

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: ARYEH GOLDBLOOM and)
GOLDBLOOM and ASSOCIATES, INC. d/b/a "THE)
SAFE MONEY PEOPLE", d/b/a "AGT TAX)
ADVISORY GROUP", d/b/a "THE ARYEH)
GOLDBLOOM TEAM", d/b/a "AGT TAX &)
INSURANCE SERVICES")

No. C1400177

CONSENT ORDER

TO THE RESPONDENTS:

ARYEH GOLDBLOOM
(CRD # 2959600)
5009 OAKTON STREET
SKOKIE, IL 60077

GOLDBLOOM AND ASSOCIATES, INC.
ATTN: ARYEH GOLDBLOOM
5009 OAKTON STREET
SKOKIE, IL 60077

WHEREAS, Aryeh Goldbloom and Goldbloom and Associates, Inc. (collectively, "Respondents"), by and through their duly authorized representative, on the 28th day of July, 2015 executed a certain Stipulation to Enter Consent Order ("the Stipulation"), which hereby is incorporated by reference herein.

WHEREAS, Respondents desire to conclude this matter without the uncertainty of an administrative hearing and, therefore, executed the Stipulation.

WHEREAS, by means of the Stipulation, Respondents have admitted to the jurisdiction of the Secretary of State, Securities Department ("ISD"), and have consented to the entry of this Consent Order.

WHEREAS, by means of the Stipulation, Respondents acknowledge, without admitting nor denying the truth or substance thereof, that the Secretary of State makes the following Findings of Facts:

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1. Aryeh Goldbloom ("GOLDBLOOM") is a resident of Illinois with a last known address of 540 N. Lake Shore Drive, Unit 725 in Chicago, Illinois 60611.
2. Goldbloom and Associates, Inc. ("AGT") is an Illinois business entity incorporated by GOLDBLOOM and does business from 5009 Oakton Street in Skokie, Illinois.
3. Neither GOLDBLOOM nor AGT are registered to offer or sell securities in Illinois, nor are they registered to provide investment advice.
4. GOLDBLOOM is registered with the State of Illinois Insurance Department as an insurance producer.
5. On or about April 1, 2013, a resident of Illinois, (the "Customer") met with GOLDBLOOM to discuss several annuities that Customer had been sold by her advisor over the previous years.
6. On or about April 1, 2013, GOLDBLOOM effected the surrender of Customer's four variable annuities to purchase two fixed annuities.

WHEREAS, by means of the Stipulation, Respondents acknowledge, without admitting or denying the truth thereof, that the following shall be adopted as the Secretary of State's Conclusions of Law:

1. A variable annuity is a security pursuant to Section 2.1 of the Illinois Securities Law of 1953 (the "Act").
2. GOLDBLOOM's activity in which he effected the surrender of the Customer's variable annuities for the purpose of consolidating them into two fixed annuities for which GOLDBLOOM received compensation, constitutes the activities of an Investment Advisor pursuant to Section 2.11 of the Act.
3. Section 12.A of the Act states that it shall be a violation of the provisions of the Act to offer or sell any security except in accordance with the provisions of this Act.
4. Section 8 of the Act requires investment advisors to be registered with the Illinois Secretary of State.

WHEREAS, during the ISD's investigation into this matter, and subsequent discussions with Respondents' duly authorized representative, Goldbloom expressed his desire to avoid any potential future transgressions of the Illinois Securities Act, and therefore agrees as follows:

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1. Respondents, their employees, agents, and business entities will act in accordance with the Illinois Securities Law of 1953.
2. Respondents may submit applications for registration to offer and sell securities and/or to offer investment advice no earlier than February 1, 2017.

Dated: This 28th day of July, 2015.



JESSE WHITE
Secretary of State
State of Illinois

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