

**STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT**

IN THE MATTER OF: John C. Cowin)
_____))

FILE NO. 100022

NOTICE OF HEARING

TO THE RESPONDENT:

John C. Cowin
(CRD#:5741296)
8222 Mourning Dove Court
WOODRIDGE, Illinois 60517

John C. Cowin (CRD#: 5741296)
C/o NEW ENGLAND SECURITIES CORPORATION
C/O METLIFE SECURITIES, INC.
1095 AVENUE OF THE AMERICAS
New York, New York 10036

You are hereby notified that pursuant to Section 11.F of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act") and 14 Ill. Adm. Code 130, Subpart K, a public hearing will be held at 69 West Washington Street, Suite 1220, Chicago, Illinois 60602, on the 2nd day of June, 2010 at the hour of 10:00 a.m. or as soon as possible thereafter, before James L. Kopecky, Esq., or such other duly designated Hearing Officer of the Secretary of State.

Said hearing will be held to determine whether an Order shall be entered denying John C. Cowin's (the "Respondent") registration as a salesperson and as an investment adviser representative in the State of Illinois and/or granting such other relief as may be authorized under the Act including but not limited to the imposition of a monetary fine in the maximum amount pursuant to Section 11.E (4) of the Act, payable within ten (10) business days of the entry of the Order.

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The grounds for such proposed action are as follows:

1. That on December 15, 2009 and on March 15, 2010, New England Securities Corporation, a registered dealer and investment adviser, filed Form U-4 applications for registration of the Respondent as a salesperson and as an investment adviser representative in the State of Illinois pursuant to Section 8 of the Act.
2. That on May 6, 2008 the Illinois Department of Revenue filed a Notice of Tax Lien (Lien ID: 2580328) against the Respondent. The aforementioned lien including penalty and interest is in the amount of \$18,005.57.
3. That Section 8.E (1)(o) of the Act provides, inter alia, that the registration of a salesperson or investment adviser representative may be denied if the Secretary of State finds that such salesperson or investment adviser representative has failed to file a return, or to pay the tax, penalty or interest shown in a filed return, or to pay any final assessment of tax, penalty or interest, as required by any tax Act administered by the Illinois Department of Revenue, until such time as the requirements of that tax Act are satisfied.
4. That by virtue of the foregoing, the Respondent's registration as a salesperson and investment adviser representative in the State of Illinois is subject to denial pursuant to Section 8.E (1)(o) of the Act.

You are further notified that you are required pursuant to Section 130.1104 of the Rules and Regulations (14 ILL. Adm. Code 130) (the "Rules"), to file an answer to the allegations outlined above within thirty (30) days of the receipt of this Notice. A failure to file an answer within the prescribed time shall be construed as an admission of the allegations contained in the Notice of Hearing.

Furthermore, you may be represented by legal counsel; may present evidence; may cross-examine witnesses and otherwise participate. A failure to so appear shall constitute default, unless any Respondent has upon due notice moved for and obtained a continuance.

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A copy of the Rules, promulgated under the Act and pertaining to hearings held by the Office of the Secretary of State, Securities Department, is included with this Notice.

Delivery of Notice to the designated representative of any Respondent constitutes service upon such Respondent.

Dated: This 2nd day of April 2010.

A handwritten signature in black ink that reads "Jesse White" followed by a stylized monogram "JW".

JESSE WHITE
Secretary of State
State of Illinois

Attorney for the Secretary of State:
Daniel A. Tunick
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Illinois Securities Department
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Hearing Officer:
James Kopecky
190 S. LaSalle Chicago Illinois