considered a part of that individual's loan, regardless of how the payment is administered and regardless of whether the individual receives any actual payment of funds. The total amount of any candidate's loan shall not exceed \$12,000.

- a) Loan funds provided to candidates as part of this program shall be fully forgiven if a graduate completes five years of service in a State-funded preschool education program established pursuant to Section 2-3.71 of the School Code. Forgiveness and repayment of loans shall be determined as provided in this Section.
- b) An individual may accrue the service required for forgiveness of loans under this Part in one or more State-funded preschool education programs.
- c) If an individual has not assumed employment in a State-funded preschool education program or position within two years after receiving a teaching certificate, the individual shall be required to begin the repayment of amounts loaned under this Part. No interest shall apply. An individual who drops out of the program shall be required to begin repaying the amounts loaned in the month following the month when it becomes evident that he or she will not be completing any of the program's requirements for two consecutive semesters.
- d) If an individual has not completed five years of service within 10 years after receiving a teaching certificate, the individual shall be required to begin the repayment of amounts loaned under this Part. The amount due shall be the total amount borrowed, less a percentage reflecting the relationship that any time taught by the individual in State-funded preschool education programs or positions bears to the total five-year commitment. Loan amounts shall be reduced in increments of 10 percent for each semester completed.

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- e) Repayment of loans shall be made in no more than 60 equal installments. The minimum monthly payment will be determined by dividing the total amount due by 60. An individual may prepay the balance due on the loan in its entirety at any time or make payments in addition to the minimum amount owed each month without penalty.
- f) In addition to the loan forgiveness in accordance with subsection (a) of this Section, the State Superintendent may defer or waive an individual's obligation to repay an amount due as provided in this subsection (f).
  - 1) The State Superintendent shall waive the repayment obligation for an individual who is counseled out of a preparation program or found ineligible to continue, provided that the individual's exit from the program is not due to a violation of law or of applicable institutional policies.
  - 2) The State Superintendent shall waive the repayment obligation for an individual who drops out of a preparation program or demonstrates that he or she is unable to complete a portion of the required teaching service due to:
    - A) the onset or exacerbation of a disability;
    - B) the need to care for an immediate family member during serious illness or disability;
    - C) destruction of the individual's residence; or
    - D) other circumstances that require the individual to assume responsibilities that cannot be avoided without serious financial hardship or other family disruption (e.g., death of a spouse that results in the need to take a second job or assume operation of a business).
  - 3) The State Superintendent shall waive the repayment obligation for a candidate who does not complete a preparation program due to the unavailability of a State appropriation for this initiative for at least two consecutive years.

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- 4) The State Superintendent shall defer the repayment obligation for a period of time specifically related to the circumstances when an individual:
  - A) is unemployed or is working for fewer than 30 hours per week; or
  - B) is experiencing a financial hardship (e.g., receiving public assistance or earning an amount per month that is no greater than 200 percent of the amount of the loan payment, or experiencing circumstances such as those outlined in subsection (f)(2) of this Section); or
  - C) has re-enrolled as a full-time student in an institution of higher education or in a program under this Part.
- Each request for a waiver or deferral of repayment shall be submitted by a representative of the partnership under whose auspices the individual is or was enrolled in teacher preparation. Using a format specified by the State Superintendent, the representative and the affected individual shall describe the specific circumstances that apply. This description shall be accompanied by evidence such as a physician's statement, insurance claim, or other documentation of the relevant facts.
- g) When a teaching certificate is issued to an individual who received assistance under this Part, the certificate shall be accompanied by:
  - 1) a statement indicating the total amount of the loan received by the individual and the amount due, and identifying the dates applicable to repayment under this Section; and
  - a claim form that the individual may use to claim forgiveness of the loan amount, which shall require the individual to identify the periods of service completed in a State-funded preschool education program or positions and the school administrators who can verify the individual's service.
- h) Management of Loans

# STATE BOARD OF EDUCATION

- 1) It shall be the responsibility of the four-year institution of higher education to assist the State Board of Education with the forgivable loan process in the following manner:
  - A) by keeping records of the amounts provided to or on behalf of each individual for direct expenses; and
  - B) by keeping up-to-date contact information regarding the address and telephone number of each individual during the individual's preparation at that institution; and
  - C) by notifying the State Superintendent of Education within 30 days after a candidate fails to enroll in coursework as expected or otherwise ceases to participate in the program and informing the State Superintendent of the total amount of the candidate's loan for direct expenses as of that point in time.
- 2) Each institution of higher education shall notify the State Superintendent as to who will be responsible for this information and shall provide contact information for the responsible individual within the institution.
- i) It shall be the responsibility of the State Superintendent of Education to take such actions as may be necessary to secure repayment when necessary.

# DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

# NOTICE OF REFUSAL TO MEET THE OBJECTION OF THE JOINT COMMITTEE ON ADMINISTRATIVE RULES

1) Heading of the Part: Pay Plan

2) Code Citation: 80 Ill. Adm. Code 310

3) <u>Section Number</u>: <u>Action</u>: Amendment

- 4) <u>Date Notice of Emergency Amendment Published in the Illinois Register</u>: October 20, 2006; 30 Ill. Reg. 16626
- 5) <u>Date JCAR Statement of Objection to Emergency Amendment Published in the Illinois Register</u>: December 1, 2006; 30 Ill. Reg. 18791
- Summary of Action Taken by the Agency: The Department of Central Management Services respectfully refused to amend or repeal the emergency rule no longer in effect. The Department of Central Management Services will continue to work with the Joint Committee and Secretary of State staff to prevent the adoption of an emergency rule more than once in any 24-month period.

The preventative work will include a focus on a longer-term solution. The potential long-term solutions that have been identified involve amending the Illinois Administrative Procedure Act [5 ILCS 100/5-45] and the general provisions part of administrative code for the Joint Committee and Secretary of State. They are:

- Amend the Illinois Administrative Procedure Act [5 ILCS 100/5-45(c)] so that an exception to the 24-month limitation shall apply either to the Department of Central Management Services Pay Plan, or to any agency, when a general or peremptory rulemaking has automatically replaced the agency's emergency rule and the only purpose of the second emergency amendment is to have the emergency rule in effect for the original 150 days.
- Amend the Illinois Administrative Procedure Act [5 ILCS 100/5-45], or initiate amendments to the Joint Committee on Administrative Rules general provisions (1 Ill. Adm. Code 210, 230 or add a new Part), to explain the concurrency of general, peremptory and emergency rules and amend the Secretary of State general provisions (1 Ill. Adm. Code 100) in Section 100.640(a)(2) to remove the sentence on the adoption of general rulemaking prior to the expiration of the 150-day period automatically replacing the emergency rule or to clarify that the

# DEPARTMENT OF CENTRAL MANAGEMENT SERVICES

# NOTICE OF REFUSAL TO MEET THE OBJECTION OF THE JOINT COMMITTEE ON ADMINISTRATIVE RULES

automatic replacement occurs only when the general rulemaking is the emergency amendment's permanent rule.

• Amend the Secretary of State general provisions (1 Ill. Adm. Code 100) Section 100.640(a)(2) to add to the sentence on the adoption of general rulemaking that the adoption of peremptory rulemaking prior to the expiration of the 150-day period will also automatically replace the emergency rule, and add a sentence that explains that when general or peremptory rulemaking has automatically replaced the emergency rule, the agency may submit a second filing of an emergency rulemaking only for the purpose of having the emergency amendment in effect for the original 150 days.

# JOINT COMMITTEE ON ADMINISTRATIVE RULES ILLINOIS GENERAL ASSEMBLY

# SECOND NOTICES RECEIVED

The following second notices were received by the Joint Committee on Administrative Rules during the period of February 14, 2007 through February 20, 2007 and have been scheduled for review by the Committee at its March 13, 2007 meeting in Springfield. Other items not contained in this published list may also be considered. Members of the public wishing to express their views with respect to a rulemaking should submit written comments to the Committee at the following address: Joint Committee on Administrative Rules, 700 Stratton Bldg., Springfield IL 62706.

Second Notice Expires	Agency and Rule	Start Of First Notice	JCAR Meeting
3/30/07	Department of Human Services, General Administrative Provisions (89 Ill. Adm. Code 10)	12/8/06 30 Ill. Reg. 18818	3/13/07
3/30/07	<u>Department of Human Services</u> , Aid to the Aged, Blind or Disabled (89 Ill. Adm. Code 113)	12/1/06 30 Ill. Reg. 18431	3/13/07
3/31/07	Department of Public Health, Hospital Report Card Code (77 Ill. Adm. Code 255)	4/7/06 30 Ill. Reg. 6089	3/13/07
4/5/07	Department of Healthcare and Family Services, Illinois Cares Rx Program (89 Ill. Adm. Code 119)	10/27/06 30 Ill. Reg. 16756	3/13/07
4/5/07	<u>Department of State Police</u> , Methamphetamine Manufacturer Registry Act (20 Ill. Adm. Code 1284)	12/29/06 30 Ill. Reg. 19592	3/13/07

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